

UNIVERSIDADE FEDERAL DO RIO GRANDE DO SUL

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Avaliação de Heterorresistência ao Imipenem em Enterobactérias Produtoras e  
Não-Produtoras de KPC

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1 **Imipenem heteroresistance: evaluation of *Klebsiella pneumoniae***  
2 **carbapenemase-producing and non-producing *K. pneumoniae* and *E. coli***

3

4 **Running title:** Imipenem Heteroresistance among Enterobacteriaceae

5

6 **Contents Category:** KPC, heteroresistance, imipenem, *E. coli*.

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**Abbreviations:** ESBL, extended spectrum beta-lactamase; IPM, imipenem; KPC, *Klebsiella pneumoniae* carbapenemase; MEM, meropenem; MIC, minimum inhibitory concentration.

19 **SUMMARY**

20 The misdetection of *Klebsiella pneumoniae* carbapenemase (KPC) may lead to  
21 treatment failure and favour the spread of this resistance mechanism.  
22 Heteroresistance is an antimicrobial resistance in a subset of an isolate  
23 considered to be susceptible by conventional testing. The aim of this study was  
24 to evaluate the presence of heteroresistance to imipenem in KPC-producing  
25 and non-producing *K. pneumoniae* and *E. coli*. After the determination of the  
26 minimum inhibitory concentration (MIC) to imipenem by broth microdilution,  
27 each isolate with MIC lower than 4 mg L<sup>-1</sup> was subcultured in plates containing  
28 imipenem ranging from 0.25 mg L<sup>-1</sup> to 32 mg L<sup>-1</sup>. Isolates with growth in  
29 imipenem concentrations two fold dilutions above the original MIC were  
30 considered heteroresistant. The KPC group consisted of 10 isolates, while the  
31 non-KPC group consisted of four isolates and distinct results were observed  
32 among them: all KPC-producing isolates presented heteroresistance to  
33 imipenem, while for the KPC-non-producing isolates this phenomenon was not  
34 observed. Interestingly, we also found heteroresistance in *E. coli* isolates,  
35 which, to best of our knowledge, was not reported as yet. Our results indicated  
36 a possible relationship between heteroresistance and KPC production. The  
37 clinical implications of appearing of heteroresistant subpopulations remains to  
38 be investigated, considering that this phenomenon seems to be frequent in  
39 Gram-negative rods, including members, such as *E. coli*, in which this  
40 phenomenon is still rarely investigated.

## 41 INTRODUCTION

42 Carbapenems are currently the treatment of choice for severe infections due to  
43 Enterobacteriaceae producing extended spectrum  $\beta$ -lactamases (ESBLs)  
44 (Pitout *et al.*, 2008). *Klebsiella pneumoniae* carbapenemase (KPC) has already  
45 been described in virtually all members of *Enterobacteriaceae* (Nordmann *et al.*,  
46 2009) since its first description in a *K. pneumoniae* isolate (Yigit *et al.*, 2001).  
47 The KPC enzyme is an Ambler class A  $\beta$ -lactamase that hydrolyses not only  
48 carbapenems, but also other  $\beta$ -lactams, such as penicilins, cephalosporins and  
49 monobactams (Alba *et al.*, 2005).

50 The detection of KPC-producing isolates based solely in susceptibility tests may  
51 be difficult, as KPC may confer only low-level carbapenem resistance in vitro  
52 (Anderson *et al.*, 2007). The misdetection of KPC-producing strains will not only  
53 lead to a treatment failure but also favour the spread of this resistance  
54 mechanism.

55 Heteroresistance is defined as an antimicrobial resistance expressed by a  
56 subset of a microbial population that is considered susceptible to an antibiotic  
57 by traditional in vitro susceptibility testing (Falagas *et al.*, 2007). This  
58 phenomenon is already well known in Gram-positive bacteria. Among the  
59 Gram-negative rods, heteroresistance to carbapenems was observed in  
60 *Pseudomonas aeruginosa* (Oikomonou *et al.*, 2011) and *Acinetobacter*  
61 *baumannii* (Cuenca *et al.*, 2012; Ikonomidis *et al.*, 2009). In *Enterobacteriaceae*,  
62 the reports of heteroresistance are still rare. The aim of this study was to  
63 evaluate the presence of imipenem heteroresistant subpopulations in KPC-  
64 producing and non-producing *K. pneumoniae* and *E. coli*.

## 65 METHODS

66 **Bacterial isolates.** We selected a total of 33 KPC-producing *K. pneumoniae*  
67 and *E. coli* (KPC group) and four KPC-non-producing isolates (non-KPC group)  
68 susceptible to imipenem and/or meropenem by disc-diffusion, from February to  
69 July 2012. The KPC production was previously confirmed by a multiplex real-  
70 time PCR with specific primers, including *bla*<sub>KPC</sub>, *bla*<sub>GES</sub>, *bla*<sub>IMP</sub>, *bla*<sub>NDM</sub>, *bla*<sub>VIM</sub>  
71 and *bla*<sub>OXA-48</sub> genes (Monteiro *et al.*, 2012). No other carbapenemase was found  
72 in both groups. The isolates from KPC group were collected in the same

73 hospital in Florianópolis, Brazil, whereas the isolates from the non-KPC group  
74 were obtained from three distinct institutions in two capitals southern Brazil  
75 (Florianópolis and Porto Alegre). All isolates were recovered from urine  
76 samples, with exception of 5C isolate, which was recovered from a rectal swab.

