

UNIVERSIDADE FEDERAL DO RIO GRANDE DO SUL  
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**ABORDAGEM QUALI-QUANTITATIVA E  
FUNCIONAL DE VEGETAÇÃO CAMPESTRE NOS  
BIOMAS PAMPA E MATA ATLÂNTICA**

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“O começo de todas as ciências é o espanto de as coisas serem o que são”.

*Aristóteles*

“Eu acredito demais na sorte. E tenho constatado que, quanto mais duro eu trabalho, mais sorte eu tenho”.

*Thomas Jefferson*

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## Introdução Geral

As formações campestres em senso amplo (i.e., incluindo savanas, vegetação arbustiva e tundra), cobrem 52,5 milhões de quilômetros quadrados, o que corresponde a 40,5% da superfície da Terra, excluindo-se Groenlândia e Antártida (Suttie *et al.* 2005). Na América do Sul, as formações campestres, em senso amplo, cobrem cerca de 3,5 milhões de quilômetros quadrados, ou 350 milhões de hectares (Burkart 1975). No Brasil, os campos naturais cobrem 13.656.000 ha (IBGE 2006), se concentram na região sul do país e foram as formações dominantes na região durante o Pleistoceno recente, sendo sua distribuição atual interpretada como um indício de um clima anterior mais seco e frio (Behling 2002; Bredenkamp *et al.* 2002).

Os campos são as formações fitoecológicas predominantes no Rio Grande do Sul (RS), cobrem 62,2% da superfície do Estado (Cordeiro & Hasenack 2009) e se distribuem em dois biomas distintos: Pampa e Mata Atlântica (IBGE 2004; Boldrini 2009). No bioma Pampa são encontradas as maiores extensões contínuas de campo natural no Estado, distribuídas predominantemente em terras baixas de relevo suave-ondulado, enquanto que no bioma Mata Atlântica os campos apresentam distribuição em mosaico com as Florestas Ombrófilas Densa e Mista, predominantemente em regiões de altitudes e de relevo escarpado. Burkart (1975) classifica a vegetação campestre sul-brasileira em dois tipos: os “Campos do Brasil Central”, que abrangem as formações campestres do norte do RS, de Santa Catarina e do Paraná, e os “Campos do Uruguai e sul do Brasil”, que incluem os campos da metade sul do Estado e sua continuidade florística no Uruguai. A classificação proposta por Burkart (1975) coincide com a divisão atual dos biomas Mata Atlântica e Pampa no RS.

Considerando as áreas utilizadas para atividade pecuária sobre campo natural como fisionomias seminaturais, 68,62% da superfície do RS encontra-se convertida para uso humano, e estima-se que a taxa de conversão de fisionomias naturais para antrópicas seja de ca. 1000 km<sup>2</sup>/ano (Cordeiro & Hasenack 2009). Dos 31,38% de fisionomias naturais ou seminaturais que ainda

cobrem o Estado, 62,21% são compostas por formações campestres, fato que reflete o caráter ambientalmente sustentável da histórica prática da pecuária extensiva sobre campo nativo (Cordeiro & Hasenack 2009). Como apontado por Crawshaw *et al.* (2007), esta atividade, historicamente conduzida nos campos do RS, é um dos poucos exemplos de viabilidade econômica e sustentabilidade quando comparada à agricultura. Dentre os Estados que compõem a região Sul do Brasil, a maior área de campo natural preservada encontra-se no RS, tendo em vista que nos Estados do Paraná e Santa Catarina restam respectivamente 1.377 e 1.779 milhões de hectares de área campestre natural (IBGE 2006).

Os campos no RS são formações que apresentam elevada riqueza de espécies, além da presença de diversos táxons considerados endêmicos (Boldrini 2002). Boldrini (2009) aponta a ocorrência de cerca de 2200 espécies campestres no Estado, distribuídas nos biomas Pampa e Mata Atlântica. A alta diversidade biológica encontrada no Estado está, em grande parte, atrelada à grande variabilidade geológica, topográfica, de pluviosidade, de temperatura e de disponibilidade de água no solo (Boldrini 2009). Rambo (1954) chama a atenção para a riqueza e a importância ecológica da flora campestre sul-brasileira, apontando que somente as espécies herbáceas de Asteraceae presentes na região são mais numerosas do que toda a sua flora arbórea.

As formações campestres inseridas no bioma Mata Atlântica são caracterizadas por uma distribuição em mosaico com a Floresta com Araucária e turfeiras, sendo que *Andropogon lateralis* é a espécie de gramínea dominante na região, determinando sua fisionomia (Boldrini *et al.* 2009). A pecuária extensiva, sobre campos manejados com queimadas no fim do inverno visando ao rebrote da vegetação, é a atividade mais antiga da região, apesar de estar sendo gradativamente substituída por lavouras e pelo plantio de espécies arbóreas exóticas (Boldrini *et al.* 2009). Há evidências de que o uso do fogo como prática de manejo, devido à época e à frequência de aplicação, impeça o desenvolvimento de espécies hibernais na região, diminuindo, assim, diversidade e sustentabilidade da pastagem natural pelo predomínio de espécies entouceiradas de baixa qualidade forrageira (Jacques 2003). Caporal & Eggers (2005), em levantamento da flora agrostológica de uma área de

campo natural preservado nos Campos de Cima da Serra do RS, apontam que 75% das espécies são estivais e apenas 25% hibernais. Nabinger *et al.* (2000) apontam a prática da queimada como agronomicamente improdutiva, tendo em vista o favorecimento de gramíneas “C4” e a conseqüente diminuição de forragem durante o inverno. Llorens & Frank (2004) relacionam o uso do fogo no inverno ou no início da primavera com diminuição da contribuição de espécies C3 e aumento das C4. Boldrini *et al.* (2009) listam a ocorrência de 1161 táxons para os campos da região, sendo que o maior número de espécies pertence à família Asteraceae (24%), seguida de Poaceae (20%), Fabaceae e Cyperaceae (ambas com 7%). Do total de espécies, 107 são consideradas endêmicas, 76 estão inseridas na lista de espécies ameaçadas do RS e quatro eram novas para a ciência. Segundo Boldrini (2009), o clima frio da região, aliado à alta pluviosidade, culminou na formação de diversos endemismos de plantas herbáceas.

No Brasil, o bioma Pampa está restrito ao RS, apresentando continuidade florística com o Uruguai e o Nordeste da Argentina (Burkart 1975; IBGE 2004; Boldrini 2009). Esta formação, que cobre ca. 63% da superfície do Estado, é apontada por Burkart (1975) como uma das regiões mais ricas em gramíneas do mundo. Os campos do bioma Pampa, apesar da aparente uniformidade fisionômica, apresentam uma enorme diversidade de táxons e formações vegetacionais decorrente da grande variabilidade edáfica da região (Boldrini 2009) e do seu caráter relictual (Behling 2002; Bredenkamp *et al.* 2002). Boldrini (2009) divide os campos da região em sete tipologias, baseadas em critérios florísticos, fisionômicos e edáficos: campos de barba-de-bode, de solos rasos, de solos profundos, de areais, do centro do Estado, litorâneos e vegetação savanóide (ou campos da Serra do Sudeste).

Apesar das conhecidas diferenças de solo, relevo, pluviosidade e composição florística entre os campos inseridos nos dois diferentes biomas presentes no Estado, não há trabalhos com abordagens quali-quantitativas estruturais comparando as duas formações.

Mesmo com o avanço do conhecimento em relação à flora campestre do Estado, estudos quali-quantitativos que tenham como objetivo a caracterização dos diversos subtipos de campos que



existem no Estado (Boldrini 1997) são pontuais. Entre eles, Boldrini *et al.* (1998) realizaram um levantamento fitossociológico da vegetação campestre presente em um morro de embasamento granítico na região de Porto Alegre. Na mesma formação, Overbeck *et al.* (2006) avaliaram as diferenças florísticas e sua relação com fatores abióticos, como propriedades de solo e distância da borda da floresta. Levantamentos que empregaram metodologias semelhantes foram realizados por Caetano (2003), Garcia (2005), Boldrini *et al.* (2008), e Ferreira & Setubal (2009), todos na Planície Costeira do Estado. Caporal & Boldrini (2007) realizaram levantamento florístico e fitossociológico em uma área de campo pastejada na Serra do Sudeste do RS. Freitas *et al.* (2009) realizaram levantamento semelhante em um campo no sudoeste do Estado, sujeito à arenização. Estudos comparativos envolvendo a estrutura das comunidades campestres dos biomas Pampa e Mata Atlântica são imprescindíveis para futuras iniciativas de conservação e manejo dessas formações naturais características da região. Todavia, estudos de longo prazo que avaliem variáveis estruturais da comunidade atreladas à variável temporal são praticamente inexistentes. Overbeck *et al.* (2005) avaliaram as mudanças entre dois anos em comunidades campestres após eventos de queimada, utilizando uma área excluída de manejo para comparação. Os autores apontam que, na área queimada, o *turnover* de espécies foi maior no primeiro ano, decaindo nos anos subseqüentes devido ao aumento de cobertura de espécies cespitosas. Na área excluída de manejo, as espécies de gramíneas cespitosas apresentaram maior dominância, e a área apresentou valores menores de riqueza e diversidade quando comparada à área manejada com fogo.

Para entender os processos envolvidos na dinâmica desses ecossistemas campestres, tendo em vista sua já conhecida complexidade, espécies e populações podem ser resumidas em padrões funcionais gerais recorrentes (Walker 1992; Grime *et al.* 1996). Essa abordagem, embora tenha sido introduzida na ciência há tempo (e.g. Raunkiaer 1934; Box 1981; ver revisão em Westoby 1998), tem sido revisitada atualmente. Padrões consistentes de associação entre atributos de diferentes plantas foram encontrados para floras locais (ver revisão em Diaz & Cabido 1997), e a perspectiva de um método que permita classificar uma espécie, independentemente de sua distribuição, de

acordo com sua estratégia ecológica permitiria desvendar padrões mais gerais através da análise de uma base de dados ampliada (Westoby 1998).

Partindo do princípio que certos atributos das plantas descrevam diferentes estratégias ecológicas, esses atributos poderiam ser usados para generalizar mudanças na composição de espécies ao longo do tempo (Weiher *et al.* 1999; Nygaard & Ejrnæs 2004). Mudanças significativas de atributos funcionais ao longo de um período de sucessão já foram observadas em formações campestres (Kahmen & Poschlod 2004; Lindborg & Eriksson 2005). Tendo em vista que a própria existência atual dos campos com sua configuração atual está atrelada a processos sucessionais após distúrbios como o fogo (Quadros & Pillar 2001, Behling 2002, Behling *et al.* 2004, Overbeck *et al.* 2005, Müller *et al.* 2007) e o pastejo (Senft *et al.* 1987; Coughenour 1991; Pillar & Quadros 1997), estudos que enfoquem as mudanças florísticas e estruturais ao longo do tempo são imperativos.

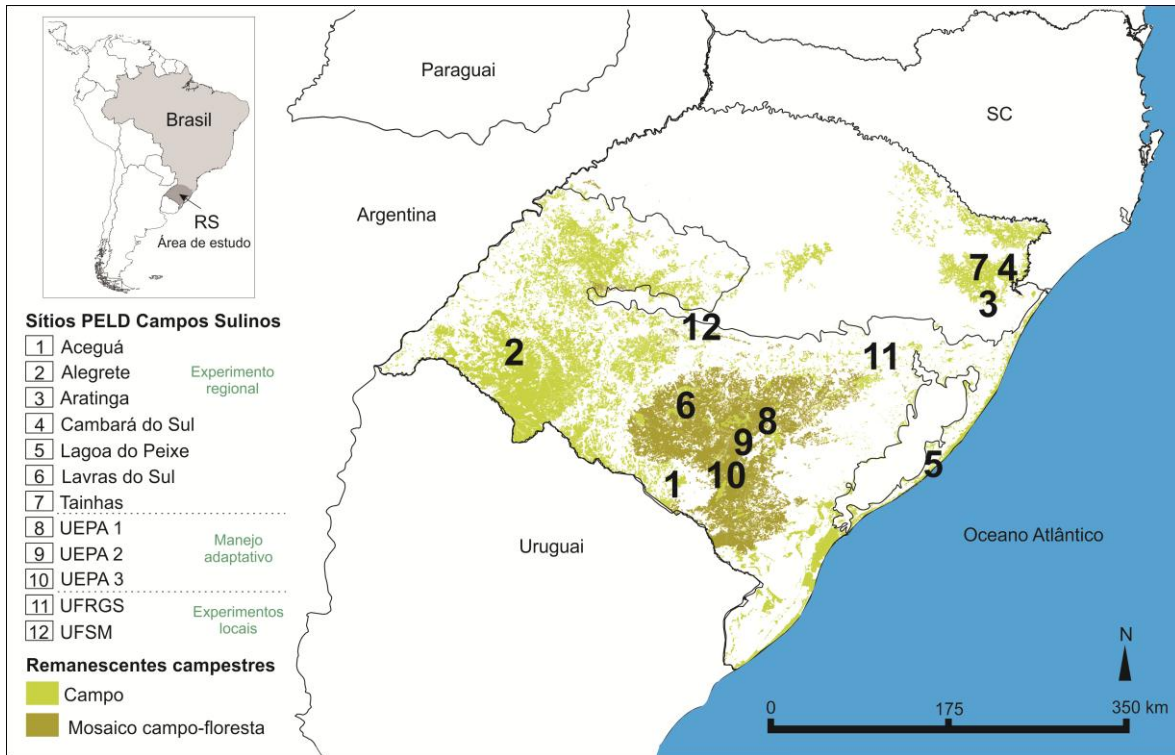
No bioma Pampa, mais especificamente na região da Campanha, onde o manejo utilizando fogo não é característico, o excesso de pressão de pastejo tem sido o problema (Boldrini 1997). Nos campos da região, praticamente não há áreas excluídas de pastejo e, portanto, não há estudos que enfoquem a dinâmica sucessional da vegetação campestre sem a presença do gado.

Como já abordado anteriormente, a fisionomia atual dos campos está relacionada a um regime de distúrbios. Assim sendo, a exclusão de qualquer prática de manejo permite visualizar o processo sucessional da comunidade, normalmente interrompido pelo manejo. Tendo em vista as já conhecidas diferenças de manejo e flora entre as formações campestres dos biomas Pampa e Mata Atlântica, espera-se que a resposta das comunidades de cada área seja diferente, de acordo com o tipo (ou a ausência) de manejo aplicado. Esperam-se grupos de plantas que compartilham atributos semelhantes entre si caracterizem a sucessão após cada distúrbio em cada área, e mesmo entre diferentes períodos sucessionais.

Para estudar a estrutura e a dinâmica da vegetação campestre, em ambos os biomas, levando em conta as práticas de manejo citadas e a variável temporal, é necessária a implantação de

parcelas permanentes, para que seja possível obter informações de longo prazo padronizadas e futuramente comparáveis (Sanquetta 2008a).

Esta tese de doutorado foi realizada no âmbito do projeto de Pesquisa Ecológica de Longa Duração (PELD) Campos Sulinos (CNPq 558282/2009-1). Este projeto teve início simultâneo ao meu ingresso como aluno de doutorado no Programa de Pós-Graduação em Botânica da UFRGS. No âmbito deste projeto, foram estabelecidos seis sítios de pesquisa em diferentes pontos do Estado do Rio Grande do Sul (três no bioma Pampa e três no bioma Mata Atlântica), no ano de 2011. Na Figura 1 são apresentados todos os sítios que atualmente compõem a rede PELD Campos Sulinos. Esta tese foi realizada com base nos dados obtidos nos sítios 1, 2, 3, 4, 6 e 7 (Figura 1). Cada um destes sítios constitui um bloco de amostragem, composto de três poteiros sob diferentes tratamentos: manejo convencional, conservativo e exclusão de manejo (Figura 2). Detalhes sobre os tipos de manejo são fornecidos nos capítulos subsequentes da tese. Desde 2011, todos os sítios vêm sendo reamostrados anualmente.



**Figura 1.** Sítios que atualmente fazem parte da Rede PELD Campos Sulinos. Os sítios englobados nesta tese são os do ‘experimento regional’, numerados de 1 a 7, com exceção do sítio número 5 que ainda não foi implementado.



**Figura 2.** Estrutura dos blocos de amostragem dos sítios da Rede PELD Campos Sulinos.

Os objetivos gerais desta tese, que são atingidos no conjunto dos artigos apresentados, foram os seguintes: (i) caracterizar e comparar comunidades campestres inseridas nos biomas Pampa e Mata Atlântica do Rio Grande do Sul em relação a sua composição florística e estrutural; (ii) avaliar a aplicabilidade do conceito clássico de forma de vida *sensu* Raunkiaer como descritor de padrões de vegetação campestre subtropical; (iii) identificar respostas das comunidades campestres inseridas nos dois biomas a diferentes práticas de manejo com animais pastadores e à exclusão de manejo e (iv) explorar as relações entre manejo, estrutura da vegetação, comunidades de artrópodes e processos ecossistêmicos (decomposição).

A tese está estruturada em capítulos que correspondem a manuscritos de artigos, já formatados para submissão em revistas científicas. O primeiro capítulo apresenta os dados obtidos no primeiro ano de levantamento do projeto (2011), consistindo na descrição da vegetação dos sítios, das diferenças entre sítios inseridos em diferentes biomas e em uma breve discussão sobre a influência de variáveis edáficas nos padrões encontrados. Este manuscrito está nas normas da revista *Biodiversity and Conservation*. O segundo capítulo consiste em uma revisão do conceito de formas de vida aplicado em plantas, seguido de uma proposta de categorias de formas de vida para ser aplicada em ecossistemas campestres subtropicais. Este capítulo é finalizado com uma comparação entre a eficácia desta classificação proposta e classificações clássicas e amplamente utilizadas em descrever diferenças entre sítios com vegetação campestre sob níveis variáveis de pastejo. O manuscrito está nas normas da revista *Austral Ecology*. No terceiro capítulo são abordadas as relações entre manejo, estrutura da vegetação, comunidades de artrópodes e processos ecossistêmicos. Este manuscrito está nas normas da revista *Ecography*. Por fim, o quarto capítulo aborda aspectos da dinâmica da vegetação campestre sob diferentes manejos após quatro anos de monitoramento. Este manuscrito está nas normas da revista *Journal of Vegetation Science*.

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# *Capítulo 1*

**Differences in grassland vegetation from  
two South Brazilian biomes and  
implications for conservation**

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1 **Abstract**

2 Conservation is a global concern, and can produce more effective results when encompassing  
3 simultaneous conservation and use of biodiversity. This paradigm highlights the importance of  
4 natural grasslands for conservation, since these ecosystems may be examples of sustainability by  
5 allying profitable use and maintenance of biodiversity. Grasslands in Southern Brazil are inserted in  
6 two biomes: Pampa and Atlantic Forest. Quantitative studies focusing on floristic and structural  
7 differences between grasslands in both biomes are so far lacking. This paper aims to evaluate  
8 differences in plant composition, richness, diversity and structural variables between natural  
9 grasslands from Pampa and Atlantic Forest biomes, and the implications of these differences for  
10 conservation. We also evaluated the correlation between soil features and vegetation patterns. Data  
11 were collected in 162 sampling units distributed in nine paddocks in six sites, three per biome. Data  
12 were submitted to cluster and ordination analyses. Relationships between soil features and  
13 vegetation were assessed with linear regression using ordination axes. Relationships between  
14 structural variables and plant community were estimated using correlation analysis. Sampling  
15 resulted in 382 plant taxa from 40 families (ca. 17% of the regional grassland flora). Sites between  
16 biomes shared 28 families and only 15% species. Average richness and diversity were higher in  
17 Pampa sites. Cluster and ordination analyses revealed two sharp groups among sampling units,  
18 consistent with biome separation. Dominance was higher in Atlantic Forest sites than in Pampa  
19 sites. We inferred that this vegetation structure is the result of past and present differences in  
20 management, soil and climate. The implication of our results is that conservation efforts must be  
21 equally focused on grasslands from both biomes in order to target: (1) a representative set of  
22 species, (2) different vegetation structures and (3) potentially different ecological processes and  
23 services. Also, we suggest that management planning that includes grazing and/or fire must be  
24 mandatory in Brazilian conservation units encompassing grasslands.

25

26 *Keywords:* management, soil-plant relationships, conservation units, sustainable use, grassland  
27 biodiversity, disturbance regime.



## 1 **Introduction**

2

3 Biodiversity conservation has been repeatedly pointed out as a priority task of societies  
4 worldwide (Sachs et al. 2009; Larigauderie and Mooney 2010). Although it was originally focused  
5 on preserving species, conservation is today a broad multidisciplinary science, and well-planned  
6 conservation efforts encompass species, landscapes and ecological processes alike (Heywood and  
7 Iriondo 2003). Human actions during the past few centuries have significantly reduced natural  
8 ecosystems in area and services offered (Millennium Ecosystem Assessment 2005), emphasizing  
9 the importance of conservation. Moreover, scientists and political actors are slowly converging  
10 towards the idea of allying conservation and use of natural resources (Aronson et al. 2006).  
11 Therefore, ecosystems dominated by natural grassland landscapes may be key assets for  
12 conservation, since they are natural forage sources for domestic herbivores (Hodgson 1990;  
13 Nabinger et al. 2000; Bilenca and Miñarro 2004) and their biodiversity is not impaired by this  
14 disturbance, at least when it does not occur in extreme intensities. In fact, grassland biodiversity and  
15 conservation status may be positively influenced by grazing (Olf and Ritchie 1998; Sebastià et al.  
16 2008), thus configuring a ‘natural’ example of sustainable use.

17 Grassland ecosystems cover large areas in Southern South America. The Río de la Plata  
18 Grasslands extend over ca. 750,000 km<sup>2</sup> in Argentina, Uruguay and Southern Brazil (Soriano et al.  
19 1992; Bilenca and Miñarro 2004). Within Brazilian territory, these grasslands determine the  
20 landscape of the southern half of Rio Grande do Sul, the southernmost Brazilian state, in the region  
21 defined locally as the Pampa biome (IBGE 2004). However, grasslands are also present in the  
22 Atlantic Forest biome, in higher altitudes, milder climates and shaping mosaics with forests  
23 (Overbeck et al. 2007). The contact zone between these biomes is located near the 30oS parallel, a  
24 known threshold between tropical and subtropical/temperate vegetation (Cabrera and Willink  
25 1980). This region is also a transition point considering geomorphology and soil types. Soils in the  
26 Atlantic Forest biome are mostly derived from basalt and show low pH values on average, whereas

1 there is a higher diversity of soil types in the Pampa biome (Streck et al. 2008). Soils have been  
2 long hypothesized to influence vegetation patterns in South Brazilian grasslands, as it has been  
3 found for Uruguayan grasslands by Lezama et al. (2006), although no study has specifically focused  
4 on that relationship so far.

5         Temperate grasslands and savannas figure among the world's most critically endangered  
6 ecosystems, with 45.8% rate of conversion and only 4.6% of protection (Hoekstra et al. 2005).  
7 Conservation of South American ecosystems has long been identified as a problem (Mares 1986),  
8 and still remains overlooked (e.g., Ramirez-Villegas et al. 2012). Conservation of South Brazilian  
9 grasslands (locally known as campos) has been neglected. Less than 0.5% of the South Brazilian  
10 grasslands are protected in conservation units, and most of them are inserted in the Atlantic Forest  
11 biome (Overbeck et al. 2007). Moreover, there are no conservation units under IUCN's categories I  
12 to IV (Olson and Dinerstein 1998) in grasslands within the Pampa biome, increasing their  
13 vulnerability to land conversion and suppression of natural vegetation. There was a decrease of ca.  
14 25% in total natural grasslands in Southern Brazil between 1970 and 2000 (Nabinger et al. 2000).  
15 Today, only 50% of original grassland cover in Rio Grande do Sul remains, and land conversion for  
16 human use is estimated to be of 1,000 km<sup>2</sup> per year (Cordeiro and Hasenack 2009). Grasslands in  
17 the region have evolved under different levels of grazing (Milchunas et al. 1988) and fire  
18 disturbances (Behling et al. 2004; Behling et al. 2005), have been used since the seventeenth  
19 century as forage source for cattle breeding (Pillar and Quadros 1997) and are still used as such  
20 today (Nabinger et al. 2000). The use of natural grasslands as forage sources for extensive livestock  
21 breeding maintains grassland diversity, providing that adequate stocking rates are used (Hodgson  
22 1990; Nabinger et al. 2009). In fact, conservation of grassland ecosystems around the world often  
23 involves herbivory and/or fire as management tools (e.g., Fuhlendorf et al. 2006; Hampicke and  
24 Plachter 2010; Houston 1982; Meagher 1973). However, land management within Brazilian  
25 conservation units is still a taboo subject, mostly due to misguided ecological concepts (Pillar and  
26 Vélez 2010) – even though, in fact, the very existence of these grassland ecosystems is linked to

1 disturbances regimes such as grazing and fire (Behling et al. 2004; Quadros and Pillar 2001). Land  
2 management of grazed grasslands differs between biomes in Southern Brazil. In the Pampa,  
3 stocking rates are usually higher, fire is seldom used as a managing tool and mowing is used to  
4 control undesirable species. In the Atlantic Forest, stocking rates are usually lower, and undesirable  
5 species and accumulated dry biomass are controlled with yearly fire (Maraschin 2001; Nabinger et  
6 al. 2000). There is evidence that the use of fire with such periodicity reduces diversity and overall  
7 foraging value by removing intolerant species and favoring tussock C4 species (reviewed by  
8 Jacques 2003). It is likely that the difference in historical management between biomes has impacts  
9 on present vegetation structure, although this question is yet to be directly addressed.

10 Plant species diversity in South Brazilian grasslands is extremely high. Boldrini (1997)  
11 estimated 2,200 grassland plant species for Rio Grande do Sul state alone, and ongoing work by the  
12 same author and colleagues will soon provide an updated species list with roughly 2.600 taxa  
13 (Boldrini et al. unpubl.). Although the identity of plant species present in these ecosystems is  
14 relatively well known (Boldrini 2009), possible structural and floristic differences between  
15 grasslands inserted in the Pampa and in the Atlantic Forest biomes have not been elucidated.  
16 Evaluating such differences will provide valuable tools for future conservation efforts, since these  
17 must consider the representativeness of grassland ecosystems from different biomes (and possibly  
18 different regions within each biome), different management types and different disturbance history.  
19 Moreover, if floristics and/or community structure differs between grasslands from each biome,  
20 conservation efforts should encompass different strategies in each biome in order to maximize  
21 conservation of biodiversity, landscapes and ecological processes.

22 The objective of this paper is to evaluate differences between natural grassland areas from  
23 the Pampa and the Atlantic Forest biomes, and the implications of these differences for  
24 conservation. We hypothesize that these formations differ regarding plant composition, richness,  
25 diversity and structural variables. We also briefly consider the influence of soil features over  
26 vegetation patterns.

1

## 2 **Material and Methods**

3

4 Data collection took place in late 2010 and early 2011, in natural grasslands in Rio Grande  
5 do Sul, Southern Brazil. Vegetation surveys were carried out at six sites, three in the Pampa biome  
6 and three in the Atlantic Forest biome. Sites in the Pampa are inserted in farms predominantly  
7 focused on cattle breeding. Sites in the Atlantic Forest are inserted in Conservation Units.  
8 Acronyms for each sampling site were given according to municipalities or Conservation Units they  
9 were inserted. Pampa sites were Aceguá (ACE; 31°38'55"S, 54°09'26"W), Alegrete (ALE;  
10 30°04'08"S, 55°59'27"W) and Lavras do Sul (LAV; 30°41'55"S, 53°58'11"W). Atlantic Forest  
11 sites were Aparados da Serra National Park (APA; 29°08'10"S, 50°09'21"W), Aratinga Ecological  
12 Station (ARA; 29°23'31"S, 50°14'30"W) and Tainhas State Park (TAI; 29°05'40"S, 50°22'03"W).  
13 Mean altitude in Pampa sites was 215 m AMSL and 930 m in Atlantic Forest sites. Grasslands in all  
14 sites are under cattle grazing, and have been under grazing for many years, with no known record of  
15 land conversion. In Atlantic Forest sites, yearly fires have also been used for many years, following  
16 a widespread regional management technique. Although grazing intensity (livestock units per area)  
17 varies from site to site, overall it is lighter in Atlantic Forest sites. We estimated grazing pressure in  
18 each site in animal units (AU = 450 kg of live weight) per hectare. Grazing pressure in each site  
19 was: ACE = 1.05 AU/ha, ALE = 0.9, LAV = 0.85, APA = 0.9, ARA = 0.6 and TAI = 0.45).

20 At each site we sampled three paddocks of 0.5 ha each using nine 1m<sup>2</sup> permanent plots  
21 (systematically allocated in the paddock in a 3x3 grid with 17 m between plots), summing up to 162  
22 sampling units across the six sites. This sampling layout was designed for an ongoing long-term  
23 ecological research (PELD Campos Sulinos; CNPq 558282/2009-1), and this paper reports results  
24 from the first season of sampling (Southern hemisphere summer 2010/2011). In each sampling unit,  
25 we surveyed all plant species that were present and estimated their cover using the decimal scale of  
26 Londo (1976). We also estimated cover of bare soil, litter, rock outcrops and overall vegetation

1 cover per sampling unit. We calculated relative frequency and cover for each species (Ellenberg and  
2 Mueller-Dombois 1974).

3 We submitted vegetation data to cluster analysis with sum of squares as clustering criterion  
4 (Orloci 1967) and Principal Coordinate Analysis using chord distance as dissimilarity measure  
5 between sampling units. We used mean values of species cover in sampling units per paddock in all  
6 analyses. We performed additional multivariate analyses using presence/absence data to assess the  
7 importance of species composition alone over vegetation patterns. We evaluated the presence of  
8 sharp groups within cluster groups and stability and significance of ordination axes with bootstrap  
9 resampling methods (Pillar 1998; Pillar 1999a, b). For comparisons of diversity between sites we  
10 calculated the Shannon diversity index and evenness (Magurran 1988), and also used Hill's  
11 diversity profiles (Hill 1973; Tóthmérész 1995).

