

## UNIVERSIDADE FEDERAL DO RIO GRANDE DO SUL INSTITUTO DE BIOCIÊNCIAS PROGRAMA DE PÓS-GRADUAÇÃO EM ECOLOGIA



Tese de Doutorado

# Efeitos da dispersão e da extinção na distribuição de mamíferos entre regiões e hábitats

André Luís Luza

Tese de Doutorado apresentada ao Programa de Pós-Graduação em Ecologia da Universidade Federal do Rio Grande do Sul como um dos pré-requisitos para obtenção do título de Doutor em Ciências – ênfase em Ecologia

Orientador: Profa. Dra. Sandra Maria Hartz Co-orientador: Prof. Dr. Leandro da Silva Duarte

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> Banca examinadora: Dra. Fernanda Thiesen Brum Prof. Dr. Christian Dambros Profa. Dra. Maria João Pereira

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#### 1 **RESUMO**

Os distintos padrões de distribuição e diversidade de espécies surgindo de comparações 2 3 entre regiões e hábitats resultam de um complexo balanço entre dispersões, especiações 4 e extinções. A relevância da perspectiva 'entre regiões e hábitats' deve-se ao fato de o 5 limite ecológico entre regiões ou entre hábitats ser caracterizado por uma mudança nas 6 condições abióticas e na estrutura da vegetação. Dependendo do grau de mudança em 7 condições abióticas e na estrutura da vegetação, os limites ecológicos podem causar extinções ou impedir a dispersão de linhagens entre regiões e de espécies e indivíduos 8 9 entre manchas de hábitat. O principal objetivo da tese foi entender como características 10 das espécies e dos hábitats influenciam na força dos processos de dispersão e de 11 extinção em escala regional e local. No primeiro capítulo avaliei se a distribuição de 12 espécies e linhagens de mamíferos de diferentes guildas tróficas é limitada ao tipo de 13 hábitat ancestral (i.e., se extinções seriam mais fortes entre hábitats ambientalmente 14 contrastantes do que entre hábitats ambientalmente similares). Observei que, para a 15 maioria das guildas tróficas, a distribuição das espécies não se limitou ao hábitat 16 ancestral. No segundo capítulo avaliei a variação geográfica na expectativa de que extinções seriam mais fracas em comunidades de pequenos mamíferos ocupando 17 18 hábitats modificados com estrutura similar ao hábitat pristino. Encontrei que a alta 19 similaridade estrutural nem sempre garante baixas taxas de extinção, uma vez que a força da extinção varia geograficamente pela ação de processos históricos e evolutivos. 20 21 No terceiro capítulo pretendi definir a força de extinções e de imigrações em resposta às 22 modificações no hábitat. Para isto, comparei a riqueza, diversidade funcional e 23 composição observada em comunidades de hábitats pristinos e modificados com a 24 riqueza, diversidade funcional e composição que seriam esperadas pela estrutura do 25 conjunto (pool) regional de espécies. Resultados demostraram que comunidades de

pequenos mamíferos de diversos tipos de hábitats modificados parecem estar sob fraca 26 extinção e dispersão. No quarto capítulo obtive registros de três espécies de roedores ao 27 longo de um ano em campos sujeitos a diferentes níveis de pastejo por bovinos. Estes 28 dados revelaram maior probabilidade de ocupação em campos não pastejados para duas 29 30 das três espécies analisadas. Em suma, dependendo da escala de observação, constatei 31 que processos históricos, evolutivos e antropogênicos podem 'desequilibrar a balança' para maior extinção ou dispersão, que por sua vez influenciam na extensão espacial de 32 33 distribuição das espécies e na estrutura das comunidades e populações.

Palavras chave: aninhamento; Antropoceno; beta-diversidade; conjunto probabilístico
de espécies; conversão de hábitats; fragmentação de hábitats; imigração; modelos
hierárquicos; perdas e ganhos de espécies.

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#### 1 ABSTRACT

The different patterns of species distribution and diversity that may arise from 2 3 comparisons between regions and habitats result from a complex balance between 4 dispersals, speciations and extinctions. The relevance of the 'between-region and 5 between-habitat' perspectives is that the ecological boundary delimiting regions and 6 habitats are characterized by changes in the abiotic conditions and vegetation structure. 7 Depending on the degree of change in abiotic conditions and vegetation structure, we may observe extinctions or environmentally bound dispersals across regions or habitat 8 9 patches. The main objective of my dissertation was to understand the influence of 10 species and habitat characteristics on the strength of extinction and dispersal processes. In the first chapter I evaluated whether the distribution of mammalian dietary guild 11 species and lineages is bound by the ancestral habitat (i.e. if extinctions should be 12 stronger between environmentally dissimilar than similar habitats). I found that, for 13 14 most of the mammal dietary guild, the distribution was not bound by the ancestral 15 habitat. In the second chapter I evaluated the geographic variation in the expectation 16 that extinctions are weaker in small mammal communities from human-modified 17 habitats with vegetation structure that is similar to the pristine habitats. I found that high 18 similarity in vegetation structure does not always guarantee low extinction rates in 19 human-modified habitats, because the extinction strength varies geographically due to influence of historical and evolutionary processes. In the third chapter I aimed to define 20 21 the strength of extinctions and immigrations front to human-mediated modifications in 22 the habitat. To do so, I compared the species richness, composition and functional 23 diversity of pristine and human-modified habitats with the richness, composition and 24 functional diversity expected given the structure of the regional species pool. I found 25 that small mammal communities from several types of human-modified habitats seem to

be under both weak extinction and immigrations. In the fourth chapter I estimated the probability of occupation of three rodent species in grasslands subjected to different intensities of cattle grazing. The data revealed highest probability of occupation in ungrazed grasslands for two of the three rodent species. In sum, depending on the scale historical, evolutionary and anthropogenic processes can 'unbalance the balance' to higher extinction or dispersal, which in turn might influence the spatial extent of species range and the structure of communities and populations.

33 Keywords: Anthropocene; beta-diversity; immigration; habitat conversion; habitat
34 fragmentation; hierarchical models; nestedness; probabilistic species pools; species
35 losses and gains.

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### 1 INTRODUÇÃO GERAL

2 Os distintos padrões de diversidade de espécies surgindo de comparações entre 3 regiões e hábitats resultam de um complexo balanço entre dispersão, extinção, deriva e especiação (Graham et al., 2012; Cavender-Bares et al., 2009; Wiens & Donoghue, 4 5 2004). Limites ecológicos (ecological boundaries), também conhecidos como ecótonos (ecotones), ecoclinas (ecoclines) ou bordas (edges) (van der Maarel, 1990; Strayer et al., 6 7 2003; Cadenasso et al., 2003) são barreiras semi-permeáveis que delimitam regiões e 8 manchas de hábitat e que regulam a força da dispersão, extinção, deriva e especiação 9 (Wiens et al., 1985; Hansen et al., 1988; Cadenasso et al., 2003; Strayer et al., 2003; 10 Ries et al., 2004; Fonseca & Joner, 2007). Limites ecológicos são caracterizados por 11 mudanças em condições abióticas e na estrutura física dos hábitats, e podem ser 12 identificados em diferentes escalas espaciais (Ries et al., 2004; Hansen et al., 1988). Por exemplo, podemos analisar se o limite entre biomas é impermeável a dispersão de 13 linhagens (Wiens & Donoghue, 2004), ou se o limite entre manchas de habitats vizinhos 14 15 é impermeável a dispersão de espécies e de indivíduos (Wiens et al., 1985; Hansen et 16 al., 1988; Cadenasso et al., 2003; Ries et al., 2004). A permeabilidade varia em função 17 de características do próprio limite ecológico (ex. largura, sinuosidade, contraste) (Cadenasso et al., 2003; Strayer et al., 2003) e de atributos das espécies em questão (ex. 18 19 potencial dispersivo, especificidades no uso do hábitat e de recursos) (Hansen et al., 1998; Ries et al., 2004). Assim, um limite pouco óbvio pode ser suficientemente 20 21 impermeável à dispersão de uma espécie pouco móvel e especializada a um determinado recurso (Wiens et al., 1985; Hansen et al., 1988; Cadenasso et al., 2003; 22 23 Ries et al., 2004). O principal objetivo da tese foi entender como características das espécies e dos hábitats influenciam na força dos processos de dispersão e de extinção 24 25 em escala regional e local.

O foco dado aos processos de extinção e dispersão deve-se ao fato que estes 26 27 ocorrem em escalas espaciais e temporais muito mais finas do que a especiação e deriva e, portanto, são parâmetros mais sensíveis a mudanças abruptas na estrutura dos 28 29 habitats. No primeiro capítulo, considerei todas as espécies de mamíferos do planeta porque o objetivo foi determinar se a distribuição de diferentes guildas tróficas de 30 31 mamíferos (Kissling et al., 2014) seria delimitada pelo tipo de hábitat ancestral (bioma florestal ou aberto [e.g. campo, savana]). Para os demais capítulos, analisei os padrões 32 33 de distribuição de pequenos mamíferos não-voadores entre hábitats prístinos e modificados por ações antropogênicas. O foco dado aos pequenos mamíferos (ordens 34 35 Afrosoricida, Dasyuromorphia, Didelphimorphia, Diprotodontia, Eulipotyphla, Lagomorpha, Peramelemorphia e Rodentia) deve-se ao fato destes pertencerem a um 36 único nível trófico (onívoros) (Kissling et al., 2014), possuírem baixo potencial 37 38 dispersivo (Withmee & Orme, 2013; Pacifi et al., 2013), terem protocolos amostrais 39 estabelecidos (Bovendorp et al., 2017) e serem frequentemente considerados como 40 indicadores do efeito de ações antropogênicas sobre a biodiversidade (Laurance, 1994; 41 Pfeifer et al., 2017).

42 O estudo dos processos gerando os padrões de distribuição de espécies ao longo 43 de limites ecológicos tem mais de um século de história, com raízes em estudos 44 procurando determinar a natureza das comunidades ecológicas (Clements, 1916; Gleason, 1926). Por um lado, uma comunidade poderia ser um superorganismo e, 45 portanto, uma unidade impermeável ("fechada") a imigrações de espécies de outras 46 comunidades (Clements, 1916). Em contraste, uma comunidade poderia ser permeável 47 48 ("aberta") e composta por espécies que compartilham tolerâncias ao ambiente; portanto, 49 estaria sujeita a imigrações de espécies de outras comunidades (Gleason, 1926; 50 Ricklefs, 2008). Embora existam comunidades extremamente fechadas à colonização, a maioria das comunidades estão sujeitas a imigrações (Leibold et al., 2004; Logue et al.,
2011), sejam estas imigrações resultando de dinâmicas naturais dos habitats (e.g.,
migrações em resposta ao clima; de Vivo & Carmignotto, 2004) ou atividades
antropogênicas (e.g., imigrações após desmatamento; Dornelas et al., 2014; McGill et
al., 2015).

56 Dinâmicas climáticas naturais e atividades antropogênicas alteram a localização, qualidade e área das manchas de habitat e de seus limites ecológicos, que por sua vez 57 58 resultam na expansão ou contração da distribuição das espécies por alterarem a predominância da dispersão e extinção (de Vivo & Carmignotto, 2004; Ewers & 59 Didham, 2006; Fisher & Lindemeyer, 2007; Sandel et al., 2011). Por exemplo, 60 variações na precipitação e temperatura desde o último máximo glacial (± 20.000 anos 61 62 atrás) até os dias atuais têm moldado a ocorrência de biomas florestais, campos e de 63 seus limites ecológicos em paisagens de diversas regiões do mundo (de Vivo & Carmignotto, 2004; Bond & Parr, 2010; Parr et al., 2014). Climas quentes e úmidos têm 64 65 promovido a expansão da biota florestal a despeito da contração da biota típica de 66 regiões campestres, enquanto que climas frios e secos têm promovido a expansão da biota campestre a despeito da contração da biota florestal (de Vivo & Carmignotto, 67 2004; Bond & Parr, 2010; Parr et al., 2014). Enquanto que alterações significativas na 68 69 distribuição de habitats e de seus limites ecológicos devido ao clima ocorrem ao longo 70 de vários séculos, tais alterações tendem a ocorrer muito mais rapidamente pela ação 71 antropogênica (Jackson & Sax, 2010; Parr et al., 2014). A expansão e intensificação 72 descontrolada da agricultura, principalmente em regiões com florestas tropicais e 73 subtropicais, têm fomentado o estudo da distribuição de espécies ao longo de limites 74 ecológicos produzidos pelo homem (Laurance, 1994; Prevedello & Vieira, 2010; Laurance et al., 2014; Pfeifer et al., 2017). Neste contexto, o estudo de limites 75

ecológicos tem relevância para predizer invasões biológicas e compreender o efeito da
conversão de habitats e das mudanças climáticas para a estrutura das comunidades e
populações (McGill et al., 2015; Davidson et al., 2017; Pfeifer et al., 2017).

79 Para quantificar a especiação, deriva, dispersão e extinção, podemos: 1) reconstruir a distribuição ancestral das espécies de interesse diretamente em filogenias e 80 81 comparar com o padrão de distribuição atual (através de ferramentas da macroevolução 82 e filogeografia; Ree & Smith 2008), ou 2) analisar a variação temporal e espacial na 83 estrutura de comunidades e populações (através de métodos da macroecologia, ecologia de comunidades e populações; Mackenzie et al., 2005; Dobrovolski et al., 2012; Holt et 84 85 al., 2013; Penone et al., 2016). Embora existam abordagens permitindo unir macroevolução e macroecologia (Fritz et al., 2013; Lawing et al., 2017), estas são 86 geralmente focadas em espécies, guildas ou linhagens particulares (e não em 87 88 comunidades) e necessitam de informações sobre fósseis e sua biologia (ex. uso do 89 habitat), que são de difícil obtenção para muitos grupos faunísticos. Nesta tese, utilizei 90 métodos da macroecologia e ecologia de comunidades (i.e., análise da beta-diversidade 91 e filo beta-diversidade entre comunidades [Almeida-Neto et al., 2008; Baselga, 2010; Melo et al., 2014], a análise do pool regional de espécies das comunidades 92 93 [probabilistic species pool, Karger et al., 2016]) e métodos da ecologia de populações 94 (modelos de ocupação de sítios, Mackenzie et al., 2005) para determinar o efeito dos 95 processos de dispersão e extinção para a distribuição de mamíferos.

96 É bem estabelecido que dois diferentes fenômenos, substituição de espécies
97 (*turnover*) e aninhamento (*nestedness*), podem resultar em variações na composição e
98 riqueza entre sítios (i.e., beta-diversidade; Almeida-Neto et al., 2008; Baselga, 2012,
99 2010). Distinguir o efeito de cada fenômeno é essencial desde que eles são causados por
100 diferentes processos ecológicos e históricos (Baselga, 2012, 2010). Uma completa

101 substituição de espécies ocorre quando dois sítios não compartilham espécies devido as 102 preferências de nicho das espécies, enquanto que um completo aninhamento ocorre 103 quando um sítio pobre em espécies é um subconjunto aninhado de um sítio rico em 104 espécies devido ao processo de perda de espécies (Patterson & Atmar, 1988; Almeida-105 Neto et al., 2008; Baselga, 2010). Construí um exemplo simples para demonstrar o 106 efeito da especiação, extinção e dispersão (Figura 1). A especiação, um processo cujo 107 efeito é mais evidente em escalas regionais (Baselga, 2010), resulta no aumento da 108 dissimilaridade composicional entre sítios devido à substituição de espécies e linhagens 109 entre comunidades (Figura 1A). No exemplo, percebe-se que cada hábitat (A e B) 110 possui um conjunto exclusivo de espécies e, em larga extensão, de linhagens (a 111 substituição de linhagens nunca vai ser completa já que todas as espécies possuem uma 112 ancestralidade comum; Melo et al., 2014).

113 Determinar a predominância da extinção e dispersão é o principal interesse desta 114 tese. O cenário de extinção (Figura 1B) foi propositalmente ajustado para demonstrar 115 dois importantes aspectos do aninhamento: 1) a perda de espécies tende a causar 116 diferenças em riqueza e diversidade filogenética entre sítios (o hábitat B é mais pobre 117 do que A, se somarmos o número de espécies e os comprimentos dos ramos da filogenia 118 conectando as espécies), e 2) em amplas escalas, podemos ter aninhamento filogenético 119 mesmo quando os sítios não compartilham nenhuma espécie (na filogenia da Figura 1, o 120 triângulo retângulo é filogeneticamente aparentado ao triângulo; portanto B é um 121 subconjunto aninhado de A) (Graham & Fine, 2008; Melo et al., 2014). Finalmente, na 122 figura 1, nos exemplos de especiação e extinção, os habitats A e B não compartilham 123 espécies (i.e., havia uma completa substituição de táxons). Com a dispersão de uma 124 espécie, os hábitats tornaram-se mais similares em composição, resultando no aumento 125 do aninhamento e diminuição na substituição de táxons (Figura 1C). Portanto, extinção

126 e dispersão são os processos que diminuem as diferenças de diversidade (Figura 1B) e
127 aumentam a similaridade composicional entre regiões ou habitats (Figura 1C) (Graham





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Figura 1: Exemplo demonstrando o efeito dos processos de especiação, extinção e
dispersão para a estrutura de comunidades de dois habitats ambientalmente distintos
(Habitat A e B). Baseado em Graham & Fine (2008). As diferentes formas geométricas
"povoando" os diferentes hábitats (quadrados) representam diferentes espécies. A seta
representa um evento de dispersão. A filogenia representa o grau de parentesco entre
espécies.

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No primeiro capítulo desta tese, que está formatado de acordo com as normas do
periódico *Journal of Animal Ecology*, busco entender se as mudanças na distribuição
(*distribution dynamics*) de espécies de mamíferos são limitadas ao hábitat ancestral.
Mudanças na distribuição das espécies tem uma relação direta com dois mecanismos
evolutivos relacionados às mudanças no nicho (*niche dynamics*): estase de nicho (*niche stasis*) ou mudança de nicho (*niche shifts*) (Pearman et al., 2008). Podemos esperar que

a distribuição de uma dada espécie tenha permanecido estável ao longo do tempo 143 144 devido à conservação do nicho ancestral (niche stasis) (Wiens & Graham, 2005; 145 Pearman et al., 2008). Neste caso, a especiação acumula espécies dentro de um hábitat 146 similar habitat ancestral (habitat A, figura 1B). dispersões ao ocorrem 147 predominantemente entre habitats similares ao habitat ancestral, e dispersões para 148 habitats dissimilares resultam em elevadas taxas de extinção (habitat B, Figura 1B). 149 Desta forma, habitats que são ambientalmente distintos podem ser um subconjunto 150 aninhado de hábitats similares ao ancestral (Pearman et al., 2008). Em contraste, podemos esperar que a distribuição de uma espécie tenha mudado ao longo do tempo, 151 152 desde que dispersões são possíveis já que o nicho não mais se limita ao nicho ancestral (niche shifts) (Figura 1C) (Pearman et al., 2008; Benton, 2010). Mudanças no nicho 153 154 pode ocorrer pelo desaparecimento do hábitat ancestral ou pela necessidade de explorar 155 recursos de hábitats distintos (Benton, 2010). Desta forma, habitats que são 156 ambientalmente distintos tornam-se similares em riqueza, e nenhum padrão de 157 aninhamento é esperado (Figura 1A). A hipótese principal foi de que uma distribuição 158 estável e estase do nicho produziriam aninhamento para guildas de mamíferos que 159 demandam de recursos habitat-específicos (e.g., herbívoros pastadores ["grazers"] 160 dependem de gramíneas campestres, frugívoros dependem de frutos suculentos 161 encontrados em florestas; Kissling et al., 2014), enquanto que mudancas na distribuição 162 e no nicho não produziriam aninhamento para guildas de onívoros que consomem 163 recursos de diferentes habitats (Bofarull et al., 2008; Cantalapiedra et al., 2011).

164 Construí uma base de dados de ocorrência de pequenos mamíferos não-voadores 165 em habitats prístinos e modificados por ações antropogênicas (material suplementar do 166 Capítulo 2) para as análises dos capítulos 2 e 3, onde busco entender como a extinção e 167 a dispersão determinam os padrões de riqueza e composição de espécies e atributos em

comunidades de pequenos mamíferos de habitats prístinos e modificados por atividades 168 169 antropogênicas. A modificação de habitats prístinos cria limites ecológicos artificiais 170 entre manchas de habitat, promovendo a extinção de algumas espécies a despeito da 171 imigração de outras (Ewers & Didham, 2006; Fischer & Lindemeyer, 2007; McGill et 172 al., 2015). Habitats modificados tendem a perder espécies raras e habitat-especialistas, 173 com reprodução lenta, dieta específica e com maior porte (Ewers & Didham, 2006; 174 Fischer & Lindemeyer, 2007); no entanto, espécies generalistas, com reprodução rápida, 175 dieta generalista e com menor porte ainda permanecem em habitats modificados (i.e., 176 espécies remanescentes no habitat B). Assim, muitas espécies que ocorriam no habitat 177 prístino não mais ocorrem no habitat modificado, principalmente se este difere 178 consideravelmente em estrutura da vegetação quando comparado ao habitat não 179 modificado (Ewers & Didham, 2006; Prevedello & Vieira, 2010). Neste sentido, 180 habitats modificados e ambientalmente diferentes do ambiente prístino tendem a possuir 181 um subconjunto aninhado da composição de espécies de habitats prístinos (Patterson & 182 Atmar, 2000, 1986). Embora esta expectativa possa facilitar a gestão da paisagem para a 183 conservação de espécies (ou seja, a implantação de florestas plantadas tem maior 184 efetividade para a conservação de espécies florestais do que a implantação de lavouras, Ruffel et al., 2017), devemos considerar que as taxas de extinção e dispersão variam 185 186 geograficamente (Dobrovolski et al., 2012; Holt et al., 2013; Penone et al., 2016). 187 Determinar o quanto a variação geográfica e a similaridade estrutural entre habitats 188 influenciam no aninhamento observado em comunidades de pequenos mamíferos não-189 voadores foi o objetivo do capítulo 2, que está formatado de acordo com as normas do 190 periódico Biological Conservation.

191 O principal objetivo do capítulo 3, que está formatado de acordo com as normas
192 do periódico *Conservation Biology*, foi distinguir extinções locais de dispersões, através

da análise do conjunto regional de espécies de comunidades de pequenos mamíferos 193 não-voadores de hábitats prístinos e modificados pelo homem. O conjunto regional de 194 195 espécies (regional species pool) de um sítio inclui todas as espécies que podem 196 potencialmente compor a comunidade daquele sítio dado o potencial de dispersão das 197 espécies e as condições ambientais da localidade (Karger et al. 2015, 2016). Alterações 198 antropogênicas alteram a estrutura do hábitat do sítio e podem 'reorganizar' as 199 comunidades, seja pela extinção local de espécies como pela imigração de espécies que 200 não ocorriam antes da modificação no hábitat (Filloy et al., 2010; Jackson & Sax, 2010; 201 Corbelli et al., 2015; McGill et al., 2015). Desta forma, podemos determinar a força de extinções e imigrações por comparar a riqueza, diversidade funcional e composição 202 203 observada nas comunidades de hábitats prístinos e modificados e a riqueza, diversidade 204 funcional e composição esperadas dadas as propriedades do pool regional de espécies 205 (Karger et al. 2015, 2016). Para exemplificar, considere que espécies florestais extintas 206 localmente, devido à conversão de uma floresta para uma lavoura, são substituídas por 207 espécies características de áreas abertas (ex. campos, savanas) dadas as características 208 da vegetação e do tipo de recurso presente em lavouras (Filloy et al., 2010; Corbelli et 209 al., 2015). Este balanço entre extinções e imigrações pode anular as diferenças de 210 riqueza entre o habitat modificado e o prístino (considere a figura 1C, onde a dispersão 211 de uma espécie tornou os hábitats igualmente ricos em espécies), mas pode 212 consideravelmente alterar a composição de espécies e a diversidade funcional (Vellend 213 et al., 2013; Dornelas et al., 2014). Desta forma, é imprescindível analisar as variações 214 na composição de espécies e atributos para distinguir extinções de dispersões (Jackson 215 & Sax 2010; McGill et al., 2015).