77 **Susceptibility testing.** The minimum inhibitory concentration (MIC) to  
78 imipenem for both groups was determined by broth microdilution according to  
79 CLSI (2012). Isolates with MIC lower than  $4\text{mg L}^{-1}$  were further evaluated for  
80 the presence of heteroresistant populations.

81 **Population analysis.** For each isolate, an inoculum of approximately  $10^8$  UFC  
82  $\text{mL}^{-1}$  was prepared. A volume of  $20\mu\text{L}$  were plated in Mueller-Hinton agar  
83 containing imipenem ranging from  $0.25\text{ mg L}^{-1}$  to  $32\text{ mg L}^{-1}$  and incubated at  
84  $37^\circ\text{C}$ . The inoculum was also incubated in an imipenem-free plate. The  
85 procedures were performed in duplicate. After 48 hours, the presence of  
86 bacterial growth was observed for each concentration of imipenem. The isolates  
87 were considered heteroresistant when they grew in plates with imipenem  
88 concentrations at least two fold dilutions of MIC.

## 89 **RESULTS**

90 From the 33 KPC-producing isolates, only 10 (five *K. pneumoniae* and five  
91 *E. coli*) presented MIC lower than  $4\text{mg L}^{-1}$  to imipenem (Table 1). In addition, all  
92 isolates from the non-KPC group (three *K. pneumoniae* and one *E. coli*) (Table  
93 1) also presented MIC lower than  $4\text{mg L}^{-1}$ .

94 The isolates above were evaluated for the presence of heteroresistance. A  
95 distinct profile was observed among the groups, considering that only the KPC-  
96 producing isolates presented heteroresistant subpopulations. The population  
97 analysis, as well as other information about the isolates can be found in Table  
98 1.

## 99 **DISCUSSION**

100 In this study, we compared the presence of heteroresistant subpopulations in  
101 two distinct groups, including KPC-producing and KPC non-producing isolates.  
102 In KPC group, all isolates presented colonies growing at imipenem  
103 concentrations at least four times the original MICs. On the other hand, the

104 heteroresistance phenomenon was not observed in isolates from non-KPC  
105 group.

106 The heteroresistant subpopulations of *K. pneumoniae* isolates reached growth  
107 up to concentrations of 16 times the original MIC, with exception of the isolate  
108 2C. Considering the *E. coli* isolates, the growth was at most eight times the MIC  
109 (Table 1). These results may contribute to the understanding of the fact that  
110 *Klebsiella* genus is significantly more involved with multiresistance and  
111 therapeutic failure (Nordmann *et al.*, 2011). Indeed, to the best of our  
112 knowledge, this is the first report of heteroresistance among *E. coli*.

113 According to our results, heteroresistance was only observed in the KPC-  
114 producing isolates. Although heteroresistance reports among  
115 *Enterobacteriaceae* are still uncommon, some studies reported the presence of  
116 meropenem heteroresistant subpopulations in VIM-1- and KPC-producing  
117 *K. pneumoniae* isolates (Tato *et al.*, 2010; Pournaras *et al.*, 2010). On the other  
118 hand, the relationship between the presence of carbapenemase genes and  
119 heteroresistance in non-fermenters Gram negative rods is still not clear. In a  
120 study with *A. baumannii*, Ikonomidis *et al.* (2009) found no carbapenemase  
121 gene in meropenem heteroresistant isolates, while Cuenca *et al.* (2012)  
122 detected the *bla*<sub>OXA-58-like</sub> gene in 57% of the heteroresistant isolates studied.

123 Heteroresistance may not be detected by conventional susceptibility methods  
124 and isolates may be reported as susceptible to antibiotics which may lead to  
125 carbapenem treatment failure. Since the heteroresistance, at least to imipenem,  
126 seems to be related to the presence of an enzymatic resistance mechanism, it  
127 is well recommended that other methodologies than the susceptibility standard  
128 methodologies need to be used to identify the presence of such phenomenon.  
129 The clinical implications of appearing of heteroresistant subpopulations remains  
130 to be investigated, considering that this phenomenon seems to be frequent in  
131 Gram-negative rods, including members, such as *E. coli*, in which this  
132 phenomenon is still rarely investigated.

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**Table 1.** Clinical and laboratorial data of the isolates analysed for the presence of heteroresistance.

Strain	Hospital	Specie	IPM MIC <sup>#</sup>	Highest IPM concentration with growth <sup>*,*</sup>
<b>KPC group</b>				
1C	A	<i>K. pneumoniae</i>	2	<b>≥32</b>
2C	A	<i>K. pneumoniae</i>	2	<b>8</b>
3C	A	<i>K. pneumoniae</i>	2	<b>≥32</b>
5C	A	<i>K. pneumoniae</i>	≤0.5	<b>≥32</b>
10C	A	<i>K. pneumoniae</i>	2	<b>≥32</b>
4C	A	<i>E. coli</i>	1	<b>8</b>
6C	A	<i>E. coli</i>	1	<b>8</b>
7C	A	<i>E. coli</i>	1	<b>8</b>
8C	A	<i>E. coli</i>	≤0.5	<b>8</b>
9C	A	<i>E. coli</i>	2	<b>16</b>
<b>Non-KPC group</b>				
12C	A	<i>K. pneumoniae</i>	1	2
14C	A	<i>K. pneumoniae</i>	2	1
16C	C	<i>K. pneumoniae</i>	2	4
13C	B	<i>E. coli</i>	≤0.5	1

MICs were obtained by broth microdilution. Concentration in mg L<sup>-1</sup>.

<sup>#</sup> IPM, imipenem.

\* The presence of heteroresistant subpopulations is indicated in bold.