12 We collected soil samples in each sampling unit up to 10 cm depth. Air-dried soil samples  
13 were used for chemical and textural analysis (Silva 1999). The pH value was determined in water  
14 solution (1:1). Exchangeable cations,  $Mg^{+2}$ ,  $Ca^{+2}$  and  $Al^{+3}$  were extracted with  $KCl\ 1molL^{-1}$ . P and  
15 K were determined using the Mehlich I extraction method. Cation exchange capacity (CEC) was  
16 determined at pH 7. Organic carbon content of the soil was measured using the wet combustion  
17 method. Clay content was determined by densimeter method. In this paper, we do not wish to  
18 discuss soil characteristics in detail, since in-depth soil analysis will be discussed in a separate study  
19 (Andrade et al. unpublished). Rather, we used summarized soil data to estimate the influence of  
20 abiotic factors on grassland vegetation parameters. To do so, we used the ordination scores of  
21 sampling units from the first ordination axis obtained in a Principal Coordinate Analysis of  
22 sampling units described by an abiotic matrix containing the variables described above (162  
23 sampling units described by 17 soil variables, see ESM 1). Then we explored the relationships  
24 between this axis and the axis obtained in the ordination of vegetation data (162 sampling units  
25 described by abundances of 382 plant species). Both vectors were normalized, submitted to

1 correlation analysis (Pearson product-moment) and fitted with a linear regression model using the  
2 vegetation axis as dependent variable.

3 Differences between sites regarding richness, diversity, species composition and cover and  
4 structural variables were tested using randomization tests with 10,000 bootstrap resampling  
5 iterations (Pillar and Orłóci 1996). For comparisons between species composition and cover we  
6 used raw matrices (sampling units described by species mean percentage cover values). Correlation  
7 between variables was evaluated with Pearson product-moment correlation coefficient, using  
8 permutation tests to assess statistical significance. Only significant correlations were presented and  
9 discussed ( $P < 0.05$ ). Prior to correlation analysis, data subsets were submitted to Shapiro-Wilk's  
10 normality test, and vectors that failed the test were normalized. Analyses were conducted with the  
11 software Multiv (Pillar 1997) and on the R platform (R Development Core Team 2012).

12

### 13 **Results**

14

15 We found 382 plant taxa distributed in 40 families among the six sampling sites. Families  
16 with highest overall species richness and average cover were Poaceae, Asteraceae and Cyperaceae  
17 in both biomes, although the richness/cover ratio varied between biomes (Figure 1). The two groups  
18 of sites from each biome shared 28 families and had eight exclusive families each. Both sets of  
19 three sites within biomes had 27 species in common, whereas the two groups of sites from each  
20 biome shared 57 species overall (Figure 2).

21 Average species richness and diversity were overall higher in the Pampa biome, both at  
22 sampling unit and paddock levels. Richness values showed similar patterns in both biomes, with  
23 one site encompassing more species and two sites with less species and no significant difference  
24 between each other (Table 1). Considering only the Shannon index, diversity was similar among  
25 Atlantic Forest sites, whereas it was different among Pampa sites (Table 1). However, the diversity  
26 profiles revealed that diversities between biomes are different at low alpha values. Diversities were

1 equivalent within the Pampa biome, whereas within the Atlantic Forest they differed with  
2 increasing alpha values (Figure 3). Randomization tests comparing species composition and cover  
3 resulted in significant differences between biomes at the paddock and site levels ( $P < 0.05$ ). All  
4 pairwise comparisons between sites of the same biome were not significant.

5 Differences between biomes were also consistent regarding structural variables. Vegetation  
6 cover and height showed higher values in site from the Atlantic forest biome, whereas open soil  
7 showed the opposite pattern (Table 2). Moreover, vegetation cover was more uniform in Atlantic  
8 Forest sites in comparison with Pampa sites. Cover of litter and rock outcrops did not differ  
9 between biomes, and showed significant differences only at the TAI site among Atlantic Forest sites  
10 (Table 2). Atlantic Forest sites showed high dominance of *Andropogon lateralis*, an erect tussock  
11 grass, whereas the prostrate grass *Paspalum notatum* was the most representative at Pampa sites  
12 (Table 3).

13 Across sites and biomes, mean bare soil was positively correlated to mean plant species  
14 richness ( $r = 0.75$ ,  $P = 0.0013$ ), Shannon diversity ( $r = 0.93$ ,  $P < 0.001$ ) and evenness ( $r = 0.77$ ,  $P < 0.001$ ).  
15 Evenness was negatively correlated to mean vegetation cover ( $r = -0.63$ ,  $P < 0.01$ ) and positively  
16 correlated to rock outcrops ( $r = 0.61$ ,  $P < 0.01$ ). Grazing pressure was correlated to vegetation height  
17 ( $r = 0.89$ ,  $P < 0.01$ ), Shannon diversity ( $r = 0.92$ ,  $P < 0.01$ ) and species richness ( $r = 0.68$ ,  $P < 0.01$ ).

18 Cluster analysis revealed two sharp groups among paddocks. These groups are consistent  
19 with the biome separation. However, the distance between paddocks was different within each  
20 biome. Dissimilarity values between Pampa paddocks are on average twice as large as between  
21 Atlantic Forest paddocks, which resulted in a perfect clustering match for sites from the former and  
22 not for the latter (data not shown). Principal Coordinate Analysis revealed a pattern not entirely  
23 consistent with biome separation. Although Atlantic Forest paddocks clustered in the left side of the  
24 scatterplot, Pampa sites clustered in two groups: one comprising ACE and LAV paddocks, and the  
25 other comprising ALE paddocks (Figure 4). Ordination and cluster analyses performed with  
26 presence/absence data resulted in a similar pattern, although biome separation was clearer.

1           The first standardized ordination axis containing vegetation information was highly and  
2 significantly correlated to the first ordination axis containing soil information ( $r=0.86$ ; see methods  
3 for details on soil data). The linear model using the vegetation axis as dependent variable showed  
4 that vegetation composition and structure can be predicted by soil features across our sampling units  
5 (Figure 5). Also, vegetation structural parameters were strongly correlated to individual soil  
6 variables, but these relationships will be discussed elsewhere (Andrade et al. unpubl.).

## 7 8 **Discussion**

9  
10           We aimed at revealing principal differences in biotic composition of south Brazilian  
11 grassland sites included in two different biomes, Pampa and Atlantic Forest, for the first time based  
12 on analyses of quantitative data. Our results indicate that grasslands in the Pampa biome differ from  
13 those in the Atlantic Forest considering plant species composition and cover, richness (Table 1) and  
14 vegetation structural variables (Table 2). Cluster and ordination analyses (Figure 4) also showed a  
15 clear distinction between paddocks/sites from different biomes. The high dominance of *Andropogon*  
16 *lateralis* in Atlantic Forest sites (Table 3) corroborates earlier descriptive studies of the local flora  
17 (Boldrini 1997, 2009; Boldrini et al. 2009; Boldrini and Longhi-Wagner 2011), and partly explains  
18 the lower diversity values and structural differences between sites. This species forms dense  
19 tussocks, defining the landscape of this region. Dominance in Pampa sites, on the other hand, is  
20 more diluted among species (also shown in Figure 3, with similar diversity with increasing alpha  
21 values; see discussion on diversity profiles below), and the dominant grass is the prostrate  
22 *Paspalum notatum*, which also corroborates previous descriptive studies (Boldrini 1997; Díaz et al.  
23 1992; Pinto et al. 2013; Rodríguez et al. 2003). It is important to mention that the Atlantic Forest  
24 Biome extends northwards along the entire Brazilian coast, whereas our sampling was restricted to  
25 grasslands in the southern portion of the biome. Grasslands in the northern Atlantic Forest,  
26 however, are usually related to high elevations, inserted in a more continuous forest matrix, have



1 different structure and floristic composition and are known as altitude grasslands and campos  
2 rupestres (Vasconcelos 2011). The Pampa extends through Uruguay and Argentina and it is  
3 assumed to have a relative floristic and structural continuum throughout its distribution (Bilenca  
4 and Miñarro 2004; Ferreira and Boldrini 2011). However, this has not been evaluated quantitatively  
5 so far within Brazilian territory. Although our sampling does not allow extrapolation of floristic  
6 results for the two entire biomes, we did sample ca. 17% of the regional grassland flora, and also  
7 found structural patterns consistent with previous descriptive studies (e.g., Boldrini 2009; Boldrini  
8 et al. 2009).

9 Sites between biomes shared only 15% of their species, and the number of shared species in  
10 pairwise comparisons was slightly lower in Atlantic Forest sites (Figure 2). Also, the ordination  
11 analysis performed with presence/absence data resulted in a pattern of biome separation similar to  
12 the one found with cover data (data not shown). These results indicate that differences between  
13 biomes are also dependent on species composition. Distribution of species richness and relative  
14 cover values per family also differed between biomes (Figure 1). Poaceae species represented a  
15 slightly higher cover value in Atlantic Forest sites, although they were more numerous in Pampa  
16 sites. Asteraceae represented higher cover in Pampa sites, whereas Cyperaceae species richness and  
17 cover was more than two times higher in Atlantic Forest sites (this last could be related to more  
18 humid climate in the Atlantic Forest). Also, there was a high family turnover rate between biomes  
19 in less representative families. A regional literature-based floristic review found similar patterns,  
20 with the Pampa biome showing more exclusive species (Boldrini 2009). These differences in  
21 species composition (Table 3 and Figure 1) reflect differences in vegetation structure (Table 2) and  
22 community parameters (Table 1 and Figures 3 and 4). Differences in climatic variables such as  
23 mean annual rainfall (Nimer 1990), altitude and geological and soil features (Streck et al. 2008) are  
24 probably important variables that influence these differences. Also, areas from both biomes are  
25 under the influence of different floristic contingencies (Boldrini and Longhi-Wagner 2011; Cabrera  
26 and Willink 1980), which is also reflected in different species composition and vegetation structure.

1           Although pairwise comparisons of plant composition and cover between sites of the same  
2 biome showed no differences, variation of some parameters was different between biomes. Most  
3 structural parameters (Table 2) were more variable within the Pampa biome, as was Shannon  
4 diversity (Table 1). Diversity profiles showed that diversity in Atlantic Forest sites was overall  
5 slightly lower than in Pampa sites (Figure 3). Also, diversity among Pampa sites did not differ with  
6 increasing alpha values, whereas it did among Atlantic Forest sites. Changes in alpha values  
7 represent changes in sensitivity to abundant and rare species. Higher alpha values correspond to  
8 diversity indexes that give more weight to abundant species (Tóthmérész 1995). Therefore,  
9 differences in diversity between Atlantic Forest sites are related to the high dominance seen in these  
10 sites (Table 3), and are also reflected in the higher aggregation of paddocks in comparison with  
11 Pampa sites revealed in the ordination analysis (Figure 4).

12           The most striking structural differences in sites between biomes were mean vegetation  
13 height, cover and bare soil (Table 2). Some of these structural variables turned out to be good  
14 predictors of grassland plant community parameters. We found strong positive correlations between  
15 bare soil and species richness ( $r=0.75$ ), Shannon diversity ( $r=0.93$ ) and evenness ( $r=0.77$ ) and  
16 between rock outcrops and evenness ( $r=0.61$ ). Also, mean vegetation cover and evenness were  
17 negatively correlated ( $r=-0.63$ ). Fire has been used to remove litter and standing dead biomass after  
18 winter for years in Atlantic Forest grasslands (Jacques 2003). This historical practice, combined  
19 with low stocking rates (Maraschin 2001; Nabinger et al. 2009) probably lead to the present  
20 structure: high dominance of C4 grasses that form dense tussocks, such as *Andropogon lateralis*,  
21 which accordingly grouped close to Atlantic Forest sites in the ordination analysis (Figure 4). Under  
22 light grazing pressures, such tussock species are allowed to grow both in height and tussock  
23 diameter, which may result in protection of growing buds, consequent resistance to future fire  
24 events and contributes to maintain dominance (Jacques 2003; Overbeck et al. 2005).

25           Evidence found in grasslands from other parts of the world (at least under relatively humid  
26 climate conditions) indicates that when disturbance (i.e. grazing and/or fire) is reduced or removed,

1 plant species richness and/or diversity also reduce (Altesor et al. 2005; Fynn et al. 2004; McIntyre  
2 et al. 2003; Olff and Ritchie 1998; Overbeck et al. 2005; Rusch and Oesterheld 1997; Sebastià et al.  
3 2008; Tremont 1994). Our results showed that sites with lower grazing pressures showed lower  
4 values of richness and diversity (Table 1), and overall less exclusive species (Figure 2). Coupled  
5 with the high correlation values we found between grazing pressure and richness, diversity and  
6 vegetation height, our results suggest a close relationship between management and grassland  
7 structure and composition. Management practices and the resulting high dominance are directly  
8 linked to increased mean vegetation cover and height in Atlantic Forest sites (Table 2), which in  
9 turn correlates to the lower values of richness and diversity.

10 High percentages of bare soil and rock outcrops are usually related to grasslands growing  
11 over shallow soils (e.g., Lezama et al. 2011; Pinto et al. 2013). Plant communities that thrive on  
12 such resource-limiting micro-environments are prone to show more evenly distributed abundances,  
13 less dominance and consequently higher values of evenness and diversity (e.g., Pinto et al. 2013;  
14 Setubal and Boldrini 2012). This explains the positive correlation between bare soil and rock  
15 outcrops and richness, diversity and evenness. Although mean values for bare soil were different  
16 between biomes and values for rock outcrop were not, they showed large variation between sites  
17 (Table 2), and even higher between sampling units. Nonetheless, both variables were strongly  
18 correlated to vegetation parameters, suggesting that soil features and vegetation structure are closely  
19 related. This could be a reflection of soil effects on vegetation patterns at two levels. The first  
20 depicts differences between biomes, mostly related to differences in soil acidity. The second shows  
21 natural vegetation heterogeneity related to local topography, which was found to be a strong driver  
22 structuring grassland communities at the landscape level (Sebastià 2004). Relating abiotic and  
23 biotic factors is not a new topic in ecology (Austin et al. 1990; Gibson et al. 1993; Grime 1979;  
24 Tilman 1984). However, it is still used as a tool to explain grassland community organization (e.g.,  
25 Cantero et al. 2003; Fynn and O'Connor 2005), although the relative contribution of biotic, abiotic  
26 and spatial factors in community assembly is still an open question in community ecology.

1 Diversity of soil types is higher in the Pampa biome when compared with the Atlantic Forest in  
2 southern Brazil (Streck et al. 2008). Although our sampling does not allow for broader  
3 extrapolations on soil-vegetation relationships, we did find an evident pattern linking abiotic and  
4 biotic variables within our data (Figure 5). Also, correlation between axes containing soil and  
5 vegetation information was significant and high ( $r=0.86$ ), reinforcing the idea that vegetation  
6 patterns are related to soil features.

7         The Rio de La Plata Grasslands, in which the Brazilian Pampa biome is inserted, are  
8 characterized by a less seasonal environment in comparison with northern Hemisphere grasslands  
9 (Paruelo et al. 1995). Most studies from these subtropical and temperate grasslands indicate the  
10 predominance of prostrate growth forms (Rodríguez et al. 2003; Díaz et al. 1992 and our results for  
11 grasslands in the Pampa biome). In Atlantic Forest grasslands, however, the dominance of tussock  
12 species is similar to what is found in temperate grasslands such as North American prairies (Olff  
13 and Ritchie 1998). Grazed grasslands under moderate to high grazing pressures are dominated by  
14 prostrate growth forms, tend to accumulate less standing biomass, and are less prone to regular  
15 burning in comparison with grasslands dominated by erect tussock species (Altesor et al. 2005;  
16 Guerschman and Paruelo 2005). These differences in structure and management pose different  
17 challenges for conservation. In our personal observations during fieldwork over the past years, we  
18 have seen systematic substitution of natural grasslands, previously used as forage sources, by  
19 croplands and exotic tree plantations. Transformation of natural ecosystems to croplands represents  
20 one of the greatest threats to global biodiversity (Sala et al. 2000), and present conservation status  
21 of grasslands in the region is probably much worse than presently estimated, since the study by  
22 Cordeiro and Hasenack (2009) is based on remote sensing images from ten years ago.

23         According to current Brazilian environmental law, the use of fire or domestic herbivores is  
24 not allowed inside conservation units under the most restrictive categories, which supposedly are  
25 the ones that should provide the highest protection for biodiversity (MMA 2000; Olson and  
26 Dinerstein 1998). Herbivores are used as management tools in conservation of grasslands in North

1 America (Meagher 1973) and Europe (Hampicke and Plachter 2010), among other places.  
2 Furthermore, evidence of positive influence of grazing on ecosystems processes was found in  
3 experiments carried out inside conservation units (e.g., Frank et al. 2000; Frank and McNaughton  
4 1993). To create or maintain conservation units encompassing large natural grassland landscapes  
5 that would remain unmanaged would be to repeat studies carried out worldwide that showed that  
6 management exclusion leads to species loss and decreasing diversity. In fact, the vast majority of  
7 preserved grassland ecosystems in southern Brazil are natural pasturelands used for extensive cattle  
8 breeding (Cordeiro and Hasenack 2009). Grasslands we sampled in the Atlantic Forest were  
9 inserted in conservation units, but as the areas were incorporated into these quite recently, grazing  
10 has not yet been excluded – but current management plans aim to do so. Our results indicated that  
11 grassland areas under lower grazing pressures harbor less species richness and diversity in  
12 comparison with more heavily grazed sites. It is likely that the complete exclusion of management  
13 would promote further biodiversity loss in these areas. If the prevailing Brazilian conservation  
14 policy is maintained, grazing and fire will indeed be suppressed from these grasslands (and many  
15 others throughout southern Brazil). This will ultimately lead, as discussed above, to declines in  
16 plant richness and diversity, besides negative effects on richness and diversity in other trophic  
17 levels as well as on ecosystem processes and services.

18 Our results indicated that grasslands in the Pampa and Atlantic Forest biomes differ from  
19 one another considering plant species composition and vegetation structure, in consequence both of  
20 environmental conditions (soil and climate) and current and past management. The implications of  
21 this conclusion for conservation are immediate: conservation efforts must be equally focused on  
22 grasslands from both biomes in order to target: (1) a set of species representative of the different  
23 grassland types; (2) different vegetation structures and (3) potentially different ecological processes  
24 and ecosystem services. Also, it is imperative that management is taken into account when planning  
25 future conservation efforts focusing on natural subtropical grasslands. Management is important not  
26 only due to its effects on biodiversity, but also because it is related to local culture and legal issues.

1 The next step to build a framework for conservation of grasslands in southern Brazil would be: (i)  
2 to bridge the gap between farmers and scientists, in order to provide the first the sustainable  
3 management alternatives that allow for simultaneous conservation and monetary gain and (ii) to  
4 propose standardized protocols for implementation and long-term maintenance of a disturbance  
5 regime in conservation units encompassing grasslands. Ongoing research projects in which our  
6 work was included aim to provide further contribution to build and thread these steps.

7

## 8 **Acknowledgements**

9

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16 558282/2009-1).

## Tables

**Table 1** Mean species richness, diversity (Shannon's H') and respective standard deviations for grassland plant communities in six sites distributed in two biomes. Different letters represent significant differences (at paddock level) within columns and borders (P<0.05). P = Pampa sites, AF = Atlantic Forest sites

Biome	Site	Species richness		Diversity (H')	
		Mean	SD	Mean	SD
<b>P</b>		27.17 <sup>a</sup>	6.24	2.20 <sup>a</sup>	0.35
<b>AF</b>		22.86 <sup>b</sup>	4.81	1.73 <sup>b</sup>	0.34
	ACE	33.04 <sup>a</sup>	5.18	2.43 <sup>a</sup>	0.28
<b>P</b>	ALE	23.19 <sup>b</sup>	3.70	2.20 <sup>b</sup>	0.34
	LAV	25.30 <sup>b</sup>	4.85	1.98 <sup>c</sup>	0.29
	APA	25.63 <sup>a</sup>	5.58	1.83 <sup>a</sup>	0.21
<b>AF</b>	ARA	21.96 <sup>b</sup>	3.72	1.62 <sup>a</sup>	0.36
	TAI	21.00 <sup>b</sup>	3.71	1.74 <sup>a</sup>	0.40

**Table 2** Mean values of structural variables for grassland plant communities in six sites distributed in two biomes from Southern Brazil. Different letters represent significant differences within biomes (P<0.05). P = Pampa sites, AF = Atlantic Forest sites

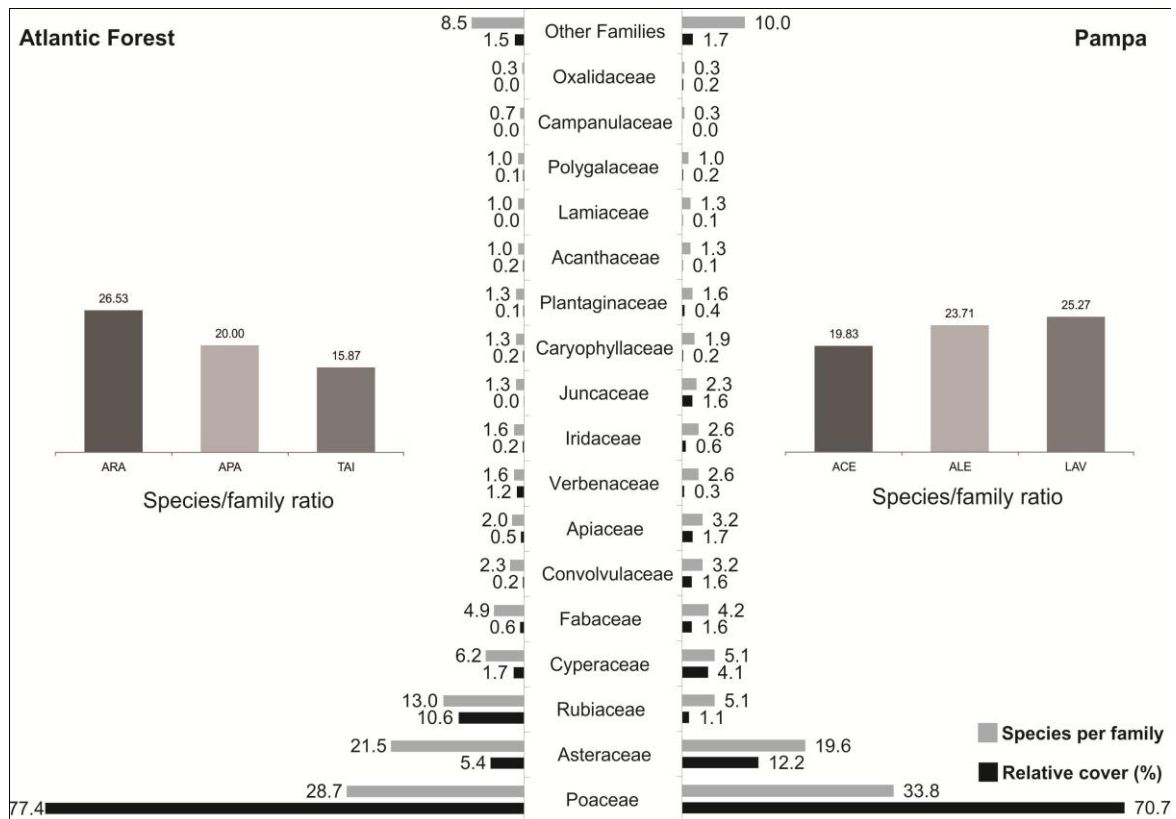
Biome	Site	Vegetation cover (%)	Bare soil (%)	Rock outcrop (%)	Litter (%)	Vegetation height (cm)
<b>P</b>		80.43 <sup>a</sup>	11.85 <sup>a</sup>	1.42 <sup>a</sup>	27.65 <sup>a</sup>	8.70 <sup>a</sup>
<b>AF</b>		91.91 <sup>b</sup>	2.38 <sup>b</sup>	1.05 <sup>a</sup>	14.10 <sup>a</sup>	27.64 <sup>b</sup>
	ACE	89.07 <sup>a</sup>	13.15 <sup>a</sup>	-	25.56 <sup>a</sup>	9.53 <sup>a</sup>
<b>P</b>	ALE	75.74 <sup>b</sup>	13.98 <sup>a,b</sup>	4.26	32.78 <sup>a</sup>	5.50 <sup>b</sup>
	LAV	76.48 <sup>b</sup>	8.43 <sup>b</sup>	-	24.63 <sup>a</sup>	11.06 <sup>a</sup>
	APA	92.41 <sup>a</sup>	2.50 <sup>a</sup>	0.09 <sup>a</sup>	19.89 <sup>a</sup>	24.73 <sup>a,b</sup>
<b>AF</b>	ARA	92.41 <sup>a</sup>	3.15 <sup>a,b</sup>	0.09 <sup>a</sup>	20.09 <sup>a</sup>	24.07 <sup>a</sup>
	TAI	90.93 <sup>a</sup>	1.48 <sup>b</sup>	2.96 <sup>b</sup>	2.31 <sup>b</sup>	34.13 <sup>b</sup>

**Table 3** Relative cover (%) of the five most representative species per site of grassland communities in six sites distributed in two biomes from Southern Brazil

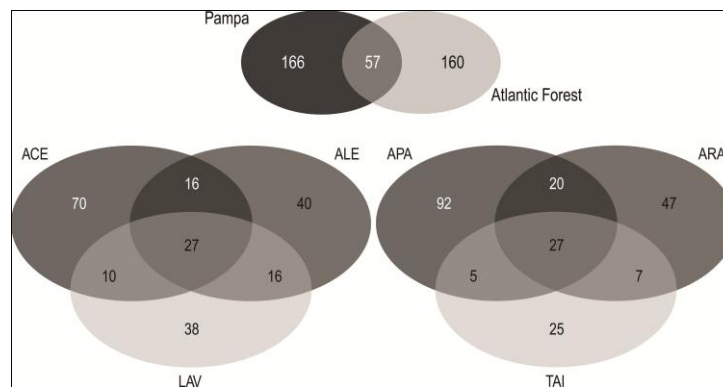
Family	Species	Relative cover per site (%)					
		Pampa			Atlantic Forest		
		ACE	ALE	LAV	APA	ARA	TAI
Poaceae	<i>Andropogon lateralis</i>	-	6.98	0.04	40.11	48.15	33.81
Poaceae	<i>Axonopus affinis</i>	3.59	0.54	6.39	1.52	4.87	0.94
Asteraceae	<i>Baccharis coridifolia</i>	-	4.85	4.56	-	-	-
Asteraceae	<i>Baccharis crispa</i>	1.04	-	9.54	0.43	1.61	2.48
Cyperaceae	<i>Bulbostylis</i> sp.	-	-	-	5.87	-	-
Poaceae	<i>Paspalum maculosum</i>	-	-	-	5.22	5.61	0.48
Poaceae	<i>Paspalum notatum</i>	18.02	7.80	32.04	-	-	3.96
Poaceae	<i>Paspalum plicatulum</i>	7.93	-	0.39	0.87	0.02	1.13
Poaceae	<i>Piptochaetium montevidense</i>	11.11	7.07	4.15	2.11	0.17	1.13
Cyperaceae	<i>Rhynchospora megapotamica</i>	8.91	0.02	-	-	-	-
Poaceae	<i>Saccharum angustifolium</i>	-	-	1.50	-	3.52	-
Poaceae	<i>Schizachyrium tenerum</i>	-	0.02	0.02	4.98	1.06	13.13
<b>Total</b>		<b>50.59</b>	<b>27.28</b>	<b>58.61</b>	<b>61.11</b>	<b>65.00</b>	<b>57.07</b>



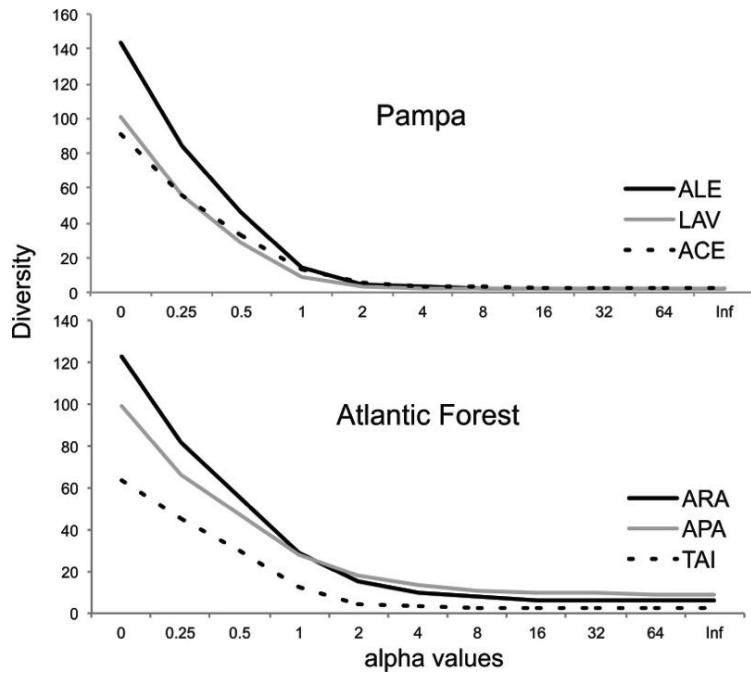
## Figures



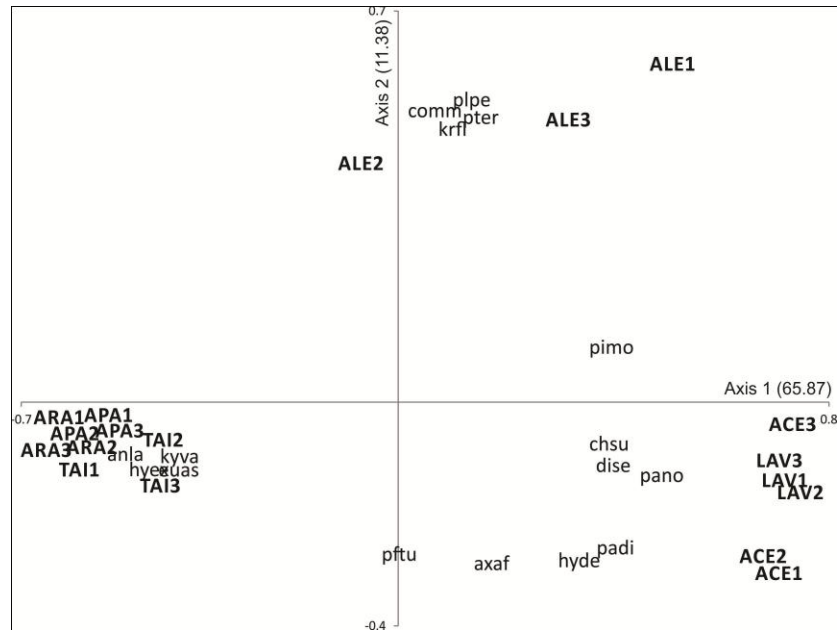
**Fig. 1** Species richness, average cover per family and species per family ratio (number of species divided by number of families multiplied by 100) in six grassland communities distributed in the Pampa and Atlantic Forest biomes in Southern Brazil