Uma comunidade é o conjunto de populações de espécies que coexistem no
espaço e no tempo. Embora uma comunidade possua propriedades emergentes

diferentes das propriedades das populações individuais que a compõem (Begon et al., 218 219 2005), parâmetros populacionais como ocupação e densidade são a base para 220 compreender muitos fenômenos observados em escalas mais amplas (Debinski & Holt, 221 2000; Mackenzie et al., 2005; Ricklefs et al., 2008). Por exemplo, a probabilidade de 222 ocupação de um sítio por uma espécie tem relação direta com seu tamanho populacional (Mackenzie et al., 2005). Populações grandes, que tem crescimento populacional 223 224 positivo em sítios de alta qualidade (Habitat A, figura 1), fornecem indivíduos para 225 habitats de baixa qualidade através da dispersão (Habitat B, Figura 1) (dinâmicas fonte-226 sumidouro [source-sink dynamics; Pulliam, 1988]). Alterações no hábitat podem 227 influenciar a probabilidade de ocupação e o tamanho das populações. Por exemplo, o pastejo por ungulados altera a estrutura da vegetação e tende a diminuir a qualidade dos 228 229 hábitats para a fauna, podendo provocar extinções locais (Luza et al., 2016). No capítulo 230 4 da tese, que está formatado de acordo com as normas do periódico Perspectives in 231 Ecology and Conservation, procuro definir a probabilidade de ocupação de três espécies 232 de roedores em campos sujeitos a diferentes regimes de pastejo por ungulados 233 domésticos. Avaliar parâmetros populacionais é relevante para definir boas práticas para 234 o manejo e conservação da diversidade nos níveis de populações, comunidades e 235 ecossistemas (Tschnartke et al., 2012). Finalmente, os estudos incluídos nesta tese 236 buscaram contribuir para o conhecimento do efeito dos processos de extinção e de 237 dispersão para a estrutura de comunidades e populações em diferentes escalas espaciais.

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1	CAPÍTULO	1

- 2 **Title:** Mammal guild distribution dynamics between forest and open habitats
- 3 Author details: André Luís Luza, Leandro da Silva Duarte, Sandra Maria Hartz
- 4

5	Affiliation: Programa de Pós-Graduação em Ecologia. Departamento de Ecologia,
6	Prédio 43422, Instituto de Biociências, Universidade Federal do Rio Grande do Sul. Av.
7	Bento Gonçalves 9500, Bairro Agronomia, CEP: 91501-970 - Post-Office Box: 15007.
8	Porto Alegre - Rio Grande do Sul, Brazil. Fax: + 55 51 3308-7626. Phone: +55 51
9	3308-7623. Homepage URL: http://www.ufrgs.br/ppgecologia/
10	Corresponding author: André Luís Luza ( <u>luza.andre@gmail.com</u> )
10 11	<b>Corresponding author:</b> André Luís Luza ( <u>luza.andre@gmail.com</u> ) Phone: +55 51 3308-7623.
10 11 12	Corresponding author: André Luís Luza ( <u>luza.andre@gmail.com</u> ) Phone: +55 51 3308-7623. ORCID: 0000-0003-0302-529X; ResearcherID: O-1134-2017

#### 14 Abstract

15 1. Distribution dynamics involves two evolutionary mechanisms linked to niche 16 changes: niche stasis and shifts. Niche stasis should result in stable distribution and 17 nested assemblages due to the high extinction rates in and unsuccessful dispersals into 18 environmentally dissimilar habitats. Niche shifts should result in distribution shifts due 19 to the low extinction rates in and successful dispersals into environmentally dissimilar 20 habitats.

21 2. We evaluated whether the distribution dynamics of mammalian guilds are bound by 22 the ancestral habitat. We hypothesized that the type of guild should influence the 23 dominant mechanism and direction of distribution dynamics between open and forest 24 ecoregions. We expected dynamics consistent with niche stasis for resource-specialized guilds (carnivores, frugivores, grazers, mixed-feeders and granivores). We expected
dynamics consistent with niche shifts for browsers and omnivore guilds.

3. We estimated nestedness in the distribution of 13 mammalian guilds between open 27 28 and forest ecoregions within realms. We attributed each ecoregion to the respective habitat type and realm and rearranged the occurrence matrices to identify the 29 mechanism and direction of the distribution dynamics. For each guild and matrix 30 rearrangement, we used taxonomic and phylogenetic nestedness to identify, for 31 32 example, if open-habitat mammals are a nested subset of forest mammals. We used matrix randomization and the resulting standardized effect sizes to compare the strength 33 34 of nestedness among guilds and matrix rearrangements.

4. Standardized effect sizes indicate that, for most of guilds, the observed nestedness was higher than expected by random chance in both forest-to-open and open-to-forest directions. Nestedness analysis revealed that niche shifts are generalized across the mammalian guilds, since we found that niche shifts resulted in nestedness in a direction different from that expected given the ancestral habitat. We found evidence for niche stasis only for granivores and two omnivore guilds.

5. Nestedness identified that most of dispersals into environmentally dissimilar habitats
resulted in successful occupations and diversifications due to niche shifts. Therefore,
both forest-to-open and open-to-forest directions of distribution dynamics occurred
during the history of occupation and diversification of the species composing the
mammalian dietary guilds.

Keywords: beta diversity components, ecological biogeography, macroecological
sources and sinks, nested subsets, phylo beta-diversity, taxa sharing, trophic
specialization.

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51 The extant species tend to occupy habitats that are similar to the ancestral habitat (Davies, & Buckley, 2011; Crisp et al., 2009; Martinez-Meyer, Townsend Peterson, & 52 53 Hargrove, 2004). In fact, dispersals following geological and climatic events seems to be more common in habitats that are environmentally similar to those previously 54 occupied by the species, which might not change the type of habitat and the set of 55 conditions and resources preferred by the species (Crisp et al., 2009; Martinez-Meyer, 56 57 Townsend Peterson, & Hargrove, 2004). However, we still lack an understanding of how the use of resources by the species influences the direction of dispersal and 58 59 extinction processes. Geological and climatic events alter the location and type of habitats and resources on which animals depend, which may cause changes in the 60 extinction and dispersal probabilities (Fortelius et al., 2014; Price, Hopkins, Smith, & 61 62 Roth, 2012), favoring higher levels of diversity for some guilds while depreciating levels in others (Damuth & Janis, 2011; de Vivo & Carmignotto, 2004; Fortelius et al., 63 64 2014; Ortiz-Jaureguizar & Cladera, 2006).

65 Low dispersal and high extinction rates in environmentally dissimilar habitats are caused by low fitness due to ancestral niche conservatism (red arrows; Fig. 1) (niche 66 stasis sense Perman, Guisan, Broennimann, & Randin, 2008). In this context, the 67 distribution of a species should embrace a unique type of habitat over time, because a 68 69 high fitness is only ensured by the conditions and resources present in a habitat similar to the ancestral habitat (black arrows; Fig. 1) (Perman, Guisan, Broennimann, & 70 71 Randin, 2008). In contrast, high dispersal and low extinction rates in both 72 environmentally similar and dissimilar habitats are expected with the release from 73 ancestral niche conservatism, which might occur due to changes in the distribution of preferred resources and habitats (niche shifts sense Perman, Guisan, Broennimann, & 74

Randin, 2008). Niche shifts might promote dispersal and diversification in a new habitat
with a different set of conditions and resources when compared to the ancestral habitat
(purple arrows, Fig. 1) (Benton, 2010; Perman, Guisan, Broennimann, & Randin, 2008).
Thus, niche shifts should produce nestedness in a direction different from that expected
given the ancestral habitat.

80 We used taxonomic and phylogenetic nestedness (Almeida-Neto, Guimarães, Guimarães, Loyola, & Ulrich, 2008; Melo, Cianciaruso, & Almeida-Neto, 2014) to 81 82 analyze whether the distribution of extant mammalian guilds is bound by the ancestral habitat (Kissling et al., 2014). In its classic definition, nestedness is characterized by a 83 84 site, impoverished by extinctions, which is therefore comprised of a nested subset of species and lineages from biologically richer source sites (Patterson & Atmar, 1986). 85 We used nestedness assuming that both distribution and feeding habits of extant species 86 87 and lineages have signals of past dynamics of extinctions and dispersals across habitats and regions (Cantalapiedra, Hernández-Fernández, & Morales, 2011; Cantalapiedra et 88 89 al., 2014a; Damuth & Janis, 2011; Fortelius et al., 2014; Svenning, Fløjgaard, & 90 Baselga, 2011) and that, independently of the mammalian orders composing a given guild, the ancestral would be an species preferring the same resource as the extant 91 species. 92

We hypothesized that the distribution of the species composing the mammalian dietary guilds (Table 1) should show evidence of different mechanisms (niche stasis and shifts) and directions (forest-to-open and open-to-forest) of mammal distribution dynamics (Fig. 1). For each guild, we considered different directions in order to identify whether either open or forest ecoregions could be a source of species and lineages (Fig. 1; see Fig. S1.1 in Supporting Information). We considered biogeographic realms in the 99 nestedness analysis because a biogeographic effect may either strengthen or weaken
100 nestedness (examples in Fig. S1.1). We tested four hypotheses:

101 Hypothesis 1 - Niche stasis for frugivores: Niche stasis should result in taxonomically 102 and phylogenetically nested assemblages when dispersals are either environmentally 103 bound or prevented by environmental unsuitability (red and black arrows, Fig. 1) (Crisp et al., 2009; Martinez-Meyer, Townsend Peterson, & Hargrove, 2004; Svenning, 104 105 Fløjgaard, & Baselga, 2011). Thus, we expected taxonomic and phylogenetic 106 nestedness higher than expected by random chance only in the forest-to-open direction 107 for frugivores. Open ecoregions are not expected to be a source of frugivores to forests, 108 because the strict affinities of frugivores for forest resources might prevent their 109 dispersal and diversification in open habitats (Davies, Purvis, Gittleman, 2009; Davies, 110 & Buckley, 2011).

111 Hypothesis 2 - Niche stasis for carnivores and herbivore guilds (except browsers): We 112 expected carnivore guilds, grazers, granivores, mixed-feeders of forest ecoregions more 113 taxonomically and phylogenetically nested in open ecoregions than expected by random 114 chance only in the open-to-forest direction due to niche stasis (Fig. 1). Forests are not 115 expected to be a source of carnivore and herbivore species (except browsers, Hypothesis 116 4) to open-habitat ecoregions. These guilds had their origin and diversification with the 117 rise of grassy biomes during the arid and cold Oligocene-Miocene transition (Damuth & 118 Janis, 2011; Fortelius et al., 2014; MacFadden, 1997, 2006; Pires, Silvestro, & Quental, 119 2015), which suggest dependence on resources of open habitats.

Hypothesis 3 - Niche shifts for omnivore guilds: Niche shifts should result in low extinction and successful occupation rates in several habitat types after dispersals, resulting in non-directional (nestedness in both forest-to-open and open-to-forest) and random nestedness due to the lack of differences of composition and diversity between

habitats (purple arrows, Fig. 1) (Graham & Fine, 2008; Melo, Cianciaruso, & Almeida-124 Neto, 2014). Thus, we expected non-directional and random taxonomic and 125 126 phylogenetic nestedness for omnivore guilds as a result of niche shifts (Fig. 1). Omnivores rely on resources from many habitats (Bofarull, Royo, Hernández-127 Fernández, Ortiz-Jaureguizar, & Morales, 2008; Cantalapiedra, Hernández-Fernández, 128 129 & Morales, 2011), which suggests low extinction rates after dispersals as they might be able to switch their diets and distributions under resource scarcity (Bofarull, Royo, 130 131 Hernández-Fernández, Ortiz-Jaureguizar, & Morales, 2008; Davies, Purvis, & Gittleman, 2009; Price, Hopkins, Smith, & Roth, 2012). 132

Hypothesis 4 - Niche shifts for browsers: Niche shifts should result in phylogenetically 133 134 nested assemblages when successful dispersers of a particular lineage diversify in a new habitat type (Fig. 1) (Graham & Fine, 2008; Melo, Cianciaruso, & Almeida-Neto, 135 136 2014). Thus, taxonomic nestedness should be random while phylogenetic nestedness should be higher than expected by random chance in both forest-to-open and open-to-137 138 forest directions for browsers, which may be caused by niche shifts and diversification 139 of an open-habitat herbivore lineage in forests (Cantalapiedra et al., 2014; 140 Cantalapiedra, Hernández-Fernández, & Morales, 2014) (Fig. 1).

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Fig. 1: Schematic representation of the hypotheses. Different mechanisms (either niche 144 145 shifts or stasis) and directions of dynamics (either forest to open or open to forest) were expected for different mammalian guilds. The mechanism and direction may change 146 147 across the biogeographic realms, because two realms (e.g., Realms A and B) can differ 148 in taxonomic and phylogenetic diversity. Ancestral habitat in each hypothesis was 149 defined according to the literature. Black arrows: environmentally bound dispersals; red 150 arrows and symbols with gray lines: dispersals followed by extinctions; purple arrows 151 and symbols with black lines: dispersals not followed by extinctions. The different 152 symbols represent different species; symbols with same shape but different colors indicate phylogenetically related species. 153

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**Table1**: Mammal dietary guilds (in lowercase) we analyzed in the study. For each guild we constructed an occurrence matrix, rearranged the rows (ecoregions)

according to habitat type and realm and inferred dispersal and extinction processes using taxonomic and phylogenetic nestedness.

TROPHIC LEVE	L/Dietary guild	Feeding habit	Number of species (% of total)	Main orders (no. species)	Number of ecoregions (no. islands)	Matrix fill (%)
NON-VOLANT M OF THE WORLD	AMMALS	All mammals except Chiroptera	3,708 (100%)	Rodentia (2023), Eulipotyphla (390), Primates (323)	798 (81)	2.02
HERBIVORES	All mammal species t as fruits and seeds a	hat consume herbaceous, woody and leaf material, as s their primary, secondary or occasional resource. The species do not feed on animals	well 1,476 ese (40%)	Rodentia (912), Cetartiodactyla (192) Primates (158)	), 749 (43)	1.89
Grazers	Herbivores that fee	ed only on the leaves of herbaceous plants; they do no the leaves of woody plants	t eat 297 (8%)	Rodentia (168), Cetartiodactyla (60), Diprotodontia (42)	, 688 (24)	1.77
Browsers	Herbivor	res that feed strictly on the leaves of woody plants	358 (10%)	Rodentia (143), Cetartiodactyla (102) Primates (49)	), 727 (34)	2.26
Mixed-feeders	Herbivores th	hat feed on the leaves of herbaceous and woody plant	s 241 (6%)	Rodentia (119), Cetartiodactyla (76), Lagomorpha (30)	, 700 (28)	2.54
Granivores	Specie	es that strictly feed on plant seeds, and not fruits	167 (5%)	Rodentia (161) Cetartiodactyla (4) Peramelemorphia (1)	579 (8) )	2.24
Frugivores	Specie	es that strictly feed on plant fruits, and not seeds	276 (7%)	Rodentia (133), Primates (54), Diprotodontia (37)	607 (23)	1.87
CARNIVORES	All mammal spec secondary or o	cies that use vertebrates and invertebrates as their prin occasional resource. These species do not feed on plar	nary, 715 its (19%)	Eulipotyphla (342), Carnivora (125), Rodentia (86)	740 (37)	2.76
Vertebrate-eate	ers Carnivores th	at feed only on other vertebrates, and not on invertebr	rates 61 (2%)	Carnivora (51) Rodentia (4) Dasyuromorphia (3)	667 (22)	9.58
	Invertebrate-eaters Carnivor	res that feed only on invertebrates, and not on vertebrates (also known as insectivores)	237 (6%)	Eulipotyphla (78), Rodentia (66), Afrosoricida (35)	688 (20)	2.11
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ON	All mammals	that use both plant and animal material as primary, secondary or tertiary food items	1,506 (41%)	Rodentia (1018), Primates (154), Carnivora (104	791 (77)	2.04
t	Vertebrate- and seed-eaters	Omnivores that feed on vertebrates and seeds, but not on invertebrates or fruits	5 (0.13%)	Rodentia (5)	45 (1)	21.78
	Vertebrate- and fruit-eaters	Omnivores that feed on vertebrates and fruits, but not on invertebrates or seeds	35 (1%)	Cetartiodactyla (14), Carnivora (10), Rodentia (9)	491 (12)	6.93
Ť	Vertebrate- and fruit/seed-eaters	Omnivores that feed on vertebrates, fruits and seeds, but not on invertebrates	3 (0.08%)	Rodentia (2), Carnivora (1)	46 (0)	33.33
	Invertebrate- and fruit-eaters	Omnivores that feed on invertebrates and fruits, but not vertebrates or seeds	152 (4%)	Rodentia (58), Primates (42), Didelphimorphia (27)	405 (10)	3.12
	Invertebrate- and seed-eaters	Omnivores that feed on invertebrates and seeds, but not on vertebrates or fruits	176 (5%)	Rodentia (171) Primates (2) Eulipotyphla (1)	562 (9)	2.31
	Invertebrate- and fruit/seed-eaters	Omnivores that feed on invertebrates, fruits and seeds, but not on vertebrates	258 (7%)	Rodentia (222), Primates (20), Scadentia (14)	723 (54)	2.13
	Vertebrate/invertebrate- and seed-eaters	Omnivores that feed on vertebrates, invertebrates and seeds, but not on fruits	68 (2%)	Rodentia (42), Eulipotyphla (26)	704 (55)	3.21
	Vertebrate/invertebrate- and fruit-eaters	Omnivores that feed on vertebrates, invertebrates and fruits, but not on seeds	180 (5%)	Carnivora (64), Primates (52), Rodentia (23)	691 (19)	3.57

157 †Not analyzed due to low number of species.

#### 158 Materials and Methods

### 159 Mammal distribution and feeding habits

We used the 814 World Wildlife Foundation ecoregions (Olson et al., 2001) as units to define mammal occurrence. Within their design, ecoregions consider the regional species pool, represent biogeographically and climatically homogeneous areas, capture large environmental heterogeneity at a global scale, differ in area and are objectively classified according to habitat type and biogeographic realm (Olson et al., 2001; Fritz, Bininda-Emonds, & Purvis, 2009).

166 Distribution polygons for 5,286 mammal species were taken from the IUCN 167 (2014) and mapped over ecoregions using the spatial join function in ESRI ArcMap 10.2.2. A mammal species was considered to occur in a given ecoregion if the 168 distribution polygon intercepted the limits of the ecoregion; all mammal species 169 170 coexisting within the limits of an ecoregion composed an assemblage. From the 5,286 171 mammal species with distribution data, 593 were excluded because of the lack of 172 information about their feeding habit or phylogeny. From the remaining 4,693 species 173 ( $\approx 89\%$  of the total of species) we removed bat species (985 species), because high 174 dispersal ability should lead to high nestedness between adjacent regions (Peixoto, 175 Braga, Cianciaruso, Diniz-Filho, & Brito, 2014). In total, the occurrence of 3,708 non-176 volant mammal species was recorded across 798 ecoregions. These were progressively filtered to obtain occurrence matrices for dietary guilds (Table 1), since guilds represent 177 178 resource and habitat affinities better than trophic levels do (Kissling et al., 2014; Smith et al., 2016). We used a comprehensive mammalian diet database (MammalDIET v1.0; 179 180 Kissling et al., 2014), which provided highly detailed information about mammalian 181 feeding habits.

# 183 Factors defining ecoregion type

184 We extracted information on the habitat type (two levels, either forest or open) and the biogeographic realm of each ecoregion (eight levels: Afrotropic, Antarctica, 185 186 Australasia, Indomalayan, Nearctic, Neotropic, Oceania and Palearctic [see Tables 187 S1.1and S1.2 in Appendix S1]). The distinction between forest and open habitats reflects broad differences in vegetation structure and type of available niches and 188 resources (Fortelius et al., 2014; Faurby & Svenning, 2015). Realms represent particular 189 190 evolutionary units formed by long-term isolation of species (Penone et al., 2016) and 191 where asymmetric adaptive radiations take place (Cantalapiedra, Hernández-Fernández, 192 & Morales, 2014; Pires, Silvestro, & Quental, 2015). Ecoregions belonging to forest, 193 woodland and mangrove biomes were considered forest habitats, while ecoregions 194 belonging to grassland, shrubland, desert, savanna, inland-water and the rock and ice 195 biomes were considered as open habitats (as in Faurby & Svenning, 2015).

196

# 197 Quantifying taxonomic and phylogenetic nestedness between ecoregions

198 We quantified differences in guild richness and composition between 199 ecoregions, which should be the product of niche stasis and shifts, using taxonomic and 200 phylogenetic nestedness. We fractionated the tree-based nestedness index TreeNODF 201 (Melo, Cianciaruso, & Almeida-Neto, 2014) into phyloNODF, which quantifies the 202 shared evolutionary history between rich and poor sites by accounting for branch lengths connecting coexistent species (i.e. phylogenetic diversity [PD]), and into 203 204 S.Fraction, which quantifies the shared species composition between rich and poor 205 regions and is equivalent to the classic nestedness metric of paired overlap and 206 decreasing fill (NODF; Almeida-Neto, Guimarães, Guimarães, Loyola, & Ulrich, 2008). NODF and phyloNODF have two independent components: 1) site nestedness, based on 207

differences in taxonomic and phylogenetic diversity between sites (NODF<sub>sites</sub> and 208 phyloNODF<sub>sites</sub>), and 2) species nestedness, based on frequency differences between 209 species (NODF<sub>species</sub> and phyloNODF<sub>species</sub>; Almeida-Neto, Guimarães, Guimarães, 210 211 Loyola, & Ulrich, 2008; Melo, Cianciaruso, & Almeida-Neto, 2014). Since we were 212 interested in the distribution dynamics between habitats, we used NODFsites and phyloNODF<sub>sites</sub> to test our hypotheses. To calculate NODF<sub>sites</sub> and phyloNODF<sub>sites</sub>, we 213 214 analyzed occurrence matrices for each dietary guild (Table 1) together with a dated 215 phylogeny of 5,020 mammal species (Fritz, Bininda-Emonds, & Purvis, 2009).

The pairwise basis and the sensitivity to matrix rearrangement makes NODF<sub>sites</sub> 216 and phyloNODF<sub>sites</sub> useful indexes to evaluate whether the distribution of extant 217 218 mammalian guilds is bound by the habitat (Fig. S1.1). Nestedness will be greater than 219 zero when the first site is taxonomically and phylogenetically more diverse than the 220 second site in most of the pairwise comparisons and when most of incidences overlap 221 between the columns; otherwise, NODF<sub>sites</sub> and phyloNODF<sub>sites</sub> will be zero (Fig. S1.1). 222 Such properties allowed us to test the different mechanisms and directions of 223 distribution dynamics (Fig. 1). In practice, we can test if environmentally bound dynamics due to niche stasis results in a higher diversity of frugivores always being 224 found in forest ecoregions when compared to open ecoregions (i.e., forest-to-open but 225 226 not the *inverse* open-to-forest; Fig. S1.1). This is achieved by always setting the forest 227 ecoregions in the upper rows of the guild occurrence matrix, because NODF and phyloNODF are designed to measure decreases in diversity from the upper (forest 228 229 ecoregions) rows to the lower (open ecoregions) rows of the occurrence matrix (Fig. 230 S1.1).

### 232 Considering the effects of biogeography and habitat type

233 We considered the effects of habitat because taxonomic and phylogenetic 234 diversity of the mammal guilds differed between habitats (Tables S1.1 and S1.2). In 235 addition, we consider biogeography because 1) taxonomic and phylogenetic diversity of 236 the mammal guilds differed between realms (Tables S1.1 and S1.2); 2) ecoregions from 237 different realms lack shared species; and 3) biogeography may result in distribution 238 patterns consistent with niche stasis in one realm but not in others, which may weaken 239 nestedness by nullifying the differences in diversity between habitats (the Realms A and 240 B are equally rich in Fig. 1, hypothesis 3) or strengthen nestedness by decreasing 241 taxonomic and phylogenetic diversity in poorer realms (forest ecoregions are nested on 242 open ecoregions in Realm A and B, despite realm B having less species; Fig. 1, in 243 hypothesis 2). We considered the effects of habitat and biogeography by rearranging the 244 rows (ecoregions) of each guild matrix. Since any arbitrary site rearrangement affects 245 the nestedness values, we additionally rearranged ecoregions, across all matrix 246 rearrangements, by decreasing area within each habitat. We used the following matrix 247 rearrangements:

*Open-to-forest*: Within each realm, the largest and richest open ecoregion is located at the upper row of the matrix and is followed by successively smaller and poorer open ecoregions. These are followed by the largest and richest forest ecoregion, which is successively followed by smaller and poorer forest ecoregions. The order of realms was defined according to realm richness and phylogenetic diversity (see Tables S1.1 and S1.2).