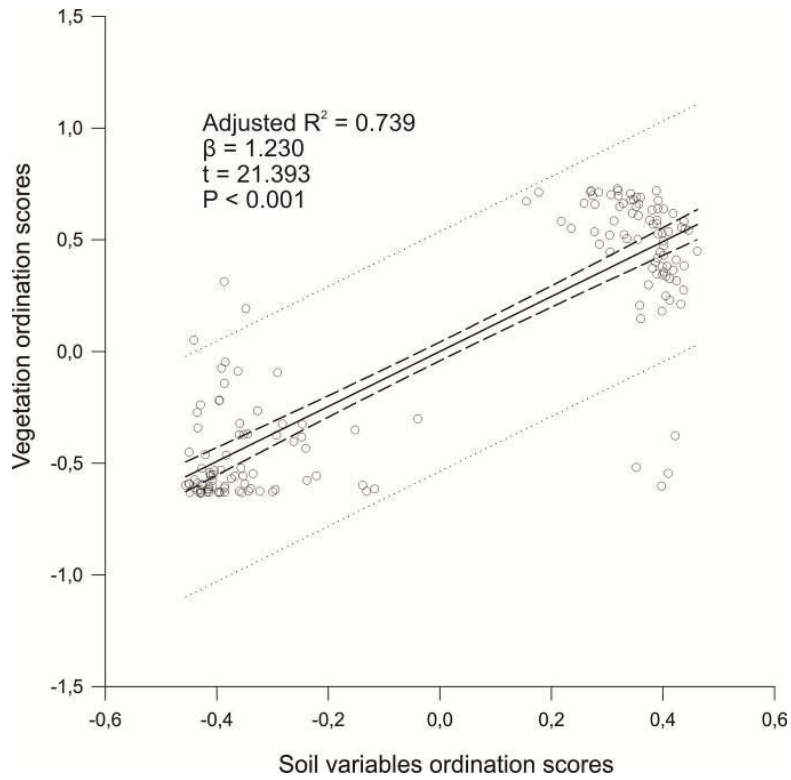
**Fig. 2** Venn diagrams showing shared species between grasslands from two biomes and among the three sampling sites within each biome



**Fig. 3** Diversity profiles of grassland communities from six sampling sites distributed in two biomes in Southern Brazil. Alpha values represent Rényi entropy values



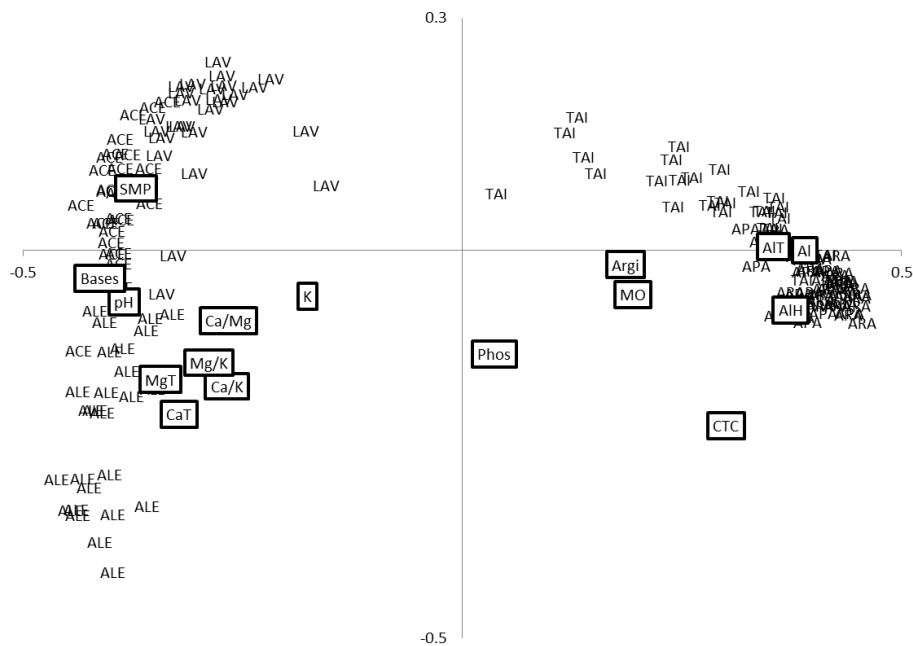
**Fig. 4** Ordination diagram (Principal Coordinate Analysis) of six grassland communities (three paddocks each) described by 382 variables (plant species). Plotted variables were the most correlated with the first two ordination axes. Percentage of variation captured in both axes is shown in parenthesis. Legend for variables: anla = *Andropogon lateralis*; axaf = *Axonopus affinis*; chsu = *Chascolytrum subaristatum*; Comm = *Commelina* sp.; dise = *Dichondra sericea*; euas = *Eupatorium ascendens*, hyde = *Hypoxis decumbens*; hyex = *Hydrocotyle exigua*; krfl = *Krapovickasia flavescens*; kyva = *Kyllinga vaginata*; padi = *Paspalum dilatatum*; pano = *Paspalum notatum*; pftu = *Pfaffia tuberosa*; pimo = *Piptochaetium montevidense*; plpe = *Plantago penantha*; pter = Pteridophyta



**Fig. 5** Linear regression model showing the relationship between ordination axes containing information of vegetation (162 sampling units described by 382 plant species) and soil (162 sampling units described by 17 soil variables) from six grassland communities distributed in two biomes

## Electronic supplementary material

**ESM 1** Ordination diagram (Principal Coordinate Analysis) of six grassland communities (27 sampling units each) described by soil variables. Legend for variables: SMP = SMP pH method; Bases = percent base saturation; pH = pH (H<sub>2</sub>O); Ca/Mg = Ca:Mg ratio; Mg/K = Mg:K ratio; K = potassium; MgT = exchangeable magnesium; CaT = exchangeable calcium; Phos = phosphorus; Argi = clay content; MO = organic matter; CTC = cation exchange capacity; AIT = exchangeable aluminum; Al = percent aluminum saturation; AIH = hydrogen-ion and aluminum concentrations



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# Capítulo 2

**Plant life forms revisited: are classic systems  
really applicable in all ecosystems?**

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**Plant life forms revisited: are classic systems really applicable in all ecosystems?**

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1 **Abstract**

2

3 Plant life forms are coarse classifications of taxonomic entities used to describe biological  
4 patterns and processes. Such classifications are present in science since its early days, and are still  
5 used as descriptive tools in areas such as functional ecology and biogeography. Some classifications  
6 became widely accepted and applied worldwide. In this paper we present a review of plant life form  
7 classifications. We discuss the relevance and accuracy of using such all-encompassing  
8 classifications in any given ecosystem to answer varying ecological questions. We propose a multi-  
9 trait hierarchical classification of plant life forms for subtropical grasslands, using a case study from  
10 southern Brazil to compare its descriptive power with the widely used Raunkiaer and Ellenberg &  
11 Mueller-Dombois classifications. To perform such comparisons we used Mantel tests and  
12 Procrustes analyses in a multivariate matrix-based approach. Subtropical grassland species were  
13 mostly grouped into large heterogeneous categories in classic one-trait-based life form systems.  
14 These systems showed poor descriptive power of differences between areas with known differences  
15 in management, environmental factors and vegetation structure. Our classification was a better  
16 descriptor of species-based patterns in comparison with the existing ones. Our results indicated that  
17 the descriptive power of a life form classification lies in the criteria used to group species rather  
18 than in the number of categories alone. We pointed out that using a life form classification  
19 consistently within an ecological unit may reflect in future benefits, such as facilitating and  
20 improving the accuracy of meta-analyses and allowing the development of unified databases. This  
21 might be particularly important considering transnational biomes such as the subtropical and  
22 temperate South American grasslands. Although our classification was conceived in and for  
23 subtropical grasslands, we suggest that researchers using life forms as descriptive traits in other  
24 ecosystems consider the relatedness between the principles underlying the classification and the  
25 ecological question being addressed, as well as the ecosystem in question.

26

27 **Keywords:** Raunkiaer system, campos grasslands, growth forms, traits

28

## 1 **Introduction**

2

3           Scientists have relied on coarse classifications of taxonomic entities to describe biological  
4 patterns and processes since the dawn of ecology as a science. Earth's biodiversity is simply too  
5 complex to be described using the specific level (Díaz and Cabido 1997; Walker 1992), and many  
6 ecological processes and patterns are better described by non-taxonomic units, although  
7 phylogenetic similarities between these units are also important (Westoby 2006). In plant ecology,  
8 classifications of life forms were extensively used from the descriptive works of the early  
9 nineteenth century until today in functional approaches. Many classification systems were proposed  
10 during all that time, although some became more accepted and widely used than others. Also, the  
11 applicability of life form classifications conceived for universal use was criticized in some  
12 ecosystems. In this paper we present a historical review of the use of plant life form classifications.  
13 We question the use of widely accepted classifications indiscriminately in any ecosystem,  
14 sometimes ignoring the relatedness between the principles underlying the classification and the  
15 ecological question being addressed. We also propose a classification of plant life forms for  
16 subtropical grasslands, and use a case study from southern Brazilian grasslands to compare it with  
17 two widely used classifications.

18

### 19 *Brief history of plant life form classifications*

20

21           During the past century, the name of the Danish botanist Christen Raunkiaer became nearly  
22 a metonym for plant life forms. However, grouping plant taxa into coarse and often taxonomy-  
23 independent classifications has been done for many years before his widely used classification  
24 (Raunkiaer 1934). The first known classification of life forms dates back to ca. 300 BC, to  
25 Theophrastus' 'Enquiry into plants', in which the Greek philosopher defined four plant 'classes'  
26 that scientists have been using ever since: tree, shrub, under-shrub and herb (Hort 1916). Although



1 science has moved from that exclusively descriptive approach, we still strive to find meaningful  
2 working units in plant ecology, and often go back to Theophrastus' four classes or minute variations  
3 of them.

4         The scientific literature of the nineteenth century teems with examples of plant  
5 classification systems, most of which comprising life forms based on physiognomic aspects. This  
6 focus on physiognomy arose from the need to define primary units for the description of plant  
7 communities (Du Rietz 1931). The system proposed by Humboldt (1806) was widely used by  
8 European botanists during the first half of that century. The alternative system of De Candolle  
9 (1818) was based on life span, and also included morphological features on its bases, thus being the  
10 only system not exclusively based on physiognomy at the time. Humboldt's system was  
11 subsequently extended by Grisebach (1872), resulting in 54 'physiognomic types'. By that time, the  
12 evolutionist paradigm (Darwin 1859) was already an influence, and plant classification systems  
13 slowly shifted their focus from physiognomy to characters reflecting biological importance (e.g.,  
14 Kerner 1869). In fact, in his review of plant life forms, Du Rietz pointed out that 'it was probably  
15 only due to the personal influence of the strongly antievolutionist old Grisebach, that purely  
16 physiognomic systems of vegetation-forms remained predominating more than two decades after  
17 the publication of *The Origin of Species*' (Du Rietz 1931, p. 4).

18         The period of the late nineteenth and early twentieth century was marked by the transition  
19 between physiognomic and biological plant classification systems. Reiter (1885) was a pioneer by  
20 proposing a revision of the classic physiognomic systems under an evolutionary view. However,  
21 most of the systems conceived during this period were based on the Lamarckian concept of  
22 epharmony, in which the environment would directly induce transformations in individuals (Vesque  
23 1882). Near the turn of the century, Warming (1895) published his first attempt of classification of  
24 plants into biological groups, mostly based on the work of De Candolle (1818), but including many  
25 additional morphological features and for the first time using the term 'life-form'. Warming  
26 progressively refined his system in the following years, and synthesized his views in his book

1 'Oecology of Plants' (Warming and Vahl 1909). In that book, Warming introduced the English term  
2 'growth-form', although the corresponding terms in Danish and German from his previous works  
3 were 'livsform' and 'Lebensform' respectively. As Du Rietz (1931) argued later, he did so 'without  
4 giving any reason for not using the term life-form in English'. Until today, life form and growth  
5 form are sometimes treated as synonyms. Oscar Drude, a severe critic of Humboldt's and  
6 Grisebach's systems, also proposed a 'biological system' (Drude 1896), upgraded in further  
7 publications and relatively influential at the time, being the foundation for some similar systems  
8 that followed. In the same year, Areschoug (1896) used for the first time the term 'geophyte' for  
9 plants with belowground renewal buds.

10 The first accounts of Raunkiaer's life-form system are from publications in Danish and  
11 French between 1904 and 1907 (Raunkiaer 1904; 1905; 1907). In the following decades, the system  
12 underwent several changes (such as the increased number of species used in the calculation of the  
13 'normal spectra') until the publication of 'The life forms of plants and statistical plant geography'  
14 (Raunkiaer 1934). Although Raunkiaer's system became widely accepted and repeatedly used  
15 afterwards, not all his contemporary fellow scientists agreed with his views, and many kept on  
16 updating their systems or developing new ones (e.g., Sylvéen 1906). Warming was probably one of  
17 his most prominent critics, but by far not the only one (see a review in Du Rietz 1931). One of the  
18 most common criticisms (or contributions) to Raunkiaer's system was directed towards the  
19 establishment of consistent differences between Hemicryptophytes and Chamaephytes, as well as  
20 the wide diversity of life forms that both classes actually encompassed (e.g., Skottsberg 1929;  
21 Skottsberg 1913).

22 In 1920, the North American botanist Frederic Edward Clements published a new system,  
23 restoring the term 'vegetation-form' in place of life form (Clements 1920). For Clements, the  
24 dominant species played the central role in ecological processes, and thus their life forms were the  
25 ones that mattered. Following Clements' work, in 1921 the Swedish biologist Gustaf Einar Du Rietz  
26 published his system, shifting back to an almost purely physiognomic-based classification (Du

1 Rietz 1921). Du Rietz was the first to establish a distinction between life and growth forms: the first  
2 was determined by physiognomy alone, whereas the latter encompassed subdivisions based on  
3 shoot architecture. Kerner's system was probably the first one purely based on morphology, and  
4 theoretically independent from taxonomy (Kerner 1929). In 1928 Josias Braun-Blanquet presented a  
5 classification based on Raunkiaer's, but including radically changed subdivisions of the original ten  
6 main categories (Braun-Blanquet 1928). Ellenberg and Mueller Dombois (1967) presented a  
7 refinement of Raunkiaer's system using finer categories (later re-edited in Ellenberg and Mueller  
8 Dombois 1974). It is important to note that, as Adamson (1939) pointed out, most of these  
9 classifications of life forms are solely based on the aerial parts of the plants, completely ignoring  
10 belowground differences.

11         After this period of copious production of plant classification systems, scientists moved  
12 towards progressively more complex questions, often involving relationships between multiple  
13 taxonomic levels and ecological processes. However, to answer many of these new questions,  
14 classifications of plant taxa into simple non-taxonomic entities, such as life forms, were (and still  
15 are) used. The most obvious example lies in functional ecology, which largely relies on recurrent  
16 relationships between species traits such as life form and ecosystem function (e.g., Díaz and Cabido  
17 1997; McIntyre et al. 1995). Although it is known that some 'core traits' are better descriptors of  
18 ecological processes and environmental filters (Weiher et al. 1999; Westoby 1998), easy-to-  
19 measure traits such as life forms may also be useful, especially considering processes related to  
20 vegetation structure. Since Raunkiaer's system was simple, widely accepted and used for a good  
21 many years, it was the classification of choice for many researchers around the world until today.  
22 But would it be wise to keep using a classification system based solely on plant tolerance to a  
23 generalized unfavorable season in all ecosystems, to answer a vast array of ecological questions?

24

25

26

1 *Life forms based on single or multiple traits?*

2

3 We showed above that the first distinction between life and growth forms may have arisen  
4 from a language-related issue in Warming and Vahl (1909), as first noted by Du Rietz (1931). After  
5 that, Du Rietz (1921) used the term growth form to describe morphology-based subdivisions of his  
6 physiognomy-based life forms. Whittaker (1975) pointed out that life form (in Raunkiaer's system)  
7 considers only one characteristic (height of the perennating tissue in relation to ground level),  
8 whereas growth form reflects a mixture of characteristics. Accordingly, recent protocols that aim to  
9 standardize measurement of plant functional traits present growth and life forms as separate traits  
10 (Cornelissen et al. 2003; Pérez-Harguindeguy et al. 2013). Be that as it may, we should keep in  
11 mind that this distinction between life and growth forms considers 'life form' as in Raunkiaer's  
12 one-character system. However, as we have discussed above, there are many other life form  
13 systems, most of which used multiple characters. Warming and Vahl (1909), for example, defined  
14 life/growth forms as the sum of adaptive characters in a species expressing the relationship between  
15 a plant and its environment. Although this definition may sound slightly outdated, it implies the  
16 multi-character approach used in many modern functional studies, in which sets of traits are used to  
17 group species into functional types to assess ecological processes in multiple organization levels. In  
18 this view, life forms may be based on a set of traits, which may include plant features usually  
19 related to 'growth forms', such as canopy structure. Therefore, from this point on we will use the  
20 term life form consistently, and will no longer use the term 'growth form', to avoid further  
21 confusion.

22

23 *Plant life forms as predictors of ecological processes*

24

25 'Structure without function is a corpse; function without structure is a ghost.'

26

(Vogel and Ewel 1972)

1

2 Under a functional perspective, plant life forms can be considered as functional groups  
3 based on a single character (in Raunkiaer's system; Solbrig 1993) or on multiple characters. Ewel  
4 and Bigelow (1996) postulated that, at least in tropical ecosystems, it is the diversity of life forms,  
5 and not species, that exerts major control over ecosystem functioning. For these statements to be  
6 true, life forms must to some degree reflect the ecological processes in question. Therefore, by  
7 using Raunkier's life forms, we assume that the relative position of growing buds is a functionally  
8 important character, and that his ten classes accurately represent the ecosystem being studied.  
9 Although this has been shown to hold true in many studies around the world (regions with a more or  
10 less clearly defined unfavorable season), not all researchers that used Raunkiaer's life forms did so  
11 without questioning. Already in the early 1900's, Skottsberg (1913) pointed out that plants from the  
12 Falklands classified as Hemicryptophytes actually behaved like Chamaephytes and, moreover, that  
13 they were in fact evergreen plants. More recently, Pillar and Orlóci (1993) were also skeptical  
14 regarding the use of assumedly universal classifications in any ecological context.

15 The applicability of Raunkiaer's system in tropical and subtropical ecosystems has been  
16 questioned mostly for two reasons: (i) it groups species in very few and apparently too broad,  
17 uninformative categories (e.g., Ewel and Bigelow 1996) and (ii) an unfavorable season is hardly a  
18 limiting factor in most tropical and subtropical realities, and Raunkiaer's whole system is based on  
19 plant resilience to this factor (Sarmiento and Monasterio 1983). In his studies on New Zealand  
20 vegetation, Allan (1937) found out that Raunkiaer's life form spectra did not actually reflect  
21 climatic conditions. He concluded that the delimitations of Hemicryptophytes and Chamaephytes  
22 were inadequate for austral floras, and that historical factors (i.e., the evolutionary history of the  
23 studied ecosystem) should be taken into account when classifying plants into life forms. Adamson  
24 (1939) pointed out that most classic plant life form classifications were based on floras from the  
25 North Temperate Zone, with limited or no confirmatory evidence from Southern Europe, let alone  
26 from the Tropics or Southern Hemisphere subtropical and temperate ecosystems. Considering

1 grassland ecosystems, Willems (1985) argued that alternatives to Raunkiaer's system should be  
2 used, since most species from grasslands (in the Netherlands) would be classified as  
3 Hemicryptophytes, including obviously different life forms such as grasses and forbs in the same  
4 class, thus obscuring shifts in community dynamics unraveled by his simpler classification.

5         Considering grassland ecosystems, there are many examples of case-specific life form  
6 classifications that proved to be useful. Arnold (1955) presented a very simple classification based  
7 on life span and height to evaluate rangeland condition. He found that range productivity was  
8 dependent on the prevalent life forms according to his classification, which proved to be  
9 informative also in the evaluation of ecological dominance and susceptibility to grazing. Willems  
10 (1985) distinguished grassland plants in graminoids, forbs, rosettes and woody species, with some  
11 sub-categories and ten final life forms. Using this classification to compare different management  
12 regimes, he was able to establish relationships between biomass production and dominance,  
13 vegetation structure and diversity. In African Savannas, Cramer et al. (2012) discussed the role of  
14 underground competition in the coexistence of trees and grasses using the simplest life form  
15 classification possible in their case: trees and grasses.

16         Further examples of case-specific classifications and criticism on classic classifications of  
17 plant life forms are plentiful. However, our point here is that any classification of plants into a  
18 system of life forms should be based on two principal aspects: (i) the evolutionary history of the  
19 studied ecosystem and historical disturbance regimes it was submitted to, and (ii) the ecological  
20 question the classification is being used to answer. Classic and all-encompassing systems such as  
21 that of Raunkiaer may be useful to answer questions on a coarse scale, such as establishing broad  
22 differences between floras of different regions. However, when searching for more specific  
23 ecological processes that show little relation to the theory underlying the classic life form system  
24 proposed by Raunkiaer, they should be adapted, or independent classifications should be used  
25 according to each case (as suggested by Allan 1937). In fact, examples of the use of case-specific  
26 life form classifications from various ecosystems and involving various questions are abundant in

1 the recent literature (Aronson et al. 2007; Bhattarai and Vetaas 2003; Campanella and Bertiller  
2 2008; Castanho et al. 2012; Collins and Calabrese 2012; Diaz et al. 2007; Gómez-Aparicio 2009;  
3 Hadar et al. 1999; Huang et al. 2009; Ivanova 2012; Lezama et al. 2013; López et al. 2013;  
4 Moustakas et al. 2013; Nelis 2012; Pekin et al. 2012; Skaer et al. 2012; Tsujino and Yumoto 2013).

5

### 6 *Life forms in subtropical and temperate grasslands*

7

8 In Southern South America, grasslands extend over ca. 750,000 km<sup>2</sup> in Argentina, Uruguay  
9 and Southern Brazil (Bilenca and Miñarro 2004; Soriano et al. 1992). These ecosystems evolved  
10 under different levels of grazing (Milchunas et al. 1988) and fire (Behling et al. 2005; Behling et al.  
11 2004). They have been used as a natural forage source for cattle breeding since the seventeenth  
12 century (Pillar and Quadros 1997), and fire is still used as a management tool in some regions  
13 (Jacques 2003). Therefore, a classification of life forms that is ecologically meaningful for these  
14 ecosystems should take into account this historical disturbance regime. Life form categories should  
15 be based on characters potentially related to plant adaptations to fire and herbivory.

16 Previous studies carried out in South American grasslands have used different life form  
17 classifications. Some of them used adaptations based on Raunkiaer's systems (e.g., Garcia et al.  
18 2002; Overbeck and Pfadenhauer 2007). Other studies used case-specific classifications (e.g.,  
19 Altesor et al. 2006; Lezama et al. 2006; Overbeck et al. 2006; Overbeck et al. 2005). In grasslands  
20 in the region, perennial species are predominant over annual species, and this ratio gradually  
21 changes towards higher latitudes and temperate climate (Burkart 1975). Raunkiaer's system is  
22 capable of detecting this change: the contribution of therophytes (frequency, cover and/or number  
23 of species) would increase with latitude.

24 However, the problem of using Raunkiaer's system (or any posterior adaptation based on it)  
25 in these ecosystems is establishing the distinction between chamaephytes and hemicryptophytes.  
26 Hemicryptophytes are defined as plants with 'periodic shoot reduction to a remnant shoot system

1 that lies relatively flat on the ground surface' (Ellenberg and Mueller-Dombois 1974; Ellenberg and  
2 Mueller Dombois 1967; Raunkiaer 1934). This definition is still used and reproduced in many  
3 works worldwide, including widely accepted protocols for measurement of plant traits (Cornelissen  
4 et al. 2003; Pérez-Harguindeguy et al. 2013). Most plants from subtropical grasslands show no such  
5 behavior, and would actually be more accurately classified as chamaephytes. This problem was  
6 identified long ago for plants from the Falklands (Skottsberg 1913). In fact, by using Raunkiaer's  
7 original system, most of the non-therophytic grassland species from the subtropics would fall into  
8 chamaephytes, thus creating a large and heterogeneous group. Such an all-encompassing category is  
9 prone to show low descriptive power and to dim ecological patterns and processes.

10 In the following sections we propose a new classification of plant life forms for subtropical  
11 grasslands, based on plant characteristics related to herbivory and fire. We test the robustness and  
12 descriptive power of the new classification by comparing six grassland sites from Southern Brazil  
13 with different management history and vegetation structure. Also, we compare this new  
14 classification with the original system of Raunkiaer (1934) and the extended system proposed by  
15 Ellenberg and Mueller Dombois (1967).

16

## 17 **Material and Methods**

18

19 We used data from a grassland vegetation survey carried out in 18 paddocks at six sampling  
20 sites in Southern Brazil, three inserted in the Pampa Biome and three in the Atlantic Forest Biome.  
21 Details on surveyed sites, sampling methods and results are described in Ferreira et al. (unpublished  
22 [Capítulo 1]). Areas within each biome have been submitted to different management regimes in the  
23 past decades (grazing and sporadic mowing in the Pampa and grazing and yearly fire in the Atlantic  
24 Forest). Vegetation structure and community parameters differ between sites, mostly due to  
25 management, soil features and environmental variables Ferreira et al. (unpublished [Capítulo 1]). It  
26 is desirable that a classification of plant life forms also reflects these differences, since they are the



1 product of the ecosystem's evolutionary history. Plant species from the survey with relative cover  
2 value per site higher than five percent were classified in life forms according to Raunkiaer's  
3 original system (Raunkiaer 1934, henceforth mentioned as 'Raunkiaer's system'), the extended  
4 system proposed by Ellenberg and Mueller Dombois (1967, henceforth mentioned as 'Ellenberg's  
5 classification') and the new classification we propose in this paper. We used specific taxonomic  
6 literature to classify each taxon. Species names were checked using The Taxonomic Name  
7 Resolution Service (Boyle et al. 2013).

8         Since most plant species from subtropical grasslands do not present the 'periodic shoot  
9 reduction' described for hemicryptophytes (i.e., they are evergreen plants), we considered that they  
10 were actually chamaephytes in Raunkiaer's system. We are aware that many previous studies that  
11 used Raunkiaer's system in similar ecosystems considered many species as hemicryptophytes.  
12 Tussock-forming grasses, for example, are commonly considered hemicryptophytes because their  
13 gems are slightly above the soil level, and often protected by the base of the tussock structure.  
14 However, similar species from subtropical grasslands do not present a key feature needed to be  
15 classified as hemicryptophytes: periodic shoot reduction, which implies they are evergreens. As  
16 shown in the previous section, this distinction has already been pointed out by Skottsberg (1913) for  
17 Argentinian temperate grasslands: most species actually behave like evergreen chamaephytes rather  
18 than hemicryptophytes *sensu* Raunkiaer. Although there may be arguments supporting subtropical  
19 and temperate species as hemicryptophytes, we will not delve further into this discussion in this  
20 paper, and will consider species that do not show periodic shoot reduction as chamaephytes. In fact,  
21 the distinction between categories does not affect our results and interpretations: we used  
22 Raunkiaer's classification for the sake of comparison with other systems (see below), and all  
23 species that we classified as chamaephytes would remain under the same category regardless of the  
24 name we use to present it.

25         To assess the predictive power of our classification and compare it with the classic ones, we  
26 used a multivariate approach. First, we performed an ordination analysis (Principal Coordinate

1 Analysis) of a matrix containing the sampling areas described by species cover. We did the same  
2 analysis using averaged cover values of life form categories as descriptors of sampling areas,  
3 generating one ordination (sampling areas and life forms) per classification system. Based on the  
4 original species-based matrix and the different life form classification systems, we generated four  
5 matrices containing sampling units described by species and weighted by plant life forms. Since the  
6 number of categories may affect the predictive power and resolution of classifications, we tested  
7 two levels of our classification (five and 12 categories) to build two different life-form-weighted  
8 matrices. We did the same using randomly generated life forms with the same number of categories  
9 of all classifications. Methods to build trait-weighted matrices involve a series of matrix  
10 multiplications described by Pillar et al. (2009) and Pillar and Duarte (2010). We used Mantel tests  
11 and procrustes analyses (Peres-Neto and Jackson 2001) to evaluate the congruence between  
12 variations in the generated life-form-weighted matrices and the original matrix of sampling areas  
13 described by species cover. We performed all ordination analyses using chord distance as  
14 dissimilarity measure between sampling units. We assessed differences of life forms between areas  
15 from different biomes using randomization tests with 10,000 permutations (Pillar and Orłóci 1996).  
16 All analyses were carried out using softwares Multiv (Pillar 1997) and on the R platform (R  
17 Development Core Team 2012) with package ‘vegan’ (Oksanen et al. 2013).

18

## 19 **Results**

20

### 21 *A classification of plant life forms for subtropical grasslands*

22

23 We propose a hierarchical life form classification comprising four levels of progressively  
24 refined categories (Figure 1, Table 1). The classic categories ‘therophytes’ and ‘geophytes’ are  
25 maintained. However, Raunkiaer’s original chamaephytes are treated as ‘evergreens’, and then  
26 progressively sorted into finer categories based on level of lignification, plant architecture, habit

1 and strategy of horizontal propagation (Table 1). In Electronic Supplementary Material 1 (ESM 1)  
2 we provide a data matrix containing the life form categories for the 193 grassland plant species we  
3 used in our analyses.

4

#### 5 *Comparison between classifications*

6

7 Randomization tests showed significant differences ( $P < 0.05$ ) between areas from the  
8 different biomes using our classification and Ellenberg's, but not when using Raunkiaer system.  
9 Therophytes, classified in the same way in all systems, showed significant higher cover values in  
10 areas from the Pampa biome ( $P < 0.01$ ). Considering the classes from Ellenberg's classification,  
11 cover of herbaceous chamaephytes was significantly higher in Atlantic Forest areas ( $P < 0.05$ ).  
12 Among the categories from our classification, we found significant differences between areas from  
13 different biomes for solitary and connected tussocks, rhizomatous plants and stoloniferous plants  
14 ( $P < 0.01$ ). The most prominent overall differences between biomes were seen in tussocks and  
15 rhizomatous plants (Figure 2A).

16 Ordinations of matrices containing averaged cover values of life form categories resulted in  
17 different patterns according to each classification (Figure 3). Therophytes were highly associated  
18 with the second axis in all ordinations, segregating paddocks from site P1 from the others in varying  
19 levels of clarity. Patterns of biome separation and within-site aggregation were more clearly  
20 depicted by our classification (Figure 3A, B) in comparison with the other two systems. Using our  
21 classification, sites between biomes were separated along axis 1. Atlantic Forest sites were more  
22 associated with erect life forms, whereas Pampa sites P3 and P2 were more associated with  
23 prostrate and ligneous life forms. This pattern was mostly lost when using the other two  
24 classifications (Figure 3C, D).

25 Mantel tests and procrustes analyses revealed that matrices weighted by life form categories  
26 from our classification (levels C and D) are more correlated to the species-cover matrix in

1 comparison with matrices weighted by Ellenberg's and Raunkiaer's classifications (Table 2 and  
2 Figure 4). Matrices weighted by randomly generated life forms showed low and nonsignificant  
3 correlation with species-described matrices.

4

## 5 **Discussion**

6

7 We have presented a new proposal of classification of plant life forms to be used in  
8 subtropical and temperate grasslands. We have structured the classification in progressively refined  
9 categories, so that it can be applicable at different levels of organization and scales (considering  
10 both grain and extent). Also, we tested the descriptive power of our classification and compared it  
11 with classic and broadly used classifications.

12 The second step in the system we proposed, in which we segregate 'Geophytes' from  
13 'Evergreens' (Figure 1), deserves a brief discussion. The evergreens encompass the chamaephytes  
14 from Raunkiaer's classification (Table 1). Accordingly, in our view they are plants that do not have  
15 their aerial parts reduced during part of the year due to climatic limitations - such limitations are not  
16 common in subtropical grasslands. The geophytes, on the other hand, present this behavior, and are  
17 naturally reduced to underground organs during part of the year, from which they resprout in the  
18 next year. Although this adaptation may seem rather superfluous considering the present prevalent  
19 climatic conditions in the subtropics and temperate zones, they may derive from past conditions.  
20 During the late Pleistocene, grasslands were the dominant ecosystem in southern South America,  
21 under a colder and dryer climate and submitted to grazing and burning events (Behling et al. 2005;  
22 Behling et al. 2004; Bredenkamp et al. 2002; Milchunas et al. 1988). Therefore, this evolutionary  
23 history may be partly responsible for the presence of geophytes in these ecosystems, and may lead  
24 to a potential problem in segregating geophytes from evergreens: does the reduction in the aerial  
25 structure occurs without external interference or is dependent on disturbance (grazing and/or fire)?  
26 We considered that 'true geophytes' show the first behavior, i.e., reduction of above-ground shoots

1 is an inherent characteristic of their life cycle, whereas the second corresponds to evergreen plants  
2 with underground storage organs that may allow post-disturbance resprouting (e.g., rhizomatous  
3 evergreens). These evergreen resprouters may contribute to the resilience of these ecosystems to  
4 historical disturbances (Fidelis et al. 2014; Fidelis et al. 2010). Although both life forms show  
5 obviously similar adaptations to fire/grazing, geophytes are reduced to their underground organs  
6 independently from disturbance events, possibly due to shared evolutionary history. Evergreens  
7 with storage organs are more likely to respond to disturbance gradients (e.g., Overbeck and  
8 Pfadenhauer 2007 and our results). Finally, we must consider that under extreme circumstances,  
9 such as a unusually dry season or after a severe frost, both geophytes and evergreens are likely to  
10 have their aboveground structures reduced.

11 Our classification (at levels C and D; Table 1 and Figure 1) was a better descriptor of the  
12 original species-based patterns in comparison with other classifications (Table 2, Figures 2, 3 and  
13 4). At the level C, our classification comprises five categories, the same number of Ellenberg's  
14 classification. Even so, the first showed better descriptive power than the latter (and also than  
15 Raunkiaer's system with four categories). Also, randomly generated life forms with the same  
16 number of categories showed very limited descriptive power. This indicates that the descriptive  
17 power lies in the criteria used to group species into life forms rather than in the number of  
18 categories alone. Although this seems obvious, classic life form classifications have often been used  
19 without considering their underlying classification criteria, which consequently may have led to  
20 limitations regarding results and interpretations. For example, although differences in life forms  
21 between grassland sites with different grazing levels have been repeatedly found using case-specific  
22 classifications (e.g., Altesor et al. 2006; Diaz et al. 2007; Lezama et al. 2013), some authors have  
23 found no such differences using Raunkiaer's system (e.g., Vashistha et al. 2011). Our results  
24 indicate that such differences could be captured by the life form categories we have proposed,  
25 whereas coarse classifications such as that of Raunkiaer are not suited to answer specific ecological  
26 questions such as the effect of different land management. Therefore, when the goal of a given

1 study is to sample general patterns, and not richness or diversity, these life form categories could  
2 even be used in the sampling process in place of species. Considering that only within Brazilian  
3 territory subtropical grasslands encompass more than 2,200 species (Boldrini 2009), this would  
4 speed up sampling and allow larger areas to be covered, ultimately improving the still poorly known  
5 general structural patterns of these ecosystems. However, sampling at such a coarse level and not  
6 considering species' identities would imply severe limitations, especially considering analytical  
7 possibilities, including the possibility to refine or adapt the life form classification at a later point in  
8 time.

9 In subtropical grasslands, Raunkiaer's chamaephytes actually encompass very different  
10 types of plants (Table 1), and using this system thus resulted in almost complete lack of differences  
11 between biomes (Figure 2B), less informative ordination patterns (Figure 3C) and the lowest  
12 congruence with species-based patterns (Figure 4). Previous works had already pointed out the  
13 'chamaephyte problem', arguing that this category may actually encompass several life forms,  
14 depending on the ecological system in question (e.g., Adamson 1927; Allan 1937; Ewel and  
15 Bigelow 1996; Skottsberg 1929; Skottsberg 1913). The subdivisions of chamaephytes presented in  
16 Ellenberg's classification also encompass different life forms considering our classification (Table  
17 1). Furthermore, they do not seem to enhance the system's refinement (at least considering the case-  
18 study we presented here), since they showed correlation values (Mantel and procrustes analyses)  
19 lower than those obtained with Raunkiaer's system (Table 2). However, we do not intend to suggest  
20 that these classic classifications should be abandoned. They have repeatedly proved to be applicable  
21 and informative, and the decision to use them should be dependent on the ecological system and  
22 specific questions. For example, Batalha and Martins (2002) suggested that Raunkiaer's system is  
23 not only applicable but also recommended considering the Brazilian Cerrado (tropical grasslands, or  
24 Savannas), on the basis that local factors (fire, waterlogging, oligotrophism, extreme dry season and  
25 aluminum toxicity) would be analogous to the unfavorable season his system was based upon.

26 Besides showing overall clearer vegetation patterns at a coarse level, our classification may

1 be used to more accurately describe between-site differences in vegetation, and to link these  
2 differences to management history and local factors. Therophytes were strongly associated with the  
3 most austral site (P1; Figure 3A, B), corroborating the hypothesized increase in importance of the  
4 annual component in grassland vegetation towards higher latitudes. Differences between sites  
5 regarding tussocks (solitary and connected), subshrubs and rhizomatous plants were clearly shown  
6 by the averaged values of life form cover per biome (Figure 2A), and were largely responsible for  
7 site segregation in the ordination analysis (Figure 3A). These differences are to a large extent the  
8 reflection of land management. Atlantic Forest grasslands (sites A1, A2 and A3 in Figures 3 and 4)  
9 are historically submitted to low stocking rates and yearly burning (Maraschin 2001; Nabinger et al.  
10 2009), which favors tussock C4 species (Jacques 2003) and may hamper the establishment of  
11 subshrubs and shrubs (Müller et al. 2007). Pampa sites, on the other hand, are managed with  
12 moderate to high grazing pressures, which favors prostrate growth forms (Altesor et al. 2005;  
13 Guerschman and Paruelo 2005).

14         The classification of life forms we presented and discussed here was conceived in and for  
15 subtropical grasslands and perhaps to some degree to temperate grasslands. Its usefulness towards  
16 higher temperate latitudes may be limited, since life forms we did not consider such as deciduous  
17 shrubs may increase in importance (e.g., Campanella and Bertiller 2008). Also, it is likely that ‘true  
18 hemicryptophytes’ (i.e., species that actually show partial or complete shoot reduction during part  
19 of the year) should be found towards the tropics (tropical grasslands, or Savannas), in altitude  
20 grasslands and in higher latitudes. Nevertheless, additional categories may be included in our  
21 classification in such situations, just as categories that are too refined to a given situation may be  
22 concatenated into a broader one (e.g., connected and isolated tussocks). Subtropical grasslands in  
23 South America present a unique floristic composition, with coexistence of winter and summer  
24 species, as well as some species also present in tropical and temperate areas (Boldrini 2009; Cabrera  
25 and Willink 1980). Such species may present a variation in life form along their distribution,  
26 behaving like evergreens in our case-study and like ‘true hemicryptophytes’ towards higher or lower

1 latitudes. This plasticity deserves further attention in future works.

2           We did not intend to create an all-encompassing classification applicable to different  
3 ecosystems around the world, but our results have implications for researchers using plant life form  
4 classifications elsewhere. Any life-form system applied needs to be appropriate to the ecological  
5 system under study and must allow answering the questions asked. In our case, both Raunkiaer's  
6 and Ellenberg's system proved not to distinguish different plant strategies in a way that reflected the  
7 ecological properties and processes of the grassland system under study. Our suggestion of life form  
8 classification proved to be a more accurate descriptor of subtropical grasslands and allowed to  
9 perceive differences in functional plant composition between two subsets of our data that  
10 corresponded to grasslands under somewhat different climate and under different management.  
11 Generalizing these findings, we suggest that before using any existing classification, the relevance  
12 of the underlying criteria to the ecosystem under study should be critically reflected, in order to get  
13 meaningful answers. This seems to be important for other ecosystems worldwide, especially those  
14 with extremely different evolutionary histories in comparison with the ecosystems in which classic  
15 life form classifications were based on. Using easily obtained traits such as case-specific life form  
16 systems such as the one we propose here may be a promising starting point in initiatives to compare  
17 communities in a regional scale, especially considering that a consistent trait database is still  
18 lacking for these ecological systems.

19           While case-specific classifications can be successfully used to answer various ecological  
20 questions in different ecosystems, they have one drawback: comparisons around the world may not  
21 be possible. Here, working on a coarser scale and reflecting basically climatic differences, the  
22 classical systems likely remain more useful. Nevertheless, we think that using a life form  
23 classification consistently within 'natural' ecological units may reflect in future benefits, such as  
24 facilitating and improving the accuracy of meta-analyses and allowing the development of unified  
25 databases. This might be particularly important considering transnational biomes such as the  
26 subtropical and temperate South American grasslands.



1

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## Tables

Table 1. Hierarchical classification of plant life forms for subtropical and temperate grasslands, with four levels of progressively refined categories and the respective acronym (A-D). R and E: equivalent categories from Raunkiaer (1934) and Ellenberg and Mueller Dombois (1967) classifications. Th = therophytes, G = geophytes, Ch = chamaephytes, Ph = phanerophytes, Gb = bulbous geophytes, Gr = rhizomatous geophytes, HCh = herbaceous chamaephytes, RCh = reptant herbaceous chamaephytes, SCh = sufrutescent chamaephytes, FCh = frutescent chamaephytes.

A	B	C	D	R	E	
Therophytes	Therophytes	Therophytes	Therophytes	Th	Th	
Geophytes	Geophytes	Geophytes	Bulbous geophytes	Bg	G	Gb
			Rhizomatous geophytes	Rg	G	Gr
Evergreens	Herbaceous	Prostrate	Prostrate rosette evergreens	Pr	Ch	HCh
			Decumbent evergreens	De	Ch	RCh
			Rhizomatous evergreens	Rh	Ch	HCh
			Stoloniferous evergreens	St	Ch	HCh
	Erect	Erect	Solitary evergreen tussocks	Te	Ch	HCh
			Connected evergreen tussocks	Ct	Ch	HCh
			Evergreen forbs	Ef	Ch	HCh
			Erect rosette evergreens	Er	Ch	HCh
	Ligneous	Ligneous	Evergreen subshrubs	Ss	Ch	SCh
			Evergreen shrubs	Sh	Ph	FCh

Table 2. Results from Mantel tests and Procrustes analyses evaluating the congruence between life-form-weighted matrices and the original matrix of sampling areas described by species cover. SQ = Sum of squares.

	Mantel test		Procrustes analysis		
	r statistic	p-value	SQ	correlation	p-value
New classification (D)	0.7594	0.0001	0.3123	0.8293	0.0001
New classification (C)	0.4868	0.0002	0.4706	0.7276	0.0001
Ellenberg & Muller-Dombois	0.2349	0.0078	0.7223	0.5270	0.0125
Raunkiaer	0.2605	0.0086	0.6992	0.5485	0.0044

## Figures

Figure 1. Hierarchical classification of plant life forms for subtropical and temperate grasslands, with four levels of progressively refined categories (A-D) and examples for each category (level D) based on species from south Brazilian grasslands.

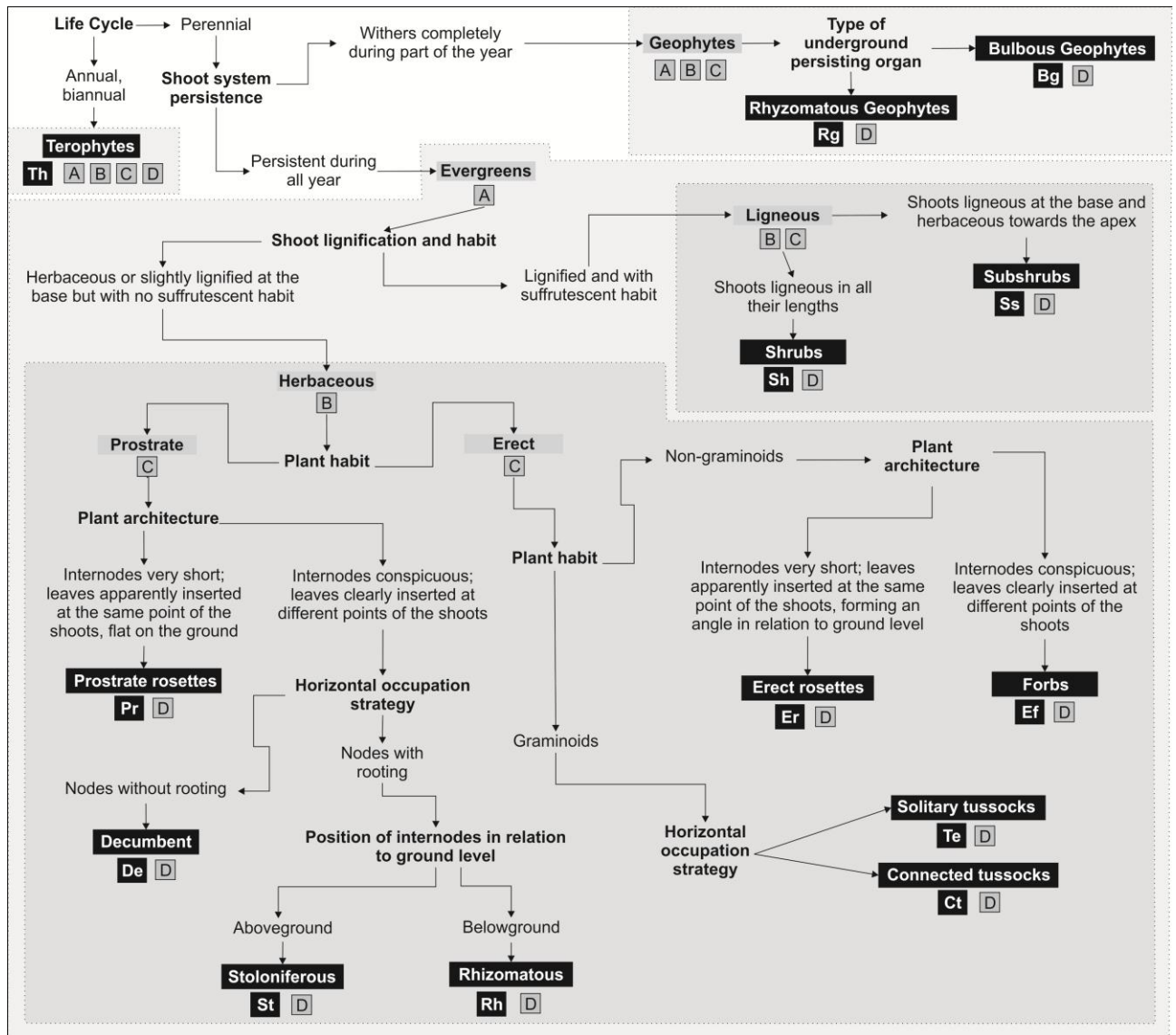


Figure 2. Cover values of life form categories from six grassland sites, averaged to biome level. Life form classes according to the new classification (A), Raunkiaer (B) and Ellenberg & Mueller Dombois (C). Th = therophytes, G = geophytes, Ch = chamaephytes, Ph = phanerophytes, Gb = bulbous geophytes, Gr = rhizomatous geophytes, HCh = herbaceous chamaephytes, RCh = reptant herbaceous chamaephytes, SCh = sufrutescent chamaephytes, FCh = frutescent chamaephytes. See legends for life form categories from the new classification in Table 1.

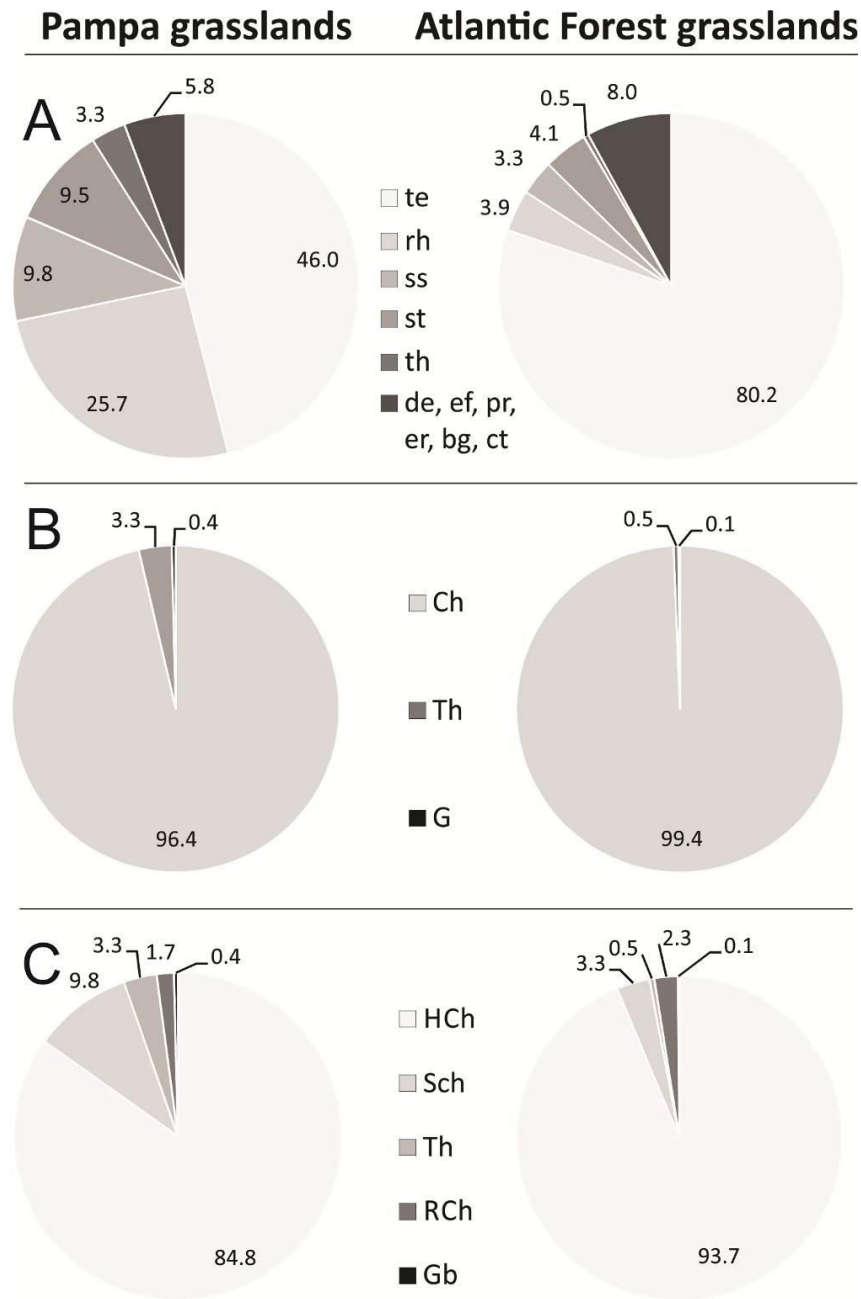


Figure 3. Ordination diagrams of averaged cover values of life form categories from different classification systems (A-D) as descriptors of sampling areas. Two levels of the proposed classification are shown ('D' and 'C'; see Table 1 for details). Legend: P = Pampa sites, A = Atlantic Forest sites, Th = therophytes, G = geophytes, Ch = chamaephytes, Ph = phanerophytes, Gb = bulbous geophytes, Gr = rhizomatous geophytes, HCh = herbaceous chamaephytes, RCh = reptant herbaceous chamaephytes, SCh = suffrutescent chamaephytes, FCh = frutescent chamaephytes. See legends for life form categories from the new classification in Table 1.

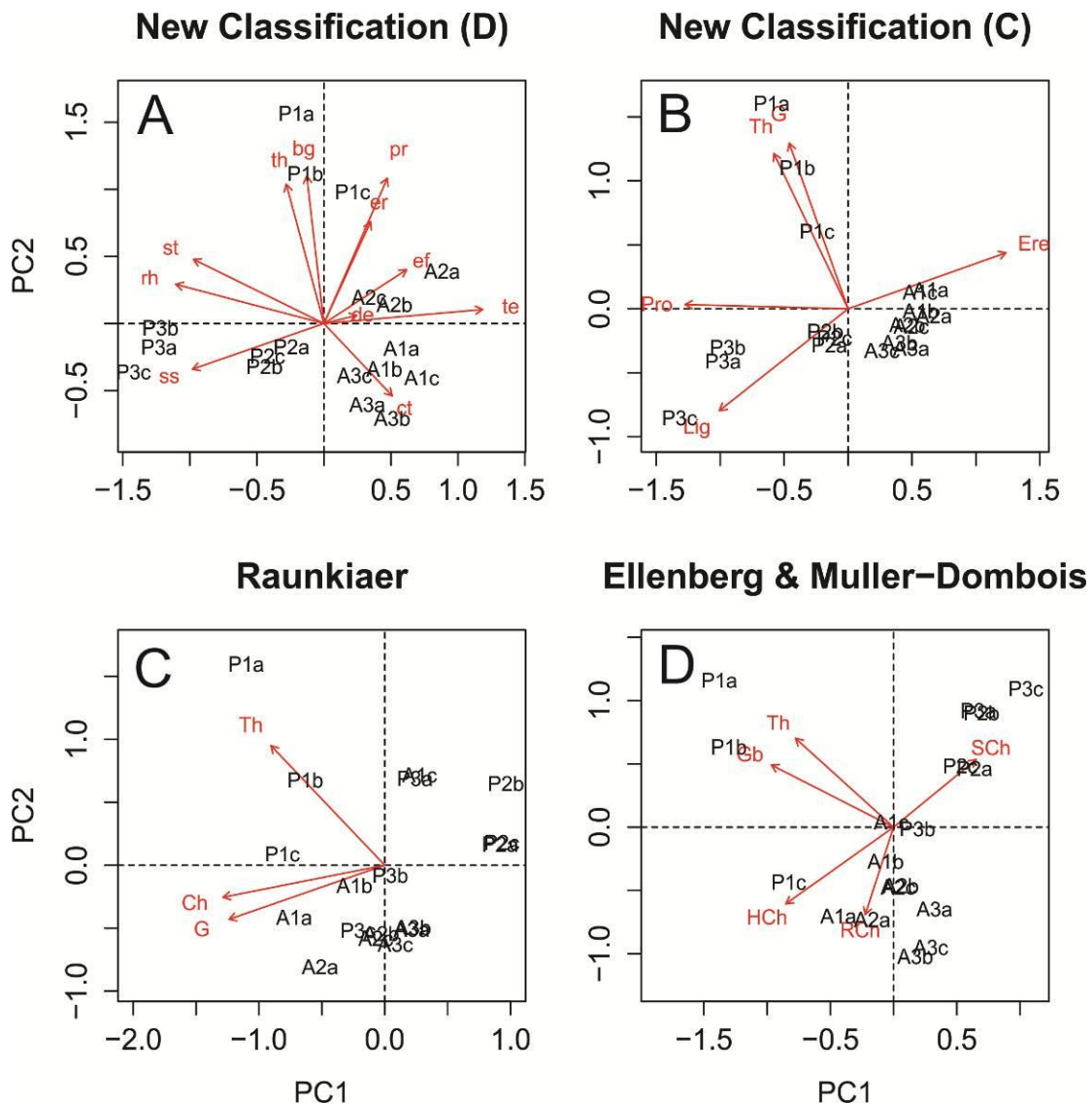
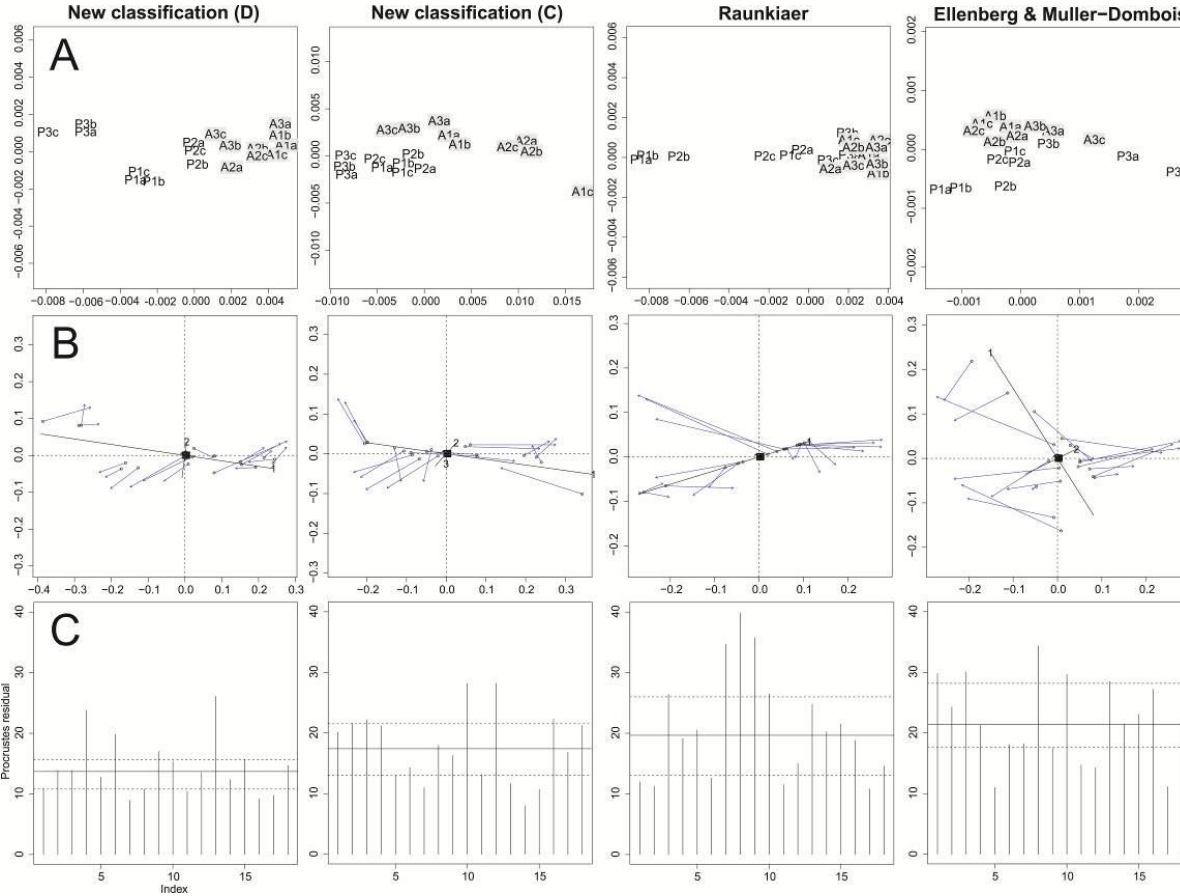


Figure 4. Procrustes analyses comparing ordinations of a species-described matrix of 18 grassland sampling paddocks with matrices weighted by life form categories from different classification systems. A. Ordinations of matrices weighted by life form categories. B. Procrustes rotation of ordination axes, with original and target locations of sampling units. C. Procrustes errors. P = sites from the Pampa biome; A = sites from the Atlantic Forest biome (also shaded in light gray).