*Forest-to-open*: Within each realm, the largest and richest forest ecoregion is located at the upper row of the matrix and is followed by successively smaller and poorer forest ecoregions. These are followed by the largest and richest open ecoregion, which is successively followed by smaller and poorer open ecoregions. The order of realms was
defined according to realm richness and phylogenetic diversity (see Tables S1.1 and
S1.2).

260

## 261 Hypotheses testing

262 We compared the observed NODF<sub>sites</sub> and phyloNODF<sub>sites</sub> for each guild with 263 random index values generated by randomizing the matrix rows (500 randomizations of 264 the 'permRows' algorithm) to evaluate if nestendess was higher or lower nestedness 265 than expected by random chance. PermRows changes the position of ecoregions across 266 the matrix but does not change the species frequency (Ulrich, Almeida-Neto, & Gotelli, 267 2009; Melo, Cianciaruso, & Almeida-Neto, 2014), which is appropriate when purposely 268 rearranging the matrix structure according to predefined factors (Melo, Cianciaruso, & Almeida-Neto, 2014), as in the present case (Fig. S1.1). By disassembling the matrix 269 270 structure we were able to define how much the observed nestedness, produced by a 271 rearrangement, deviated from the nestedness produced by a random rearrangement; 272 non-significant nestedness means lack of difference between the observed and random 273 nestedness. The observed NODF and phyloNODF were compared to the random NODF 274 and phyloNODF by calculating the standardized effect size (SES).

To test our four hypotheses (Fig. 1), we compared the magnitude of SES values between guilds and rearrangements, because SES for taxonomic and phylogenetic nestedness are insensitive to changes in the size and shape of the matrix (Almeida-Neto, Guimarães, Guimarães, Loyola, & Ulrich, 2008; Melo, Cianciaruso, & Almeida-Neto, 2014). Standardized effect size indicates how much the observed nestedness deviates positively (SES  $\geq$  2) or negatively (SES  $\leq$  2) from the random expectation at the 5% error level (two-tailed test) (Ulrich, Almeida-Neto, & Gotelli, 2009). Thus, the higher

the SES for a given rearrangement, the greater the differences in taxonomic and 282 phylogenetic diversity along that rearrangement when comparing to other 283 284 rearrangements (Ulrich, Almeida-Neto, & Gotelli, 2009). Counts of the random index 285 values above or below the observed index value represented P-values (Ulrich, Almeida-286 Neto, & Gotelli, 2009). In total, we analyzed nestedness for 26 occurrence matrices (two rearrangements for each of the 13 guilds; Table 1). We performed the nestedness 287 analyses using the 'treeNodfTest' function implemented in the 'CommEcol' package (R 288 289 v3.4.0, R Core Team 2017). To efficiently analyze the nestedness index for large 290 matrices (see Table 1), we applied the 'treeNodfTest' function within functions to 291 parallelize computations using a cluster ('parallel' package; R v3.4.0, R Core Team, 292 2017).

293

# 294 **Results**

295 When comparing the SES values between guilds and rearrangements, we found 296 weak support for the initial hypotheses. Standardized effect sizes indicate that, for most 297 of the guilds, the observed nestedness was higher than expected by random chance in both forest-to-open and open-to-forest directions. Thus, results revealed much more 298 299 evidence of niche shifts than niche stasis (Fig. 2a, b). When considering taxonomic nestedness, we observed niche stasis only for granivores, omnivores feeding on 300 301 invertebrates and seeds, and omnivores feeding on vertebrates and fruits (Fig. 2a). For 302 granivores and omnivores feeding on invertebrates and seeds, we observed higher 303 nestedness than expected in the open-to-forest but random nestedness in the forest-to-304 open direction; for omnivores feeding on vertebrates and fruits we observed the inverse 305 pattern (Fig. 2a). We did not observe niche stasis when considering phylogenetic 306 nestedness (Fig. 2b). Finally, it is interesting to observe the different magnitudes of SES

for taxonomic nestedness between the open-to-forest and forest-to-open directions,
which may be due to an ancestral habitat effect (Fig. 2a). We did not observe different
magnitudes of SES when considering phylogenetic nestedness (Fig. 2b).

310



Fig. 2: Standardized effect size (SES) values for each ecoregion rearrangement for (a) taxonomic and (b) phylogenetic nestedness. For clarity we included the guilds in their respective trophic level. Values show how much observed NODF<sub>sites</sub> and phyloNODF<sub>sites</sub> are higher (darker and larger circles) than random nestedness. The absence of a circle indicates that the observed NODF<sub>sites</sub> and phyloNODF<sub>sites</sub> do not differ from the random NODF<sub>sites</sub> and phyloNODF<sub>sites</sub>.

### 319 Discussion

The distribution of species and lineages between habitats is controlled by the 320 321 tendency of the species niche to be either stable or shift over time (Martinez-Meyer, 322 Townsend Peterson, & Hargrove, 2004; Perman, Guisan, Broennimann, & Randin, 323 2008). Here, we used taxonomic and phylogenetic nestedness to find evidences of 324 different mechanisms (niche stasis and shifts) and directions (forest-to-open and open-325 to-forest) of mammal distribution dynamics between forest and open ecoregions. 326 Overall, we evidenced patterns of taxonomic and phylogenetic nestedness consistent with niche shifts for most of the dietary guilds. 327

328 We expected niche stasis for guilds depending on resources from a specific habitat (e.g. grazers, frugivores) due to a low fitness (low per capita survival and 329 330 reproductive output) when dispersing toward an environmentally dissimilar habitat 331 (Martinez-Meyer, Townsend Peterson, & Hargrove, 2004; Perman, Guisan, 332 Broennimann, & Randin, 2008). We evidenced non-random taxonomic nestedness for 333 granivores, omnivores feeding on invertebrates and seeds and omnivores feeding on vertebrates and fruits only in one direction, which indicates that the distribution of seed-334 eating mammals is environmentally bound. Open ecoregions were sources of seed-335 336 eating mammal species to forest ecoregions. Seed-eating mammals, such as the 337 Heteromyidae and Dipodidae rodents, have unique morphological adaptations (e.g. 338 bipedal ricochetal locomotion) to feed on small seeds from extremely open, seasonal 339 and arid habitats (Kelt et al., 1996; Kelt, 1999). In contrast, forest ecoregions were 340 sources of species of vertebrate and fruit-eating omnivore species to open ecoregions. 341 Most of the species included in this omnivore guild belong to the Cephalophus 342 (Cetartiodactyla) and Hylomyscus (Rodentia) genus, which are confined to dense Afrotropic forests. Finally, niche stasis for granivores, omnivores feeding on 343

invertebrates and seeds and omnivores feeding on vertebrates and fruits indicates that,
in few cases, moving into habitats that are similar to the ancestral habitat increases the
species survival and reproductive output during range expansions (Perman, Guisan,
Broennimann, & Randin, 2008).

Niche shifts due to low extinction rates in and successful dispersals into a 348 dissimilar habitat should result in non-directional nestedness for omnivore guilds due to 349 the absence of differences in taxonomic and phylogenetic diversity between ecoregions 350 351 (Bofarull, Royo, Hernández-Fernández, Ortiz-Jaureguizar, & Morales, 2008; Davies, Purvis, & Gittleman, 2009; Price, Hopkins, Smith, & Roth, 2012). We observed 352 evidences of niche shifts for most of the mammalian dietary guilds, since we found non-353 354 random nestedness in a direction different from that expected given the ancestral habitat. It is interesting to note the different magnitudes of SES between the open-to-355 356 forest and forest-to-open directions for the taxonomic nestedness. For instance, the 357 difference in richness in the open-to-forest direction was twice-higher than forest-to-358 open for grazers, mixed-feeder herbivores and vertebrate-eater carnivores (Fig. 2a), in 359 accordance with the origin of herbivores and carnivores in open ecosystems during the Oligocene-Miocene (Cantalapiedra et al., 2014; Cantalapiedra, Hernández-Fernández, 360 & Morales, 2014; Pires, Silvestro, & Quental, 2015). In contrast, richness difference 361 362 was 1.5 higher in the forest-to-open than open-to-forest direction for frugivores, in accordance with the origin of frugivores in forest habitats (MacFadden, 2006; Kissling 363 364 et al., 2014).

The lack of phylogenetic nestedness differences between open to-forest and forest-to-open directions indicates that the species composing the mammalian guilds have expanded their distributions toward a habitat different from the ancestral habitat many times, which resulted in diversification (Benton, 2010). For example, forest

Boselaphini bovids are phylogenetically related to open-habitat species which expanded 369 their distribution to forests during periods of forest expansion (Bibi, 2007). The 370 371 posterior isolation due to forest contraction resulted in the evolution of morphological and behavioral adaptations to persist in forests (e.g., the decrease in body size, the 372 373 decrease in the degree of hypsodonty and the emergence of solitary behavior) (Bibi, 374 2007; Damuth & Janis, 2011; Fortelius et al., 2014). Nonetheless, the lack of 375 phylogenetic nestedness may result from the use of dietary guild as unity of analysis, 376 which include species from different mammal orders with very different evolutionary histories. Thus, one of our assumptions (independently of the mammalian orders 377 composing a given guild, the ancestral would be a species preferring the same resource 378 379 as the extant species) may not hold. An analysis using monophyletic groups may be 380 more appropriate to test distribution dynamics between forest and open ecoregions over 381 time.

382 The analyses with mammalian guilds indicate that the compsumption of habitat-383 specific food items did not result in high extinction rates and unsuccessful dispersals in 384 an environmentally dissimilar habitat. Nestedness identified that most of dispersals into 385 dissimilar habitats resulted in successful occupations environmentally and diversifications due to niche shifts. Therefore, both forest-to-open and open-to-forest 386 387 directions of distribution dynamics occurred during the history of occupation and diversification of the species composing the mammalian dietary guilds. Data on fossil 388 389 distribution, diet and habitat affinities would be helpful to quantify the rate of extinction 390 following dispersals to environmentally dissimilar habitats. Defining situations in which 391 either niche stasis or shifts occur may improve our understanding of the processes 392 shaping species and lineage distributions over space.

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406

### 407 Authors' contributions

ALL, LDSD and SMH conceived the ideas and designed methodology; ALL collected
and analyzed the data; ALL, LDSD and SMH wrote the manuscript. All authors
contributed critically to the drafts and gave final approval for publication.

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#### 412 Data accessibility

The original trait database of Kissling et al., (2014) is available in the Dryad Repository 413 414 (http://doi.org/10.5061/dryad.6cd0v). The mammal phylogeny of Fritz, Bininda-415 Emonds, and Purvis (2009) is available in: DOI: 10.1111/j.1461-0248.2009.01307.x. 416 The mammal range maps are available in IUCN red list website 417 (http://www.iucnredlist.org/), and the ecoregions from Olson et al., (2001) in the WWF 418 website (https://www.worldwildlife.org/biomes).

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520

# 521 Supporting Information

522 **Figure S1.1**: The expected structure of the occurrence matrix according to our

523 hypotheses. Rearrangements were expected to produce different degrees of taxonomic

and phylogenetic nestedness, because nestedness indexes (NODF and phyloNODF) are

sensitive to differences in composition and diversity between pairs of ecoregions. Here,

526 we exemplify that a biogeography effect may weaken nestedness by decrease the

527 differences of richness and composition overlap between pairs of rows and columns of

an occurrence matrix (left-side matrices of hypothesis 2 and 4 are less nested than the

529 right-side matrices). The different symbols represent different species; symbols with

530 same shape but different colors indicate phylogenetically related species. obsNODF=

531 Observed taxonomic NODF; obsPHYLO= observed phylogenetic NODF; rndNODF=

532 random taxonomic NODF; rndPHYLO= random phylogenetic nestedness; SES=

533 standardized effect sizes for NODF and phyloNODF. Random indexes were generated

534 by matrix randomization.

535 Table S1.1: Ecoregion mean species richness ± SD by habitat type and biogeographic

realm. We used these criteria plus the ecoregion area to rearrange ecoregions. Details of

537 feeding habits in Table 1.

538 Table S1.2: Ecoregion mean phylogenetic diversity ±SD by habitat type and

539 biogeographic realm. We used these criteria plus the ecoregion area to rearrange

540 ecoregions. Details of feeding habits in Table 1.

541 Table S1.3: NODF<sub>sites</sub> (%) for mammal dietary guilds. Null Mean, Standardized Effect

542 Size (SES) and significance values were generated by 500 randomizations of the

- 543 "permRows" algorithm. Mean ± SD of NODF<sub>sites</sub> values for each dietary guild was
- 544 calculated across the four matrix rearrangements. In **bold** we showed the rearrangement
- 545 yielding the strongest nestedness values.
- 546 Table S1.4: PhyloNODF<sub>sites</sub> (%) for mammal dietary guilds. Null Mean, Standardized
- 547 Effect Size (SES) and significance values were generated by 500 randomizations of the
- 548 'permRows' algorithm. Mean ± SD of phyloNODF<sub>sites</sub> values for each dietary guild was
- 549 calculated across the four matrix rearrangements. In **bold** we show the rearrangement
- 550 yielding the strongest nestedness values.
- 551
- 552
- 553

# 1 CAPÍTULO 2

- 2 Title: Broad-scale assessment of nestedness between pristine and human-modified
  3 habitats
- 4 André Luís Luza<sup>a,b\*</sup>, Sandra Maria Hartz<sup>a</sup> & Catherine Helen Graham<sup>c,d</sup>
- 5 <sup>a</sup> Programa de Pós-Graduação em Ecologia. Departamento de Ecologia, Prédio 43422, 6 Instituto de Biociências, Universidade Federal do Rio Grande do Sul. Av. Bento 7 Gonçalves 9500, Bairro Agronomia, CEP: 91501-970 - Post-Office Box: 15007. Phone: 8 55 (51) 3308 7623. Porto Alegre - Rio Grande do Sul, Brazil. Homepage URL: 9 http://www.ufrgs.br/ppgecologia/ <sup>b</sup> Brazilian Federal Agency for Support and Assessment of Post-Graduate Education 10 (CAPES), Sandwich Doctorate Program. 11 <sup>c</sup> Biodiversity Conservation Group. Swiss Federal Research Institute (WSL), 12 13 Zürcherstrasse 111, Phone: 044 739 21 11. CH-8903 Birmensdorf, Switzerland. 14 Homepage URL: http://www.wsl.ch/ <sup>d</sup> Department of Ecology and Evolution, 650 Life Sciences Building, NY 11794-5245. 15
- 16 Phone: (631) 632-8600; Fax: (631) 632-7626. Stony Brook University, Stony Brook,
- 17 New York, USA.
- 18 \*Corresponding author: André Luís Luza
- 19 ORCID: 0000-0003-0302-529X; ResearcherID: O-1134-2017
- 20 E-mail address: luza.andre@gmail.com. Programa de Pós-Graduação em Ecologia.
- 21 Departamento de Ecologia, Prédio 43422, Instituto de Biociências, Universidade
- 22 Federal do Rio Grande do Sul. Av. Bento Gonçalves 9500, Bairro Agronomia, CEP:
- 23 91501-970 Post-Office Box: 15007. Porto Alegre Rio Grande do Sul, Brazil.

25 Human-modified habitats that have similar vegetation structure to pristine habitats may be more amenable to animal persistence, but a global assessment of this expectation is 26 27 lacking. We assessed nestedness in non-volant small mammal assemblages from pristine and human-modified habitats of 52 ecoregions, nine biomes and five realms. 28 29 We expected least structurally similar human-modified habitats, in comparison with pristine habitats, should be composed by a nested subset of species from richer sites, 30 31 and that such pattern should differ across realms. We obtained data on small mammal composition in pristine and human-modified habitats by combining an existing database 32 33 (PREDICTS, 22 studies) with a new database based on peer-reviewed papers (158 held/1 054 total). The combined database included 180 studies, 499 mammal species 34 and 1 052 sites. Pristine habitats consisted of grasslands and forests, and human-35 36 modified habitats of open crop fields/clear-cuts, anthropogenic edges and treeplantations. We built occurrence matrices for all possible comparisons of pristine vs. 37 38 human-modified habitats within ecoregions, and calculated multi-site nestedness. To 39 determine the most important factor explaining multi-site nestedness, we analyzed several linear mixed models containing habitat comparisons and interaction terms 40 41 between habitat comparisons, biomes and realms. We found weak, to no, relationship 42 between nestedness and vegetation structure in human-modified habitats. Instead we 43 found geographic variation in the patterns of nestedness between regions. Habitat conversion seems more important for forest than for grassland assemblages, and 44 45 temperate-biome assemblages seem less susceptible to habitat alterations than tropical ones. Biogeographic variation in nestedness should be considered in conservation 46 47 planning.

50

51	Highlights:
52	1. New database on small mammal composition in pristine and human-modified
53	habitats
54	2. Inconsistent relationship between nestedness and habitat similarity across
55	regions
56	3. Habitat conversion influenced forest mammals more than grassland mammals
57	4. Temperate biome assemblages appear to be less susceptible to land-use change
58	5. Region-specific conservation planning may help conserve small mammal
59	species

60

# 61 **1. Introduction**

The alarming global rate of habitat conversion, fostered by technological 62 63 advances and increasing food and timber demands, challenges the maintenance of viable 64 animal populations (Newbold et al., 2016, 2015; Laurance et al., 2014; Hoekstra et al., 65 2005). Habitat fragmentation and conversion often cause an ordered process of species 66 extinction and assemblage disaggregation, resulting in a pattern where impoverished 67 assemblages from human-modified habitats are a nested subset of richer assemblages that occur in pristine habitats (Baselga, 2010; Patterson and Atmar, 2000, 1986). 68 69 Species that are rare (Patterson and Atmar, 2000), habitat-specialists (Santos-Filho et 70 al., 2016; Hurst et al., 2014), large bodied (Galetti et al., 2015), or have slowreproduction (Flynn et al., 2009), or occupy a high-trophic level (Ewers and Didham 71

2006; Laurance, 1994), are more susceptible to decreased remnant area and increased
isolation common in human-modified landscapes.

74 Some local studies have found that human-modified habitats with similar 75 vegetation to pristine habitats host many native species (Corbelli et al., 2015; Martin et 76 al., 2012; Passamani and Fernandez, 2011; Prevedelo and Vieira, 2010; Fonseca et al., 77 2009), because changes in abiotic conditions and vegetation heterogeneity are minimal 78 (Prevedelo and Vieira, 2010; Fischer and Lindemeyer, 2007). However, this result is not consistent across studies (Ewers and Didham, 2006; Debinski and Holt, 2000) and high 79 80 numbers of native species are lost even when human-modified habitats have similar 81 vegetation structure to pristine habitats (Newbold et al., 2016, 2015; Yue et al., 2015; 82 Barlow et al., 2007). The discrepancy among these local studies may be caused by site-83 or region-specific differences in species richness and composition (Penone et al., 2016; Holt et al., 2013; Dobrovolski et al., 2012) indicating that a broad-scale analysis is 84 necessary to establish the consistency of the relationship between vegetation structure 85 86 and species losses. Here, we present a broad-scale analysis to assess how biodiversity decreases along pristine-to-human-modified habitats. 87

88 Biogeographic history, climatic conditions and gradients of water and energy 89 availability are broad-scale factors that alter the type and suitability of habitats across 90 regions (Hawkins et al., 2003; Olson et al., 2001). These factors generate the uneven 91 distribution of species within and between regions (Penone et al., 2016; Holt et al., 92 2013) and produce a natural change in species composition over time and space (Magurran, 2016). Thus, we might expect a geographical variation in how species 93 94 respond to habitat conversion. For instance, species from regions composed by a mosaic of native grasslands and forest habitats may be less susceptible to habitat conversion 95 and show weaker nestedness in human-modified habitats than species from regions 96

97 composed by a single vegetation type (Lindell et al., 2007). Strategies for biodiversity
98 conservation may therefore be region specific if the relationship between species losses
99 and vegetation similarity is inconsistent across regions.

100 We assessed the degree of nestedness in the composition of non-volant small 101 mammal assemblages from pristine and human-modified habitats in 52 ecoregions, nine 102 biomes and five realms. We evaluated non-volant small mammals because they have a 103 wide range of dietary and morphological adaptations allowing them to occupy human-104 modified habitats (Martin et al., 2012; Medan et al., 2011; Flynn et al., 2009). We 105 assembled a database on the small mammal composition in pristine forests and 106 grasslands and in three human-modified habitats: tree plantations, edges and open crop 107 fields/clear-cuts. We computed nestedness between forest vs. human-modified habitats and grassland vs. human-modified habitats across biomes and realms. If habitat 108 109 modification results in nestedness then we would expect that least structurally similar 110 habitats would be more nested. However, given the variation in environmental 111 conditions and history across biomes and realms, nestedness patterns might also vary 112 geographically. Nestedness should vary between habitats across realms, because Australasia, the Neotropics and Nearctic might have more forest-adapted fauna in their 113 114 current species pool than the Afrotropics and Palearctic, which have more open- and 115 mosaic-adapted species (Malhi et al., 2016; Smith et al., 2016; de Vivo and 116 Carmignotto, 2004). Therefore, we expected that tree plantations should be a nested 117 subset of species from pristine forests for Australasia, the Neotropics and Nearctic but 118 not for the Afrotropics and Palearctic. We also expected that open crop fields/clear-cuts 119 should be a nested subset of species from pristine grasslands for the Afrotropics and 120 Palearctic but not for Australasia, the Nearctic and Neotropics.

#### 122 **2. Methods**

# 123 2.1 Obtaining the data

124 We used a database based on bibliographic searches (Luza et al., unpublished data; Appendix A1) and the PREDICTS database (Hudson et al., 2017) to obtain data 125 126 on small mammal assemblage composition in pristine and human-modified habitats (see 127 Appendix A2). The bibliographic search database provides a total of 220 of the 1 054 reviewed studies used several trapping techniques to sample non-carnivore, non-strictly 128 129 forest (e.g. Primates, Dermoptera) species with an averaged body mass  $\leq$  5kg (references in Appendix A3). From the 220 studies, we removed those that imprecisely 130 defined sampled habitat ("NA" entries for "Habitat" descriptor), sampling effort 131 (trap/nights, ("NA" entries for "Effort\_by\_habitat" descriptor) or did not use one of the 132 133 three classical techniques for small mammal sampling (live-, snap- and pitfall-traps). Sampling techniques such as camera-traps, transects, tracking tunnels, owl-pellet and 134 135 scat analysis were only considered if they supplemented one of the three standard trap types. We removed Xenarthra, Monotremata, Macroscelidea, Pholidota because they 136 represented a small number of species, and unidentified species. 137

138 Using the PREDICTS database (Hudson et al., 2017), we added information on assemblage composition in forest, grassland, tree plantation and crop fields/clear-cut 139 140 habitats by matching the two databases according to descriptors containing similar 141 information (study, site designation, longitude and latitude, sampling method, habitat type, species identity and incidence; see Appendix A2). Similar to the bibliographic 142 143 search database, we removed from PREDICTS those studies that did not use one of the 144 three classical techniques for small mammal sampling. Our combined database: 1) 145 included fine-scale information on small mammal composition in paired human-146 modified and pristine habitats, 2) included information acquired through similar

149 Forest and grassland fragments, continuous remnants and advanced secondary-150 regeneration were considered pristine habitats, because composition and richness 151 differences between these habitats are minimal (Newbold et al., 2015). We considered managed forests as pristine when the authors provided enough information about 152 153 logging regimes to judge that they were only minimally disturbed (e.g. Ransome et al., 154 2009; Bayne and Hobson, 1998). Grasslands and savannas with native vegetation were 155 considered as pristine habitats even if they were grazed by domesticated animals 156 (Veldman et al., 2015). An edge was considered the boundary between the pristine and 157 human-modified habitats. Species composition at edges was generally quantified with 158 traps paralleling the sharp border between two habitats, mostly between forest and 159 human-modified habitats (e.g. Santos-Filho et al., 2016; Ransome et al., 2009; Bayne 160 and Hobson, 1998). We considered tree plantations those tree monocultures planted in 161 grasslands and cleared forests. We considered clear-cuts, crop fields and young-162 secondary vegetation as open habitats. Since PREDICTS characterizes both grasslands 163 and forest as primary vegetation, we refined this designation using the study description 164 field 'Habitat\_as\_described' (see Hudson et al., 2017). If this entry was empty, we used 165 PREDICTS broad classification of biome to differentiate forests from grasslands.

166

167 2.2 Data analysis

We assessed nestedness between all possible comparisons of pristine and human-modified habitats within the world ecoregions (Olson et al., 2001). Ecoregions are biogeographically and climatically homogeneous areas that capture environmental heterogeneity at broad scales and are considered to host distinct ecological communities

(Olson et al., 2001). We built occurrence matrices for each ecoregion to constrain the 172 173 geographic extent used in site comparisons (i.e., to avoid calculating nestedness among 174 sites from Amazon and Chilean forests which lack shared species). Each matrix within 175 an ecoregion was our basic unit for nestedness analysis. Each ecoregion had up eight 176 matrices of habitat comparisons: four matrices of forest and human-modified habitat 177 comparisons (forests vs. forests, forests vs. edges, forests vs. open, forests vs. tree plantations), and four matrices for grassland and human-modified habitat comparisons 178 179 (grasslands vs. grasslands, grasslands vs. edges, grasslands vs. open, grasslands vs. tree plantations). We analyzed nestedness in matrices containing  $\geq 3$  sites and  $\geq 4$  species to 180 181 obtain multi-site comparisons and to avoid nestedness values close to 0 for very small matrices. We did not include data for the Indomalayan realm because it only had four 182 183 habitat comparisons (Table A2.2).

184 For each matrix of habitat comparisons, we partitioned the multi-site Sorensen index and used the component referring to the dissimilarity due to nestedness ( $\beta_{NES}$ ). 185 186 Baselga, 2010). Dissimilarity due to nestedness quantifies the total dissimilarity among 187 sites caused by the process of ordered loss of species (Baselga, 2012, 2010; Patterson 188 and Atmar, 1986). Species loss may nullify dissimilarity in species composition 189 between pristine and the human-modified habitats when habitat conversion causes the 190 loss of rare and endemic species but not of dominant and generalist species (Patterson 191 and Atmar, 2000). By comparing the composition of species occurring in the species 192 richer sites with those present in the poorer sites, the nestedness component of beta-193 diversity permits evaluation of the effect of habitat conversion on the loss of rare and 194 endemic species (Baselga, 2010; Patterson and Atmar, 2000) and the potential of 195 human-modified habitats to conserve regional diversity (Socolar et al., 2016). 196 Dissimilarity due to nestedness represents an index proportional to the total number of 197 species being considered in the multi-site comparisons, because partitioning removes 198 the effect of differences in richness for beta diversity components (Baselga, 2012, 199 2010). Dissimilarity due to nestedness ( $\beta_{NES}$ ) will be equal to one when the sites share 200 all their species (no compositional difference), and zero when no species is shared 201 (complete species replacement; Baselga, 2010).

202 We evaluated variation in dissimilarity due to nestedness ( $\beta_{NES}$ ) using Linear 203 Mixed Models (LMM; Bates, 2010) because the log of  $\beta_{\text{NES}}$  was normally distributed. 204 LMM are hierarchical models where the response variables can be modeled as a 205 function of fixed factors describing biological processes, while accounting for random 206 factors related to data collection and sampling (Bates, 2010). In the case of multi-site 207 Sorensen index, the number of sites in each matrix can be considered a random factor 208 affecting the index values (Baselga, 2012, 2010). To evaluate this effect, we first 209 correlated  $\beta_{\text{NES}}$  with the number of sites. Given the low but significant negative 210 correlation (r= -0.22, p < 0.001), we ran a preliminary LMM analysis including the 211 number of sites as random effect and habitat comparison, ecoregion, and interaction 212 terms between biome x habitat, realm x habitat, realm x biome as fixed factors. 213 Preliminary LMM analysis showed no variance in  $\beta_{\text{NES}}$  explained by the number of 214 sites. In addition, preliminary LMM showed that ecoregions explained the largest 215 amount of the variance in  $\beta_{NES}$  but standard deviations of ecoregion mean nestedness 216 was very wide or could not be calculated, because many of the ecoregions had less than 217 two nestedness values (i.e., 26 ecoregions had multi-site nestedness values only for two comparisons of pristine vs. modified habitat [e.g., forest vs. open and forest vs. edge]). 218 219 Thus, ecoregions were treated as a random factor in our LMM analyses.

Since the number of nestedness measurements was much higher for forest vs.
human-modified habitats (118 values) than for grassland vs. human-modified habitats

222 (33 values), we evaluated if the number of nestedness measurements could alter the 223 results. We conducted a random sampling of 33 values of nestedness across the 118 224 values for forest vs. human-modified habitat comparisons within the realms. Thus, the 225 number of nestedness values for forest vs. human-modified habitat comparisons was the same as grassland vs. human-modified habitat comparisons within each realm. We 226 repeated the random sampling procedure 100 times and conducted 100 different LMM 227 and model selection analyses. We conducted a particular sampling using the function 228 229 'sample' and repeated 100 times using the function 'replicate' ('base' package, R Core Team, 2017). We reported the marginal and conditional pseudo- $R^2$  values averaged 230 231 across the 100 LMM analysis and the counting of the times that each model appeared as the best-ranked model across the 100 LMM analysis (see Results). 232

Our final set of models, built to test if the degree of nestedness is influenced by 233 234 region and habitat modification, considered  $\beta_{NES}$  as response variable and different 235 covariates as fixed factors. We built candidate models where: 1) nestedness varied 236 according to habitat irrespective of the region (i.e., the independent effect of habitat 237 comparison on  $\beta_{\text{NES}}$ ), 2) nestedness varied according to both habitat and biome, where nestedness should differ when comparing similar habitats from different biomes (i.e., 238 239 the effect biome x habitat comparison interaction on  $\beta_{\text{NES}}$ ), 3) nestedness varied 240 according to both habitat and realm, where nestedness should differ when comparing 241 similar habitats from different realms (i.e., the effect of realm x habitat comparison 242 interaction on  $\beta_{\text{NES}}$ ), and 4) nestedness varied according to both biome and realm, where nestedness should differ when comparing similar biomes from different realms (i.e., the 243 244 effect of realm x biome interaction on  $\beta_{\text{NES}}$ ). For these analyses, we removed all 245 interaction terms represented only by one matrix.

We selected the best model among the candidate models using Akaike 246 Information Criterion for small samples sizes (AICc) (Burnham and Anderson, 2002). 247 248 We ranked the best models according to their differences in AICc ( $\Delta$ AICc) and their relative weight. We considered the model (s) with substantial empirical support those 249 250 with  $\Delta AICc \leq 2$  (Burnham and Anderson, 2002). The best-ranked model was readjusted 251 by removing high leverage points (according to Cook's Distance), calculating the 252 exponent of log  $\beta$ sne and removing the intercept to obtain the estimated mean of  $\beta$ sne 253 (Crawley, 2007). Posteriorly, we calculated mean differences and confidence intervals 254 for the levels of the fixed factor explaining the largest variance of βsne (Kuznetsova et al., 2017). We used Satterthwaite's approximation to estimate denominator degrees of 255 256 freedom and p-values for the Linear Mixed Models (Kuznetsova et al., 2017). We calculated conditional and marginal pseudo-R<sup>2</sup> values for LMM (Nakagawa and 257 258 Schielzeth, 2013) to obtain the relative contribution of fixed and random effects to nestedness degree. We partitioned the multi-site Sorensen using the package 'betapart', 259 260 ran LMM using package 'lme4' and selected models using package 'MuMIn'. We 261 identified high leverage points using package 'influence.ME' and calculated mean 262 differences and confidence intervals using the package 'ImerTest'. All analyses were conducted in R v3.4.0 (R Development Core Team, 2017). 263

264

# 265 **3. Results**

Our final database includes 180 studies. Of these, 158 were obtained from Luza et al. (unpublished data) and 22 from PREDICTS (PREDICTS include 25 suitable studies on small mammal composition, but three of them were already included in Luza et al. database [see the complete list of data sources in Appendix A3]). Our combined database had few grassland sites in Australasia, Indomalayan and east Palearctic (Figure 1). Most sites were in the Neotropics and Nearctic (Figure 1, Table A2.1) and in tropicaland temperate forest biomes (Table A2.2).



Figure 1: Global distribution of habitats in the combined database. Our combined
database includes information from the Luza et al. (circles) and PREDICTS database
(triangles).

277

Overall, we obtained composition data for 499 small mammal species from eight 278 279 orders (Diprotodontia, Eulipotyphla, Rodentia, Didelphimorphia, Afrosoricida, Dasyuromorphia, Lagomorpha and Peramelemorphia) in 1 052 sites (Figure 1, Table 280 281 A2.1). After removing ecoregions with few species and sites, the dataset included 282 measurements of nestedness for 151 matrices (118 for forests vs. human-modified 283 habitats, and 33 for grasslands vs. human-modified habitats) in 52 ecoregions, nine 284 biomes and five realms. Matrices had, on average  $\pm$  sd, 13.37  $\pm$  18.52 sites (range= 3-285 110 sites) and  $14.30 \pm 7.51$  species range 4-35 species), for forest vs. human-modified habitat comparison. Matrices had, on average  $\pm$  sd, 10.88  $\pm$  10.66 sites (range 3-40) and 286 287  $13.97 \pm 6.24$  species (range 4-27) for grassland vs. human-modified habitat comparison.

Our analyses of dissimilarity due to nestedness between pristine and human-288 289 modified habitats within the ecoregions showed that habitat comparison was the 290 covariate included in the most plausible model for nestedness between forest and 291 human-modified habitats, although it explained only a very small portion of total variation in nestedness ( $\approx 1\%$ , Table 1). Habitat comparison appeared as the most 292 293 plausible model ( $\Delta AICc \le 2$ ) in 64 of the 100 LMM analysis (Table 2). This model 294 showed that dissimilarity due to nestedness between forests and open crop fields/clear-295 cuts was significantly higher than nestedness between forest sites (Figure 2A); the 296 difference between crop fields/clear-cuts and tree plantations was marginally 297 significant. Since the first model explained a small portion of total variance, we also explored the results for the second model (realm x biome interaction) in which fixed 298 299 factor explained more than 40% of total variation in nestedness (Table 1). Realm x 300 biome interaction appeared as the second most plausible model in most of the 100 301 LMM analysis (Table 2). We found higher nestedness in Nearctic Boreal Forest and 302 Taiga than in Tropical and Subtropical Moist Broadleaf Forests from the Afrotropics 303 and Neotropics, and in Tropical and Subtropical Grasslands, Savannas and Shrublands from the Neotropics (Figure 2B). 304

The model containing the interaction between realm and biome had the best explanatory power for nestedness between grassland and human-modified habitats (Table 1). The habitat comparison model explained minor variation in nestedness ( $\approx$ 3%) and was not included among the best ranked models ( $\Delta$ AICc < 2; Table 1). Nestedness was significantly higher for Neotropic Temperate Grasslands, Savannas and Shrublands compared to Neotropic Tropical Grasslands, Savannas and Shrublands (Figure 3).

57

316 variation in nestedness degree ( $\beta_{\text{NES}}$ ).

Comparison/ Model	Int (Mean β <sub>NES</sub> )	df	logLik	AICc	ΔAICc	Weight	R <sup>2</sup> m	R <sup>2</sup> c
Forest vs. human-modified								
habitat								
$\beta_{\text{NES}}$ ~ habitat comparison + (1 lecoregion)	0.137	6	-97.072	206.9	0	0.995	0.008	0.830
$\beta_{\text{NES}}$ ~ realm x biome interaction + (1)ecoregion)	0.144	20	-84.06	217.4	10.41	0.005	0.411	0.813
Grassland vs. human-modified								
habitat								
$\beta_{\text{NES}} \sim \text{realm x biome}$ interaction + (1lecoregion)	0.188	10	-10.174	51.9	0	0.828	0.672	0.672
$\beta_{\text{NES}}$ ~ habitat comparison + (1)ecoregion)	0.155	6	-19.712	55.1	3.15	0.172	0.028	0.731

317

318 Table 2: Results for 100 LMM analysis of forest vs. human-modified habitat

319 comparisons. We used the same number of nestedness values as in grassland vs. human-

320 modified habitat comparisons (33 values) in each one of the 100 LMM analysis.

Model	Times as the mostTimes as the secondplausible model †most plausible model		Marginal pseudo-R <sup>2</sup> ‡	Conditional pseudo-R <sup>2</sup> ‡				
$\beta_{\text{NES}}$ ~ habitat comparison + (1lecoregion)								
	64	31	$0.03 \pm 0.03$	$0.91 \pm 0.14$				
$\beta_{\text{NES}}$ ~ biome x habitat comparison interaction + (1)ecoregion)								
	15	10	$0.44 \pm 0.14$	$0.98 \pm 0.04$				
$\beta_{\text{NES}}$ ~ realm x habitat comparison interaction + (1)ecoregion)								
	23	24	$0.26 \pm 0.12$	$0.95 \pm 0.12$				
$\beta_{\text{NES}}$ ~ realm x biome interaction + (1)ecoregion)								
	0	35	$0.47 \pm 0.12$	$0.88 \pm 0.15$				

321 † Counting of times that the model appeared as the best-ranked model ( $\Delta AICc \leq 2$ ).

322  $\ddagger$  Pseudo-R<sup>2</sup> values averaged across 100 LMM analysis.



Figure 2: Mean, 1<sup>st</sup> and 3<sup>rd</sup> quartiles of nestedness between (A) forests and human-325 326 modified habitats and (B) between biomes across realms. Significant differences (different letters) were considered when  $p \le 0.05$ . Points are outliers. Habitats: F= 327 forest, E= edge, O= open, T= tree plantation. Realms: AT= Afrotropics, NEAR= 328 329 Nearctic, NT= Neotropics, PA= Palearctic. Biomes: BFT= Boreal Forests and Taiga, 330 DXS= Desert and Xeric Shrublands, MFWS= Mediterranean Forests, Woodlands and Shrublands, TBMF= Temperate Broadleaf and Mixed Forests, TCF= Temperate 331 332 Coniferous Forests, TGSS= Temperate Grasslands, Savannas and Shrublands, TSBMF= Tropical and Subtropical Moist Broadleaf Forests, TSDBF= Tropical and Subtropical 333 Deciduous Broadleaf Forests, TSGSS= Tropical and Subtropical Grasslands, Savannas 334 and Shrublands. 335

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324



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Figure 3: Mean,  $1^{st}$  and  $3^{rd}$  quartiles of nestedness between biomes across realms. Significant differences (different letters) were considered when  $p \le 0.05$ . Realms: AT= Afrotropics, NEAR= Nearctic, NT= Neotropics, PA= Palearctic. Biomes: MFWS= Mediterranean Forests, Woodlands and Shrublands, TSGSS= Tropical and Subtropical Grasslands, Savannas and Shrublands, TBMF= Temperate Broadleaf and Mixed Forests, TGSS= Temperate Grasslands, Savannas and Shrublands, TSDBF= Tropical and Subtropical Deciduous Broadleaf Forests.

346

## 347 **4. Discussion**

Human-modified habitats are intensively managed to provide ecological services such as the production of food, fuel, timber and fiber (Laurance et al., 2014; Perrings et al., 2012; Flynn et al., 2009). These habitats often differ in vegetation structure when compared to native vegetation (Fischer and Lindemeyer, 2007; Ewers and Didham, 2006). In our global analysis of small mammal assemblage nestedness between pristine and human-modified habitats with different vegetation structure, we found weak, to no, relationship between nestedness and the vegetation structure of human-modified habitats. Instead, we found strong geographic variation in the patterns of nestedness
between regions, which may explain previous disparate results (Tscharntke et al., 2012;
Fischer and Lindemeyer, 2007; Ewers and Didham, 2006; Debinski and Holt, 2000).
Biogeographic and historical factors influenced how species respond to habitat
alteration, highlighting that region specific actions may be more fruitful for
conservation planning than actions based on habitat similarity.

The effect of habitat conversion appeared to be more important for forest 361 362 mammals than for grassland mammals (Sodhi et al., 2007). The subtle effect of habitat for forest mammals indicated that nestedness is higher between forests and open crop 363 fields/clear-cuts than between forest habitats. Thus, different forest sites have higher 364 365 compositional differences (i.e., higher turnover) than forests and open crop fields/clearcuts, because a subset of generalist forest species persist in human-modified habitats 366 367 with open structure (Passamani and Fernandez, 2011; Ransome et al., 2009). Similar 368 results by Panzacchi et al., (2010) showed that habitat simplification due to clear-cutting 369 and agriculture favored species that occur in both forests and fields at the expense of 370 primarily forest-dwellers. This weak habitat effect was not apparent for grassland 371 assemblages, perhaps because human-modified habitats provides supplementary or complementary resources to many small mammal species from grasslands and savannas 372 373 (e.g. Martin et al., 2012; Bilenca et al., 2007; Johnson et al., 2002). For example, small mammal assemblages from Afrotropic grasslands might be resistant to afforestation, 374 375 since no difference in composition was observed between native grasslands and tree 376 plantations (Johnson et al., 2002). In the Neotropic temperate grasslands, borders of 377 native grasslands surrounding crop fields were preferentially used by small mammals 378 likely because they provide suitable sites for reproduction and shelter. In addition, many of species occurring in grassland borders exploit human-modified habitats during 379

periods of high crop cover and resource availability (Bilenca et al., 2007). The lack of nestedness in grasslands may also be result of mammal evolution in grasslands experiencing natural disturbances such as burning, grazing by large herbivores and drought (Parr et al., 2014; Bond and Parr, 2010; de Vivo and Carmignotto, 2004).

We found geographical variation in how species respond to habitat conversion, 384 where the composition of small mammal assemblages in temperate biomes was more 385 nested than assemblages in tropical biomes. A globally and taxonomically (from 386 387 bacteria to mammals) comprehensive meta-analysis on beta-diversity components also detected a pattern where nestedness increased toward temperate and polar regions 388 389 (Soininen et al., 2018). Temperate-biome assemblages may be less susceptible to 390 species losses due to land use change than tropical ones, because variation in species 391 composition is lower in temperate regions (Tscharntke et al., 2012; Lindell et al., 2007; 392 Sodhi et al., 2007). Past glaciation and climate seasonality likely influenced richness 393 and distribution of species by causing more extinctions of small-ranged and rare species 394 in temperate and seasonal regions (Dobrovolski et al., 2012; Davies and Buckley, 2011; 395 Jansson, 2003; Hawkins et al., 2003). Widespread and generalist species, which currently dominate the assemblages from temperate and seasonal regions, were less 396 397 affected by the historical extinctions (Dobrovolski et al., 2012; Davies and Buckley, 398 2011; Jansson, 2003). In contrast, climate stability allowing the persistence of a high 399 number of rare, small-ranged and endemic species in the sites from Afrotropic and 400 Neotropic Tropical Forests and Savannas, might explain the lower nestedness but higher 401 turnover when compared to sites from Nearctic Boreal Forests and Neotropic Temperate Grasslands (Dobrovolski et al., 2012; Davies and Buckley, 2011; Jansson, 2003). 402 403 Therefore, even in the absence of human impacts, assemblages located in temperate
404 regions tend to show higher nestedness than assemblages from tropical regions405 (Soininen et al., 2018; Socolar et al., 2016).

406 Gaps in our combined database indicate regions where local studies on habitat 407 conversion effects should be conducted. Most of our data were from tropical and 408 temperate forest biomes (72% of total) and, within these biomes, we had more information for forests (49% of total) than for other habitats. Clear-cuts are particularly 409 410 well sampled in Nearctic and contributed to most of the mammal composition data in 411 open-habitats of temperate regions (e.g. Ransome et al., 2009; Bayne and Hobson, 412 1998), while crop fields are mainly studied in tropical biomes from the Neotropics and 413 Australasia (e.g., Medan et al., 2011; Bilenca et al., 2007; Woinarski et al., 2009). We 414 lack data for most human-modified habitats in the Indo-Malayan realm, a highly 415 biodiverse region under accelerated forest clearing to oil-palm plantations (Yue et al., 416 2015; Laurance et al., 2014). Tree plantations were the least represented habitat in our 417 database, likely because afforestation has expanded only recently in the Neotropics and 418 Afrotropics (Veldman et al., 2015), and few studies have evaluated its effect on savanna 419 and grassland biodiversity (e.g., Martin et al., 2012; Johnson et al., 2002). Finally, our 420 database is underrepresented in native grasslands and savannas from Palearctic, Afrotropics and Neotropics. The research bias present in our database indicates that 421 422 biomes of grasslands and savannas are understudied, resulting from the misinterpretation that habitat fragmentation is a tropical- and forest-specific problem 423 424 (Veldman et al., 2015; Parr et al., 2014; Hoekstra et al., 2005).

425

#### 426 **5. Conclusions**

427 Biogeographic variation in nestedness, which characterizes an ordered process of 428 species extinction and assemblage disaggregation, should be considered in conservation

planning. In temperate regions, improving the quality of human-modified habitats using 429 430 low-yielding and wildlife-friendly practices for croplands and forestry (land-sharing) 431 may conserve small mammals because site composition is more nested and has a large 432 portion of the regional species pool (Socolar et al., 2016; Sodhi et al., 2007). In 433 addition, creating large reserves in species-rich temperate regions may ensure the 434 conservation of much of the regional species pool (Socolar et al., 2016; Baselga, 2010). 435 In tropical regions, conservation must prioritize the conservation of native habitats 436 because species composition changes from site to site (Dobrovolski et al., 2012; Baselga, 2010). Creating small reserves of grassland and forest habitats, restoring 437 degraded habitats and corridors of native vegetation, and setting aside native habitats 438 439 nearby crop fields and tree plantation may help conserve mammal species in tropical regions (Socolar et al., 2016; Laurance et al., 2014; Flynn et al., 2009; Rosenzweig, 440 441 2003). Future research should test across-taxa consistency in nestedness between 442 pristine and human-modified habitats, since the geographic variation in nestedness 443 appears to be a general trend for terrestrial plant and animal assemblages.

444

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*Data depositing*: Data from PREDICTS (Hudson et al., 2017) were extracted from the
Natural History Museum Data Portal (<u>http://data.nhm.ac.uk/dataset/the-2016-release-of-</u>
<u>the-predicts-database</u>). The new database (including data and metadata) is being
submitted to the periodic *Data in Brief*.

467

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#### 622

- 623 Appendices
- 624 Appendix A1: Description of the database based on bibliographic searches.
- Appendix A2: Obtaining the data and defining the basic sampling unit for nestednessanalysis.
- 627 Appendix A3: List of 180 data sources included in the present manuscript.

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# 1 CAPÍTULO 3

- 2 Running head: Winners and losers of habitat conversion
- 3 Title: Inferring the strength of extinctions and immigrations in non-volant small
- 4 mammal communities from human-modified habitats
- 5 Keywords: Anthropocene, biodiversity trends, dark diversity, dispersal-extinction
- 6 balance, fragmentation, mammal dispersion, regional species pool.
- 7 Authors: André Luís Luza, \* Sandra Maria Hartz, ‡ Dirk Nikolaus Karger and ‡§
- 8 Catherine Helen Graham
- 9 Mailing adresses: \* Programa de Pós-Graduação em Ecologia. Departamento de
- 10 Ecologia, Prédio 43422, Instituto de Biociências, Universidade Federal do Rio Grande
- 11 do Sul. Av. Bento Gonçalves 9500, Bairro Agronomia, CEP: 91501-970 Post-Office
- 12 Box: 15007. Porto Alegre Rio Grande do Sul, Brazil. Fax: + 55 51 3308-7626, Phone:
- 13 +55 51 3308-7623. Homepage URL: <u>http://www.ufrgs.br/ppgecologia/</u>. †Brazilian
- 14 Federal Agency for Support and Assessment of Post-Graduate Education, Sandwich
- 15 Doctorate Program (process no. 88881.134011/2016-01). ‡Swiss Federal Research
- 16 Institute, WSL- Zürcherstrasse 111 CH-8903 Birmensdorf, Switzerland. § Department
- 17 of Ecology and Evolution, Stony Brook University, Stony Brook, NY.

18 \*† Address for correspondence: Programa de Pós-Graduação em Ecologia.