## electronic supplementary material

ESM 1 - Life form categories for the 193 grassland plant species used in the analyses. te = solitary evergreen tussocks; st = stoloniferous evergreens; rh = rhizomatous evergreens; ss = evergreen subshrubs; de = decumbent evergreens; ef = evergreen forbs; ct = connected evergreen tussocks; pr = prostrate rosette evergreens; er = erect rosette evergreens; th = therophytes; bg = bulbous geophytes; sh = evergreen shrubs

Family	Species	Author	Life form
Acanthaceae	<i>Stenandrium diphyllum</i>	Nees	pr
Amaranthaceae	<i>Pfaffia tuberosa</i>	Hicken	ef
Apiaceae	<i>Cyclospermum leptophyllum</i>	(Pers.) Sprague ex Britton & P. Wilson	th
Apiaceae	<i>Eryngium ebracteatum</i>	Lam.	pr
Apiaceae	<i>Eryngium echinatum</i>	Urb.	er
Apiaceae	<i>Eryngium horridum</i>	Malme	er
Apiaceae	<i>Eryngium nudicaule</i>	Lam.	pr
Araliaceae	<i>Hydrocotyle exigua</i>	Malme	st
Asteraceae	<i>Achyrocline satureioides</i>	(Lam.) DC.	ef
Asteraceae	<i>Acmella bellidioides</i>	(Smith in Rees) R.K. Jansen	ef
Asteraceae	<i>Aster squamatus</i>	(Spreng.) Hieron.	ss
Asteraceae	<i>Baccharis coridifolia</i>	DC.	ss
Asteraceae	<i>Baccharis dracunculifolia</i>	DC.	sh
Asteraceae	<i>Baccharis genistelloides</i>	(Lam.) Pers.	ss
Asteraceae	<i>Baccharis pentodonta</i>	Malme	ss
Asteraceae	<i>Baccharis riograndensis</i>	I.L. Teodoro & J.E. Vidal	ss
Asteraceae	<i>Baccharis subtropicalis</i>	G. Heiden	ss
Asteraceae	<i>Baccharis tridentata</i>	Vahl	ss
Asteraceae	<i>Calyptocarpus biaristatus</i>	(DC.) H. Rob.	th
Asteraceae	<i>Chaptalia exscapa</i>	(Pers.) Baker	pr
Asteraceae	<i>Chaptalia integerrima</i>	(Vell.) Burkart	pr
Asteraceae	<i>Chaptalia piloselloides</i>	(Vahl) Baker	pr
Asteraceae	<i>Chaptalia runcinata</i>	Kunth	pr
Asteraceae	<i>Chevreulia acuminata</i>	Less.	de
Asteraceae	<i>Chevreulia revoluta</i>	A.A. Schneid. & R. Trevis.	ef
Asteraceae	<i>Chevreulia sarmentosa</i>	(Pers.) S.F. Blake	st
Asteraceae	<i>Conyza bonariensis</i>	(L.) Cronquist	th
Asteraceae	<i>Elephantopus mollis</i>	Kunth	ef
Asteraceae	<i>Erigeron primulifolium</i>	(Lam.) Greuter	th
Asteraceae	<i>Eupatorium squarulosum</i>	Hook. & Arn.	ef
Asteraceae	<i>Gamochaeta americana</i>	(Mill.) Wedd.	ef
Asteraceae	<i>Hypochaeris catharinensis</i>	Cabrera	pr
Asteraceae	<i>Lucilia linearifolia</i>	Baker	ef

Family	Species	Author	Life form
Asteraceae	<i>Noticastrum decumbens</i>	(Baker) Cuatrec.	de
Asteraceae	<i>Panphalea araucariophila</i>	Cabrera	th
Asteraceae	<i>Panphalea heterophylla</i>	Less.	th
Asteraceae	<i>Pterocaulon virgatum</i>	(L.) DC.	ef
Asteraceae	<i>Senecio heterotrichius</i>	DC.	ss
Asteraceae	<i>Senecio madagascariensis</i>	Poir.	th
Asteraceae	<i>Soliva sessilis</i>	Ruiz & Pav.	th
Asteraceae	<i>Trichocline catharinensis</i>	Cabrera	pr
Campanulaceae	<i>Wahlenbergia linarioides</i>	(Lam.) A. DC.	ef
Caryophyllaceae	<i>Cerastium glomeratum</i>	Thuill.	th
Caryophyllaceae	<i>Spergularia</i> sp.	-	th
Convolvulaceae	<i>Dichondra macrocalyx</i>	Meisn.	st
Convolvulaceae	<i>Dichondra sericea</i>	Sw.	st
Convolvulaceae	<i>Evolvulus sericeus</i>	Sw.	de
Cyperaceae	<i>Bulbostylis capillaris</i>	(L.) C.B. Clarke	te
Cyperaceae	<i>Bulbostylis juncooides</i>	(Vahl) Kük. ex Osten	te
Cyperaceae	<i>Bulbostylis</i> sp.	Kunth	te
Cyperaceae	<i>Bulbostylis sphaerocephala</i>	(Boeckeler) C.B. Clarke	te
Cyperaceae	<i>Carex bonariensis</i>	Desf. ex Poir.	te
Cyperaceae	<i>Carex phalaroides</i>	Kunth	ct
Cyperaceae	<i>Carex sororia</i>	Kunth	te
Cyperaceae	<i>Cyperaceae</i> sp.	-	te
Cyperaceae	<i>Cyperus aggregatus</i>	(Willd.) Endl.	te
Cyperaceae	<i>Cyperus hermaphroditus</i>	(Jacq.) Standl.	te
Cyperaceae	<i>Cyperus reflexus</i>	Vahl	te
Cyperaceae	<i>Eleocharis dunensis</i>	Kük.	ct
Cyperaceae	<i>Eleocharis nudipes</i>	(Kunth) H. Pfeiff.	te
Cyperaceae	<i>Eleocharis viridans</i>	Kük. ex Osten	ct
Cyperaceae	<i>Fimbristylis dichotoma</i>	(L.) Vahl	te
Cyperaceae	<i>Kyllinga odorata</i>	Vahl	te
Cyperaceae	<i>Kyllinga vaginata</i>	Lam.	ct
Cyperaceae	<i>Lipocarpa humboldtiana</i>	Nees	te
Cyperaceae	<i>Rhynchospora barrosiana</i>	Guagl.	te
Cyperaceae	<i>Rhynchospora emaciata</i>	(Nees) Boeckeler	ct
Cyperaceae	<i>Rhynchospora flexuosa</i>	C.B. Clarke	te
Cyperaceae	<i>Rhynchospora megapotamica</i>	(Spreng.) H. Pfeiff.	st
Cyperaceae	<i>Rhynchospora</i> sp.	-	te
Cyperaceae	<i>Rhynchospora tenuis</i>	Willd. ex Link	ct
Cyperaceae	<i>Scleria distans</i>	Poir.	ct
Euphorbiaceae	<i>Euphorbia selloi</i>	(Klotzsch & Garcke) Boiss.	de



Family	Species	Author	Life form
Fabaceae	<i>Aeschynomene falcata</i>	(Poir.) DC.	de
Fabaceae	<i>Crotalaria hilariana</i>	Benth.	ef
Fabaceae	<i>Desmanthus tatushyensis</i>	Hoehne	ss
Fabaceae	<i>Desmanthus virgatus</i>	(L.) Willd.	ss
Fabaceae	<i>Desmodium incanum</i>	(Sw.) DC.	st
Fabaceae	<i>Galactia marginalis</i>	Benth.	ss
Fabaceae	<i>Galactia neesii</i>	DC.	ss
Fabaceae	<i>Macroptilium gibbosifolium</i>	(Ortega) A. Delgado	st
Fabaceae	<i>Macroptilium prostratum</i>	(Benth.) Urb.	de
Fabaceae	<i>Rhynchosia corylifolia</i>	Mart. ex Benth.	de
Fabaceae	<i>Stylosanthes montevidensis</i>	Vogel	ef
Fabaceae	<i>Trifolium polymorphum</i>	Poir.	st
Fabaceae	<i>Trifolium riograndense</i>	Burkart	st
Hypoxidaceae	<i>Hypoxis decumbens</i>	L.	bg
Iridaceae	<i>Herbertia lahue</i>	(Molina) Goldblatt	bg
Iridaceae	<i>Sisyrinchium micranthum</i>	Cav.	th
Iridaceae	<i>Sisyrinchium palmifolium</i>	L.	ef
Iridaceae	<i>Sisyrinchium platense</i>	I.M. Johnst.	ef
Juncaceae	<i>Juncus capillaceus</i>	Lam.	te
Juncaceae	<i>Juncus dichotomus</i>	Elliott	te
Juncaceae	<i>Juncus microcephalus</i>	Kunth	te
Juncaceae	<i>Juncus tenuis</i>	Willd.	te
Lamiaceae	<i>Cunila galioides</i>	Benth.	ss
Lamiaceae	<i>Scutellaria racemosa</i>	Pers.	ef
Malvaceae	<i>Ayenia mansfeldiana</i>	(Herter) Herter ex Cristóbal	ef
Melastomataceae	<i>Acisanthera alsinaefolia</i>	(DC.) Triana	ef
Melastomataceae	<i>Tibouchina gracilis</i>	(Bonpl.) Cogn.	ef
Myrtaceae	<i>Campomanesia aurea</i>	O. Berg	sh
Orobanchaceae	<i>Agalinis communis</i>	(Cham. & Schltld.) D'Arcy	th
Oxalidaceae	<i>Oxalis brasiliensis</i>	G. Lodd.	bg
Oxalidaceae	<i>Oxalis eriocarpa</i>	DC.	st
Oxalidaceae	<i>Oxalis lasiopetala</i>	Zucc.	bg
Plantaginaceae	<i>Plantago australis</i>	Lam.	pr
Plantaginaceae	<i>Plantago myosuros</i>	Lam.	th
Plantaginaceae	<i>Plantago penantha</i>	Griseb.	th
Plantaginaceae	<i>Scoparia dulcis</i>	L.	ss
Poaceae	<i>Agrostis hygrometrica</i>	Nees	th
Poaceae	<i>Agrostis montevidensis</i>	Spreng. ex Nees	th
Poaceae	<i>Andropogon lateralis</i>	Nees	te
Poaceae	<i>Andropogon macrothrix</i>	Trin.	te

Family	Species	Author	Life form
Poaceae	<i>Andropogon ternatus</i>	(Spreng.) Nees	te
Poaceae	<i>Aristida flaccida</i>	Trin. & Rupr.	te
Poaceae	<i>Aristida murina</i>	Cav.	te
Poaceae	<i>Aristida uruguayensis</i>	Henrard	te
Poaceae	<i>Aristida venustula</i>	Arechav.	te
Poaceae	<i>Axonopus affinis</i>	Chase	st
Poaceae	<i>Axonopus argentinus</i>	Parodi	te
Poaceae	<i>Axonopus compressus</i>	(Sw.) P. Beauv.	st
Poaceae	<i>Axonopus fissifolius</i>	(Raddi) Kuhlm.	st
Poaceae	<i>Axonopus siccus</i>	(Nees) Kuhlm.	te
Poaceae	<i>Axonopus suffultus</i>	(Mikan ex Trin.) Parodi	te
Poaceae	<i>Bothriochloa laguroides</i>	(DC.) Herter	te
Poaceae	<i>Briza minor</i>	L.	th
Poaceae	<i>Briza uniolae</i>	(Nees) Nees ex Steud.	te
Poaceae	<i>Chascolytrum poomorphum</i>	(J. Presl) L. Essi, Longhi-Wagner & Souza-Chies	te
Poaceae	<i>Chascolytrum subaristatum</i>	(Lam.) Desv.	te
Poaceae	<i>Chloris grandiflora</i>	Roseng. & Izag.	te
Poaceae	<i>Cynodon dactylon</i>	(L.) Pers.	st
Poaceae	<i>Danthonia cirrata</i>	Hack. & Arechav.	te
Poaceae	<i>Danthonia secundiflora</i>	J. Presl	te
Poaceae	<i>Dichantherium sabulorum</i>	(Lam.) Gould & C.A. Clark	de
Poaceae	<i>Eragrostis airoides</i>	Nees	te
Poaceae	<i>Eragrostis neesii</i>	Trin.	th
Poaceae	<i>Eragrostis polytricha</i>	Nees	te
Poaceae	<i>Eustachys brevipila</i>	(Roseng. & Izag.) Caro & E.A. Sánchez	te
Poaceae	<i>Melica eremophila</i>	Torres	te
Poaceae	<i>Melica rigida</i>	Cav.	te
Poaceae	<i>Microchloa indica</i>	(L. f.) P. Beauv.	te
Poaceae	<i>Mnesithea selloana</i>	(Hack.) de Koning & Sosef	te
Poaceae	<i>Paspalum almum</i>	Chase	te
Poaceae	<i>Paspalum compressifolium</i>	Swallen	te
Poaceae	<i>Paspalum dilatatum</i>	Poir.	te
Poaceae	<i>Paspalum leptum</i>	Schult.	rh
Poaceae	<i>Paspalum maculosum</i>	Trin.	te
Poaceae	<i>Paspalum notatum</i>	Alain ex Flügge	rh
Poaceae	<i>Paspalum plicatum</i>	Michx.	te
Poaceae	<i>Paspalum polyphyllum</i>	Nees ex Trin.	te
Poaceae	<i>Paspalum pumilum</i>	Nees	rh
Poaceae	<i>Piptochaetium lasianthum</i>	Griseb.	te
Poaceae	<i>Piptochaetium montevidense</i>	(Spreng.) Parodi	te

Family	Species	Author	Life form
Poaceae	<i>Piptochaetium stipoides</i>	(Trin. & Rupr.) Hack. ex Arechav.	te
Poaceae	<i>Saccharum angustifolium</i>	(Nees) Trin.	te
Poaceae	<i>Saccharum</i> sp.	-	te
Poaceae	<i>Sacciolepis vilvoides</i>	(Trin.) Chase	te
Poaceae	<i>Schizachyrium condensatum</i>	(Kunth) Nees	te
Poaceae	<i>Schizachyrium hatschbachii</i>	Peichoto	te
Poaceae	<i>Schizachyrium microstachyum</i>	(Desv. ex Ham.) Roseng., B.R. Arrill. & Izag.	te
Poaceae	<i>Schizachyrium spicatum</i>	(Spreng.) Herter	te
Poaceae	<i>Schizachyrium tenerum</i>	Nees	te
Poaceae	<i>Setaria fiebrigii</i>	R.A.W. Herrm.	te
Poaceae	<i>Setaria parviflora</i>	(Poir.) Kerguélen	te
Poaceae	<i>Sorghastrum</i> sp.	-	te
Poaceae	<i>Sporobolus indicus</i>	(L.) R. Br.	te
Poaceae	<i>Sporobolus monandrus</i>	Roseng., B.R. Arrill. & Izag.	te
Poaceae	<i>Steinchisma decipiens</i>	(Nees ex Trin.) W.V. Br.	te
Poaceae	<i>Steinchisma hians</i>	(Elliott) Nash	te
Poaceae	<i>Stipa filiculmis</i>	Delile	te
Poaceae	<i>Stipa juergensii</i>	Hack.	te
Poaceae	<i>Stipa setigera</i>	J. Presl	te
Poaceae	<i>Stipa</i> sp.	-	te
Poaceae	<i>Stipa tenuiculmis</i>	Hack.	te
Poaceae	<i>Trachypogon montufari</i>	(Kunth) Nees	te
Poaceae	<i>Trachypogon montufarii var. mollis</i>	(Nees) Andersson	te
Poaceae	<i>Vulpia bromoides</i>	(L.) Gray	th
Polygalaceae	<i>Polygala linoides</i>	Poir.	th
Polygalaceae	<i>Polygala molluginifolia</i>	A. St.-Hil. & Moq.	ef
Rosaceae	<i>Acaena eupatoria</i>	Cham. & Schltld.	de
Rubiaceae	<i>Borreria eryngioides</i>	Cham. & Schltld.	ef
Rubiaceae	<i>Galium humile</i>	Cham. & Schltld.	de
Rubiaceae	<i>Galium richardianum</i>	(Gillies ex Hook. & Arn.) Endl. ex Walp.	de
Rubiaceae	<i>Richardia humistrata</i>	(Cham. & Schltld.) Steud.	st
Rubiaceae	<i>Richardia stellaris</i>	(Cham. & Schltld.) Steud.	de
Rubiaceae	<i>Spermacoce verticillata</i>	L.	ef
Selaginellaceae	<i>Selaginella</i> sp.	-	de
Solanaceae	<i>Nierembergia riograndensis</i>	Hunz. & A.A. Cocucci	ss
Solanaceae	<i>Solanum atropurpureum</i>	Schrank	ss
Verbenaceae	<i>Glandularia selloi</i>	(Spreng.) Tronc.	st
Verbenaceae	<i>Lippia angustifolia</i>	Cham.	ss
Verbenaceae	<i>Phyla nodiflora</i>	(L.) Greene	st
Verbenaceae	<i>Verbena montevidensis</i>	Spreng.	ss

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**Exploring the relationships between habitat structure and arthropod diversity in South Brazilian grasslands: a functional perspective**

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**Exploring the relationships between habitat structure and arthropod diversity in South  
Brazilian grasslands: a functional perspective**

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## **Abstract**

Habitat structure is one of the major factors shaping biodiversity patterns, and plays a central role in ecological theory. Plant species define habitat structure in most ecosystems, and structurally complex habitats provide more niches and different ways of using resources, ultimately increasing diversity at multiple taxonomic levels. In grasslands, habitat structure is strongly influenced by disturbance regimes of fire and grazing. Diversity patterns of grassland arthropods are determined by plant diversity and structural architecture, although empirical data supporting this relationship is limited for South American subtropical ecosystems. In this paper we explored the relationships between plant richness and diversity, habitat structure, and arthropod communities in six grassland sites under different grazing pressures from southern Brazil. We used four major groups of arthropod (Coleoptera, Araneae, Hemiptera and Formicidae) and vegetation height and plant functional diversity based on life form traits as descriptors of habitat structure. We searched for relationships between habitat structure and (1) plant species richness and diversity and (2) arthropod richness and diversity. Also, we explored the relationship between functional traits of arthropods and plants and estimate the magnitude of co-variation between community-weighted mean traits (CWM) using co-inertia analysis. Vegetation vertical structure and grazing pressure were, as expected, correlated. Sites under lower grazing pressure showed decreased habitat heterogeneity and plant richness and diversity. Plant diversity indexes and vegetation height were negatively correlated. Richness of arthropod orders was positively correlated to plant diversity and functional diversity. Co-inertia analyses showed a significant association between matrices with CWM traits of plants and all arthropod groups. The association was stronger in Coleoptera and Araneae and weaker in Hemiptera and Formicidae. Differences in patterns of association are related to different relationships between habitat structure and arthropod community in each group. Also, we found strong and group-specific pairwise correlations between arthropod and plant traits, providing further evidence that each group of arthropod responds differently to vegetation structure. Our results provided further evidence supporting the close relationship between grazing and grassland vegetation structure and diversity patterns. Grazing promotes habitat heterogeneity, which in turn influences diversity patterns of arthropods. Our results may guide future research focused on specific arthropod groups in subtropical grasslands.

**Keywords:** subtropical grasslands, plant life forms, co-inertia analysis, land management, disturbance, grazing

## 1 **Introduction**

2

3           Habitat structure is one of the factors that define biodiversity patterns in most ecosystems,  
4 and plays a central role in ecological theory (e.g., MacArthur, R. H. 1967, Simpson, E. H. 1949).  
5 According to the habitat heterogeneity hypothesis, habitats with complex structures provide more  
6 niches and different ways of using resources, ultimately increasing diversity (Bazzaz, F. 1975).  
7 Plant communities determine habitat structure in most terrestrial ecosystems, and as a result affect  
8 patterns of distribution and interaction of animal species (McCoy, E. and Bell, S. 1991, Tews, J. et  
9 al. 2004). Although a positive correlation between habitat heterogeneity and animal species  
10 diversity has been repeatedly found in many ecosystems and for different taxa, actual empirical  
11 support for this relationship is strongly biased towards vertebrate groups and non-natural habitats  
12 (review in Tews, J. et al. 2004). Moreover, the influence of habitat structure on animals is mostly  
13 evaluated on the basis of animal species richness and diversity, whereas functional aspects are often  
14 ignored (but see Podgaiski, L. R. et al. 2013). Understanding trait-environment links is a key step to  
15 determine community assembly in ecosystems, which has been shown to be important in studies on  
16 the animal response to habitat change after disturbances (Vandewalle, M. et al. 2010).

17           In grasslands, habitat structure is strongly influenced by disturbance regimes of fire and  
18 grazing, which in fact are the evolutionary drivers and maintainers of these ecosystems (Behling, H.  
19 et al. 2004, Bond, W. J. and Keeley, J. E. 2005, Knapp, A. K. et al. 1998, Milchunas, D. et al.  
20 1988). Despite the apparent structural simplicity at first glance, grasslands can show high habitat  
21 heterogeneity, arising not only from disturbance (Fuhlendorf, S. D. and Engle, D. M. 2001, Kruess,  
22 A. and Tschardtke, T. 2002, Wardle, D. A. et al. 2005), but also because they can show a vast array  
23 of life forms and high species richness. Although grasses are responsible for ca. 80% of the  
24 aboveground biomass of grasslands, forbs and other life forms make up ca. 80% of the total plant  
25 species richness in these systems (Knapp, A. K. et al. 1998). Fire and grazing promote habitat  
26 heterogeneity by creating patches under different levels of disturbance and consequently selecting



1 plant species that share similar traits (including structural traits that can be considered in life form  
2 classifications) compatible with each condition (Bond, W. et al. 2005, Diaz, S. et al. 2007, Grime, J.  
3 P. 2006). Although the heterogeneity promoted by filtering mechanisms is scale-dependent, any  
4 change in plant community structure will also have consequences for the diversity of coexisting  
5 animal species, which in turn may feedback to ecosystem function (Loreau, M. et al. 2002, Tews, J.  
6 et al. 2004).

7       Especially patterns of arthropod diversity in grassland ecosystem are, to a large extent,  
8 dependent on the plant diversity and structural architecture (review in Joern, A. and Laws, A. N.  
9 2013). Arthropods comprise a large portion of grassland diversity (Fay, P. A. 2003, Tschardtke, T.  
10 and Greiler, H.-J. 1995) and also play key roles on ecosystem functioning (Belovsky, G. and Slade,  
11 J. 2000, Meyer, C. et al. 2002, Whiles, M. R. and Charlton, R. E. 2006). However, the bulk of  
12 evidence that links grassland habitat structure and arthropod biodiversity is mostly from data  
13 obtained in North American, Australian and European ecosystems, and is usually focused on a very  
14 specific taxonomic group or guild of organisms (Joern, A. and Laws, A. N. 2013 and references  
15 therein, Morris, M. 2000). Arthropods from natural grasslands of South America are considered  
16 highly diverse and abundant, but they remain extremely poorly documented and there is still a lack  
17 of knowledge regarding their role in ecosystem processes and functioning.

18       This study uses four major arthropod groups (Coleoptera, Araneae, Hemiptera and  
19 Formicidae) as model groups to explore the relationship between plant richness and diversity,  
20 habitat structure, and arthropod communities in grasslands from southern Brazil. We use vegetation  
21 height and plant functional diversity based on life form traits as descriptors of habitat structure. We  
22 search for relationships between habitat structure and (1) plant species richness and diversity and  
23 (2) arthropod richness and diversity. We also search for relationships between species and  
24 functional diversities in both trophic groups. Finally, we explore the relationship between functional  
25 traits of arthropods and plants and estimate the magnitude of co-variation between community-  
26 weighted mean traits using co-inertia analysis. We expect each group to respond differently to

1 variation on habitat structure, due to contrasting overall habit and feeding behavior (Perner, J. et al.  
2 2005).

3

#### 4 **Material and Methods**

5

##### 6 *Study area*

7

8 We carried out vegetation and arthropod sampling at five natural grassland sites in Rio Grande do  
9 Sul, Southern Brazil. Details on site locations and description of grassland vegetation can be found  
10 in Ferreira et al. (unpublished [Capítulo 1]). Grassland ecosystems in this region, known as  
11 *Campos*, are inserted in a transitional zone between tropical and temperate climates. Mean  
12 temperatures range from 9°C in winter to 25°C in summer and mean annual rainfall is ca. 1,440 mm  
13 mm (Moreno, J. A. 1961). Although it is assumed that climate in the region has no pronounced dry  
14 season (Cfa and Cfb in Köppen's system), there is a high probability of soil water deficit during the  
15 peak of summer in the Pampa biome, especially near the Brazilian border with Uruguay (Leivas, J.  
16 F. et al. 2006). Grasslands at all sites have been under grazing for many years, with no known  
17 record of land conversion. Prior to the surveys we estimated grazing pressure at each site in animal  
18 units (AU; corresponding to 450 kg of live weight) per hectare. Grazing pressure in each site was as  
19 follow: site 1 = 1.05, site 2 = 0.9, site 3 = 0.6, site 4 = 0.85 and site 5 = 0.45 AU/ha. *Campos*  
20 grasslands are relict ecosystems which covered larger areas in South America in past colder and  
21 dryer climates (Behling, H. and Pillar, V. 2008). Present climatic conditions favor forest expansion  
22 over grasslands, and this process is kept at bay by fire and grazing disturbances, which in fact  
23 maintain grassland physiognomy, structure and diversity (Overbeck, G. E. et al. 2005, Overbeck, G.  
24 E. and Pfadenhauer, J. 2007). The Brazilian Campos are the northernmost part of a larger formation  
25 known as the Rio de la Plata Grasslands, which cover ca. 750,000 km<sup>2</sup> in Argentina, Uruguay and

1 Southern Brazil (Soriano, A. et al. 1992) and is known for its species richness, ecological relevance  
2 and high conservation potential (Bilenca, D. and Miñarro, F. 2004, Overbeck et al. 2007).

3

#### 4 *Plant and arthropod sampling*

5

6 At each site, we delimited, in 2010, three permanent paddocks of 0.5 ha (70 x 70 m), which  
7 resulted in 15 paddocks across five sites. This sampling layout was designed for an ongoing long-  
8 term ecological research (PELD Campos Sulinos; CNPq 558282/2009-1). We sampled plants and  
9 arthropods within each paddock, and used average values per paddock for most analyses (see  
10 below).

11 Plant sampling took place in late 2010 and early 2011. Within each paddock, we sampled  
12 plant species in nine 1m<sup>2</sup> plots, systematically allocated in a 3x3 grid with 17 m between plots (135  
13 plots in total). We sampled all plant species present in each plot, and estimated their cover using a  
14 decimal scale (Londo, G. 1976). We categorized species in life form categories (Table 1) according  
15 to the classification for subtropical grasslands proposed by Ferreira et al. (unpublished [Capítulo  
16 2]). In this classification, plant life forms are based on characteristics such as habit, architecture and  
17 strategy of horizontal occupation, and ultimately describe vegetation horizontal (and to some degree  
18 vertical) structural heterogeneity. We measured vegetation height in five points per plot as a  
19 descriptor of vegetation vertical structure and heterogeneity (675 measurements in total). We also  
20 estimated cover of bare soil, litter, rock outcrops and overall vegetation cover in each plot.

21 Arthropod community was sampled in each paddock using a sweep net (50 cm large; 0.1  
22 m<sup>2</sup>). We swept the grassland vegetation along four transections equally distributed inside the  
23 paddocks. The organisms were preserved in alcohol 80% and sorted in major taxonomical groups.  
24 We described each of the focal study groups (Hemiptera, Araneae, Formicidae and Coleoptera) in  
25 morphological functional traits, which were measured under stereomicroscope with ocular  
26 micrometer. Also, we assigned each group/individual to generalized feeding habits. However, each

1 group presented peculiarities concerning taxonomical identification and functional trait description.  
2 We provide the full description of functional traits in Table 1.

3 Formicidae were identified in genus and morphospecies. Morphological traits (body,  
4 relative leg and relative eye size) were measured in up to three individuals of each morphospecies in  
5 each paddock. We always selected the smaller individuals, and used the mean of these  
6 measurements as the value for each species in each paddock. We selected these morphological traits  
7 based on Bihn, J. H. et al. (2010). As a proxy for ant body size we measured head length, taking  
8 into account the strong correlation between both structures (Kaspari, M. and Weiser, M. 1999).  
9 Relative leg and eye size represented respectively the ratio of leg length (femur and tibia combined)  
10 and eye length to head length. Ant feeding behavior information (generalist, predator or leaf cutter)  
11 was obtained for all species based on genus level (Brandão, C. R. F. et al. 2012).

12 Coleoptera was identified in family and morphospecies. Morphological traits (body, relative  
13 leg and relative elytron size) were obtained from up to three randomly selected individuals from  
14 each morphospecies in each paddock, and then we used the average of these values per species per  
15 paddock. The pronotum length was used as a proxy for beetle body size, and for relative leg and  
16 elytron size we used respectively the ratio of tibia and elytron length to pronotum length. Beetle  
17 feeding habits (herbivore or predator) were assigned according to family affiliation (Marinoni, R. et  
18 al. 2001).

19 Spiders were identified solely in families, and all sampled individuals from each paddock  
20 had morphological traits (body and relative chelicerae size) measured. The body size was described  
21 in terms of the cephalothorax area (cephalothorax length x width), and the relative chelicerae size  
22 represented the ratio of chelicerae length to cephalothorax length. Spiders are exclusive predators,  
23 and we registered their behavior considering the construction of prey-capture web (web builders or  
24 hunters) based on family affiliation (Dias, S. C. et al. 2009).

25 Finally, Hemiptera was classified in family, and also all sampled individuals were measured  
26 in morphological traits (body and relative stylet size). Body size was estimated based on complete

1 individual length (excluding wings), and relative stylet size represented the ratio between stylet  
2 length and body length. Based on family affiliation, all sampled hemipterans were considered plant-  
3 sucking herbivores.