- 19 Departamento de Ecologia, Prédio 43422, Instituto de Biociências, Universidade
- 20 Federal do Rio Grande do Sul. Av. Bento Gonçalves 9500, Bairro Agronomia, CEP:
- 21 91501-970 Post-Office Box: 15007. Porto Alegre Rio Grande do Sul, Brazil. E-mail
- 22 luza.andre@gmail.com.
- 23
- 24

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31	Species distribution modelling analyses were conducted in the Hyperion Supercomputer
32	(WSL, Birmensdorf-CH).
33	
34	Article Impact Statement: Small mammal communities from human-modified habitats
35	host as much of the pool richness, functional diversity and composition as pristine
36	habitats.
37	
38	Inferring the strength of extinctions and immigrations in non-volant small
39	mammal communities from human-modified habitats
39 40	mammal communities from human-modified habitats
39 40 41	mammal communities from human-modified habitats Abstract: Differentiating local extinctions from immigrations is relevant because we
<ul><li>39</li><li>40</li><li>41</li><li>42</li></ul>	mammal communities from human-modified habitats         Abstract: Differentiating local extinctions from immigrations is relevant because we         may not find local biodiversity losses due to land-use change when extinctions are
<ol> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> </ol>	mammal communities from human-modified habitats         Abstract: Differentiating local extinctions from immigrations is relevant because we         may not find local biodiversity losses due to land-use change when extinctions are         counterbalanced by immigrations. We evaluated whether communities in pristine
<ol> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> </ol>	mammal communities from human-modified habitats         Abstract: Differentiating local extinctions from immigrations is relevant because we         may not find local biodiversity losses due to land-use change when extinctions are         counterbalanced by immigrations. We evaluated whether communities in pristine         (forests and grasslands) and human-modified habitats (forest edges, grassland edges,
<ol> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> <li>45</li> </ol>	mammal communities from human-modified habitats Abstract: Differentiating local extinctions from immigrations is relevant because we may not find local biodiversity losses due to land-use change when extinctions are counterbalanced by immigrations. We evaluated whether communities in pristine (forests and grasslands) and human-modified habitats (forest edges, grassland edges, tree plantations, clear-cuts and crop fields) differed in richness, functional diversity and
<ol> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> <li>45</li> <li>46</li> </ol>	mammal communities from human-modified habitats Abstract: Differentiating local extinctions from immigrations is relevant because we may not find local biodiversity losses due to land-use change when extinctions are counterbalanced by immigrations. We evaluated whether communities in pristine (forests and grasslands) and human-modified habitats (forest edges, grassland edges, tree plantations, clear-cuts and crop fields) differed in richness, functional diversity and species and functional composition as expected given differential strength of extinction
<ol> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> <li>45</li> <li>46</li> <li>47</li> </ol>	mammal communities from human-modified habitats Abstract: Differentiating local extinctions from immigrations is relevant because we may not find local biodiversity losses due to land-use change when extinctions are counterbalanced by immigrations. We evaluated whether communities in pristine (forests and grasslands) and human-modified habitats (forest edges, grassland edges, tree plantations, clear-cuts and crop fields) differed in richness, functional diversity and species and functional composition as expected given differential strength of extinction and immigration processes using a probabilistic pool approach. We obtained data on
<ol> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> <li>45</li> <li>46</li> <li>47</li> <li>48</li> </ol>	mammal communities from human-modified habitats         Abstract: Differentiating local extinctions from immigrations is relevant because we         may not find local biodiversity losses due to land-use change when extinctions are         counterbalanced by immigrations. We evaluated whether communities in pristine         (forests and grasslands) and human-modified habitats (forest edges, grassland edges,         tree plantations, clear-cuts and crop fields) differed in richness, functional diversity and         species and functional composition as expected given differential strength of extinction         and immigration processes using a probabilistic pool approach. We obtained data on         non-volant small mammal composition in pristine and habitat communities from two
<ol> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> <li>45</li> <li>46</li> <li>47</li> <li>48</li> <li>49</li> </ol>	mammal communities from human-modified habitats Abstract: Differentiating local extinctions from immigrations is relevant because we may not find local biodiversity losses due to land-use change when extinctions are counterbalanced by immigrations. We evaluated whether communities in pristine (forests and grasslands) and human-modified habitats (forest edges, grassland edges, tree plantations, clear-cuts and crop fields) differed in richness, functional diversity and species and functional composition as expected given differential strength of extinction and immigration processes using a probabilistic pool approach. We obtained data on non-volant small mammal composition in pristine and habitat communities from two

51 dispersal from trait databases and probabilities of species persistence given the 52 environment from species distribution modelling. We used linear regressions with generalized least squares to test whether pristine-habitat communities have more 53 54 diversity of the pool than human-modified habitat communities. The response variables were the local community richness, functional diversity, composition and functional 55 56 diversity of forest, grassland and human-modified habitat species relative to the probabilistic pool diversity. We found that human-modified habitats (except grassland 57 58 edges) host similar pool richness and functional diversity as pristine habitats; functional diversity was lower in tree plantations, clear-cuts and crop fields than in forests. 59 60 Composition analyses suggested that forest edges and grassland edges host less forest species of the pool than other habitats. Furthermore, the functional diversity of forest 61 species was lower in grasslands, grassland edges and crop fields. Human-modified 62 63 habitats host as many grassland species as pristine habitats, and forests host more human-modified habitat species than clear-cuts; human-modified habitats host similar 64 65 functional diversity of grassland and human-modified habitat species as pristine 66 habitats. Results showed that small mammal communities in human-modified habitats are under both weak extinction and immigration, an indicative of community resistance 67 to land-use changes. However, the local extinction of few specialist species might not 68 69 be compensated by the immigration of functionally similar species.

70

## 71 Introduction

The composition of local communities results from the sorting of species from the regional species pool (Karger et al. 2016; Lessard et al. 2016). The sorting is mediated by species-specific dispersal ability and site-specific environmental suitability, which influence the probability of species reaching and surviving in a given location,

respectively (Belmaker & Jetz 2013; Karger et al. 2016; Lessard et al. 2016). Human 76 77 activities can alter the environmental suitability of sites and may trigger the re-assembly of communities and ecosystems through local extinctions and immigrations (Dornelas et 78 79 al. 2014, McGill et al. 2015; Newbold et al. 2015, 2016; Isbell et al. 2017). Human-80 modified landscapes are often mosaics of pristine-habitat patches embedded in humanmodified habitats and likely vary in their suitability for different species (Umetsu et al. 81 2008; Prevedevello & Vieira 2010). The extinction of some pristine-habitat species, 82 83 often termed 'losers', can release resources and provide opportunity for generalist and invasive species from other habitats and regions (termed 'winners') to immigrate into 84 85 human-modified habitats (Jackson & Sax 2010; McGill et al. 2015). Differentiating local extinctions in from immigrations into human-modified habitats is important 86 because we may not find an effect of land-use change on local species richness and 87 88 functional diversity when local extinctions are counterbalanced by immigrations, yet we 89 may be losing species and ecological functions characteristic of pristine habitats 90 (Vellend et al. 2013, 2017; Dornelas et al. 2014; McGill et al. 2015). Here, we were 91 interested in the processes of extinction and immigration because they occur in shorter temporal and finer spatial scales than speciation and genetic drift. Thus, extinction and 92 93 immigration may be more sensitive to anthropogenic activities than speciation and 94 genetic drift.

Evaluating species (e.g., richness, composition) and functional (e.g., functional
distance between coexisting species, functional distance according to composition)
diversity of a local community considering the diversity of its regional species pool is
one way to distinguish local extinctions from immigrations (Magurran 2016; Lewis et
al. 2017). In particular, a probabilistic species pool incorporates a probabilistic
perspective to the concept of regional species pool (Karger et al. 2016; Lessard et al.

101 2016). The probabilistic species pool provides a null expectation, and potentially also a historical baseline, for comparing the observed species and functional diversity of a 102 103 local community to that we would expect given the probabilistic species and functional 104 diversity of the regional species pool (Karger et al. 2016). The species in the regional 105 pool have a certain probability of overcoming dispersal barriers, persisting to the 106 regional environment and belonging to a given local community (Belmaker & Jetz 2013; Karger et al. 2016; Lessard et al. 2016). Therefore, local communities might be 107 108 composed of many specialized and functionally unique species, which have high probability to persist to the local environment (Belmaker & Jetz 2013; Lessard et al. 109 110 2016). Since these specialized species should be frequent in pristine forests and 111 grasslands due to weak extinction and immigration rates (Gibson et al. 2011; Newbold 112 et al. 2015, 2016; but see Barlow et al. 2016), the communities from pristine habitats 113 should be more diverse than communities from human-modified habitats. However, 114 such specialized and functionally unique species may become the 'losers' and be 115 replaced by the 'winners' due to modifications in the quality, size, density and 116 connectivity of habitat patches (Umetsu et al. 2008; Flynn et al. 2009; Corbelli et al., 117 2015; Dornelas et al. 2014). Here, we infer the strength of extinctions and immigrations 118 using the probabilistic pool approach to calculate a community completeness index 119 (Pärtel et al. 2013; Lewis et al. 2017) (see  $p^i\Psi$  and  $p^i\Psi_c$ , Box 1) and test whether 120 communities in pristine (forests and grasslands) and human-modified habitats (forest 121 edges, grassland edges, tree plantations, clear-cuts and crop fields) differ in species 122 richness and functional diversity and in species and functional composition (Fig. 1). 123 A community under both weak local extinctions and immigrations in a human-124 modified habitat should have similar species richness, functional diversity and

125 composition relative to the regional species pool as a pristine habitat community (Fig.

1A) (Debinski & Holt 2000; McGill et al. 2015). A community under strong extinction 126 127 and weak immigration in a human-modified habitat should have lower species richness 128 and functional diversity and impoverished species and functional composition relative 129 to the pool than a pristine habitat community (i.e., Fig. 1B) (Debinski & Holt 2000; 130 McGill et al. 2015). In this case, the human-modified habitat has a small proportion of 131 the regional species and functional pool and, since only few pristine-habitat species 132 tolerating habitat modifications can persist in the modified habitat, many ecological 133 functions are lost. Habitat modification may also substantially increase species richness 134 and functional diversity and alter the species and functional composition when weak 135 extinction is overcompensated by strong immigration, which may result in a new 136 community comprised of many 'winners' that were absent before habitat conversion 137 (Jackson & Sax 2010; Dornelas et al. 2014; McGill et al. 2015). In this case, a largest 138 proportion of the pool should be present in the human-modified habitat (i.e., Fig. 1C). 139 Finally, a community under both strong local extinction and immigration in a human-140 modified habitat should have the same richness and functional diversity relative to the 141 pool as a pristine habitat community (Debinski & Holt 2000; Jackson & Sax 2010; 142 McGill et al. 2015). However, the species and functional composition of the human-143 modified habitat community should be substantially altered because many 'winners' 144 with distinct habitat preferences should thrive in the human-modified habitat (Fig. 1D). 145 Non-volant small mammals, which comprise species belonging to the 146 Afrosoricida, Dasyuromorphia, Didelphimorphia, Diprotodontia, Eulipotyphla, 147 Lagomorpha, Peramelemorphia and Rodentia mammalian orders, are a suitable group 148 for our analyses. They are conspicuous inhabitants of pristine grasslands and forests and 149 of several types of human-modified habitats due to morphological and behavioral 150 adaptations to the environment (Flynn et al. 2009; Medan et al. 2011). They are

relatively well sampled across the globe and have been used as a model group to test the 151 effect of land-use changes on biodiversity (e.g. Kingston & Morris 2000; Umetsu et al. 152 153 2008; Pardini et al. 2009, 2010; Pfeifer et al. 2017). Thus, data on small mammal 154 occurrence is useful to the application of a regional perspective to the study of the 155 animal community re-assembly due to human-mediated habitat modifications, which is 156 still lacking. We expected that, relative to the regional species pool, A) communities from human-modified habitats would have lower species richness and functional 157 158 diversity than pristine forests and grasslands; B) communities from forest-like humanmodified habitats (tree plantations and forest edges) would have fewer of the species 159 160 and functions of forest species than pristine forests but more than grasslands and open 161 human-modified habitats (grassland edges, crop fields, clear-cuts); C) communities from open human-modified habitats would have fewer of the species and functions of 162 163 grassland species than pristine grasslands but more than forest-like human-modified 164 habitats; and, D) communities from pristine forests and grasslands would have fewer of 165 the species and functions of human-modified habitat species than human-modified 166 habitats.

	Pool composition ( ${}^{i}\Psi_{DxE}c$ )		Hum	an modified	
	Forest species	rassland species	habi	tat species	
	0.9 0.8 0.8 0.7 0.5 0.9	0.7 0.9 0.8 0.		0.7 0.6	0.9
Pristine	habitat Community rid	chness ( <sup>i</sup> Ψ <sub>OBS</sub> )			
	Community composition ( ${}^{i}\Psi_{0}$	(BS c)			
	0.9 0.8 0.7		1 0.8		
Human	modified habitat				
A) Both	weak extinction and immig	gration			
	0.9 0.8 0.8		1 0.8	0.7	
B) Stror	g extinction and weak imm	nigration			
				0.7	
C) Weal	extinction and strong imm	igration			
	0.9 0.8 0.8 0.9	0.7 0.9 0.8		0.7	0.9
D) Both	strong extinction and imm	igration			

169 the structure of human-modified habitat communities. Here, we compared local

170 community richness, functional diversity, species and functional composition relative to

171 the probabilistic pool diversity to differentiate extinctions from immigrations (see Box 1

173 species dispersal and persistence as defined by the probabilistic species pool approach.

174 *The circle colors depict the habitat preferences of the species.* 

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#### **Box 1: Concepts and formulas**

Dispersal distance over time (kt): the ability a species has to disperse away from its
known range during a given period. We used the natal dispersal distances (data from
Whitmee & Orme 2013) and generation length (data from Pacifi et al. 2013) to obtain
the annual dispersal ability of the species. We modified the parameter *kt* in the *Sensitivity analyses*.

184 Dispersal-based species pool: A species pool delineated according to species-specific 185 dispersal abilities. The dispersal-based species pool contains the probabilities of species 186 dispersal beyond their known range over 40 years. The species dispersal probability (D<sub>n</sub>) was defined as  $D_n = 1 - \prod_{n=1}^{N} (1 - e^{-kt_n})$ , where the exponent of the species 187 188 dispersal rate k over the period t defines the ability to reach n to N cells (Karger et al. 2016). We defined t as 40 years to match the start of the "Green revolution" period, 189 190 from which the species tolerating modifications in the habitat should start to thrive in 191 human-modified landscapes (Laurance et al. 2014).

*Environment-based species pool*: A species pool delineated according to site-specific
environmental suitability. The environment-based pool contains the probabilities of
species persistence given the climate suitability (data from Karger et al. 2017).

195*Probabilistic species pool*: A species pool delineated according to species-specific196dispersal abilities and site-specific environmental suitability. The probabilistic species197pool was obtained using the formula  ${}^{i}\Psi = \sum_{s=1}^{S} \prod_{x=1}^{n} Pxs$ , where we multiplied the198independent probabilities generated by x to n filters defining the pool; in our case, the199two filters were dispersal (D) and environment (E). The probabilistic pool ( ${}^{i}\Psi_{DxE}$ )200contains the probabilities of species occurrence in the local communities weighted by201species-specific dispersal ability and site-specific environmental suitability.

82

Local community: The set of species coexisting in a pristine (forest, grassland and
natural edges) or human-modified (forest edge, grassland edge, tree plantation, clearcut, crop field) habitats. Data on community composition were obtained from Luza et
al. (unpublished data) and Hudson et al. (2017). The richness and composition of a local
community was probabilistic, because we replaced the presences by the respective
probabilities of species dispersal and persistence, as defined by the probabilistic pool
approach.

Local community richness (functional diversity) ( ${}^{i}\Psi_{OBS}$ ): the community richness was defined as  ${}^{i}\Psi_{OBS} = \sum_{s=1}^{S} Ps$ , where we summed the probabilities *Ps* from *s*=1 to *S* species found in a local community (i.e. the sum of the height of all bars with black circles, Fig. 2); the probabilities were extracted from the probabilistic species pool. The functional diversity of a local community was the mean functional distance between coexisting species.

215 *Pool richness (functional diversity)* ( ${}^{i}\Psi_{DxE}$ ): The total richness (functional diversity) in 216 the pool of a local community. Pool richness was defined as  ${}^{i}\Psi_{DxE} = \sum_{s=1}^{S} Ps$ , where 217 we summed up the probabilities *P* from *s*=1 to the *S* species belonging to the pool (i.e. 218 the sum of the height of all bars with black, white and red circles, Fig. 2). The 219 functional diversity of the pool of a local community was the mean functional distance 220 between all species in the pool.

221 Local community richness (functional diversity) relative to the pool richness (functional 222 diversity)  $(p^i \Psi)$ : we obtained the proportion of species (functions) of the regional pool 223 which is found in a local community by dividing <sup>i</sup> $\Psi_{OBS}$  by <sup>i</sup> $\Psi_{DxE}$ . The index p<sup>i</sup> $\Psi$  is 224 similar to the community completeness index (Pärtel et al. 2013; Lewis et al. 2017), which measures the proportion of the species (functions) of the regional pool which isfound in a local community.

Local community composition (functional composition) ( ${}^{i}\Psi_{OBS} c$ ): the community composition was defined as  ${}^{i}\Psi_{OBS} c = \sum_{s=1}^{S} Ps C$ , where we summed the probabilities *Ps* from *s*=1 to *S* preferring the habitat *C* (i.e. the sum of the height of green bars with black squares, Fig. 2); the probabilities were extracted from the probabilistic species pool. *C* can be forest, grassland or human-modified habitat species. The functional composition of a local community was the mean functional distance between the species preferring the habitat *C*.

Pool species composition (functional composition) ( ${}^{i}\Psi_{DxEC}$ ): The total number of species in the pool of a local community preferring a given habitat *C*; *C* can be forest, grassland or human-modified habitat species. The pool composition was defined as  ${}^{i}\Psi_{DxEC} = \sum_{s=1}^{S} PsC$  where we summed up the probabilities *P* from *s*=1 to the *S* species belonging to the pool preferring the habitat *C* (i.e. the sum of the height of all green bars, Fig. 2). The functional composition of the pool was the mean functional distance between the species of the pool preferring the habitat *C*.

241 Local community species (functional) composition relative to the species (functional) 242 composition of the pool  $(p^i \Psi_c)$ : we analyzed the proportion of forest  $(p^i \Psi_{\text{forest}})$ , grassland 243  $(p^i \Psi_{\text{grassland}})$  and human-modified habitats  $(p^i \Psi_{\text{human-modified}})$  species and functions of the 244 regional pool which is found in a local community by dividing  ${}^{i}\Psi_{\text{OBS}} c$  by  ${}^{i}\Psi_{\text{DxE}} c$ . C can 245 be forest, grassland or human-modified habitat species.

246

#### 248 Methods

#### 249 **Delineating the probabilistic species pool**

250 We used the probabilistic species pool approach (data for the step 1, Fig. 2) as a null probabilistic expectation to differentiate extinctions in from immigrations into 251 252 human-modified habitats. The probabilistic species pool is a combination of a dispersal-253 based pool and an environment-based pool (Karger et al. 2016). Because the species 254 pool is probabilistic, thresholds to define occurrences of 'losers' and 'winners' are not 255 required. Instead, each species found in the pool have a probability  ${}^{i}\Psi$  to occur in the 256 local communities (Karger et al. 2016). Here, we explored four properties of the probabilistic species pool: 1) richness, which includes the sum of  ${}^{i}\Psi$  across all species 257 composing the pool; 2) composition, which includes the sum of  ${}^{i}\Psi$  across all species 258 259 sharing a habitat preference; 3) functional diversity, which includes the mean functional distance between species with  ${}^{i}\Psi$  greater than zero; and 4) functional composition, 260 which includes the mean functional distance between species with  ${}^{i}\Psi$  greater than zero 261 262 and sharing a habitat preference (Box 1, Fig. 1). Below we describe the steps used to 263 delineate each pool.





Figure 2: Framework for analyzing the local community diversity relative to the pool 265 diversity. The steps were: 1) delineate a probabilistic species pool by weigh the 266 267 probabilities of species occurrence (the height of the histogram bars) by dispersal and environment; 2) define the habitat preferences of the species in the pool (different bar 268 269 colors and letters over the bars); 3) extract the probability of occurrence for all species 270 recorded in the local communities (only the black circles [presences]) to obtain the probabilistic composition of the communities; 4) analyze species richness and 271 272 functional diversity of the communities; 5) analyze species and functional composition 273 of the communities; and 6) evaluate the sensitivity of the results to differential dispersal abilities to delineating the pool (different bar colors in the dispersal-based and 274 275 probabilistic pools) and differential sampling effort to including sites in the analyses (n sites). Absences are due to dispersal limitation and environmental unsuitability. 276

277 Extinctions involve the loss of pristine-habitat species due to habitat conversion,

whereas immigrations involve the arriving of species which were not present before the
conversion of the habitat. Mammal silhouettes: top- Afrosoricida, Dasyuromorphia and
Didelphimorphia; bottom- Diprotodontia, Eulipotyphla, Lagomorpha, Peramelemorphia
and Rodentia.

282

283 The dispersal based-species pool

Data on species dispersal abilities are required to obtain the probability that each species will reach to the local communities (Karger et al. 2016). Dispersal ability limits the colonization of the species into all environmentally suitable sites (e.g., Amazonian forest species could occur in Indo-Malayan forests in the absence of dispersal limitation). Thus, the dispersal of species over time may change the composition of the pool of a local community (Karger et al. 2016; Lessard et al. 2016).

290 We used published data on natal dispersal distances (Whitmee & Orme 2013) 291 and generation length (Pacifi et al. 2013) to calculate an annual rate of dispersal 292 distance for small mammal species, which was the baseline to obtain the speciesspecific dispersal rate. The natal dispersal distance, which characterizes the movements 293 294 of an individual from its birth until first reproduction, was obtained for 187 individuals 295 (including males, females or both) of 49 small mammal species ( $\leq 5$  kg, six orders 296 [Dasyuromorphia, Didelphimorphia, Diprotodontia, Eulipotyphla, Lagomorpha and 297 Rodentia]). We used the generation length, which characterizes the age at which an individual achieves half of its total reproductive output (Pacifi et al. 2013), as a period 298 299 for the dispersal events. To our knowledge, generation length represents the most 300 accurate estimate of the period during which an individual has higher probability to 301 disperse.

302 Values of natal dispersal were lacking for many species; therefore, we used a 303 non-parametric random forest algorithm (Stekhoven & Buehlmann 2012) to impute 304 missing natal dispersal data for 3,030 species (from a total of 3,079 with generation 305 length data). Before the imputing, we transformed the natal dispersal distances 306 (originally in meters, miles or kilometers) into meters, and generation length (originally in days) into years. We ran the missForest function ('missForest' package [Stekhoven & 307 Buehlmann 2012] in R [R Core Team 2017]) setting the maximum number of iterations 308 309 and random forest trees to 100; the random forest algorithm stopped in the fourth 310 iteration (i.e., the iteration in which the difference between the newly imputed data and 311 the previous one starts to increase considerably) (Stekhoven & Buehlmann 2012). Since 312 missForest is a method for imputing of missing data in multidimensional matrices, we 313 included the adult body mass into the matrix for data imputing analysis. Adult body 314 mass also was used to evaluate the consistency in the estimates of natal dispersal 315 distances after data imputing (Figure S1 in the Supplementary Material). Estimates of 316 imputing errors for natal dispersal distances were acceptable (normalized root mean 317 squared error NRMSE= 0.096), given the large amount of missing values in the dataset. 318 The positive and significant linear correlation between natal dispersal distance and body 319 mass was preserved (Figure S1). We divided the natal dispersal distances (in meters) by 320 generation length (in years) to obtain an annual rate of dispersal distance. The averaged rate across the 3,079 species was  $129.41 \pm 238.93$  meters/year, ranging from 11.23321 322 (Dipodomys spectabilis) to 11,989 meters/year (Sciurus niger). The averaged values are 323 close to the scale at which small mammal species respond to the variation in landscape 324 structure (133 and 533 meters [Umetsu et al. 2008; Bowman et al. 2002]).