4 We assumed the selected morphological traits to be related, in each arthropod group, to  
5 their adaptation to the environment, to the relationships with habitat structure and to resource use.  
6 Body size is considerate the most fundamental trait of an animal and is usually correlated with life  
7 history and physiological and ecological proprieties (e.g., Cushman, J. H. et al. 1993). Relative leg  
8 size in ants and beetles may be related to efficiency in locomotion, resource acquisition and may  
9 allow or prevent the use of specific microhabitats (Kaspari, M. and Weiser, M. 1999, Wiescher, P.  
10 T. et al. 2012). Relative eye size in ants is an important trait related to foraging (Bihn, J. H. et al.  
11 2010). Relative elytra size in beetles has been related to dispersal ability (Barton, P. S. et al. 2011).  
12 Relative chelicerae size in spiders may be related to prey size and foraging (Podgaiski, L. R. et al.  
13 2013) and finally, stylet size in hemipterans is related to sucking efficiency (Grimaldi, D. 2005).  
14 The feeding behavioral guilds, and hunting strategies in case of spiders, includes the organisms  
15 using the same class of resources, thus reflecting their direct connection with the habitat.

16

### 17 *Data analysis*

18

19 Our analyses aimed to (a) search for relationships between vegetation vertical structure,  
20 patterns of plant diversity and richness and the arthropod community; (b) estimate the magnitude  
21 and significance of the co-variation between plant and arthropod traits and (c) evaluate the role of  
22 habitat structure (based on vertical heterogeneity and plant life forms) over four abundant arthropod  
23 groups, as well as possible differences among groups regarding this role.

24 We organized the data in matrices containing community information (matrices **W** of  
25 species or individuals by paddocks) and functional information (matrices **B** of species or individuals  
26 by traits). Arthropod data was summarized in two matrices for each group: **W<sub>H</sub>** and **B<sub>H</sub>** for

1 Hemiptera,  $\mathbf{W}_A$  and  $\mathbf{B}_A$  for Araneae,  $\mathbf{W}_F$  and  $\mathbf{B}_F$  for Formicidae and  $\mathbf{W}_C$  and  $\mathbf{B}_C$  for Coleoptera.  
2 Coleoptera and Formicidae matrices contained information of morpho-species, whereas Araneae  
3 and Hemiptera matrices contained information of individuals. Matrices  $\mathbf{W}_H$  and  $\mathbf{W}_A$  are binary,  
4 whereas matrices  $\mathbf{W}_C$  and  $\mathbf{W}_A$  contain abundance information (see Table 1 for information on traits  
5 describing each matrix  $\mathbf{B}$ ). Plant data comprised two matrices:  $\mathbf{W}_P$  (paddocks described by plant  
6 species average cover values) and  $\mathbf{B}_P$  (plant species described by life form categories; see Table 1).  
7 All matrices  $\mathbf{W}$  were standardized to unit total.

8         Using these matrices we calculated community weighted mean traits (CWM; see Podgaiski,  
9 L. R. et al. 2013 for details) for each paddock. We generated five matrices  $\mathbf{T}$  with CWM trait values  
10 (one for each group of arthropod and one for plants) by matrix multiplication  $\mathbf{T} = \mathbf{WB}$  (Pillar, V. D.  
11 et al. 2009), where  $\mathbf{W}$  and  $\mathbf{B}$  were used according to each taxonomic group. The resulting matrices  
12 were  $\mathbf{T}_P$  of plant CWM traits and matrices  $\mathbf{T}_C$ ,  $\mathbf{T}_F$ ,  $\mathbf{T}_A$  and  $\mathbf{T}_H$  of Coleoptera, Formicidae, Araneae  
13 and Hemiptera CWM traits, respectively. In cases when a given group of arthropods was absent  
14 from a paddock, the vector corresponding to that paddock was also removed from plant matrices  
15  $\mathbf{W}_P$  and  $\mathbf{B}_P$  in order to obtain matrices  $\mathbf{T}$  with compatible dimensions for both taxonomic groups (to  
16 enable co-inertia analysis; see below).

17         We calculated species diversity using Simpson's 1-D (Magurran, A. E. and McGill, B. J.  
18 2011) and functional diversity using Rao's quadratic entropy (Botta-Dukát, Z. 2005) for plants and  
19 arthropods (for each order separately; species diversity only for Ants and Coleoptera). Rao's index  
20 of functional diversity contains information on the extent of trait dissimilarity among taxa in a given  
21 community weighted by their relative abundance. Since plant traits consisted on life form  
22 categories, we interpreted plant functional diversity as a measure of habitat heterogeneity. We  
23 searched for associations between variables using pairwise correlation analysis with permutation  
24 and linear models. Pairwise correlation between vectors from matrices with CWM trait values was  
25 also tested to search for relationships between arthropod and plant traits. Possible associations  
26 between plant richness, diversity and functional diversity were tested at three different levels (area,

1 paddock and plot), whereas all other associations were tested at the paddock level. We also  
2 searched for relationships between management intensity (grazing pressure) and vegetation  
3 structure and diversity. Finally, we calculated plant diversity using multiple diversity indexes  
4 (Simpson's D, Shannon, Evenness, Brillouin, Menhinick, Margalef, Equitability, Fischer-alpha and  
5 Berger-Parker; Magurran, A. E. and McGill, B. J. 2011) and estimated the correlation of each index  
6 with vegetation structure parameters and richness of arthropod orders. We only discussed  
7 significant correlations ( $P < 0.05$ ), and only presented P values when they were lower than 0.01.

8 We used co-inertia analysis to further explore the relationship between functional traits of  
9 arthropods and plants (Dolédec, S. and Chessel, D. 1994). We tested the significance and estimated  
10 the magnitude of co-variation between matrix  $\mathbf{T}_P$  of plant CWM traits and matrices  $\mathbf{T}_C$ ,  $\mathbf{T}_F$ ,  $\mathbf{T}_A$  and  
11  $\mathbf{T}_H$  of arthropod CWM traits. In the next step we carried out Principal Coordinate Analyses (PCA)  
12 with all matrices  $\mathbf{T}$  and reduced their dimensionality by selecting the principal axes. We maximized  
13 the concordance between each pair of matrices by rotation of the multivariate ordination space,  
14 which generated new axes (Dray, S. et al. 2003). We used permutation to test the significance of  
15 each association. All analyses were performed with the R platform (R Development Core Team  
16 2012).

## 17

## 18 **Results**

19

20 Overall, across the five sampling sites, we sampled 376 plant species from 40 families and  
21 2,579 arthropod individuals from 11 orders. Hemiptera (1,093 individuals), Araneae (500),  
22 Formicidae (188) and Coleoptera (141) represented 74% of the overall arthropod abundance  
23 sampled in the study. Differences in grazing pressures among sites resulted in differences of  
24 vegetation height and variance in vegetation height among sites. These differences are, to a large  
25 extent, the reflection of different grazing pressures in each site, which influenced both vertical  
26 structure (Figure 3a,b) and plant diversity (Figure 3c,d). However, local factors such as soil

1 composition and large-scale climatic factors may have also contributed to differences in vegetation  
2 structure among and within sites and paddocks.

3 Plant species diversity and functional diversity were lower in paddocks from sites 3 and 5  
4 (Figure 2). Plant diversity (1-D) and functional diversity (Rao) were positively correlated at  
5 paddock level ( $r = 0.89$ , Figure 4b), but not correlated at site and plot levels. Similarly, plant species  
6 richness and functional diversity were positively correlated at paddock level ( $r = 0.81$ ,  $p < 0.01$ ), but  
7 not correlated at site and plot levels. All plant diversity indexes were negatively correlated with  
8 average vegetation height and positively correlated with height variance and richness of arthropod  
9 orders (inverse relationships for Simpson's D and Berger-Parker's index).

10 Average vegetation height and plant species diversity were negatively correlated ( $r = -0.78$ ,  
11  $P < 0.01$ ), as well as average vegetation height and plant functional diversity ( $r = -0.72$ , Figure 4a).  
12 Vegetation height variance and plant diversity were positively correlated ( $r = 0.78$ ). Average  
13 percentage of bare soil and plant diversity were positively correlated ( $r = 0.88$ ). Richness of  
14 arthropod orders was positively correlated to plant diversity ( $r = 0.63$ ) and plant functional diversity  
15 ( $r = 0.73$ ; Figure 5a). Plant functional diversity was also positively correlated to Coleoptera  
16 functional diversity (Figure 5b).

17 Co-inertia analyses showed a significant association between matrices **T** of plants and  
18 arthropods CWM trait values for all arthropod orders (Table 2). The association was stronger in  
19 Coleoptera and Araneae ( $RV = 0.683$  and  $0.438$ , respectively), and weaker in Hemiptera and  
20 Formicidae ( $RV = 0.332$ ). The first two axes of the co-inertia biplot for each group of arthropods  
21 represented the differences in vegetation structure across the sampling sites (Figures 6a,d and 7a,d).  
22 Paddocks with overall higher and more homogeneous vertical vegetation structure (Figure 1) and  
23 lower values of plant diversity and functional diversity (Figure 2) were segregated from paddocks  
24 with lower and more heterogeneous vegetation, with higher plant diversity. This pattern was more  
25 evident for Coleoptera and Araneae (Figure 6) and less evident for Hemiptera and Formicidae  
26 (Figure 7). It is likely that these differences are the reflection of different relationships between



1 habitat structure and arthropod community in each group. Overall, paddocks from the same sites did  
2 not group together in the biplots, suggesting that vegetation structure is more important as a  
3 defining factor for arthropod community structure than spatial proximity alone.

4 Pairwise tests between vectors representing CWM traits for plants and arthropods (from  
5 matrices **T**) resulted in several significant associations. For spiders, ‘stoloniferous plants’ showed  
6 association with hunters ( $r = 0.71$ ) and web-builders ( $r = -0.71$ ); ‘subshrubs’, ‘forbs’ and  
7 ‘decumbent plants’ showed positive or negative association with relative size of chelicera ( $r = -0.54$ ,  
8  $0.60$  and  $0.53$ , respectively; Figure 6b,c). For coleopterans, ‘tussocks’ was negatively associated  
9 with body size ( $r = -0.66$ ), predators ( $r = 0.71$ ) and herbivores ( $r = -0.71$ ); ‘forbs’ was associated  
10 with body size ( $r = 0.69$ ) and ‘subshrubs’ was associated with predators ( $r = 0.72$ ), elytron size ( $r =$   
11  $0.48$ ) and leg size ( $r = 0.74$ ; Figure 6e,f). Regarding ants, ‘therophytes’ was associated with leaf-  
12 cutters ( $r = 0.58$ ), and ‘shrubs’ with body size ( $r = -0.64$  , Figure 7b,c). For hemipterans,  
13 ‘stoloniferous plants’ showed negative association with body size ( $r = -0.78$ ) and positive  
14 association with mouthparts ( $r = 0.65$ ); ‘subshrubs’ showed negative association with mouthparts ( $r$   
15  $= -0.54$ ) and positive association with body size ( $r = 0.73$ ; Figure 7e,f). Finally, spider functional  
16 diversity and ‘erect rosettes’ were positively correlated ( $r = 0.66$ ), as well as hemiptera and  
17 coleoptera functional diversities and ‘shrubs’ ( $r = 0.79$  and  $0.67$ , respectively).

18

## 19 **Discussion**

20

21 Our objective was to explore the relationships between vegetation structure and (1) plant  
22 diversity and (2) arthropod diversity in grasslands from Southern Brazil. Although our results  
23 represent a snapshot of these relationships, which may vary in time, we did find some clear patterns  
24 linking vegetation structure, plant diversity and arthropods. Moreover, our results provide further  
25 evidence that grassland vegetation structure is strongly associated with management, as differences  
26 in grazing pressures between our sampling sites are associated with variations in vertical vegetation

1 structure and plant diversity (Figure 2). The role of disturbance (grazing and/or fire) on grassland  
2 vegetation heterogeneity is widely accepted (Bond, W. et al. 2005, Bond, W. J. and Keeley, J. E.  
3 2005, Knapp, A. K. et al. 1998), but supporting evidence for this relationship, especially regarding  
4 the role of grazing, from subtropical and temperate grasslands is still scarce. Light grazing pressures  
5 promote the predominance of tussock grasses and the development of shrubs, which outcompete  
6 most prostrate life forms and ultimately reduce plant diversity. Our findings corroborate that  
7 relationship: vegetation height and height variance decrease linearly with decreasing grazing  
8 pressures (Figure 2a,b), and this pattern was recurrent for plant diversity (Figures 2, 3 and 4a). In a  
9 local scale, overgrazing may produce the same effect by creating uniform ‘prostrate plant  
10 communities’, although this was not the case in any of our sampling sites.

11 We used plant life forms as traits (Table 1) to calculate plant functional diversity (FD), as a  
12 proxy of habitat heterogeneity. The FD index we used estimates trait dissimilarity among taxa in the  
13 communities (each paddock) weighted by their relative abundances (Botta-Dukát, Z. 2005).  
14 Paddocks with low FD are largely dominated by few plant life forms, whereas in paddocks with  
15 high FD plant cover (our proxy for abundance) is more evenly distributed among different life  
16 forms, which promotes higher habitat heterogeneity.

17 Grassland arthropods are strongly influenced by habitat structure, which in turn is defined  
18 by plant species (Joern, A. and Laws, A. N. 2013). Evidence linking arthropod abundances to plant  
19 species richness is plentiful, but results encompass both positive and negative relationships  
20 (Koricheva, J. et al. 2000), and functional aspects of both taxa are mostly ignored. Moreover,  
21 studies that pursue plant/arthropod relationships often focus on small groups or single species. Our  
22 results indicate that areas with more heterogeneous habitats (i.e., with higher plant richness and FD)  
23 encompass increased richness of arthropod orders (Figure 5A). Structurally complex and diverse  
24 grassland areas provide an increased variety of niches and resources to be exploited in comparison  
25 with more homogeneous areas (Bazzaz, F. 1975), which should enable the existence of an arthropod  
26 community with more diverse habitat and resource requirements. Further, FD of spiders and beetles

1 were also clearly positively related to plant FD. Podgaiski, L. R. et al. (2013) found similar results  
2 for spiders in grasslands from south Brazil in an experiment on effects of fire: more functionally  
3 diverse plant communities (induced by fire disturbance) sheltered more functionally diverse spider  
4 communities.

5         The functional traits of the different arthropod orders and trophic groups were affected by  
6 different components of grassland plant diversity and showed some interesting but yet unclear  
7 correlations with plant life form traits. For example, body size in each group was affected by  
8 different plant traits: body size of Hemiptera was influenced by subshrubs and stoloniferous plants;  
9 in Coleoptera it was affected by tussock grasses and forbs, while in ants it was more influenced by  
10 the proportion of shrubs in vegetation cover. Considering the size-grain hypothesis (Kaspari, M. and  
11 Weiser, M. 1999), morphological features of walking organisms (such as body size) indicate a  
12 trade-off in the manner they move over and through the environment and use the habitat. Smaller  
13 organisms experience a more rugose world with the possibility of enhanced penetration on  
14 microhabitats and interstices that larger organisms are not suited to enter (Sarty, M. et al. 2006). In  
15 our results, this could be noticed in the relationship between Coleoptera and habitat structure:  
16 coleopteran body size decreased following an increasing trend in the proportions of tussock grasses.  
17 The predominance of tussock grasses shapes habitats with high density of leaves, which would be  
18 best suited for smaller coleopterans.

19         Vegetation structure is particularly important for generalist predators such as spiders  
20 (Podgaiski, L. R. et al. 2013, Sunderland, K. and Samu, F. 2000). Non-web-building spiders  
21 (cursorials) have been shown to benefit in habitats with higher proportions of prostrate plant  
22 species, i.e. habitats that do not provide the necessary architecture to allow web building. Also,  
23 hunting spiders with larger chelicerae could benefit from such clear environments (Podgaiski, L. R.  
24 et al. 2013).

25         Our results showed that leaf-cutting ants were associated with higher proportion of annual  
26 plants. It has been shown that leafcutters may select pioneer leaves because of their low level of

1 chemical defenses and high nutrient content (Farji-Brener, A. G. 2001), and our results confirm this  
2 'palatable forage hypothesis', as annual plant species usually are poor in fiber and show high  
3 nutritious value.

4

## 5 **Conclusions**

6

7 Our results provide further evidence supporting the close relationship between disturbance  
8 (grazing) and grassland vegetation structure and diversity patterns. Although this relationship is  
9 well established, empirical evidence from subtropical grasslands is still scarce. Grazing promotes  
10 habitat heterogeneity, which in turn influences diversity patterns of arthropod. Although our results  
11 regarding the relationships between arthropods and vegetation structure are on the coarse level of  
12 four major orders, they may guide future directions for research on links between grassland  
13 vegetation and specific arthropod groups in subtropical grasslands, choosing for example animal  
14 traits that are more responsive to habitat structure in each group.

15

## 16 **Acknowledgements**

17

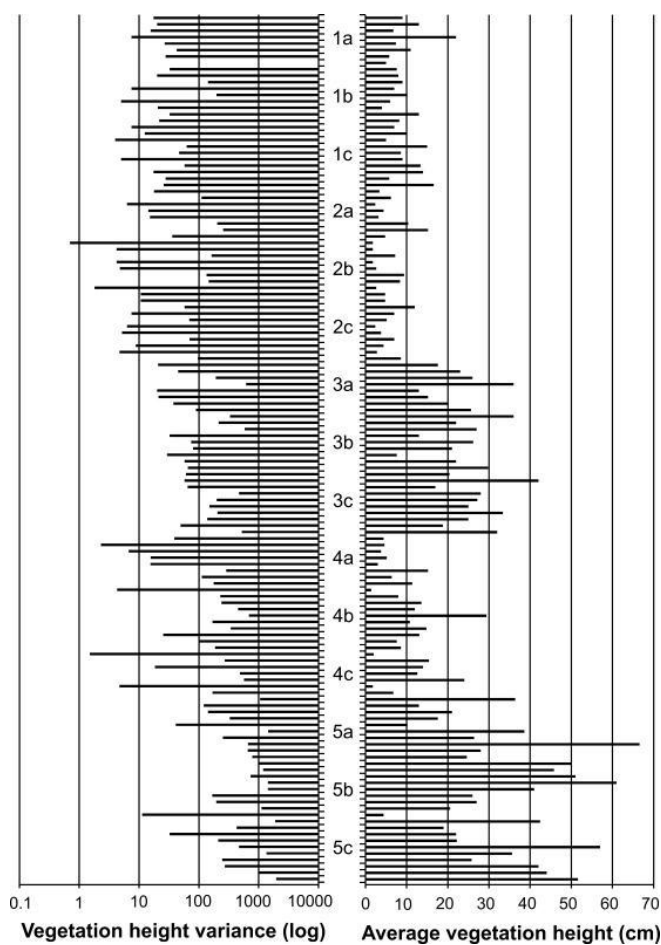
18 We thank all farm owners that kindly allowed this work to be carried out in their properties, and all  
19 local environmental authorities that allowed research in protected areas and helped us during  
20 fieldwork. The first author thanks CAPES for a scholarship. I. I. Boldrini thanks CNPq for a  
21 research productivity grant.

**Table 1.** Description of arthropod and plant traits used in the study.

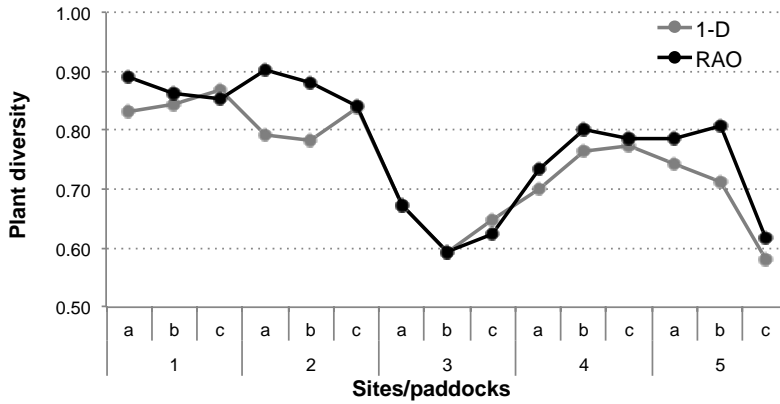
<b>Group</b>	<b>Trait category</b>	<b>Trait</b>	<b>Acronym</b>	<b>Category</b>	<b>Description</b>
Hemiptera	Morphological	Relative stylet size	Mouthp	Quantitative	Stylet (mm) / body length (mm)
	Morphological	Body size	Body	Quantitative	Body length (mm)
Araneae	Feeding behavior	Hunter	Hunt	Binary	
	Feeding behavior	Web builder	Web	Binary	
	Morphological	Body size	Body	Quantitative	Area of cephalothorax (mm <sup>2</sup> )
	Morphological	Relative chelicera length	Chel	Quantitative	Chelicerae length (mm) / cephalothorax length (mm)
Formicidae	Feeding behavior	Generalist	Gen	Binary	
	Feeding behavior	Leaf cutter	Cutter	Binary	
	Feeding behavior	Predator	Pred	Binary	
	Morphological	Body size	Body	Quantitative	Head length (mm)
	Morphological	Relative leg size	Leg	Quantitative	Leg length (mm) / head length (mm)
	Morphological	Relative eye size	Eye	Quantitative	Eye length (mm) / head length (mm)
Coleoptera	Feeding behavior	Herbivore	Herb	Binary	
	Feeding behavior	Predator	Pred	Binary	
	Morphological	Body size	Body	Quantitative	Pronoto length (mm)
	Morphological	Relative leg size	Leg	Quantitative	Tibia length (mm) / pronoto length (mm)
	Morphological	Relative elytron size	Ely	Quantitative	Elytron length (mm) / pronoto length (mm)
Plants	Life form	Therophytes	Th	Binary	
	Life form	Bulbous geophytes	Bg	Binary	
	Life form	Rhizomatous geophytes	Rg	Binary	
	Life form	Prostrate rosette evergreens	Pr	Binary	
	Life form	Decumbent evergreens	De	Binary	
	Life form	Rhizomatous evergreens	Rh	Binary	
	Life form	Stoloniferous evergreens	St	Binary	
	Life form	Solitary evergreen tussocks	Te	Binary	
	Life form	Connected evergreen tussocks	Ct	Binary	
	Life form	Evergreen forbs	Ef	Binary	
	Life form	Erect rosette evergreens	Er	Binary	
	Life form	Evergreen subshrubs	Ss	Binary	
	Life form	Evergreen shrubs	Sh	Binary	

**Table 2.** Summarized results of co-inertia analysis using community-weighted mean traits of plants and each arthropod group.

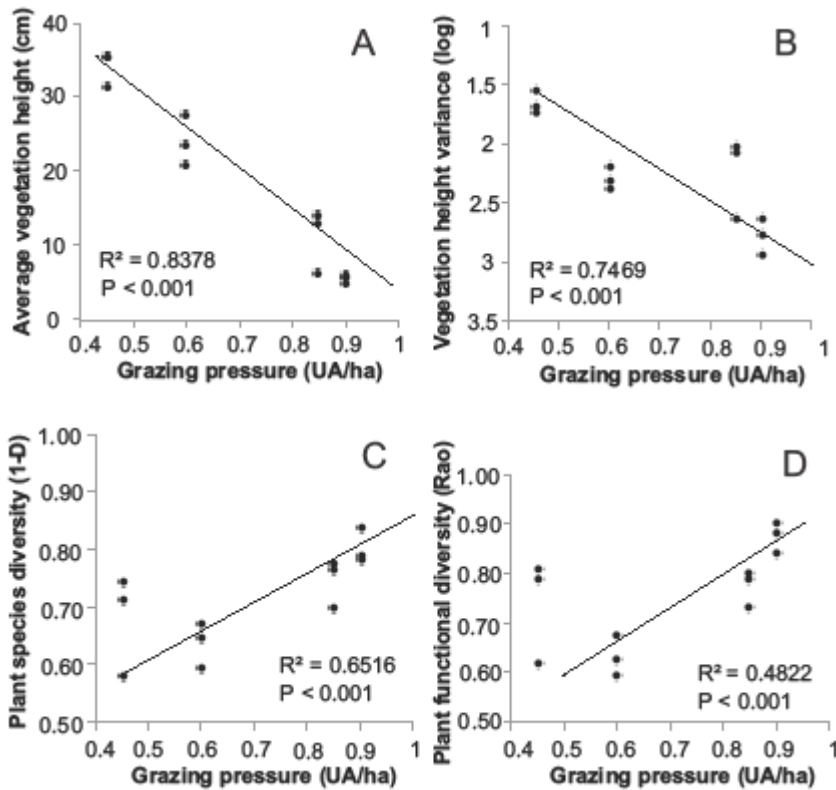
	Hemiptera	Araneae	Formicidae	Coleoptera
<b>RV</b>	0.332	0.438	0.332	0.683
<b>P-value</b>	0.034	0.017	0.028	0.001
<b>Axis 1 (%)</b>	97.5	79.1	64.9	93.8
<b>Axis 2 (%)</b>	2.1	17.2	19.6	5.1
<b>Cumulative (%)</b>	99.6	96.3	84.5	98.9



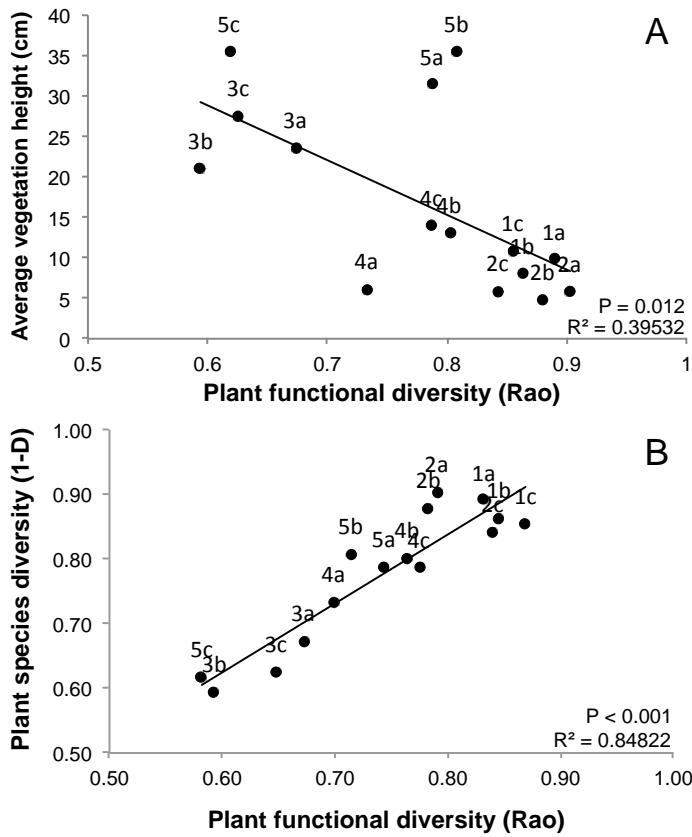
**Figure 1.** Vertical structure of grassland vegetation across five sampling sites (1 - 5) and 15 paddocks (a, b and c), nine sampling units per paddock.



**Figure 2.** Plant species diversity (1-D) and functional diversity (Rao's quadratic entropy) across five sampling sites (1 - 5) and 15 paddocks (a, b and c).

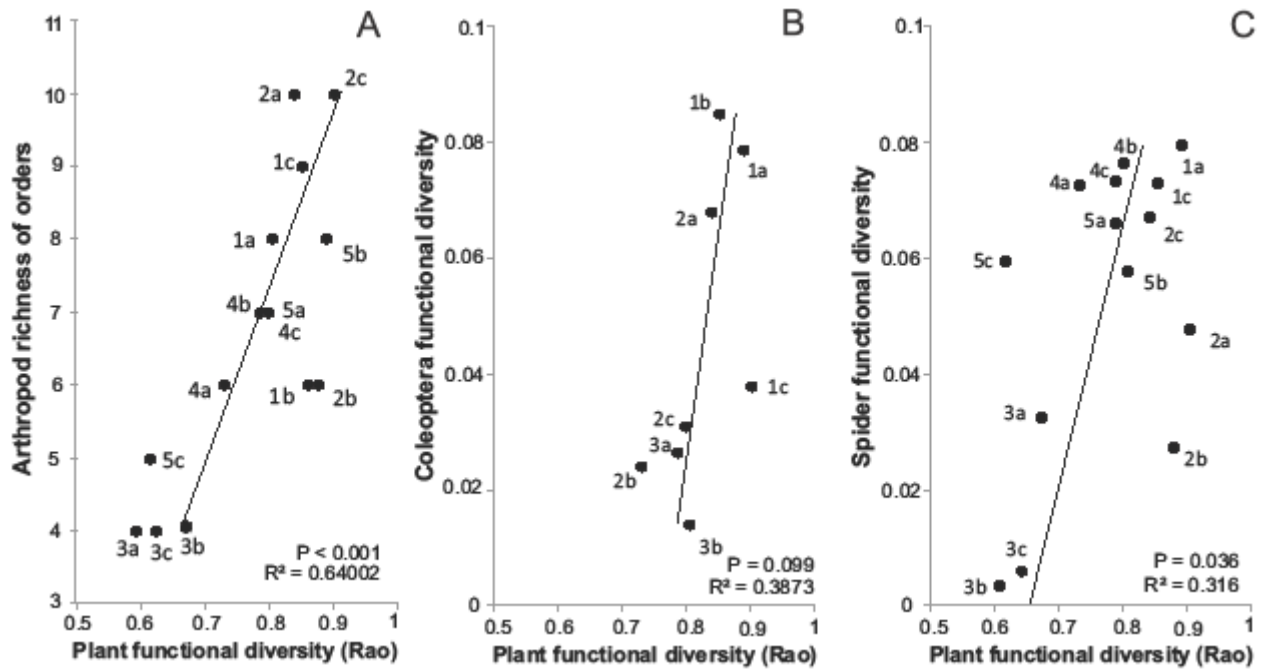


**Figure 3.** Relationships between grazing pressure and (A) vegetation height, (B) vegetation height variance, (C) plant species diversity and (D) plant functional diversity. UA = animal units (450 kg of live weight).