We used the annual rate of dispersal distance for the 1,044 species for which we have the probabilities of occurrence weighted by the environment (see below the *The*  327 *environment-based species pool*) to obtain the species-specific dispersal abilities over 328 time (kt, Box 1) (Fig. S2). We defined a 40-year period for the dispersal events, because 329 the dispersals promoted by habitat modifications should have started with the expansion 330 and intensification of agriculture and forestry at the end of the sixties and the beginning 331 of the seventies (the "Green Revolution" period; Laurance et al. 2014). We first 332 transformed the meters annually dispersed by the species into kilometers and then into 333 lat-long degrees (km potentially dispersed in 40 years/110 km), in order to obtain the 334 species dispersal abilities in the same scale as the range maps (International Union for Conservation of Nature [IUCN 2017]). The range maps were transformed into raster 335 336 with latitude-longitude projection and 2-degree cell resolution. Raster files were processed using functions implemented in the package 'raster' in R (R Core Team 337 338 2017). According to our data, the average dispersal ability of non-volant small mammal 339 species is  $0.04 \pm 0.14$  lat-long degrees over 40 years (min=  $0.0041^{\circ}$  [Dipodomys 340 *spectabilis*], max= 4.33° [*Sciurus niger*]). Only four species had the ability to disperse 341 more than 1 lat-long degree (Brachylagus idahoensis, Didelphis virginiana, Lepus 342 *europaeus* and *Sciurus niger*). We highlight that the dispersal ability we measured here is always positive, constant and linear over time. Thus, it does not represent possible 343 range contractions, long-distance and non-linear dispersals. We built the dispersal-based 344 345 species pool using the 'disppool' function, in the 'probpool' package in R (Koenig et al. 346 2018).

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352	Delineating a probabilistic species pool requires site-specific environmental
353	suitability (Karger et al. 2016). We obtained a general prediction of the probability of
354	species occurrence given the climate using species distribution modelling (Guisan &
355	Thuiller 2005). Climate data was taken from CHELSA (Karger et al. 2017). The data
356	consist of a monthly temperature and precipitation climatology for the years 1979–2013
357	(Karger et al. 2017). We used mean annual temperature, standard deviation of annual
358	temperature in a 0.5-degree grid cell, mean annual precipitation, and standard deviation
359	of annual precipitation in a 0.5-degree grid cell as predictors. These variables are related
360	to physiological tolerances of the species because they directly affect the probability of
361	species persistence in a local site and alter the richness and composition of small
362	mammal communities (Dambros et al., 2015; Maestri et al. 2016). We used generalized
363	linear models (GLMs), generalized additive models (GAMs), and random forests as
364	species distribution models with the same set of predictors. As presence data, we used
365	the IUCN range maps on a 0.5-degree grid cell size (IUCN 2017). Absences were
366	weighted, so that the sum of the absences equals the sum of the presences. We used a
367	10-fold cross validation of the models and calculated the AUC, Kappa, and TSS scores.
368	Since the performance of the different models was similar (Table S1), we conducted
369	further analyses using the predictions of the GLMs (Fig. S3). The model output
370	included a continuous prediction of the probability of species occurrence (varying from
371	0 to 1) given the climate of the cells.

Although IUCN (2017) hosts range maps for most of the terrestrial mammals, the quality of such data are still inadequate to properly model the climate envelope for all mammal species. Thus, the number of species included in the environmental-based

375	pool was limited to the set of species for which we could obtain at least general
376	predictions of occurrence probability (1,044 non-volant small mammal species).

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- 378

# 379 The probabilistic species pool

380 We built the probabilistic species pool for each community using the 'probpool' 381 function from the 'probpool' R package (Koenig et al. 2018). The probabilistic species 382 pool includes the probability of occurrence of small mammal species weighted by species-specific dispersal abilities and site-specific environment suitability (Box 1, Fig. 383 384 S4). Newly discovered species missing in the IUCN database, as well species with 385 inadequate distributional knowledge to obtain at least general occurrence predictions 386 according to climate, were lacking in our pool. Thus, many species present in local 387 communities were not in the pool of 1,044 species (Table 1). Species recorded in the 388 local communities but lacking in the pool were manually imputed into the pool; we 389 defined the probability value of 1 for the added species. We delineated the probabilistic 390 species pool at 2-degree grid cell size due to computation difficulties. Thus, the extent 391 of the pool of a community was 2-degree grid cell size.

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	Habitat	50 trap/nights	100 trap/nights	500 trap/nights	1,000 trap/nights		
	Natural edge	4	4	3	1 <sup>a</sup>		
	Forest	300	297	244	172		
	Grassland	96	94	62	26		
	Forest edge	84	83	75	60		
	Grassland edge	25	23	22	16		
	Tree plantation	34	34	30	26		
	Clear-cut	98	98	55	38		
	Crop field	71	68	48	35		
	Total of sites						
		712	701	539	374		
	Mean ± SD sampling effort by habitat						
		6,324 ± 11,526	6,395 ± 11,571	7,358 ± 12,184	9,198 ± 13,195		
	Total of species in the local communities						
		464	456	440	400		
	Total number of species recorded in the local communities but lacking in the pool <sup>b</sup>						
		115	111	106	96		
	Total of species i	n the pool <sup>c</sup>					
• • • •		1,159	1,155	1,150	1,140		
398	<sup>a</sup> Level removed	from the GLS a	nalyses with samp	bling threshold $\geq 1$	,000 trap/nights.		
399	<sup>b</sup> The number of species manually imputed into the pool. Such species were recorded in						
400	the local commu	nities but were no	ot among the pool	of 1,044 species h	naving occurrence		
401	probability given	the climate.					
402	<sup>c</sup> The total number	er of species in th	ne pool comprises	the sum of 1,044	species and the		
403	number of species imputed into the pool.						

*Table 1: Number of sites by habitat according to different sampling efforts (trap/nights).* 

405

#### Defining habitat preferences of the species composing the pool

406	We used the IUCN Habitats Classification Scheme API v3.1 (IUCN 2017) to
407	obtain the habitat preferences for each of the 1,159 species (data for the step 2, Fig. 2).
408	The most important habitat(s) for a species is defined according to a standardized list of
409	habitat types (IUCN 2017; see Table S2). The species habitat preference may indicate
410	different probabilities of extinction in or immigration into the pristine and human-
411	modified habitats (Belmaker & Jetz 2013; Karger et al. 2016). To obtain the preferred
412	habitat, we used the function 'rl_habitats' implemented in 'rredlist' package v0.4.0 in R
413	(Chamberlain 2017). We used forest, grassland and human-modified habitat preferences
414	to differentiate forest-specialists and grassland-specialists from edge, generalists,
415	matrix-tolerant and gap-crossing species (Metzger et al. 2009; Pardini et al. 2009). We
416	did not include the preferences for marine, lagoon, cave, other and unknown habitats.
417	Among the 1,159 species, we found 724 species preferring forests-F, 799 grasslands-G
418	and 430 human-modified habitats-H (represented by colors in and letters over the bars
419	of local community histograms, Fig. 2). Few of the 1,159 species did not have
420	information on habitat preferences. For these, we used information from
421	phylogenetically related species ( <i>Deltamys araucaria= D. kempi</i> ; <i>Cerradomys vivoi= C</i> .
422	subflavus; Scapteromys meridionalis= S. tumidus; Ctenomys sericeus= Ctenomys
423	coyhaiquensis).

424

# 425 Data of local community composition

We used a recently compiled database (Luza et al. unpublished data) and the
PREDICTS database (Hudson et al. 2017) to obtain data of small mammal community
composition in pristine and human-modified habitats (data for step 3, Fig. 2). Both
databases include fine-scale information on small mammal composition in mostly

430 paired human-modified and pristine habitats, included information acquired through 431 similar sampling techniques, and clearly differentiated the biodiversity of artificial pastures from the biodiversity of pristine grasslands and savannas (Parr et al. 2014; 432 433 Veldman et al. 2015). Forest and grassland fragments, minimally disturbed managed forests, continuous remnants and advanced secondary-regeneration were considered as 434 pristine habitats, because differences in composition and richness between these habitats 435 436 are minimal and they best reflect the pristine structure of the natural habitats (Newbold 437 et al. 2015). Grasslands and savannas with native vegetation were considered as pristine habitats even if they were grazed by domesticated ungulates (see Parr et al. 2014; 438 439 Veldman et al. 2015). An edge was considered the boundary between habitats. We 440 differentiated natural edges (between forests and grasslands) from forest edges (artificial 441 edges between a forest and a human-modified habitat) and grassland edges (artificial 442 edge between a grassland and a human-modified habitat). Natural edges were excluded 443 from the analysis using sites sampled with  $\geq 1,000$  sampling effort due to the small 444 number of samples (Table 1). We considered tree monocultures planted in grasslands 445 and cleared forests as tree plantations. We considered clear-cuts and young-secondary 446 vegetation as clear-cuts, and agriculture fields (soybean, hayfields, maize tillage, sugarcane, among others) as crop fields. According to the papers in the combined 447 448 database, 85% of the sites were inserted in fragmented landscapes, whereas only 15% in 449 landscapes of continuous forests and grasslands. The presence of a species in a given 450 habitat was replaced by the respective probability of occurrence weighted by species 451 dispersal ability and site environmental suitability (Fig. 1). Thus, the local community 452 composition is probabilistic.

Analyzing richness and functional diversity of a local community relative to the 455 pool

We obtained the species  $p^{i}\Psi$  by dividing the sum of probabilities across all 456 species found in a local community ( ${}^{i}\Psi_{OBS}$ ) by the sum of probabilities across all 457 458 species included in the pool of a community  $({}^{i}\Psi_{DXE})$  (Box 1). We obtained the 459 functional  $p^{i}\Psi$  by dividing the mean functional distance between all species found in a local community ( ${}^{i}\Psi_{OBS}$ ) by the mean functional distance between all species in the pool 460 461 of a community ( ${}^{i}\Psi_{DXE}$ ). We calculated the functional distance between species (Gower 462 distance applied on standardized trait values) using diet (the percentage of invertebrates, 463 fruits and seeds included in the diet), activity period (either nocturnal or not) and foraging strata (arboreal, terrestrial, fossorial) obtained from the Elton traits v1.0 464 database (Wilman et al. 2014). These traits are acknowledged as important indicators of 465 466 species ecological functions and niche partitioning abilities (Wilman et al. 2014). The linear correlation between the traits was always lower than 0.5. Trait standardization 467 and Gower distance were calculated using 'decostand' and 'vegdist' functions of the 468 469 'vegan' package in R (R Core Team 2017).

470 We used linear regression analysis with generalized least squares (GLS) to 471 evaluate if communities from human-modified habitats had smaller richness and functional diversity than pristine forests and grasslands  $(p^{i}\Psi)$  (step 4, Fig. 2). We used 472 the log-transformed  $p^{i}\Psi$  as response variable since it was normally distributed. 473

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Analyzing species and functional composition of a local community relative to the pool 478

Using the information on habitat preferences for all species composing the local 479 communities and pool (step 2, Fig. 2), we obtained the species  $p^{i}\Psi_{forest}$  by dividing the 480 481 sum of probabilities across all species found in a site preferring forests ( $\Psi^{i}_{OBS \text{ forest}}$ ) by 482 the sum of probabilities across all species in the pool preferring the forest habitat ( $\Psi^{i}_{DXE}$ ) forest) (Box 1). We did this same procedure for species preferring grasslands ( $p^i \Psi_{grassland}$ ) 483 484 and human-modified habitats ( $p^{i}\Psi_{human-modified}$ ). We then used  $p^{i}\Psi_{forest}$  as response variable in a GLS analysis to test if communities from forest-like human-modified 485 486 habitats (tree plantations and forest edges) had less of the forest species of the pool than pristine forests but more than grasslands and open-like human-modified habitats 487 (grassland edges, crop fields, clear-cuts). We used  $p^i \Psi_{grassland}$  in a GLS analysis to test if 488 489 communities from open-like human-modified habitats had less of the grassland species 490 of the pool than pristine grasslands but more than forest-like human-modified habitats. Finally, we used  $p^i \Psi_{human-modified}$  in a GLS analysis to test if communities from pristine 491 492 forests and grasslands had less of the human-modified habitat species of the pool than human-modified habitats (step 5, Fig. 2). We used the log-transformed  $p^i \Psi_{\text{forest}}$ , 493  $p^{i}\Psi_{grassland}$  and  $p^{i}\Psi_{human-modified}$  as the response variables because they were normally 494 495 distributed.

We obtained the functional  $p^i \Psi_{\text{forest}}$ ,  $p^i \Psi_{\text{grassland}}$  and  $p^i \Psi_{\text{human-modified}}$  dividing the 496 mean functional distance across all forest, grassland and human-modified habitat 497 species coexisting in a local community by the mean functional distance across all 498 species of the pool with forest, grassland and human-modified habitat preferences. We 499 500 used the same traits and analyses as in the functional  $p^{i}\Psi$ .

503	Generalized least squares allows for a proper estimation of standard errors and
504	associated p-values when spatial dependence is present in the model errors (Pinheiro &
505	Bates 2000). Such dependence was observed in species and functional $p^i\Psi$ , log $p^i\Psi_{forest}$ ,
506	$\log p^{i}\Psi_{grassland}$ and $\log p^{i}\Psi_{human-modified}$ (Moran I between 0.2 and 0.6, P< 0.01 across all
507	analyses we ran). We used the Euclidean distances between lat-long site coordinates as
508	the position variable in the within-group correlation structure of the GLS analysis. The
509	range of the correlation parameter $\boldsymbol{\rho}$ was set to 1 and no nugget effect was defined
510	(Pinheiro & Bates 2000). The range estimated by the model indicates the spatial
511	distance at which the semi-variogram measuring spatial dependence first equals to 1;
512	thus, the lower the $\rho$ value the higher the spatial dependence between nearby sites
513	(Pinheiro & Bates 2000). We adjusted the position of repeated coordinates by adding
514	0.01 degrees (1.1 km) to the latitude and longitude values, because Luza et al.
515	(unpublished data) repeated coordinates when the authors of the papers provided only a
516	general geographic location for the sampled habitats. To define the correlation structure
517	that best fits to the data, we used $\Delta AIC$ and model weight to compare several models
518	including different correlation structures (Exponential, Linear, Gaussian, Linear,
519	Spherical and Ratio). Since, the exponential correlation structure best fitted to the data
520	across all correlation structures we analyzed, we adjusted all GLS models with the
521	exponential correlation structure. We reported the F-statistic values for the GLS
522	analysis. F-statistics is the ratio between the explained and the unexplained variation;
523	the higher the F-statistic the greater the effect of habitat on the community richness,
524	functional diversity and species and functional composition.

525 We used plots of normalized residuals and qqplots to evaluate the adequacy of 526 the GLS model (Pinheiro & Bates 2000). We removed outliers and highly influential

points (high leverage according to Cook's distance) viewed in the diagnostic plots to 527 achieve a normal distribution for the model errors. An effect of the habitat type was 528 529 considered significant when P < 0.05. When observing such effect, we used contrast analysis (TukeyHSD Test) to identify differences in mean log  $p^{i}\Psi$ ,  $p^{i}\Psi_{\text{forest}}$ ,  $p^{i}\Psi_{\text{grassland}}$ 530 and  $p^i \Psi_{human-modified}$  between pairs of habitats. We used the function 'lm.morantest' 531 532 ('spdep' package) to evaluate spatial dependence in model residuals. We used the 533 function 'model.sel' ('MuMIn' package) to the model selection procedure. We used 534 functions of the package 'nlme' and 'stats' packages to calculate GLS regressions and TukeyHSD analyses. All analyses were conducted in R (R Core Team, 2017). 535

536

#### 537 Sensitivity analyses

*Sampling effort*: We explored if the results of GLS and TukeyHSD analyses would be sensitive to different thresholds of sampling effort for site inclusion (50, 100, 500 and 1,000 trap/nights; Table 1), because effort may influence the observed diversity of a local community. We used 1,000 trap/nights as the maximum threshold because the effect of sampling effort on log  $p^{i}\Psi$ , log  $p^{i}\Psi_{forest}$ , log  $p^{i}\Psi_{grassland}$  and log  $p^{i}\Psi_{human-modified}$ was weaker ( $R^{2}_{ad} \approx 0.04$ ; P < 0.001) than for sites sampled with 50, 100 and 500 trap/nights ( $R^{2}_{ad} \approx 0.10$ , P < 0.001).

545 *Dispersal ability*: We explored if the results of GLS and TukeyHSD analyses 546 would be sensitive to the different values of dispersal ability used to delineate the 547 probabilistic species pool, because we lack knowledge about the dispersal abilities of 548 non-volant small mammal species. We delineated probabilistic species pools using 549 species-specific dispersal abilities, 0.04° (the mean dispersal ability we found), 1° and 550 4.33° (the maximum dispersal ability we found, see *Dispersal-based species pool*) (Fig.

551 2, Table 2).

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553 Table 2: Pool richness according to different dispersal abilities over 40 years

554 (parameter kt).

Dispersal ability	50 trap/nights	100 trap/nights	500 trap/nights	1,000 trap/nights
Species-specific	$23.21 \pm 8.94$	$23.12 \pm 8.95$	$23.82 \pm 8.40$	24.19 ± 7.94
Mean (0.04°)	$23.10 \pm 8.94$	$23.02 \pm 8.95$	$23.70 \pm 8.40$	$24.10 \pm 7.93$
One degree	$24.78 \pm 9.59$	$24.71 \pm 9.60$	$25.56 \pm 9.05$	$26.04 \pm 8.54$
Maximum (4.33°)	$41.90 \pm 19.60$	$41.78 \pm 19.39$	$43.30 \pm 18.09$	$44.30 \pm 17.63$
Local community richness	$4.71 \pm 3.09$	$4.58 \pm 3.50$	$5.14 \pm 3.77$	$5.73 \pm 4.21$

555

#### 556 **Results**

Using a threshold of 50 trap/nights for site exclusion, our data included the 557 558 presence of 464 species in 712 sites; the number of sites halved when the effort threshold was  $\geq$  1,000 trap/nights (Table 1). Average community richness by habitat 559 varied from 4.6 to 5.7 species according to the different thresholds of sampling effort 560 561 (Table 2). Pool richness varied when specifying different dispersal abilities over time 562 (kt) (Table 2). Using species-specific kt, average pool richness was  $23.21 \pm 8.94$ species; similar values were found when kt was 0.04° and 1° (Table 2). Pool richness 563 almost doubled when kt was 4.33° (Table 2). 564 Analyses showed similar effects of habitat type on log  $p^{i}\Psi$ ,  $p^{i}\Psi_{forest}$ ,  $p^{i}\Psi_{grassland}$ 565 and  $p^{i}\Psi_{human-modified}$  across the different values of kt (rows of the Tables 3 and 4). 566

567 However, the analyses showed that the GLS results varied considerably across the
568	different thresholds of sampling effort (columns of the Tables 3 and 4). Therefore, we
569	reported the results of species-specific dispersal abilities and sites sampled with $\geq$ 1,000
570	trap/nights. Spatial autocorrelation was weak in all models (estimated range $\rho$ varied
571	from 001 to 0.05).

572	The effect of habitat type on community richness showed that pristine forests
573	had greater species $p^i\Psi$ than grassland edges (Fig. 3a). The habitat effect on the
574	composition of forest species showed that all habitats had more forest species ( $p^i \Psi_{forest}$ )
575	than grassland edges; in addition, pristine forests had more forest species than
576	grasslands, grassland edges and forest edges (Fig. 3b). Although GLS identified a
577	significant habitat effect on $p^i \Psi_{grassland}$ (Table 3), we did not detect between-habitat
578	differences in $p^i \Psi_{grassland}$ in the contrast analysis (Fig. 3c). Finally, we found that pristine
579	forests had greater $p^i \Psi_{human-modified}$ than clear-cuts (Fig. 3d).

## 589 community richness and composition relative to the pool richness $(p^i \Psi)$ and

Community descriptor	Sampling effort threshold (trap/nights) <sup>a</sup>					
Dispersal ability (kt)	50	100	500	1,000		
Community species richness (log	p <sup>i</sup> Ψ)					
Species-specific	6.09***	0.9	0.7	3.69**		
Mean	6.08***	1	0.6	3.70**		
One degree	6.18***	1	0.7	3.62**		
Maximum	6.59***	1.5	0.7	9.24***		
Community species composition						
Forest species (log $p^i \Psi_{forest}$ )						
Species-specific	11.43***	7.6***	18.5***	9.58***		
Mean	11.39***	7.7***	18.5***	9.57***		
One degree	11.60***	7.5***	19.6***	9.50***		
Maximum	12.36***	8.2***	28.3***	8.67***		
Grassland species (log $p^i \Psi_{grassland}$ )						
Species-specific	2.11*	0.9	2.5*	2.72*		
Mean	2.11*	0.9	2.5*	2.72*		
One degree	2.17*	0.9	2.5*	2.71*		
Maximum	2.30*	1.1	2.7*	0.75		
Human-modified habitat species	(log p <sup>i</sup> Ψ <sub>human-m</sub>	odified)				
Species-specific	3.41**	0.7	1	3.89**		
Mean	3.41**	0.7	1	3.89**		
One degree	3.41**	0.7	1	3.87**		
Maximum	3.61**	0.9	1	4.39**		

## 590 composition $(p^i \Psi_{forest}, p^i \Psi_{grassland} and p^i \Psi_{human-modified}).$

591 Significance codes: 0 '\*\*\*' 0.001 '\*\*' 0.01 '\*' 0.05 '.' 0.1 ' ' 1

<sup>a</sup> We used different thresholds of sampling effort to define the site inclusion in data

analysis and different dispersal abilities over time (*kt*) to delineate the probabilistic

594 species pools.



598 Figure 3: Between-habitat differences in local community richness relative to the pool 599 richness  $(p^i \Psi = {}^i \Psi_{OBS} {}^i \Psi_{DxE})$  (a) and local community composition relative to the pool composition  $p^i \Psi_C = {}^i \Psi_{OBSC} / {}^i \Psi_{DxEC}$ , where C can be a forest (b), grassland (c) or human-600 601 modified habitat species (d). Results for species-specific dispersal ability over time (kt) 602 and habitats sampled with  $\geq$  1,000 trap/nights. Zero in the log scale indicates one in the original scale of  $p^i \Psi$ . Horizontal bars are mean values, and boxes are  $1^{st}$  and  $3^{rd}$ 603 604 quartiles. Points are outliers, which were removed from the GLS and TukeyHSD 605 analyses. Mean values followed by the same letters are not significantly different

606 (p > 0.05). The absence of letters in c) indicates the lack of between-habitat differences
607 in local-regional richness.

609	The analyses of the effect of habitat type on community functional diversity
610	showed that pristine forests had a larger proportion of the functional diversity of the
611	pool than tree plantations, clear-cuts and crop fields (Fig. 4a). Functional diversity of
612	forest species was higher in pristine forests than in pristine grasslands, grassland edges
613	and crop fields (Fig. 4b). We did not observe between-habitat differences in functional
614	diversity when considering the composition of grassland (Fig. 4c) and human-modified
615	habitat species (Fig. 4d).
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#### community functional diversity and composition relative to the pool functional diversity

Community descriptor	Sampling effort threshold (trap/nights) <sup>a</sup>			
Dispersal ability (kt)	50	100	500	1,000
Community functional diversity	$(\log p^{i}\Psi)$			
Species-specific	19.3***	6.8***	17.2***	7***
Mean	19***	6.7***	19.2***	6.5***
One degree	18***	8***	4***	9***
Maximum	18***	6***	6***	9***
Community functional composi	tion			
Forest species (log $p^i \Psi_{forest}$ )				
Species-specific	23.7***	7.6***	49.3***	5.6***
Mean	23.1***	3.7**	43.7***	5.5***
One degree	25***	11***	9***	6***
Maximum	25***	14***	12***	10***
Grassland species (log $p^i \Psi_{grasslan}$	(b			
Species-specific	13.3***	2.1*	2.3*	0.4
Mean	13.2***	2 *	2.3*	0.3
One degree	14***	2*	2*	0.3
Maximum	12***	2*	2*	0.3
Human-modified habitat species	s (log p <sup>i</sup> Ψ <sub>human-m</sub>	odified)		
Species-specific	12.7***	1.1	0.8	2.1*
Mean	12.8***	0.9	0.9	1.8
One degree	11***	1.8	1	2*
Maximum	10***	3*	1	2*

 $(p^{i}\Psi)$  and composition  $(p^{i}\Psi_{forest}, p^{i}\Psi_{grassland} and p^{i}\Psi_{human-modified})$ . 

lg

<sup>a</sup> We used different thresholds of sampling effort to define the site inclusion in data

analysis and different dispersal abilities over time (kt) to delineate the probabilistic 

species pools.





641 Figure 4: Between-habitat differences in local community functional diversity relative 642 to the pool functional diversity ( $p^i \Psi = {}^i \Psi_{OBS} / \Psi_{DxE}$ ) (**a**) and local community functional composition relative to the pool functional composition  $p^i \Psi_C = {}^i \Psi_{OBS} c / {}^i \Psi_{DxE} c$  where C 643 can be forest  $(\mathbf{b})$ , grassland  $(\mathbf{c})$  or human-modified habitat species  $(\mathbf{d})$ . Results for 644 645 species-specific dispersal ability over time (kt) and habitats sampled with  $\geq 1,000$ trap/nights. Zero in the log scale indicates one in the original scale of  $p^i \Psi$ . Horizontal 646 bars are mean values, and boxes are 1<sup>st</sup> and 3<sup>rd</sup> quartiles. Points are outliers, which 647 were removed from the GLS and TukeyHSD analyses. Mean values followed by the 648

649 same letters are not significantly different (p > 0.05). The absence of letters in c) and d) 650 indicates the lack of between-habitat differences in local-regional richness.

651

## 652 **Discussion**

653 The balance between local extinctions and immigrations may produce new 654 communities with altered diversity as compared to the pristine habitat communities and 655 the regional species pool (Jackson & Sax 2010; McGill et al. 2015). Our data on small 656 mammal occurrence in pristine and human-modified habitats showed that small 657 mammal communities from several types of human-modified habitats were as complete 658 (i.e. held most of regional pool species and functional diversity) as pristine habitat 659 communities. Some studies show that small mammal communities of secondary forests, 660 forest edges and tree plantations (e.g., shaded-cocoa plantations, Eucalyptus and Pinus plantations) have similar richness to pristine forests (e.g., Barlow et al. 2007; Fonseca et 661 al. 2009; Pardini et al. 2009). Functional diversity analyses showed that tree-plantations, 662 663 crop fields and clear-cut cannot preserve the functional diversity of the regional pool. 664 These results (except for grassland edges) suggest that either strong or weak extinction 665 and immigration may be occurring in the human-modified habitat communities (Jackson & Sax 2010). 666

The local extinction of rare, habitat-specialist and functionally unique species can be counterbalanced by the immigration of 'winner' species (Vellend et al. 2013, 2017; Dornelas et al., 2014). We can differentiate weak from strong extinction and immigration by analyzing the species and functional composition of the local communities relative to the pool composition (Jackson & Sax 2010; McGill et al., 2015). By a deeper look in the habitat preferences of the species composing the local communities and pool, we observed that 1) human-modified habitats held as many
grassland species and functions of the pool as pristine habitats, 2) grassland edges held
less forest species and functions than other pristine and human-modified habitats, 3)
forest edges and pristine grasslands held less forest species of the pool than pristine
forests, 4) pristine grasslands, grassland edges and crop fields held less functions of
forest species than pristine forests and 5) clear-cuts held less human-modified habitat
species of the pool than pristine forests.

680 The occurrence of grassland species across several types of human-modified 681 habitats might be due to the presence of supplementary and complementary resources to 682 grassland mammals in the human-modified habitats (Johnson et al. 2002; Bilenca et al. 683 2007; Tscharntke et al. 2012). Further, the small mammal evolution in grasslands 684 experiencing natural disturbance regimes (burning, grazing by large herbivores) may 685 increase the species tolerance to habitat alterations (Bond & Parr 2010; Parr et al. 2014). 686 Perhaps considering the habitat affinities of the species within different biomes (tropical 687 vs. temperate grasslands; e.g., Lindell et al. 2007) would allow further inferences about 688 the influence of land-use change on species and functional composition of grassland mammal communities. Grassland edges occur in a spatial context where grassy biomes 689 690 dominate the landscapes and in a biotic context where the pool held species adapted to 691 natural disturbances (Parr et al. 2014; Veldman et al. 2015); such aspect was evidenced 692 according to each time smaller number of forest species and functions from pristine 693 grasslands to grassland edges. Although linear habitats such as grassland edges might be 694 a refuge for grassland small mammals (Bilenca et al. 2007; Sullivan et al. 2012), the 695 smaller richness and functional diversity of grassland edge communities we registered 696 here was due to the strong extinction and weak immigration of forest species in the 697 grassland edges.

We showed that forest-specialist species (but not their functions) were lost at 698 699 high rates along forest edges. Forest edges had less forest species of the pool than 700 forests, although the forest edges had as much functional diversity of forest species as 701 pristine forests. In a global meta-analysis about the effects of forest edges on 702 vertebrates, Pfeifer et al. (2017) showed that edges negatively influenced the incidence 703 and abundance of forest-specialist species. In fact, the more sensitive a species is to the 704 creation and presence of edges, the less area the species can use across the fragmented 705 landscape (Ries et al. 2004; Pfeifer et al. 2017). Our results support the idea that forest 706 edges might not be suitable to the persistence of forest specialists, although it might be 707 suitable for some functionally similar species. We observed that crop fields held as 708 many forest species as pristine forests; however, crop fields held less functional 709 diversity of forest species of the pool. Thus, crop fields might not maintain a significant portion of the functions of forest species due to the unsuitable habitat for functionally 710 711 unique forest species. Finally, the larger number of human-modified habitat species in 712 forests than in clear-cuts indicates that species with affinities to human-modified 713 habitats are, in essence, a subset of forest species with generalist habits (edge-tolerant, 714 matrix-tolerant and gap-crossing species) (Umetsu et al. 2008; Metzger et al. 2009; 715 Pardini et al. 2009). Overall, the analyses of species and functional diversity highlighted 716 the irreplaceability of pristine forests to maintain forest specialists and functionally 717 unique species in the regional pool (Barlow et al. 2007; Gibson et al. 2011; Newbold et 718 al. 2016, 2015).

Our results were less sensitive to dispersal ability to delineate the probabilistic species pool than to differential sampling effort to site inclusion. When dispersal ability was the highest (4.33°,  $\approx$  476 km over 40 years), we generally perceived more betweenhabitat differences in p<sup>i</sup> $\Psi$  and p<sup>i</sup> $\Psi_c$  than when dispersal ability was species-specific,

mean (0.04°) and 1°. However, the results using 4.33° dispersal ability seem improbable 723 for most of the non-volant small mammal species, given their very limited dispersal 724 ability. We observed that the different thresholds of sampling effort we imposed 725 726 considerably influenced the results. Here, we presented the most robust results for those 727 communities sampled with large sampling effort, established trapping procedures 728 (mostly pitfall- and live-trapping) and temporally replicated sampling campaigns. Such 729 sampling configuration might be more effective to recording rare species and detecting 730 movements of individuals between habitat types. Finally, our maps of the dispersal-731 based, environment-based and probabilistic species pools (Figs. S2 to S4) nearly 732 resemble the global maps of mammal richness and composition (e.g. Kissling et al. 733 2014), which indicates that our results for species and functional  $p^{i}\Psi$  and  $p^{i}\Psi c$  may not change by using the complete set of small mammal species of the world. 734

735 Although our results showed that small mammal communities are under weak 736 local extinction and immigration -mainly if we consider species richness, we must 737 consider other factors before concluding that the small mammal communities are 738 resistant to land-use changes and that a significant proportion of the small mammal biodiversity can be preserved in human-modified habitats. For example, we could not 739 740 evaluate if weak extinction and immigration would be a result of high amount of 741 pristine habitat surrounding the sites (Pardini et al. 2010), since such information is 742 difficult to obtain from the published papers. Furthermore, pristine forests and 743 grasslands may not be at a 'pristine' state, because anthropogenic activities (e.g., 744 hunting, livestock) can decrease the quality of pristine habitats for the species (Barlow 745 et al. 2016). An another aspect is that incidence may not be the best indicator of species 746 performance front to anthropogenic influences (Tscharntke et al. 2012; McGill et al. 747 2015; Isbell et al. 2017). The databases that we used here (Hudson et al. 2017; Luza et

748 al. unpublished data) allow analysis of abundance while accounting for sampling particularities. Other important aspect is that species have delayed response to habitat 749 modifications, which results in extinction debts (Johnson et al. 2002; Metzger et al. 750 751 2009). The evaluation of extinction debts demands the knowledge of the time since 752 habitat conversion, which is hardly known by the researchers. Finally, different taxa 753 tend to show different responses to human modifications on habitat (Barlow et al. 2007; 754 Metzger et al. 2009; Pardini et al. 2009; Gibson et al. 2011). For example, plant 755 communities from regions subjected to low human influence are more complete relative 756 to the regional pool than regions with high human influence (Ronk et al. 2015). 757 Researchers interested in the effects of human activities on biodiversity should consider other taxa in order to obtain a broader picture of the biodiversity trends in human-758 759 modified landscapes.

760 The consequences of human-mediated modifications on the habitat depend not 761 only on how many species are lost or gained, but also on the identity and functions of 762 the species that increase or decrease in frequency (Isbell et al. 2017; Lewis et al. 2017). 763 Inconsistent response of the local communities to anthropogenic activities have heathen debates on global vs. local declines in biodiversity, since global biodiversity declines 764 765 are not accompanied by local declines (see Gonzalez et al. 2016, Vellend et al. 2017, 766 Cardinale et al. 2018). We showed that non-volant small mammal communities from 767 human-modified habitats are under both weak local extinction and immigration. 768 However, unique ecological functions are being lost even under weak local extinction 769 and immigration, since the extinction of few specialist species might not be compensated by the immigration of functionally similar species. 770

#### 772 Summary

Anthropogenic activities may 'unbalance the balance' to strong local extinction or 773 774 immigration, which in turn influence the structure of ecological communities. The 775 regional pool of species that could potentially reach and survive in a local community 776 can be used to detect whether a community is under both weak extinction and 777 immigration, which may result in a human-modified habitat community with similar 778 richness, functional diversity and composition when compared to a pristine habitat 779 community. Our results showed that small mammal communities are under both weak 780 local extinction and immigration and they might be resistant to land-use change -781 although the few extinct species might not be replaced by functionally similar species.

782

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#### 945 Supplementary material

- 946 Figure S1: Linear relationship between small mammal adult body mass and natal
- 947 *dispersal distance (m/yr= meters per year) in the original (187 entries, 49 species) and*
- 948 *in the imputed dataset (187 entries, 49 species + 3,030 species).*
- 949 *Figure S2: The dispersal-based pool delineated according to the different dispersal*
- 950 *abilities of species over 40 years (k). Upper maps: k defined species-specific and mean.*
- 951 Bottom maps: k defined as 1° and 4.33° (maximum dispersal ability). Map resolution: 2-
- 952 *degree grid cell size.*
- 953 Figure S3: The environmental-based species pool. Predictions were based on
- 954 Generalized Linear Models. Map resolution: 2-degree grid cell size.

- 955 Table S1: Results showing the statistics used to test the predictive performance of the956 models.
- 957 *Figure S4: The probabilistic species pool delineated according to site-specific*
- 958 environmental suitability and species-specific dispersal ability. We showed maps for
- 959 *four values of dispersal ability (k) over 40 years: UPPER: species-specific dispersal*
- 960 and mean dispersal value (0.04°); BOTTOM: 1° and maximum dispersal value (4.33°).
- 961 *Map resolution: 2-degree grid cell size.*
- 962 Table S2: Habitat types characterizing the habitat preferences of the 1,159 non-volant
- 963 *small mammal species included in the probabilistic species pool.*

## 964 **CAPÍTULO 4**

# 965 Rodent occupancy in grassland paddocks subjected to different grazing intensities 966 in South Brazil

- 967 André Luís Luza<sup>1</sup>, José Pedro Pereira Trindade<sup>2</sup>, Renan Maestri<sup>1</sup>, Leandro da Silva
- 968 Duarte<sup>1</sup>, Sandra Maria Hartz<sup>1</sup>

969

- <sup>970</sup> <sup>1</sup> Programa de Pós-Graduação em Ecologia. Departamento de Ecologia, Prédio 43422,
- 971 Instituto de Biociências, Universidade Federal do Rio Grande do Sul. Av. Bento
- 972 Gonçalves 9500, Bairro Agronomia, CEP: 91501-970, Post-Office Box: 15007. Porto
- 973 Alegre Rio Grande do Sul, Brazil. Fax: + 55 51 3308-7626, Phone: +55 51 3308-7623.
- 974 Homepage URL: <u>http://www.ufrgs.br/ppgecologia/</u>
- 975 <sup>2</sup> Brazilian Agricultural Research Corporation (EMBRAPA) Southern Livestock. BR-
- 976 153, Km 632.9, Vila Industrial, Zona Rural, CEP: 96401-970, Post-Office Box: 242,
- 977 Bagé Rio Grande do Sul, Brazil. Fax: +55 (53) 3240-4651, Phone: +55 (53) 3240-
- 978 4650. Homepage URL: https://www.embrapa.br/en/pecuaria-sul

979

## 980 Corresponding author

- 981 André Luís Luza. Programa de Pós-Graduação em Ecologia. Departamento de Ecologia,
- 982 Prédio 43422, Instituto de Biociências, Universidade Federal do Rio Grande do Sul. Av.
- 983 Bento Gonçalves 9500, Bairro Agronomia. Porto Alegre Rio Grande do Sul, Brazil.
- 984 Homepage URL: <u>http://www.ufrgs.br/ppgecologia/</u>
- 985 Email: <u>luza.andre@gmail.com</u>

987 Abstract

988 Livestock is promoting the global collapse of mammal populations. The discovery of 989 the best management practices that reconcile conservation with production is urgently 990 needed. We evaluated the effect of cattle grazing on the occupation of three rodent 991 species (Akodon azarae, Oligoryzomys flavescens and Oxymycterus nasutus). We 992 collected habitat covariates and sampled rodents, using live traps and tracking tunnels, 993 in 20 paddocks subjected to different grazing pressures, from two research stations, 994 across four seasons. We applied single-season occupancy modeling to determine 995 whether rodent detection and occupation varied as a function of the covariates 996 describing sampling occasions and grazing intensity. We ran sensitivity analyses to 997 evaluate the effect of the differential sampling effort we applied across research 998 stations. All species had higher detection probabilities during the winter. Oxymycterus 999 nasutus showed a higher detection probability under tall vegetation. Akodon azarae 1000 reached a higher occupation probability in ungrazed areas, although it also had a low 1001 probability of occupation in highly grazed paddocks. Oligoryzomys flavescens 1002 occupation seemed constant across the grazing gradient. Oxymycterus nasutus reached a higher occupation probability in ungrazed areas. Decreasing stocking rates and 1003 1004 maintaining ungrazed areas might compose the best management practices for small 1005 mammal conservation in the grasslands of Southern Brazil. 1006

1006 Keywords: beef production; cattle raising; detectability; land sparing; non-volant small
1007 mammals; Pampa biome.

1008

#### 1009 Introduction

1010 The biomass of humans and their livestock far outweighs the biomass of extant 1011 or extinct mammals (Smith et al., 2016). Around 26 % of the Earth's surface is 1012 dedicated to livestock farming (Ripple et al., 2014; Robinson et al., 2014), resulting in 1013 habitat conversion, overgrazing, soil erosion, high water wastage, high disease 1014 transmission risk and high emissions of greenhouse gases (Ripple et al., 2014, 2015; 1015 Phalan et al., 2016). Alteration of habitats for livestock is promoting the collapse of 1016 mammal populations globally (Ripple et al., 2015), and finding systems and practices 1017 that reconcile conservation with production are urgently needed (Phalan et al., 2016). 1018 Beef production in naturally growing pastures seems more environment-friendly than 1019 other alternatives (e.g., feedlots), as the adaptations of grassland plants and animals 1020 suggests coevolution with ungulates (Overbeck et al., 2007; Bond and Parr, 2010). This 1021 implies that grazing does not affect (Fig. 1A) and may even favor wildlife (Fig. 1B, 1022 dotted line). Here, we are concerned with the population-scale processes underlying the negative relationship between livestock grazing and the diversity of mammal 1023 1024 communities in the Pampa biome (Pedó et al., 2010; Luza et al., 2016a), which might 1025 invalidate the neutral and positive responses of rodents to grazing (Fig. 1A and B, 1026 continuous line).

1027 Grazing by large ungulates can directly (food competition, shelter/nest trampling) or indirectly (vegetation foraging) influence small mammals (Keesing, 1998; 1028 1029 Matlack et al., 2001). Ungulate foraging and trampling alters vegetation density, height 1030 and cover, as well as the formation and maintenance of litter cover and soil permeability 1031 (Matlack et al., 2001; Fox et al., 2003). While overgrazing promotes the growth of 1032 disturbance-tolerant plants (rhizomatous and stoloniferous) and the consumption of 1033 disturbance-intolerant plants, low/no grazing promotes the accumulation of flammable 1034 biomass and the growth of tussocks, shrubs and trees, which cover rhizomatous and 1035 stoloniferous plants (Duarte et al., 2006; Overbeck et al., 2007). Grassland habitat 1036 structure varies seasonally, because vegetation growth is slower during the winter,

which influences cattle density (Nabinger et al., 2009; Trindade et al., 2012) and
probably affects the occurrence of rodents (Pedó et al., 2010; Vieira and Paise, 2011).
Experimentally manipulating the horizontal (e.g., distance between vegetation patches)
and vertical (e.g., height) structure of the vegetation provides a valuable opportunity to
evaluate the effect of changes in grassland structure on both beef production and
wildlife (Nabinger et al., 2009; Trindade et al., 2012).

1043 Tussock grasses, shrubs and trees assure the high abundance and resilience of 1044 small mammal populations in grasslands and grassland-forest ecotones (e.g., Pedó et al., 1045 2010; Luza et al., 2016a). Grazing might exert a negative effect on animal populations when management regimes misuse environmental carrying capacities, potentially 1046 subjecting specialist and lightweight species requiring tall/dense vegetation to high 1047 1048 mortality and low recruitment rates (Keesing, 1998; Moenting and Morris, 2006). Small 1049 mammals requiring tall/dense vegetation are able to colonize a disturbed area that has 1050 been abandoned (Fox et al., 2003). In contrast, only opportunist and non-resident 1051 species occupy continuously and intensively grazed habitats (Fox et al., 2003). 1052 Overgrazing is becoming increasingly common in South Brazilian landscapes, because 1053 the government and economy demands the intensification of beef production in 1054 grassland remnants, which are becoming smaller and more isolated due to their 1055 conversion into crop fields and tree plantations (Carvalho and Batello, 2009; Azpiroz et al., 2012). Thus, an analysis of the occupancy of rodent species with different life 1056 1057 histories, in grasslands which are subjected to different grazing intensities, may aid the formulation of the best management practices for the South Brazilian grasslands. 1058 1059 We aimed to evaluate the relationship between cattle grazing and paddock 1060 occupancy by three rodent species (Fig. 1). Rodents are conspicuous inhabitants of

1061 grasslands and human-modified habitats due to their morphological and behavioral

1062 adaptations to diverse environmental conditions (Vieira and Paise, 2011; Sponchiado et al., 2012; Luza et al., 2016a). Studied species consist of the insectivores-omnivores 1063 1064 Azara's grass mouse (Akodon azarae [Fischer 1829]) and long-nosed hocicudo 1065 (Oxymycterus nasutus [Waterhouse 1837]), and the herbivore-granivore yellow pygmy 1066 rice rat (Oligoryzomys flavescens [Waterhouse 1837]). To evaluate rodent occupancy we took the possibility of imperfect detection into account, because a species can be 1067 undetected even when occupying a given site (MacKenzie et al., 2002; Guillera-Arroita, 1068 1069 2017).

1070 We expected that the scansorial and bipedal Oligoryzomys flavescens would not 1071 be influenced by the grazing gradient (Fig. 1A), because the species is extremely agile 1072 and able to exploit exposed habitats (Taraborelli et al., 2003). Conversely, we expected 1073 that the semi-fossorial Oxymycterus nasutus should have both the highest detection in 1074 tall grasslands and the narrowest distribution across the grazing gradient (Fig. 1D-1075 dotted line), because it is the less-vagile and has the largest body of the studied species. 1076 We expected unimodal detection and occupation probabilities for the cursorial Akodon 1077 azarae (Fig. 1C- continuous line), with its activity concentrated in areas of moderate grazing intensity due to both the high availability of green leaves and insects and its 1078 1079 small body size which allows it to move under a thin litter layer (Bilenca and Kravetz, 1998). 1080



1082

1083 Fig. 1: Conceptual models (upper) and sampling scheme (lower) used in the study. 1084 Continuous or dotted lines in the conceptual models describe neutral (A), positive/negative linear (B), unimodal/bimodal (C) and logistic (D) relationships 1085 1086 between rodent occupation/detection and grazing intensity. We sampled rodents using: 1087 1) live trap grids and 2) tracking tunnel transects. We set grids and transects in ten 1088 paddocks subjected to different grazing intensities in each research station. We 1089 measured habitat covariates at each trapping point and sampling covariates for each 1090 sampling day, and related them to rodent detection using single-season occupancy modeling. Pictures show the variation in habitat structure across the gradient of grazing 1091 1092 intensity (Pictures: A. L. Luza).

1093 Material and methods

1094 Study areas

1095 We conducted the study from March 2016 to February 2017 on 16 grazed and 4 1096 ungrazed paddocks located in two research stations within the Pampa biome in South Brazil. In Bagé, the eight grazed and two ungrazed paddocks were located in an 1097 1098 experimental grassland (31.301170°S, 53.950588°W) of  $\approx$  300 hectares belonging to the Brazilian Agricultural Research Corporation (EMBRAPA). In Eldorado do Sul, the 1099 1100 eight grazed and two ungrazed paddocks were located in an experimental grassland 1101  $(30.103136^{\circ}\text{S}, 51.684382^{\circ}\text{W})$  of  $\approx 80$  ha belonging to the Universidade Federal do Rio 1102 Grande do Sul (EEA). As the use of high stocking rates (i.e., keeping a high density of 1103 cattle within an area) is a widespread practice on South Brazilian farms (Carvalho and 1104 Batello, 2009), we chose these stations because they included the few sites where grazing manipulation has allowed the development of vegetation patches under several 1105 1106 grazing levels, including ungrazed areas. Mean temperature throughout the study was 1107  $17.93 \pm 5.83$  °C, with a mean precipitation of  $0.85 \pm 5.65$  mm/day (Fig. S1.1).

1108

1109 Study species

1110 The studied species predominantly occur in open-habitats and are among the 1111 most abundant species in the South Brazilian grasslands (Pedó et al., 2010; Vieira and 1112 Paise, 2011; Sponchiado et al., 2012; Luza et al., 2016a). Akodon azarae (mean± sd 1113 adult weight of  $30.16 \pm 9.02$  g., according to our data) is a cursorial, opportunist and 1114 competitively aggressive species that occurs mainly in grasslands but uses crop fields 1115 when they provide high resource availability (Bilenca and Kravetz, 1998; Bilenca et al., 1116 2007; Fraschina et al., 2009). Oligoryzomys flavescens (18.90  $\pm$  6.48 g.) is able to exploit low-cover habitats due to its ability to efficiently flee from predators by 1117

suddenly changing its direction (Taraborelli et al., 2003). *Oxymycterus nasutus* (61.79 ±
16.72 g.) is the largest of the study species and seems the most sensitive to changes in
habitat structure, since it notably avoids habitats with low vegetation cover (Pedó et al.,
2010; Luza et al., 2016a). Other species that could be detected in the study areas include *Reithrodon typicus, Ctenomys torquatus, Calomys laucha, Cavia aperea* and *Scapteromys aquaticus*.

1124

1125 Non-volant small mammal sampling

1126 A trapping campaign was conducted in each season (from autumn 2016 to 1127 summer 2017, Fig. S1.1) at both research stations. At each station we placed ten grids of 1128 Sherman  $(25 \times 8 \times 9 \text{ cm})$  and Tomahawk  $(47 \times 17,5 \times 15 \text{ cm})$  traps in the center of ten 1129 paddocks subjected to different grazing intensities (Fig. 1; further details in Appendix S1). Grids were distanced at least 100 m from each other and covered  $\approx$  80 hectares in 1130 1131 EMBRAPA and  $\approx$  100 hectares in EEA. Each grid had 12 Sherman and 12 Tomahawk 1132 traps alternatingly placed at 6 points spaced 20 m apart along four transects (Fig. 1). 1133 Traps were left for five days in each paddock, which was considered sufficient for rapid 1134 population assessments (Fraschina et al., 2009; Vieira and Paise, 2011). Traps were 1135 revisited twice a day (morning and afternoon) to capture diurnal individuals and to 1136 avoid potential rodent mortality due to adverse weather conditions and predation. Total 1137 live-trapping effort was 4,800 trap/nights in EMBRAPA and 1,200 trap/nights in EEA 1138 (only one live-trapping campaign, see below); net live-trapping effort (i.e., discounting 1139 the unavailable traps) was 4,967 trap/nights.