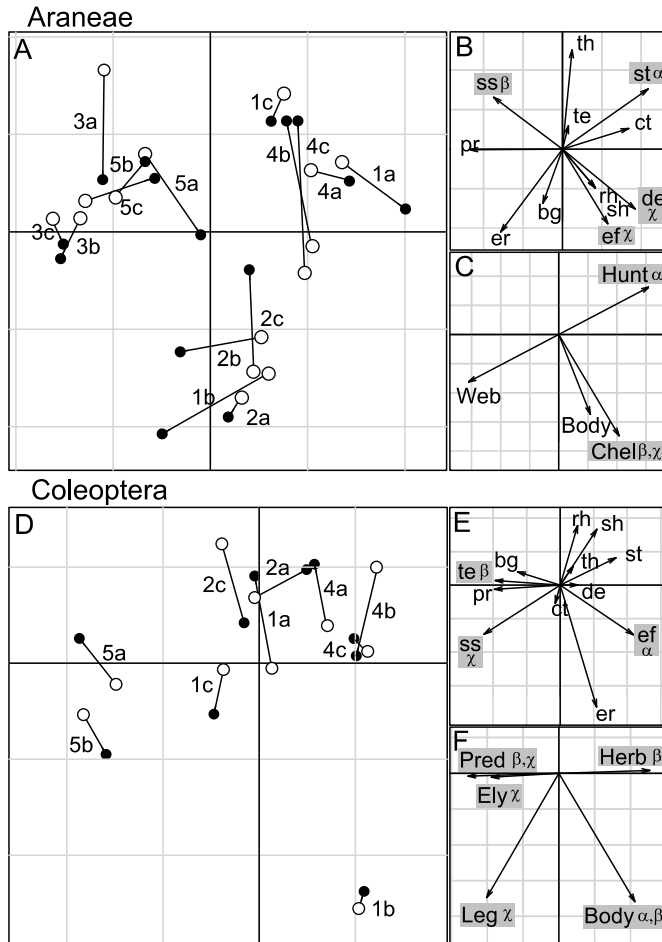


**Figure 4.** Relationships between vegetation vertical structure and plant functional diversity (A) and plant species and functional diversities (B). Labels: 15 paddocks (a, b and c) from five sampling sites (1 - 5).

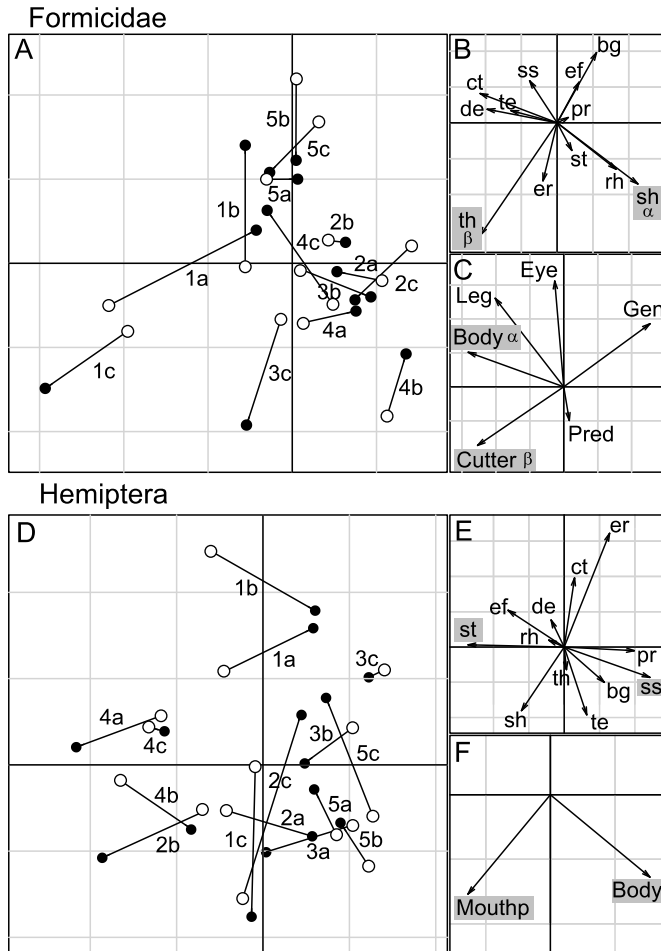




**Figure 5.** Relationships between plant functional diversity and: (A) richness of arthropod orders; (B) coleopteran functional diversity and (C) spider functional diversity. Labels: 15 paddocks (a, b and c) from five sampling sites (1 - 5).



**Figure 6.** Results of co-inertia analysis using community-weighted mean traits of plants and spiders (A-C) and coleopterans (D-F). A and D: ordination of paddocks (a, b and c) from five sampling sites (1 - 5) based on plant (full circles) and arthropod (empty circles) traits. PCA of plant (B and E) and arthropod (C and F) traits. Highlighted traits indicate significant pairwise correlation (same symbol) between plant and arthropod traits within the same group. Legend for traits: see Table 1.



**Figure 7.** Results of co-inertia analysis using community-weighted mean traits of plants and ants (A-C) and trips (D-F). A and D: ordination of paddocks (a, b and c) from five sampling sites (1 - 5) based on plant (full circles) and arthropod (empty circles) traits. PCA of plant (B and E) and arthropod (C and F) traits. Highlighted traits indicate significant pairwise correlation (same symbol) between plant and arthropod traits within the same group. Legend for traits: see Table 1.

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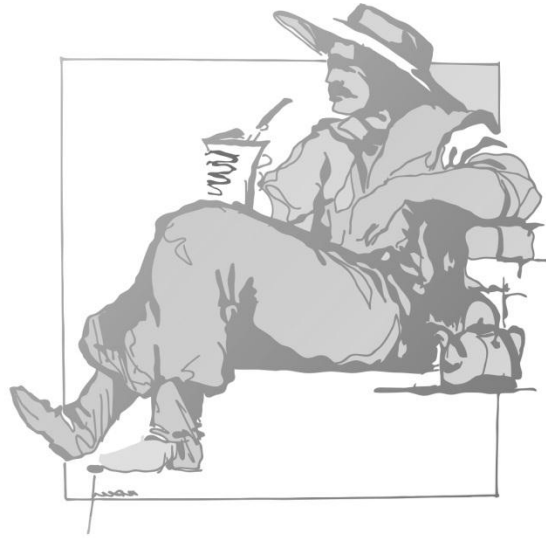
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# Capítulo 4

**Long-term ecological research in  
subtropical grasslands: results from a four-  
year monitoring of different management  
practices in Southern Brazil**

Pedro M.A. Ferreira  
Bianca O. Andrade  
Luciana R. Podgaiski  
Gerhard E. Overbeck  
Ilsi I. Boldrini

1 **Title:** Long-term ecological research in subtropical grasslands: results from a four-year monitoring  
2 of different management practices in Southern Brazil

3

4 Pedro M.A. Ferreira, Bianca O. Andrade, Luciana R. Podgaiski, Gerhard E. Overbeck, Ilsi I.  
5 Boldrini

6

7 **Abstract**

8

9 **Questions:** How do subtropical grassland communities respond to different intensities of grazing  
10 disturbance through time? Does this response affects litter decomposition?

11

12 **Location:** Subtropical grasslands, Southern Brazil (27°15'S - 31°54'S; 50°15'W - 56°15'W; 150 –  
13 850 masl).

14

15 **Method:** We selected six sites with natural grassland vegetation under grazing. In each site we  
16 delimited three paddocks to which we randomly assigned one of three different managements with  
17 grazing animals: conventional, conservative or exclusion. The conventional treatment was our  
18 control, in which we maintained the grazing pressure used at each site. The conservative was a  
19 simulation of rotational grazing and the exclusion represented the cessation of disturbance. We  
20 repeatedly sampled plant communities using permanent plots in south hemisphere spring during  
21 four years. We searched for differences between treatments along time in species richness,  
22 diversity, functional diversity and functional redundancy. We used an alternative classification of  
23 plant life forms as functional traits, and also evaluated shifts in dominance of life forms between  
24 treatments. Finally, we estimated litter decomposition in two different experiments using litter-bags.

25

1 **Results:** Species richness decreased in exclusion paddocks after the second year of sampling.  
2 Management cessation promoted a short-term increase in species diversity. After the second year,  
3 species diversity dropped steadily in the exclusion, whereas it remained unchanged under the  
4 conservative management. Functional diversity also dropped after the second year of exclusion, but  
5 increased in the following years in the conservative treatment. Functional redundancy varied little in  
6 the control and conservative, whereas it increased linearly in the exclusion along the four years of  
7 sampling. Relations of dominance of life forms shifted in the exclusion and conservative treatments,  
8 with sharp decrease in cover of prostrate plants and increase in other life forms. Mean vegetation  
9 height and dead biomass increased more than two times in the exclusion. Many species were  
10 outcompeted due to shading. Decomposition experiments showed differences between treatments  
11 only after the third year of sampling, with higher decomposition rates in conventional paddocks and  
12 in Pampa sites. We concluded that these differences were mostly due to differential  
13 photodegradation promoted by differences in vegetation structure.

14

15 **Conclusion:** Interruption of grazing disturbance caused drastic changes in community parameters  
16 by shifting relationships of dominance, removing grazing-tolerant species and benefitting groups of  
17 species that were controlled by grazing. Structural differences arising from management exclusion  
18 also influenced litter decomposition. Our findings suggest that subtropical grasslands from South  
19 America may be in an intermediate position in a gradient of resistance/resilience to grazing in  
20 comparison with other systems such as shortgrass steppes and tallgrass prairies.

21

22 **Keywords:** diversity, grazing, Pastizales del Rio de la Plata, plant life forms, litter decomposition,  
23 ecosystem processes

## 1 **Introduction**

2 Grasslands, at least when under productive climatic conditions, are disturbance-prone  
3 ecosystems strongly shaped by fire and grazing regimes (Milchunas et al. 1988; Knapp et al. 1998;  
4 Bond & Keeley 2005). Disturbance can be defined as ‘any event in time that disrupts ecosystem,  
5 community, or population structure and changes resource pools, substrate availability, or the  
6 physical environment’ (Pickett 1985) or, more simply, as ‘any event partially or totally destroying  
7 plant biomass’ (Grime 1979). Either way, disturbance plays a key role on grassland species  
8 composition, diversity patterns on multiple scales and ecosystem functioning (e.g., Milchunas et al.  
9 1988; Frank & McNaughton 1993; Frank & Evans 1997; Knapp et al. 1998; Frank et al. 2000; Bond  
10 et al. 2005; Grime 2006; Diaz et al. 2007).

11 Grazing animals can be very selective as to what they forage (Senft et al. 1987). In  
12 productive grasslands, where high-quality palatable plants can be found, herbivores preferably  
13 graze these plants, avoiding patches dominated by tall, less palatable taxa (Adler et al. 2001;  
14 McIntyre & Tongway 2005; McIvor et al. 2005). This selection promotes heterogeneity by creating  
15 a mosaic of patches under different grazing pressures in the landscape with the selection of plants  
16 that share traits compatible with each local disturbance situation (Grime 2006; Diaz et al. 2007).  
17 However, this selectiveness also depends on the amount of forage available per animal unit in a  
18 given paddock: the lower the forage availability, the less selective foraging will be, and the  
19 aforementioned patchiness may be converted in structural homogeneity (Senft et al. 1987;  
20 Coughenour 1991). The opposite also holds true: high forage availability may lead to higher  
21 selectiveness and to increasing dominance of plants characteristic of ungrazed or lightly grazed  
22 patches (Hobbs & Swift 1988).

23 To evaluate disturbance-driven grassland heterogeneity, or to compare shifts in grassland  
24 communities under different grazing intensities, plant species alone may not be the best working  
25 units. To answer such questions, the usefulness of a functional approach is well-established (Diaz et  
26 al. 2007). Based on recurrent findings of correlated plant traits, it has been suggested that reduced

1 sets of traits such as life/growth forms may be good descriptors and predictors of ecosystem  
2 functioning under disturbance (McIntyre et al. 1995) or climate change (Chapin 1993). Although  
3 plant life forms sensu Raunkiaer (1934) may not be the ideal descriptors in all grassland ecosystems  
4 (Ferreira et al. unpublished [Capítulo 2]), alternative case-specific life/growth form classifications  
5 have been successfully used to answer different ecological questions in different places around the  
6 globe (e.g., Arnold 1955; Grime 1973; Sala 1988; Grime et al. 1997; Hadar et al. 1999).

7         Grazing by large herbivores also affects grassland ecosystem processes such as biomass  
8 decomposition. By modifying community habitat structure, plant species and functional  
9 composition, herbivores influence biological, physical and chemical properties of the soil  
10 environment, which ultimately enhances or reduces plant litter quality and decomposition rates  
11 (Bardgett & Wardle 2003; Güsewell et al. 2005; Semmartin et al. 2008). Habitat heterogeneity  
12 promoted by grazing can influence biological activity at the soil level by altering microclimatic  
13 features (Throop & Archer 2007; Araujo et al. 2012) or facilitating litter photodegradation (Verhoeff  
14 et al. 2000; Pancotto et al. 2005). Large grazing animals also contribute with decomposable  
15 resources and nutrients by depositing urine and faeces, which may lead to increases in populations  
16 of decomposition microorganisms and also influence overall decomposition rates (Ruess &  
17 McNaughton 1987; Seagle et al. 1992; Bakker et al. 2004). Thus, it is expected that litter  
18 decomposition would be accelerated in grazed areas/patches in comparison with ungrazed or lightly  
19 grazed areas (e.g., Augustine & McNaughton 1998). However, this assumption did not hold true in  
20 South American temperate grasslands: Vaieretti et al. (2013) found no differences in decomposition  
21 rates comparing grazed and ungrazed patches in Argentina. Also working in Argentina, Carrera et  
22 al. (2008) found that leaf litter decomposed fast at the grazed sites due to changes in canopy  
23 structure induced by grazing disturbance. The relationship between grazing intensity and its  
24 implications on decomposition seems to remain unclear, especially considering South American  
25 subtropical grasslands, where few works addressing the question have been carried out, although  
26 there is evidence that fire affects litter decomposition in these ecosystems (Podgaiski et al. 2014).

1           Although the general importance of grazing for origin and maintenance of grasslands is  
2 well established, the impact of this disturbance on ecosystem structure and processes may vary with  
3 geographical location. The classic ‘generalized grazing model’ proposed by Milchunas et al. (1988)  
4 ascribe these differences in sensibility to grazing intensity (using plant diversity as indicator) to two  
5 principal variables: evolutionary history of grazing and moisture level of a given grassland  
6 ecosystem. Cingolani et al. (2005) provide further discussion of Milchuna’s model and suggests  
7 modifications that enhance its applicability. In another perspective, differences in ‘sensibility’ to  
8 disturbance may be related to two different ecological properties: resistance and resilience (Harrison  
9 1979). Empirical evidence indicate that shortgrass steppes from Southern South America are highly  
10 resistant to grazing (Milchunas & Lauenroth 1993; Milchunas et al. 1998), whereas North American  
11 tallgrass prairies are less resistant but more resilient to grazing (Coffin et al. 1996; Baer et al. 2000).

12           The relationships between grazing, vegetation dynamics and ecosystem processes are still  
13 poorly studied in ecosystems from Southern Brazil, especially considering long-term monitoring.  
14 Grasslands in this region, locally known as ‘Campos’, are relict ecosystems from drier and cooler  
15 periods that are stabilized until today by the action of herbivores and fire (Behling & Pillar 2008).  
16 There is evidence of the presence of large grazing herbivores in South American grasslands since  
17 the early Miocene (MacFadden 1997, 2005). After their extinction, grazing by domestic herbivores  
18 has become widespread since the seventeenth century (Porto 1954), and today cattle breeding is one  
19 of the most important economic activities in the region (Pillar 2009). However, the degree of  
20 resistance/resilience of these systems to grazing remains unclear, as do the consequences of  
21 interrupting the disturbance regime. To answer such questions, long-term ecological research  
22 (LTER) is essential. Although LTER has greatly improved our understanding of ecosystem  
23 dynamics (reviews in Rees et al. 2001; Turner et al. 2003), very little of this evidence comes from  
24 the Southern Hemisphere, and almost none from grasslands in Southern South America (but see  
25 Boldrini & Eggers 1996).

1           In this paper we present the results of the first four years of an experiment in LTER sites  
2 established in 2010 in the South Brazilian grasslands. We examined shifts in grassland vegetation  
3 diversity patterns, relative dominance of plant life forms and biomass decomposition rates under  
4 different management intensities with grazing animals. We hypothesized that exclusion of  
5 management will lead to a decrease in species richness, diversity and functional diversity (as seen  
6 after fire disturbance in similar ecosystems; Overbeck et al. 2005). Accordingly, we expect that  
7 different grazing intensities will lead to shifts in dominance of plant life forms. Also, we expect that  
8 ‘lighter’ grazing pressures under rotational grazing will promote higher heterogeneity and increased  
9 species richness, diversity and functional diversity.

## 11 **Material and Methods**

### 13 *Study sites and sampling design*

15           Our study area comprised six sites in Southern Brazil. Since grassland ecosystems in the  
16 region are present in two different biomes, Pampa and Atlantic Forest, we selected three sites in  
17 each biome. Grasslands in the Pampa biome cover large continuous areas, and forests are mostly  
18 restricted to rivers. In the Atlantic Forest biome grasslands and forests shape mosaics in the  
19 landscape (Boldrini 1997; Boldrini et al. 2009). Pampa sites were Aceguá (31°38’55”S,  
20 54°09’26”W), Alegrete (30°04’08”S, 55°59’27”W) and Lavras do Sul (30°41’55”S, 53°58’11”W).  
21 Atlantic Forest sites were Aparados da Serra National Park (29°08’10”S, 50°09’21”W), Aratinga  
22 Ecological Station (29°23’31”S, 50°14’30”W) and Tainhas State Park (29°05’40”S, 50°22’03”W).  
23 Grasslands at all sites are under cattle grazing probably since the introduction of domestic cattle in  
24 the 17<sup>th</sup> century in the Pampa and 18<sup>th</sup> century in the Atlantic Forest.

25           The experiment consisted in a randomized block design. At each site, we delimited three  
26 paddocks of 0.5 ha, and to each paddock we randomly assigned one of three treatments that

1 represented different management of grazing animals: conventional, conservative or exclusion. The  
2 conventional treatment was the control, in which we maintained the grazing pressure (animal units  
3 per hectare) currently used at a given site. The exclusion treatment consisted on fenced paddocks  
4 that completely precluded the entry of grazing animals. The conservative treatment consisted on  
5 fenced paddocks with gates that allowed for controlled grazing during certain periods of time,  
6 simulating rotational grazing. In the interval between grazing events the conservative paddocks  
7 remained inaccessible to grazing animals. In the conservative management, the criterion used to  
8 determine the interval of cattle access to each paddock was the accumulated thermal sum of 700  
9 degrees-day per site. The duration and number of animals used in each grazing event was calculated  
10 to obtain a post-grazing aboveground residual of ca. 1,200 kg of dry biomass per hectare. These  
11 procedures aim to maintain the contribution of resource-conserving grasses in the grassland  
12 community (Quadros et al. 2006), to promote habitat heterogeneity and less accumulation of dead  
13 biomass and ultimately to enhance ecosystem resilience (Soussana 2009).

14 We sampled the grassland vegetation in each site for the first time during south hemisphere  
15 spring/summer 2010, after which we carried out the construction of fences to start the different  
16 treatments. Cattle access into exclusion and conservative paddocks was blocked from late 2010 to  
17 spring/summer 2011. The conservative management started in late 2011. We resampled all sites  
18 during the same period in 2011, 2012 and 2013. In each paddock we sampled the vegetation using  
19 nine 1m<sup>2</sup> permanent plots (systematically allocated in a 3x3 grid with 17 m between plots). In each  
20 plot we surveyed all plant species that were present and estimated their cover using the decimal  
21 scale of Londo (1976). We also estimated vegetation height in five points per sampling unit, and  
22 estimated cover of bare soil, litter (aboveground dead biomass), rock outcrops and overall  
23 vegetation cover per sampling unit.

24 We performed two experiments to estimate litter decomposition under the different  
25 treatments using litter-bags (Wider & Lang 1982). In this procedure, we added a known mass of  
26 standardized dry material into 10 x 10 cm bags made of green nylon mesh (1 mm<sup>2</sup>), with five



1 additional round perforations of 4 mm radius on each surface, which were fixed at ground level in  
2 each sampling paddock using four specks. We chose this configuration for the litter-bags to  
3 maximize camouflage in the environment, to minimize accidental loss of material and to allow the  
4 entry of invertebrates from the soil macro fauna, which also contribute to decomposition (Swift et  
5 al. 1979). After a determined period of time, we retrieved these bags, washed, dried and weighted  
6 their remaining contents and estimated the decomposition rate after subtracting the final mass from  
7 the initial mass. We used standardized materials in all sampling sites and paddocks, since we  
8 searched for differences between decomposition rates related to the micro-environments created by  
9 each treatment. Therefore, we did not take into account possible qualitative differences in litter (e.g.  
10 decomposability) produced in each site/treatment.

11 In the first experiment we installed 32 litter-bags per paddock in five sites (logistic  
12 problems made it impossible to install the experiment in one of the sites at the time), using dry  
13 leaves of two broadly distributed plant species: *Andropogon lateralis* (Poaceae, 1g) and *Eryngium*  
14 *horridum* (Apiaceae, 1.5g), with 16 bags each. The installation of this experiment took place in June  
15 2011, and eight litter-bags of each species per paddock were removed six months later (December  
16 2011), and the remaining litter-bags 18 months later (December 2012). The second experiment was  
17 installed in all six sites in December 2012, and lasted six months (June 2013). As *E. horridum* and  
18 *A. lateralis* materials presented extremely similar decomposition rates (based on the results from  
19 the first experiment), in the second experiment we opted to substitute the later for cellulose filter  
20 paper standard material which was expected to interact differently with the micro-environmental  
21 conditions (e.g., not being affected by photodegradation; Vaieretti et al. 2010). We installed 16  
22 litter-bags per paddock, eight containing dry leaves of *E. horridum* (1g) and eight with cellulose  
23 filter paper (1g).

24

25 *Data analysis*

26

1           In our analyses we searched for differences between vegetation patterns among treatments  
2 during four consecutive years of sampling. We estimated these differences considering species  
3 composition and their relative abundances, species richness and diversity and functional aspects of  
4 the community based on plant life forms.

5           We organized plant community data in a matrix containing average cover values of species  
6 describing paddocks in each of the four years of sampling (matrix **W**). From matrix **W** we derived  
7 matrices containing information of treatments and/or years separately, according to each analysis.  
8 We classified plant species that were present in at least three paddocks in life form categories  
9 (Table 1) proposed by Ferreira et al. (unpublished [Capítulo 2]). In this classification, life forms are  
10 based on features such as habit, architecture, level of lignification and strategy of horizontal  
11 occupation, which are responsive to shifts in management and good descriptors of vegetation  
12 structure. Trait data were summarized in the binary matrix **B** of species described by life form  
13 categories. To evaluate changes in dominance of life forms across the years of sampling and under  
14 different treatments, we also generated a matrix **T** with community weighted mean traits by matrix  
15 multiplication  $\mathbf{T} = \mathbf{WB}$  (Pillar et al. 2009). We used chord distance as dissimilarity measure  
16 between sampling units in matrix **W** and Gower's index (Podani 1999) in matrix **B**.

17           We calculated species diversity using Simpson's index (Magurran & McGill 2011) and  
18 functional diversity using Rao's quadratic entropy (Botta-Dukát 2005). We also calculated  
19 functional redundancy using the method described in Pillar et al. (2013). Diversity indexes and  
20 functional redundancy were calculated at the paddock level, and for every year of sampling. We  
21 tested for correlations between diversity indexes and functional redundancy using correlation  
22 analysis with permutation. To test for differences in indexes between years we used Repeated  
23 Measures Analysis of Variance with permutation. We used ANOVA with permutation to evaluate  
24 differences between treatments in the same sampling year, and between decomposition rates  
25 between treatments. We restricted all permutations due to the blocked design of the experiment.

26

## 1 **Results**

2

3           Pairwise comparisons of species composition and abundance between years within each  
4 treatment resulted in significant differences only in the exclusion, and only between the first and the  
5 last two years (this difference vanishes when using only presence/absence data). Species richness  
6 dropped sharply after the second year of exclusion, and differences between treatments became  
7 significant after the third year, with higher values in the conventional treatment (Figure 1A). Plant  
8 species diversity increased after the first year of exclusion, after which conventional and  
9 conservative treatments maintained an increasing trend and exclusion decreased sharply.  
10 Differences in diversity between the control and the two treatments were evident after the first year  
11 of exclusion, became blurred in 2012 and were evident again in 2013 (Figure 1B). After four years,  
12 species diversity was higher in the conservative treatment, contrasting with results for species  
13 richness (Figure 1A). Considering the pooled data from the four years of monitoring, species  
14 richness was significantly different only between the exclusion and conventional treatments  
15 ( $P < 0.05$ ), and we found no significant differences considering species diversity.

16           Plant functional diversity showed little variation across four years in the control, whereas  
17 we found opposite trends in the conservative and exclusion treatments: steady increase in the first  
18 and sharp decrease in the latter (Figure 2A). Functional redundancy was overall lower in the  
19 conventional treatment, increased linearly in the exclusion and decreased in the conservative after  
20 the reintroduction of management in 2011 (Figure 2B).

21           The relative representativeness of life forms across years showed different trends in each  
22 treatment. Cover of prostate plants remained roughly constant in the conventional treatment,  
23 whereas it decreased in the conservative and exclusion (Figure 3A). Tussock cover was overall  
24 lower in the conventional treatment (Figure 3B), whereas the conservative treatment promoted an  
25 increase in cover of geophytes (Figure 3C). We found no clear pattern for annual plants (albeit a  
26 small number of species with little cover only), although there is an indication of decreasing cover

1 in the exclusion after 2011 (Figure 3D). Forb cover increased after the first year of exclusion, but  
2 the pattern disappeared in the following years (Figure 3E). Cover of shrubs and subshrubs increased  
3 in the exclusion and remained unchanged in the conservative and conventional treatments (Figure  
4 3F).

5 Relationships between functional diversity, species diversity and functional redundancy  
6 varied between treatments (pooled data of four years of sampling). Functional diversity and species  
7 diversity were significantly correlated in all treatments, although the magnitude of the correlation  
8 decreased in the conservative and even more in the exclusion. The relationship between functional  
9 redundancy and functional diversity followed the same pattern. Functional redundancy and species  
10 diversity were strongly correlated in the conventional treatment, but the correlation dropped  
11 considerably in the conservative and vanished in the exclusion (Figure 4).

12 Results of the first decomposition experiment showed no differences between treatments or  
13 materials after the first six and 18 months of grazing exclusion (Jun 2011 – Dec 2012; results not  
14 shown). However, results of the second experiment (Dec 2012 – Jun 2013) indicated differences in  
15 decomposition rates between treatments and materials. Average values per treatment showed higher  
16 decomposition rates of *E. horridum* in the conventional treatment ( $P < 0.05$ ; Figure 5A). After  
17 separating these results by location of sampling sites (southern Pampa sites vs. northern Atlantic  
18 Forest Sites), another pattern arose: decomposition rate of *E. horridum* was higher in conventional  
19 paddocks from Pampa sites ( $P < 0.01$ ), whereas it varied little between treatments in Atlantic Forest  
20 sites (Figure 5B). Decomposition rate of cellulose was less variable comparing treatments and sites,  
21 although it was on average higher in Pampa sites (Figure 5C). Vegetation height increased sharply  
22 in the conservative and exclusion treatments after one year, decreasing in the first and remaining  
23 constant in the latter afterwards (Figure 6A). The percentage of dead biomass increased in the  
24 exclusion and conservative managements across the years, and was significantly lower in the  
25 conventional management after 2012 (Figure 6B).

26

## 1 **Discussion**

2

3 We aimed to investigate, by means of a controlled, randomized experiment, differences in  
4 plant composition, diversity and ecosystem processes between grassland plant communities  
5 submitted to different grazing regimes during four years. The first year of grazing exclusion  
6 promoted a sharp increase in species diversity in the conservative (during the first year still without  
7 the conservative management) and exclusion paddocks (Figure 1B). The cessation of grazing  
8 produced a short-term decrease in the dominance of prostrate life forms, which was diluted among  
9 other groups such as forbs, therophytes and ligneous plants (Figure 3). The conservative  
10 management maintained the diversity levels achieved after one year of exclusion, although diversity  
11 in the conventional management also increased with time and differences between conservative  
12 management and exclusion were not significant after the third year of sampling. As expected,  
13 species richness and diversity dropped significantly in the exclusion treatment after 2011, i.e., one  
14 year of exclusion (Figure 1 A, B). In productive grasslands, the cessation of grazing is notably  
15 followed by increased dominance of a few species (usually the less palatable ones) and the  
16 associated decline of species adapted to grazing (e.g., Pucheta et al. 1998a; Pucheta et al. 1998b;  
17 Cingolani et al. 2003; Vaieretti et al. 2010). Accordingly, we recorded a steady decline in cover of  
18 prostrate plants (largely led by rhizomatous grass species) with concomitant increasing cover of  
19 other life forms such as tussocks (Figure 3). Under increasing amounts of dead biomass and overall  
20 taller vegetation (Figure 6), many species are outcompeted due to shading and tend to first decrease  
21 in cover and eventually disappear (Tilman & Wedin 1991; Collins et al. 1995). Declines in species  
22 richness in the exclusion treatment corroborate this hypothesis (Figure 1A). This competitive  
23 exclusion also affected other life forms such as annual species (Figure 3D). Geophytes were evenly  
24 represented among treatments prior to the experiment. Notwithstanding, after four years they were  
25 more representative in the conservative treatment in comparison with the conventional and  
26 exclusion, probably because they simply had their aerial parts removed by grazing in the first and

1 were outcompeted in the latter (Figure 3C). Shrubs and subshrubs, on the other hand, have benefited  
2 from the exclusion of grazing (Figure 3F), and will probably play a key role on future shifts in  
3 vegetation structure and diversity patterns in exclusion paddocks during the following years.  
4 Although the conservative management also enabled different life forms to become more  
5 representative in the community, woody plants were not benefited by this treatment, indicating that  
6 no such shrub encroachment will take place under this management intensity.

7 Plant functional diversity (FD) was overall higher in the conventional treatment, although it  
8 rose steadily after 2011 in the conservative and dropped linearly in the exclusion (Figure 2A).  
9 Despite the overall higher FD in conventional paddocks prior to the experiment, the slight short-  
10 term increase and following maintenance of FD in this treatment may be attributed to the natural  
11 spatial heterogeneity (Adler et al. 2001; McIntyre et al. 2003; McIvor et al. 2005) and differential  
12 trait selection (Grime 2006; Diaz et al. 2007) promoted by grazing. We used plant life form  
13 categories as traits to calculate FD, and the index we used relates to limiting similarity and niche  
14 complementarity (Diamond 1975; Tilman et al. 1997; Wilson 1999) by measuring trait  
15 dissimilarities among taxa taking their relative abundances into account (Botta-Dukát 2005).  
16 Therefore, higher values of FD mean higher distribution of abundances among life forms, i.e., less  
17 dominance. The absence of grazing after 2011 promoted the homogenization of the community by  
18 greatly reducing the contribution of prostrate species (Figure 3). The disappearance of the natural  
19 patchiness was also reflected on average vegetation height, which doubled in the exclusion,  
20 reflecting the increasing dominance of tussock grasses (Figure 6A). After 2011, when the  
21 conservative management started, FD rose steadily and approached the control values, indicating  
22 that the lighter grazing pressures under the simulated rotational grazing promoted, besides higher  
23 species diversity (Figure 1B), more evenly distributed dominance of life forms (Figure 2A). Since  
24 grazing animals stayed in a very restricted area in each conservative paddock during grazing events  
25 (0.5 ha each), urine deposition may have also influenced heterogeneity by creating patches of high  
26 productivity (Steinauer & Collins 1995; Steinauer & Collins 2001). In the exclusion treatment,

1 species became increasingly more redundant considering their life form through time (Figure 2B).  
2 Coupled with decreasing species richness (Figure 1A), this indicates that species loss due to  
3 management cessation may be taxonomically independent and very likely related to competitive  
4 ability of selected species (Tilman 1984; Collins et al. 1995; Collins et al. 1998). In fact, theories  
5 underlying plant-herbivore dynamics are largely based on tradeoffs between palatability and  
6 competitive ability (Pacala & Crawley 1992), and although short-range dispersal and rapid  
7 exploitation strategies may explain dynamics in annual plant communities (e.g., Bolker & Pacala  
8 1999), the mechanisms underlying exploitation strategies in long-lived plant communities remain  
9 obscure (Rees et al. 2001).

10         Considering the pooled data from the four years of sampling, the relation between species  
11 diversity (D) and functional diversity (FD) was stronger in conventional paddocks, and  
12 progressively weakened in conservative and exclusion paddocks (Figure 4). This indicates that  
13 variation of FD through time tends to be independent of D with the cessation of management,  
14 whereas both variables share similar variation patterns under considerably heavier grazing pressure.  
15 The same relation held true between functional redundancy (FR) and FD: both variables are  
16 progressively less correlated in the conservative and exclusion treatments. Paddocks with low  
17 values of FD are dominated by few life forms, which would logically mean increased redundancy.  
18 However, this relationship tends to weaken under lower intensity of disturbance. Finally, correlation  
19 between FR and D is significant only in the conventional treatment, indicating that both variables  
20 are independent under lighter or no grazing. Pillar et al. (2013), working in grazed grasslands in the  
21 same region, showed that (at the plot scale) community stability was positively influenced by FR  
22 and negatively influenced by grazing intensity. Our results showed that FR increased under low  
23 (and under the absence of) grazing pressure (Figure 2B). Although our results represent mean  
24 values per paddock and do not account for between-plot variation, we can assume that species that  
25 are usually in 'less palatable' patches under grazing (Adler et al. 2001; McIntyre et al. 2003) are  
26 progressively excluding more palatable plants and dominating exclusion paddocks with increasing

1 FR (see also Figure 3). Therefore, exclusion of management may be leading these communities to  
2 increased resistance to grazing, considering resistance as the amount of external pressure needed to  
3 cause a given amount of disturbance in the system (Carpenter et al. 2001). However, the same  
4 communities are probably becoming less resistant to another common disturbance in grasslands:  
5 fire. Light grazing pressures lead to accumulation of biomass (Figure 6B), and may also increase  
6 fire intensity, extent and overall impact on grasslands and associated ecosystems (Bond & Keeley  
7 2005; Fidelis et al. 2010). Moreover, cessation of management may be leading to decreased  
8 resilience (Harrison 1979; Carpenter et al. 2001). The progressive removal of grazing-tolerant  
9 species, the increase in woody species (Figure 3) and the shifting towards a closed and taller grass  
10 canopy (Figure 6) may in time prevent these communities of shifting back to the original stage (i.e.,  
11 the conventional treatment), even if grazing is reintroduced. However, results obtained in the  
12 conservative treatment suggest high resilience after a short period (1 year) of exclusion, since most  
13 results were similar to the ones obtained in the control in the following years.

14         The absence of differences between treatments in the first decomposition experiment (Jun  
15 2011 – Dec 2012) was probably time-related. Community structure was still in the process of  
16 changing due to grazing exclusion and conservative management, and differences between micro-  
17 habitats and related organisms were still incipient. Although the second experiment was shorter (6  
18 months, Dec 2012 – Jun 2013), the higher rates of decomposition of *E. horridum* in conventional  
19 paddocks indicate that differences in vegetation structures promoted by different grazing pressures  
20 influenced decomposition. Lighter grazing pressures promote more accumulation of dead biomass  
21 and higher vegetation height (Figure 6), which probably decreased the rates of the litter  
22 decomposition promoted by solar radiation (photodegradation; Pancotto et al. 2005). Higher  
23 decomposition rates in grazed paddocks could also have been related to faeces and nitrogen  
24 deposition by herbivores (Ruess & McNaughton 1987; Seagle et al. 1992; Bakker et al. 2004), a  
25 factor that was absent in the exclusion. However, if such assumption was true, we would expect  
26 even higher decomposition rates in the conservative treatment, where density of grazers per hectare



1 was much higher during grazing events, and so was deposition of faeces and urine. Also,  
2 decomposition of cellulose did not follow the same pattern, providing further evidence that  
3 differences between decomposition were most likely related to grassland canopy openness and the  
4 UV radiation incidence, which primarily generate the molecular fragmentation of lignin  
5 contributing to litter mass loss (Rutledge et al. 2010). When we separated decomposition results by  
6 site, we found out that southernmost sites inserted in the Pampa biome were responsible for most of  
7 the differences in decomposition of *E. horridum* between treatments (Figure 5B). When compared  
8 with the Atlantic Forest biome, grasslands inserted in the Pampa biome are characterized by lower  
9 canopies and less accumulation of biomass due to higher grazing pressures (Nabinger et al. 2000;  
10 Nabinger et al. 2009). Accordingly, vegetation structure showed differences between sites from  
11 different biomes prior to the implementation of the experiment we described here (Ferreira et al.  
12 unpublished [Capítulo 1]). Recent findings found higher litter decomposition rates in sites with  
13 more canopy openness in South Brazilian grasslands, which was probably due to increased  
14 photodegradation (Podgaiski et al. 2014).

15 We reported here differences in grassland vegetation structure and diversity patterns  
16 between paddocks that have been submitted to contrasting levels of grazing during four years.  
17 Interruption of the disturbance regime caused drastic changes in community parameters by shifting  
18 relationships of dominance, removing grazing-tolerant species and benefitting groups of species that  
19 were controlled by grazing. Structural differences arising from management exclusion also  
20 influenced litter decomposition. Our findings suggest that subtropical grasslands from South  
21 America may be in an intermediate position in a gradient of resistance/resilience to grazing in  
22 comparison with shortgrass steppes (Milchunas & Lauenroth 1993; Milchunas et al. 1998) and  
23 tallgrass prairies (Coffin et al. 1996; Baer et al. 2000). Although four years may be considered a  
24 short period of time to evaluate disturbance dynamics in comparison with studies carried out  
25 elsewhere (e.g., Knapp et al. 1998; Rees et al. 2001; Turner et al. 2003), this is the first attempt to

1 do so in subtropical grasslands from South America. Also, all sites will be continuously monitored  
2 as part of an ongoing LTER (PELD Campos Sulinos; CNPq 558282/2009-1).

3

#### 4 **Acknowledgements**

5

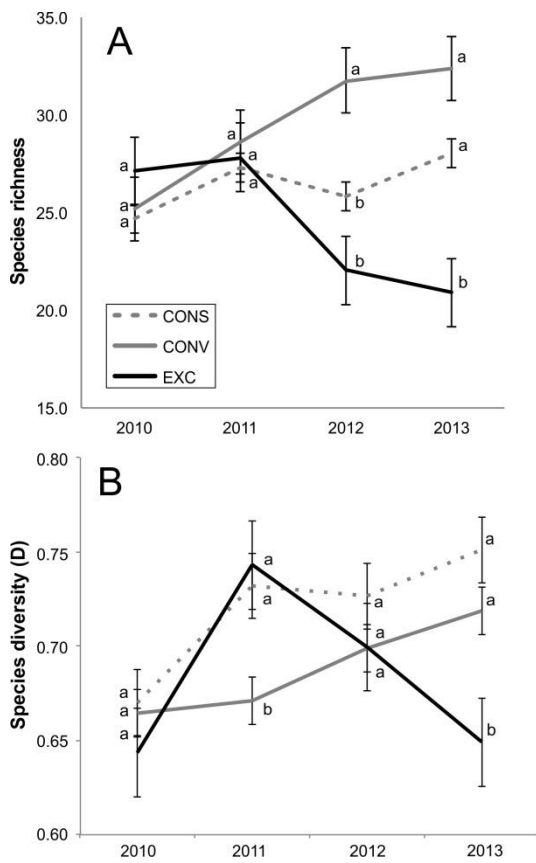
6 We thank all farm owners that kindly allowed this work to be carried out in their properties, and all  
7 local environmental authorities that allowed research in protected areas and helped us during  
8 fieldwork. The first, second and third authors thank CAPES for scholarships. I. I. Boldrini thanks  
9 CNPq for a research productivity grant.

## Tables

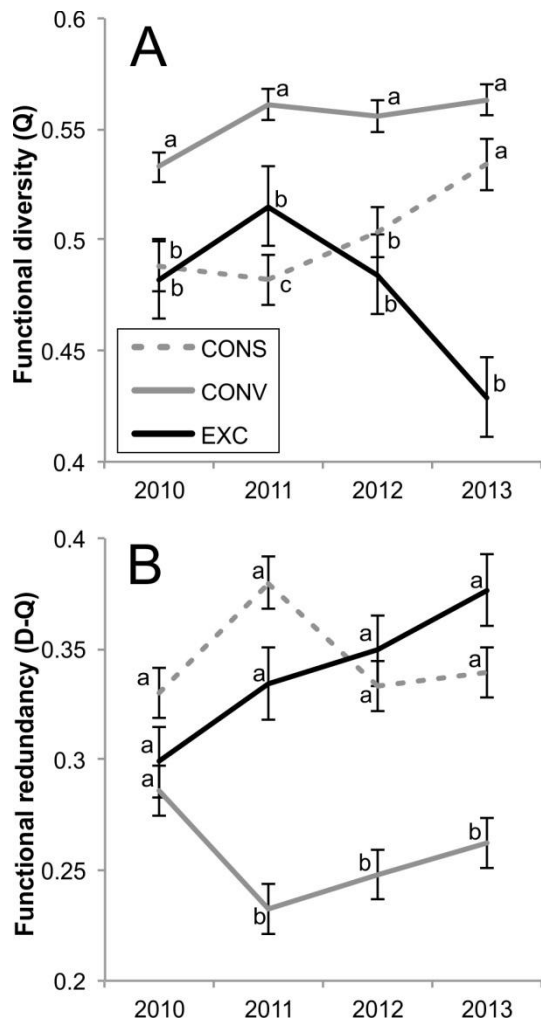
**Table 1.** Life form categories used as binary traits to describe grassland plant communities. See details in Ferreira et al. (unpublished [Capítulo 2]).

<b>Life form category</b>	<b>Acronym</b>
Therophytes	Th
Bulbous geophytes	Bg
Rhizomatous geophytes	Rg
Prostrate rosette evergreens	Pr
Decumbent evergreens	De
Rhizomatous evergreens	Rh
Stoloniferous evergreens	St
Solitary evergreen tussocks	Te
Connected evergreen tussocks	Ct
Evergreen forbs	Ef
Erect rosette evergreens	Er
Evergreen subshrubs	Ss
Evergreen shrubs	Sh
Succulent evergreens	Su

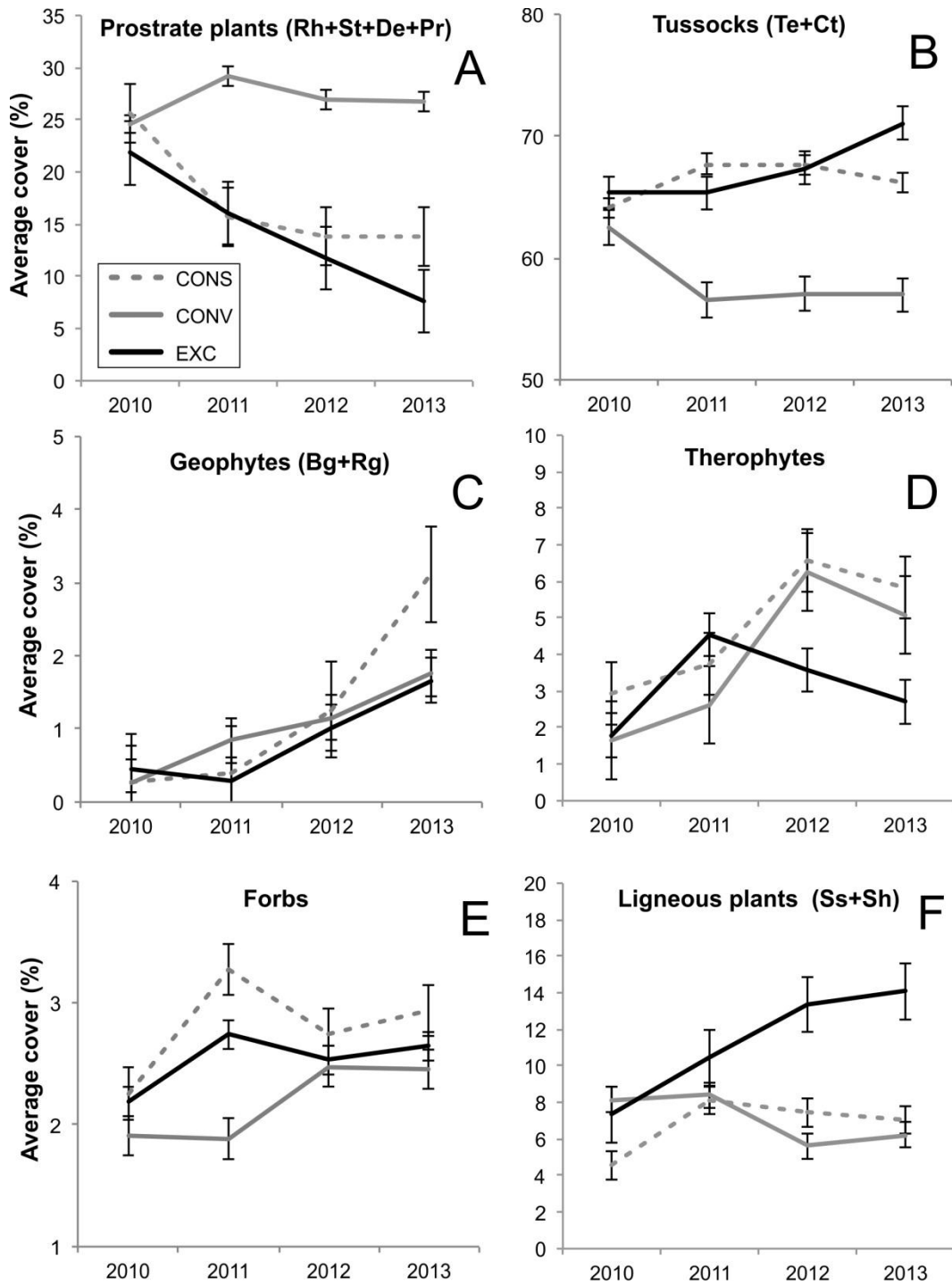
## Figures



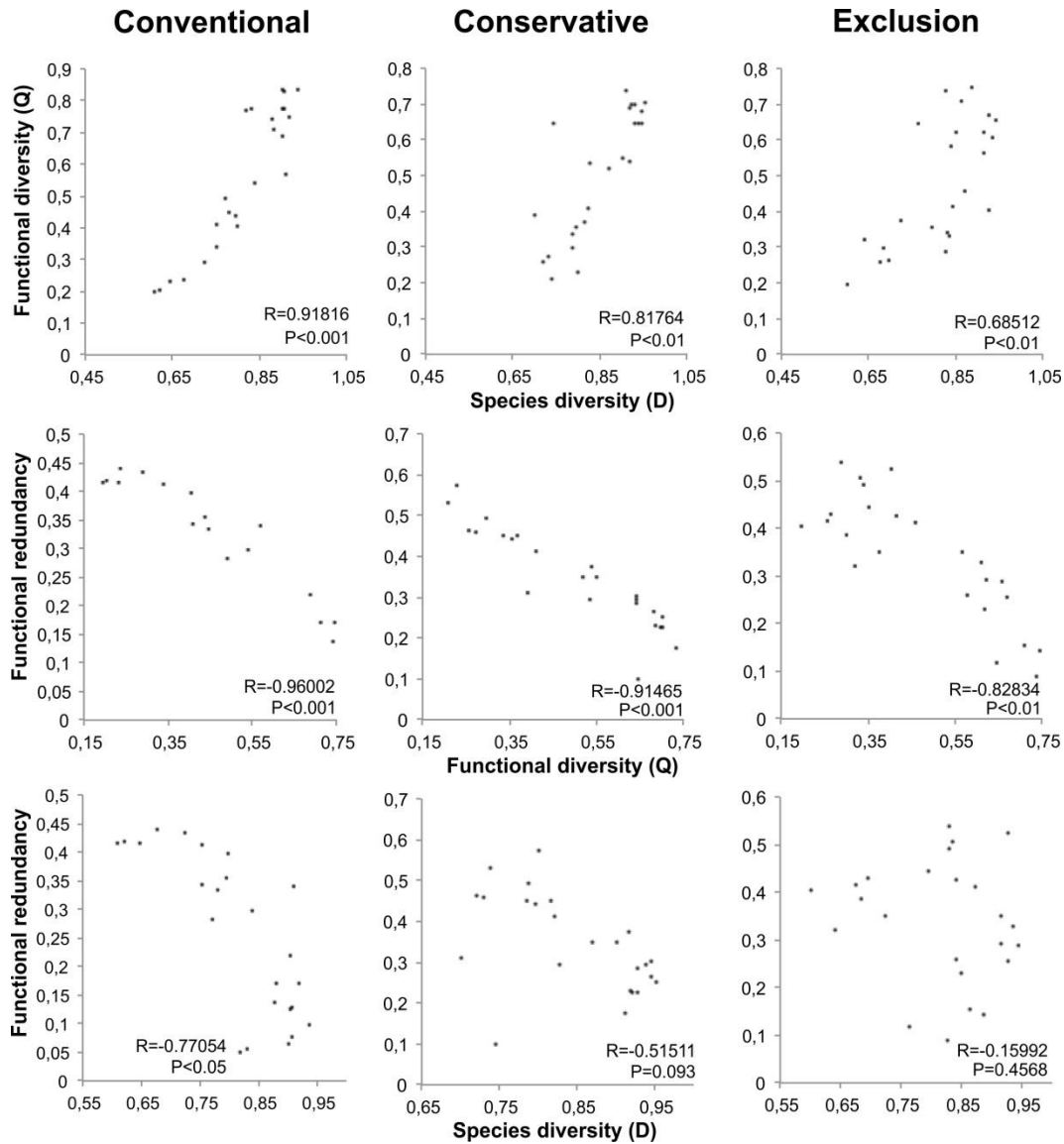
**Figure 1.** Species richness and diversity along four years under three different grazing treatments: conventional (conv), conservative (cons) and exclusion (exc). Mean values of six paddocks per treatment/year. Different letters correspond to significant differences ( $P < 0.05$ ) within each year.



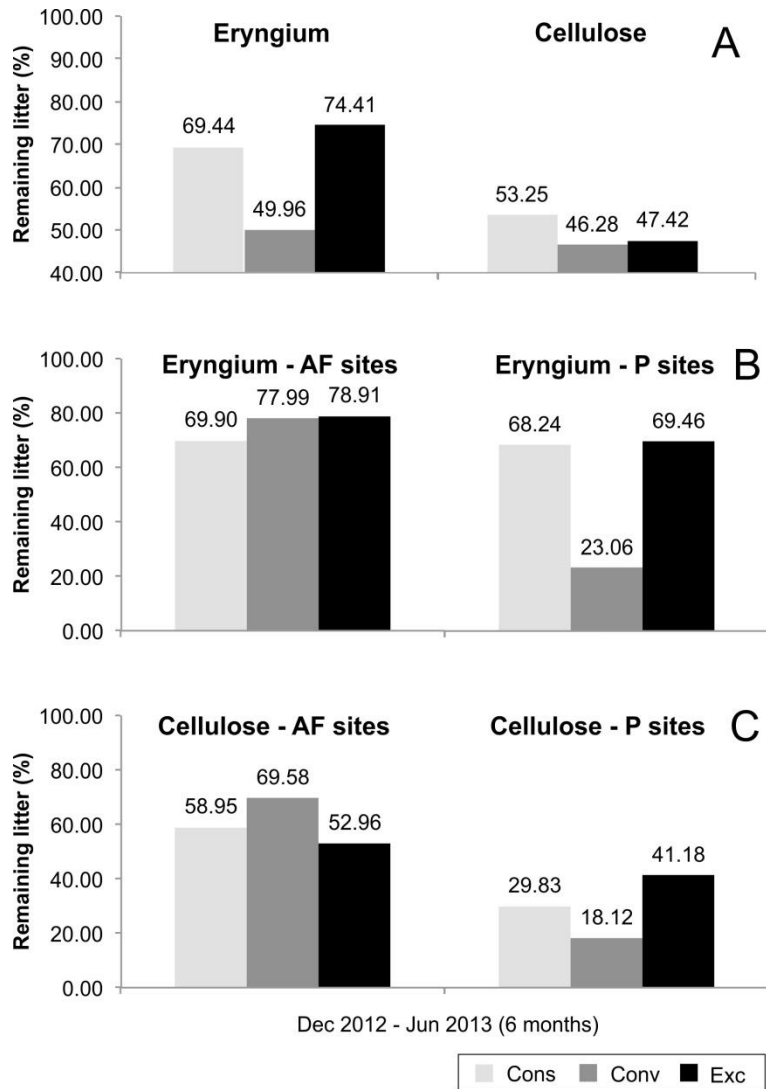
**Figure 2.** Functional diversity and redundancy along four years under three different grazing treatments: conventional (conv), conservative (cons) and exclusion (exc). Mean values of six paddocks per treatment/year. Different letters correspond to significant differences ( $P < 0.05$ ) within each year.



**Figure 3.** Mean cover values of different life forms along four years under three different grazing treatments: conventional (conv), conservative (cons) and exclusion (exc). Mean values of six paddocks per treatment/year. See Table 1 for life form acronyms.

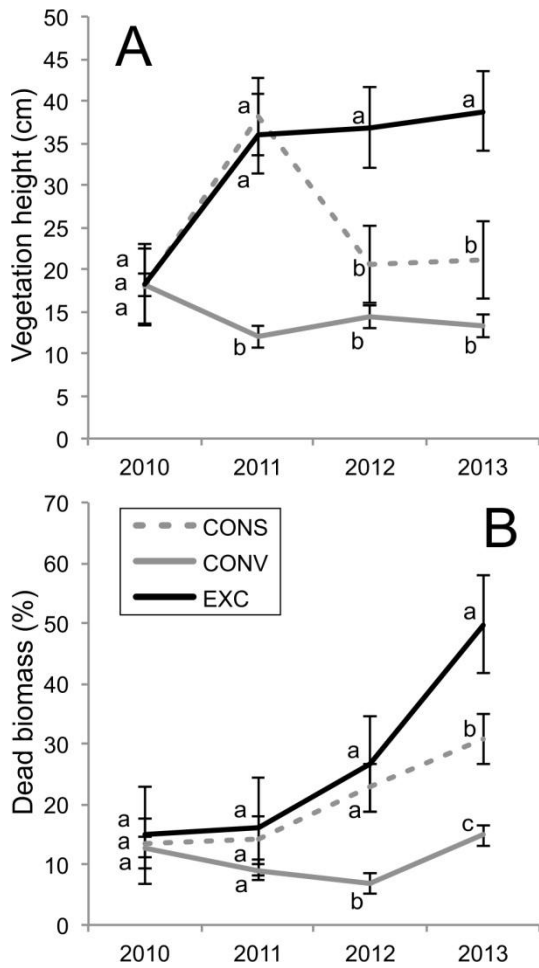


**Figure 4.** Correlation between functional diversity (Rao's Q), species diversity Simpson's D) and functional redundancy (FR) in grassland communities under three different grazing treatments. Mean values of six paddocks per treatment along four years of sampling (24 paddocks per analysis). Functional redundancy was calculated as  $FR = D - Q$  (Pillar et al. 2013). P-values obtained using restricted permutations.



**Figure 5.** Percentage of litter mass remaining after six months in litter bags containing two different standardized materials (*Eryngium horridum* and cellulose paper), in grassland communities under three different grazing treatments: conventional (conv), conservative (cons) and exclusion (exc). A. Mean values of six sites (six paddocks per treatment). B,C. Mean values separated by biome (three paddocks each) in which sites were inserted (AF = Atlantic Forest; P = Pampa).





**Figure 6.** Vegetation height and percentage of dead biomass along four years under three different grazing treatments: conventional (conv), conservative (cons) and exclusion (exc). Mean values of six paddocks per treatment/year. Different letters correspond to significant differences ( $P < 0.05$ ) within each year.

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## Considerações finais

Trabalhar com vegetação campestre é muito bom. Decidi iniciar esta breve seção final da tese com esta frase porque ela resume minha experiência ao longo do doutorado (e do mestrado também). Eu gosto do que faço, seja em campo de bombacha, bota e chapéu, seja de bermuda e chinelo no computador, brincando no R ou no Multiv. É claro que a companhia ajuda muito: tenho a sorte de trabalhar ao lado de pessoas (na maioria) ótimas. Trabalhar em um projeto grande como o PELD Campos Sulinos foi uma experiência extremamente desafiadora e recompensadora. Tenho orgulho de ter participado do início deste projeto, que tem a ambiciosa meta de estabelecer sítios de pesquisa permanentes (fato ainda raro no Brasil, sobretudo em ecossistemas campestres). Infelizmente não há espaço físico em uma tese de doutorado para incluir tudo o que foi feito ao longo de quatro anos (nem tempo para escrever, na verdade). Sei que muitos doutorandos são extremamente focados nas suas teses. Admiro estes colegas, mas eu não sou nada focado. Participei de diversos projetos paralelos nestes quatro anos, especialmente junto ao PPG Ecologia da UFRGS, no Laboratório de Ecologia Quantitativa (ECOQUA, para os íntimos). Provavelmente esta tese teria sido mais organizada, consistente e com mais capítulos se eu não tivesse feito isso, mas não me arrependo de absolutamente nenhum projeto paralelo ou conversa informal de quatro horas com algum colega sobre uma análise legal. Na verdade fui orientado a ser assim (obrigado, Ilsi).

Também é necessário ressaltar aqui o aspecto multidisciplinar de se trabalhar com vegetação campestre. Diferentemente de ecossistemas florestais, os campos bem conservados não estão em unidades de conservação, ou em áreas extremamente inacessíveis. Campos bem conservados estão nas propriedades rurais que bravamente mantêm a pecuária sobre campo nativo em seus mosaicos de produção. Trabalhar nestas propriedades é sair do computador na sala da Universidade e voltar no tempo para um mundo de estradas de chão, gado, cavalos e gaúchos (em extinção) que falam uma língua entre o português e o espanhol. É um processo de imersão cultural que pesquisadores das áreas sociais invejariam. O biólogo ‘típico’ não tem o costume de trabalhar

neste meio, que geralmente é mais ligado ao agrônomo, veterinário ou zootecnista. O contato com estes profissionais leva à reflexão sobre conceitos tidos como óbvios nas ciências biológicas. Esta interação deveria ser mais estimulada em programas de pós-graduação, pois leva a um inegável crescimento mútuo advindo de diferentes experiências e visões da diversidade biológica. Trabalhos de várias partes do mundo (incluindo aqui as nossas singelas contribuições) apontam a importância do manejo da vegetação campestre na manutenção da sua diversidade em diversos níveis. Apesar disso, ainda há profissionais das áreas biológicas que consideram áreas de campo manejadas com fogo e/ou gado como ambientes degradados, e que a conservação passa obrigatoriamente pela exclusão total de intervenção humana. Esta visão dogmática desaparece em dez minutos de conversa com um ecólogo de pastagens, mas também com um pecuarista bem informado ou um peão de estância com 40 anos de lida, pois ambos veem na prática o que acontece com uma área campestre não manejada.

Acredito que o conjunto dos dados apresentados nesta tese forme uma contribuição consistente, porém pontual, ao estudo dos ecossistemas campestres do Rio Grande do Sul. O legado mais relevante destes quatro anos de trabalho talvez seja o conjunto bruto de dados obtidos em quatro anos consecutivos de levantamento da vegetação sob diferentes manejos em um experimento controlado. Estes dados serão a referência de comparação para os dados obtidos nos anos subsequentes deste projeto de longa duração.