We placed one transect of tracking tunnels (50 x 10 x 10 cm) within each grid (Fig. 1). We placed tunnels 20 m apart and monitored them across two nights per season. We reset the paper sheet and the ink of the tracking tunnels if rainfall occurred during the first night of sampling. Total tracking tunnel effort was 480 tunnel/nights.
Since the autumn live-trapping campaign in EEA yielded no captures, any further livetrapping effort would unlikely have resulted in enough captures to make a difference in
the models. Therefore, we only used tunnels to monitor paddocks in this site.

1147 We took morphological measurements and ear tissue samples of all captured 1148 individuals and marked them with ear tags (~7mm). To acquire a reference footprint 1149 collection (Palma and Gurgel-Goncalves, 2007), we placed live-trapped individuals in a 1150 box with a paper sheet and ink to collect their footprints. We released trapped 1151 individuals at the point of capture. We baited traps with strong-smelling bait composed 1152 of bananas, peanuts, sardines, cod-liver oil, vanilla essence and corn meal. We 1153 identified footprints through geometric morphometrics (Palma and Gurgel-Goncalves, 1154 2007) (Appendix S2, Table S2.1, Figures S2.1 - S2.4). Finally, we measured habitat 1155 covariates at each trap/tunnel point to characterize the vegetation of the paddocks 1156 (Figure 1; Tables S1.1 and S1.2). Permanova and Betadisper analyses of habitat 1157 covariates revealed between-paddock differences in habitat structure (Appendix S1), 1158 where highly grazed and ungrazed paddocks composed the two extremes of the grazing gradient (Fig. S1.2; Table S1.3). 1159

1160

1161 Data analysis

We examined the relationship between rodent occupancy and grazing intensity using hierarchical single-season occupancy modeling (MacKenzie et al., 2002). Hierarchical models involve Bernoulli regressions to model the probability of site occupation ( $\psi$ ) and species detection (p);  $\psi$  is the expected occupation state value of the site *z* after accounting for p. The probability that a site is occupied by a species is  $\psi$ , and the probability that it is unoccupied by the species is  $1 - \psi$ . When a species is not occupying a site the species cannot be detected (p = 0), but when a species is present at a site the species is detected with probability p > 0. Some species are imperfectly detected due to differential trap type, abiotic conditions such as the season, and biologic aspects that alter the species activity, for example predator avoidance (MacKenzie et al., 2002; Guillera-Arroita, 2017).

1173 We obtained the final detection data by combining the data obtained from the 1174 sequence of five days of live trapping per season and adding the data from the one additional day of tunnel tracking (the day in which we removed the tunnels). Overall, 1175 1176 matrices for each rodent species contained 20 paddocks and 24 sampling occasions (days) in EMBRAPA (20 days [all seasons] plus 4 tunnel tracking days [all seasons]), 1177 1178 and 9 sampling occasions in EEA (five live trapping days [only autumn] plus four 1179 tunnel tracking days [all seasons]). We accommodated the lack of live-trapping 1180 sampling in EEA by setting the detections to NA (MacKenzie et al., 2002). We used 1181 single-season occupancy modeling as the assumptions of site-closure to colonization 1182 and independence among sites seemed plausible for our single-year sampling 1183 (MacKenzie et al., 2003). We checked the sensitivity of the results using the complete dataset, which contained data obtained from sites sampled with different sampling 1184 1185 efforts, by analyzing the 24 sampling occasions of the EMBRAPA site (Appendix S3). 1186 We constructed an *a priori* set of candidate models to evaluate whether grazing intensity affects the occupation probability (Table S1.4). The models also composed 1187 1188 concurrent hypotheses to assess whether season, total vegetation height (including 1189 linear, quadratic and cubic terms to represent the conceptual models of Fig. 1), the 1190 interaction and additive effect of season and total vegetation height, trap type (the use of 1191 live-traps or tracking tunnels) and the moon phase influences the probability of

1192 detection. Our data did not allow the inclusion of a site effect in the models because the 1193 low number of species records in the EEA site caused perfect model separation. The sample size of 20 paddocks prevented the testing of several interaction terms. To avoid 1194 1195 wasting degrees of freedom when estimating the parameters for categorical covariates, 1196 we used the paddock centroid extracted from the Principal Coordinate Analysis as the occupation covariate in the candidate models (Appendices S1 and S3). Paddock 1197 centroid represents the point that minimizes the among-season differences in habitat 1198 1199 characteristics within a given paddock (Anderson, 2006), and is a good representation 1200 of the between-paddock variation in grazing intensity.

1201 We used the Akaike Information Criteria, corrected for small sample sizes

1202 (AICc), to select the models that presented the most detection and occupation

1203 information while using the fewest parameters (Burnham and Anderson, 2002). AICc

1204 weights (w) indicate the empirical support for each model, relative to others in the

1205 candidate set. We considered that models with Delta AICc > 4 units had low support

1206 (Burnham and Anderson, 2002). We estimated model parameters using maximum

1207 likelihood, and the goodness-of-fit of the best models was estimated through parametric

1208 bootstrapping (Fiske and Chandler, 2011). The same tests were used in the sensitivity

1209 analyses (Appendix S3). Analyses were conducted using functions implemented in

1210 'vegan', 'MuMIn' and 'unmarked' R packages (R Core Team, 2017).

1211

## 1212 **Results**

A net effort of 4,967 trap/nights resulted in the capture of 88 individuals of the three study species. A net effort of 480 tunnel/nights yielded 57 detections of the three study species (Table S1.5). *Akodon azarae*, *Oligoryzomys flavescens* and *Oxymycterus nasutus* were detected in both research stations, although in EEA they were only found 1217 in ungrazed areas (Table S1.5). We detected Akodon azarae and Oxymycterus nasutus 1218 in all seasons, while Oligoryzomys flavescens was not found in the spring. We recorded 1219 55 recaptures of 30 different individuals (Table S1.5); most recaptures occurred within 1220 the same season (n=52), with only three recaptures taking place in different seasons. 1221 Twenty-five recaptures occurred in the same trap that caught the individual the first time, 20 occurred within 20 m. of the trap responsible for their first capture and 16 1222 1223 occurred within 40 m. Only four recaptures occurred in traps 60 m. away from the trap 1224 that caught the individual for the first time. We recorded only one movement between grids (one Akodon azarae individual moving more than 150 m. between traps), which 1225 1226 should not influence the results because it was not a new detection of the species. We recorded 13 afternoon captures during winter, one in autumn, one in spring and one in 1227 1228 the summer.

1229

## 1230 Rodent detection and paddock occupation

1231 Parametric bootstrapping showed that all of the best-ranked models fit the data 1232 well. Either season or season and total vegetation height were the detection covariates 1233 used in the best-ranked models for the three rodents (Table 1; complete results in Tables 1234 S1.6, S1.7 and S1.8). Detection of the three species was at least three times higher in the winter than in the non-winter months (Tables 2, S3.3, S3.5 and S3.7). Using 1235 1236 EMBRAPA data, we found that season explained the detection of Akodon azarae and 1237 Oligoryzomys flavescens (Tables S3.2 and S3.4). Season and total vegetation height were the detection covariates used in the best-ranked models of Oxymycterus nasutus 1238 (Table 1 and S3.6). The highest detection probabilities of Oxymycterus nasutus were 1239 1240 found in the winter months and under tall vegetation (Table 2; Table S3.7).

The gradient of grazing intensity (paddock centroid, Fig. S1.2) was included 1241 1242 among the most plausible models for the three species (Table 1). Although the 1243 occupation estimates had a low precision, the model predictions showed a negative relationship between the probability of paddock occupation and grazing intensity (Fig. 1244 1245 2). Akodon azarae had the broadest distribution across the grazing intensity gradient, 1246 with a probability of occupancy of 0.05 in intensively grazed grasslands; using only the 1247 EMBRAPA data, the probability that the species occupied moderately grazed paddocks 1248 was 0.55. Oligoryzomys flavescens had both a subtly narrower distribution than Akodon 1249 azarae did, and it had occupation probabilities greater than 0.5 in paddocks subjected to moderate-low grazing intensities (Fig. 2); using the EMBRAPA data, the probability of 1250 1251 occupation of Oligoryzomys flavescens was constant across the grazing gradient (Table S3.4). Oxymycterus nasutus showed the narrowest distribution across the grazing 1252 1253 gradient, with its highest occupation occurring in ungrazed areas; this result was 1254 consistent using both the complete and the EMBRAPA datasets (Tables S1.8 and S3.6). 1255

1256

1257

1260 = detection probability;  $\psi$  = occupation probability. PCO1= gradient of grazing intensity

1261 (Fig. S1.2).

Species	df	LogLik	AICc	Delta AICc	Weigh
Akodon azarae					
p(Season) ψ (PCO1)	4	-128.49	267.60	0.00	0.55
p(Season+total height) $\psi$ (PCO1)	5	-127.44	269.20	1.52	0.26
$p(Season) \psi(.)$	3	-131.14	269.80	2.14	0.19
p(Total height^3) $\psi$ (PCO1^3)	4	-152.87	316.40	48.77	0.00
Oligoryzomys flavescens					
$p(Season) \psi (PCO1)$	4	-81.06	172.80	0.00	0.74
p(Season+total height) $\psi$ (PCO1)	5	-80.92	176.10	3.34	0.14
$p(Season) \psi(.)$	3	-84.43	176.40	3.57	0.12
p(Season:total height^3) $\psi$ (PCO1^3)	5	-91.48	197.20	24.45	0.00
Oxymycterus nasutus					
$p(Season) \psi (PCO1)$	4	-66.66	144.00	0.00	0.45
p(Season) ψ (.)	3	-68.59	144.70	0.69	0.32
p(Season+total height) $\psi$ (PCO1)	5	-66.33	147.00	2.96	0.10
p(.) ψ (PCO1)	3	-70.71	148.90	4.93	0.04

1271 Table 2: Estimates of detection probability given as a function of the sampling occasion

1272 covariates.

1273	Linear combination	Detection	Confide	Confidence interval		Total vegetation
	(logit scale)	probability	2.5%	97.5%	Season	height (cm)
	Azara's grass mouse (Akod	on azarae)				
	-1.67	0.16	0.05	0.38		4
	-1.93	0.13	0.06	0.26		42
	-2.20	0.10	0.05	0.18	Non-	104.3
	-2.73	0.06	0.03	0.13	winter	166
	-3.00	0.05	0.02	0.13		228
	-3.24	0.04	0.01	0.13		284
	1.38	0.80	0.49	0.94		4
	1.12	0.75	0.51	0.90		42
	0.85	0.70	0.52	0.83	Winter	104.3
	0.32	0.58	0.45	0.70	vv IIItel	166
	0.05	0.51	0.34	0.68		228
	-0.19	0.45	0.24	0.69		284
	Yellow pigmy rice rat (Olig	goryzomys flav	escens)			
	-2.00	0.12	0.03	0.40		4
	-2.13	0.11	0.03	0.29		42
	-2.27	0.09	0.04	0.21	Non-	104.3
	-2.53	0.07	0.03	0.19	winter	166
	-2.66	0.07	0.02	0.22		228
	-2.78	0.06	0.01	0.27		284
	0.02	0.51	0.13	0.88		4
	-0.11	0.47	0.17	0.80		42
	-0.24	0.44	0.22	0.69	Winton	104.3
	-0.51	0.38	0.25	0.52	winter	166
	-0.64	0.35	0.19	0.55		228
	-0.76	0.32	0.12	0.61		284
	Long-nosed hocicudo (Oxy	mycterus nasu	tus)			
	-2.01	0.12	0.02	0.45		4
	-1.79	0.14	0.04	0.40		42
	-1.57	0.17	0.07	0.38	Non-	104.3
	-1.14	0.24	0.10	0.49	winter	166
	-0.92	0.29	0.09	0.62		228
	-0.73	0.33	0.07	0.75		284
	-1.24	0.22	0.03	0.71		4
	-1.03	0.26	0.06	0.66		42
	-0.81	0.31	0.12	0.60	Winter	104.3
	-0.37	0.41	0.25	0.59		166
	-0.16	0.46	0.25	0.69		228
	0.04	0.51	0.22	0.79		284




Fig. 2: Occupation probability as a function of the gradient of grazing intensity. Values of the multivariate gradient of grazing intensity were extracted from Axis 1 of the Principal Coordinate Analysis (Fig. S1.2). The lowest negative values indicate the highest grazing intensities, whereas the highest positive values indicate the absence of grazing.

1282

### 1283 Discussion

1284 Livestock contributes to the food security of almost a billion people, but its 1285 effects on wildlife and world climate deserves attention as cattle biomass and the 1286 amount of land dedicated to livestock farming exceeds any other land use (Ripple et al., 2014; Robinson et al., 2014; Phalan et al., 2016). We evaluated rodent detection and 1287 1288 occupation in grasslands hosting species thought to be resilient to grazing (Overbeck et al., 2007; Bond and Parr, 2010). Since we used different sampling procedures and 1289 1290 efforts, we used both hierarchical occupancy modeling and sensitivity analyses to 1291 estimate rodent occupation. Overall, rodent detection was influenced by season and total 1292 vegetation height, whereas rodent occupation was negatively influenced by the 1293 increased intensity of cattle grazing.

1294 Grassland structure varies seasonally (Trindade et al., 2012), influencing cattle 1295 management and rodent detectability. Grassland managers generally decrease the 1296 stocking rates in winter because vegetation grows slowly due to the lower photoperiod 1297 and colder weather; thus, the production of seeds and green leaves decreases 1298 considerably (Trindade et al., 2012; Nabinger et al., 2009). To meet their minimum 1299 energetic requirements rodents overlap and shorten their activity periods during winter, 1300 in response to food shortage, low photoperiod and cold nights, heavy and constant rain, 1301 and low activity of predators (Fraschina et al., 2009; Vieira and Paise, 2011; Maestri

1302 and Marinho, 2014). These factors may increase bait attractiveness and detectability in the winter (Vieira and Paise, 2011). Correspondingly, the probability of detection of the 1303 three rodent species was higher in the winter. Oxymycterus nasutus, the less vagile of 1304 1305 the species, had a higher probability of detection during winter months in tall 1306 grasslands. The detection probability of Akodon azarae and Oligoryzomys flavescens 1307 was more dependent on season than on vegetation height. Thus, decreasing stocking rates in the winter may be a good management practice since rodents are subjected to 1308 1309 low food availability and high climatic stresses.

1310 Ungulate foraging and trampling influence rodent habitats by altering the 1311 vegetation density, height and cover, as well as the formation and maintenance of litter 1312 cover and soil permeability (Matlack et al., 2001; Fox et al., 2003). Species not 1313 influenced by cattle grazing prosper in human-modified landscapes, while intolerant and 1314 less-vagile species may be restricted to fewer sites with a low or absent grazing 1315 intensity (Medan et al., 2011; Azpiroz et al., 2012; Luza et al., 2014). Occupancy 1316 models showed that cattle grazing did not influence the occupation of Oligoryzomys flavescens, which is due to the ability of this bipedal species to exploit habitats with 1317 different degrees of vegetation cover (Taraborelli et al., 2003; Luza et al., 2016a). In 1318 1319 contrast, occupancy models showed that the probability of occupation of Akodon azarae 1320 and Oxymycterus nasutus increased with decreasing grazing intensity. Paddocks with dense and heterogeneous vegetation, composed of tussock grasses, tall shrubs and 1321 1322 scattered trees, ensure safe foraging for plant leaves, seeds and invertebrates below and 1323 inside the layers of dense vegetation (Moenting and Morris, 2006). Although Akodon 1324 *azarae* is considered an opportunistic species (Bilenca et al., 2007), its preferred 1325 conditions and resources are found in ungrazed grasslands. Oxymycterus nasutus had 1326 the narrowest distribution along the grazing gradient and was the species most sensitive

to grazing. This could be due to its large body size, low vagility and semi-fossorial
nature (Pedó et al., 2010; Luza et al., 2016a). Ungrazed areas might provide favorable
habitats and support larger rodent populations (Keesing, 1998). For example, Keesing
(1998) found that ungrazed savannas harbored an herbivorous rodent with a density
twice that of grazed areas. Thus, populations of many species that are in need of
conservation rely on ungrazed areas for reproduction and shelter (Pedó et al., 2010;
Azpiroz et al., 2012; Luza et al., 2016b).

1334 The differences we found in the sensitivity analyses by comparing the complete 1335 dataset (both EMBRAPA and EEA sites) with the EMBRAPA dataset of Oligoryzomys 1336 flavescens can be explained by the species occurrence only in the ungrazed areas of EEA. The failure to obtain any detection of the studied species in the grazed areas of 1337 1338 EEA might be due to factors such as the high degree of grassland conversion into tree 1339 plantations and crop fields in the surrounding landscape. This is a more probable 1340 explanation than the difference in species detections being due to differential sampling 1341 techniques and effort, because we did not 1) record more detections in autumn when we 1342 used both tracking tunnels and live-traps, 2) record more detections in tunnels when 1343 obtaining a higher live-trapping success in EMBRAPA (Table S1.5) and 3) find that the 1344 model considering the effect of trap type was among the best-ranked models for the 1345 three species (see Results). Despite the high uncertainty in the estimates of occupation 1346 probability, resulting from the low number of species detections, we showed that the 1347 occupation of Akodon azarae and Oxymycterus nasutus increased with a decreasing grazing intensity. 1348

Our results support the idea that the main problem related to livestock is
overgrazing, which occurs when too many cattle continuously graze in the same area.
Increasing the number of cows in an area is erroneously used in South Brazilian

1352 grasslands to increase beef production (Carvalho and Batello, 2009), which has a negative effect on rodents that are sensitive to changes in habitat structure. We advocate 1353 1354 for spare ungrazed areas, with the simultaneous decrease of the stocking rate to avoid 1355 overgrazing in grasslands used for beef production. We determine that this constitutes 1356 the best management strategy for conserving large rodent populations in the grassy landscapes of South Brazil. Low-intensity management that considers the carrying 1357 capacity of a grassland (i.e., potential grass growth) is the most productive strategy 1358 1359 regarding beef production for these grasslands (Nabinger et al., 2009; Trindade et al., 1360 2012), and may provide the minimal habitat requirements for rodent occurrence. 1361 Therefore, the land sparing approach, which embraces both the maintenance of 1362 ungrazed habitats while intensifying beef production in the remaining landscape (Phalan et al., 2016), could be an alternative for South Brazilian grassy landscapes if beef 1363 1364 production respects the carrying capacity of grasslands.

1365

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1371

#### 1372 Data statement

1373 Data can be requested directly from the corresponding author.

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1478 Supporting information:
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- 1479 Appendix S1: Research station management and analyses of the effect of grazing on1480 habitat structure.
- 1481 Figure S1.1: Temperature (°C) and maximum precipitation (mm.) during the course of
- 1482 the study. Each point shows the mean daily temperature (°C), and point size represents
- 1483 the maximum daily rainfall (mm) (from 2015-12-01 to 2017-03-15). Data was obtained
- 1484 from the Brazilian National Institute of Meteorology (INMET;
- 1485 <u>http://www.inmet.gov.br/portal/</u>). The horizontal line segments indicate the periods of
- 1486 rodent sampling in each season.
- 1487 Figure S1.2: Principal Coordinate Analysis plot showing the between-season and
- between-paddock variation in habitat. Variation captured by the two first ordination
- 1489 axes is included within the axes labels. Convex hulls delimit paddock groups according
- to their grazing intensity; the lines inside each convex hull link the paddock centroid
- 1491 with the habitat of each season. We used the continuous value of the paddock centroid
- 1492 as the quantitative measurement of the grazing intensity. Black circles indicate centroids

from EEA (Eldorado do Sul, RS) paddocks, and white circles indicate centroids from
EMBRAPA (Bagé, RS) paddocks.

1495 Table S1.1: Habitat covariates collected at trapping points distributed across grazing

1496 paddocks from two livestock research stations in the Pampa biome, Brazil. A point

1497 refers to one Sherman, one tomahawk or one tracking tunnel. For the data analysis,

1498 covariates were averaged by paddock. Covariates marked with \* had low or moderate

1499 correlation and were used to extract the multivariate gradient of grazing intensity (Fig

1500 S1.2).

1501 Table S1.2: Habitat covariates by grazing paddock. \* Grazing intensity was derived

1502 from the paddock's position along the disturbance gradient (Fig. S1.2). We present the

total number of live-trap captures and recaptures per species in each paddock.

1504 Detections derived from tracking tunnels are presented in *bold* and *italic*.

1505 Table S1.3: Correlations between habitat covariates and the axes of the Principal

1506 Coordinate Analysis (Fig. S1.2).

Table S1.4: Candidate models potentially explaining rodent detection (p) and site occupation ( $\psi$ ).

1509 Table S1.5: Sampling effort and number of detections presented according to species,

1510 site, trap type and season. In Eldorado do Sul (EEA), we sampled from winter 2016 to

summer 2017 using tracking tunnels only. Within parenthesis, we present the number of

recaptures for live-trap sampling. In **bold**, we present the number of tracking tunnels

1513 with detections.

1514 Table S1.6: AICc ranking of all candidate models for Azara's grass mouse (*Akodon*1515 *azarae*).

- 1516 Table S1.7: AICc ranking of all candidate models for the yellow pigmy rice rat
- 1517 (Oligoryzomys flavescens).
- 1518 Table S1.8: AICc ranking of all candidate models for the long-nosed hocicudo
- 1519 (Oxymycterus nasutus).
- 1520 Appendix S2: Footprint morphometrics.
- 1521 Table S2.1: Accuracy (%) of the classification of the footprints in the reference
- 1522 collection. We collected the footprints after measuring and weighing rodents trapped in
- 1523 live-traps (Tomahawk and Sherman).
- 1524 Fig. S2.1: Shape variation (upper) and footprint size distribution (in cm, bottom) for the
- 1525 left foot of the three studied rodent species. The gray points are landmarks for each
- individual in the reference collection (346 footprints), whereas black points compose theconsensus shape.
- 1528 Fig. S2.2: Distribution of variation in footprint shape (upper) and size (in cm, bottom)
- 1529 for the right foot of the three studied rodent species. The gray points are landmarks for
- each individual in the reference collection (325 footprints), whereas black points
- 1531 compose the consensus shape.
- 1532 Fig. S2.3: Distribution of variation in footprint shape (upper) and size (in cm, bottom)
- 1533 for the left hand of the three studied rodent species. The gray points are landmarks for
- each individual in the reference collection (355 footprints), whereas black points
- 1535 compose the consensus shape.
- Fig. S2.4: Distribution of variation in footprint shape (upper) and size (in cm, bottom)for the right hand of the three studied rodent species. The gray points are landmarks for

1538 each individual in the reference collection (310 footprints), whereas black points

1539 compose the consensus shape.

1540 Appendix S3: Sensitivity analyses using the EMBRAPA data.

1541 Fig. S3.1: Principal coordinate analysis plot showing the between-season and between-

1542 paddock variation in habitat structure. Variation captured by the two first ordination

axes is included within the axes labels. Convex hulls delimit paddock groups according

to their grazing intensity; the lines inside each convex hull link the paddock centroid

1545 with the habitat of each season. We used the continuous value of the paddock centroid

as the quantitative measurement of the grazing intensity. Data was collected from

1547 EMBRAPA (Bagé, RS).

1548 Fig. S3.2: Occupation probability ( $\psi$ ) of *Akodon azarae*, given as a function of the

1549 gradient of grazing intensity. Values of the multivariate gradient of grazing intensity

1550 were extracted from Axis 1 of the Principal Coordinate Analysis (Fig. S2.1). The lowest

1551 negative values indicate the highest grazing intensities, whereas the highest positive

1552 values indicate the absence of grazing.

1553 Fig. S3.3: Occupation probability ( $\psi$ ) of *Oxymycterus nasutus*, given as a function of the

1554 gradient of grazing intensity. Values of the multivariate gradient of grazing intensity

1555 were extracted from Axis 1 of the Principal Coordinate Analysis (Fig. S2.1). The lowest

1556 negative values indicate the highest grazing intensities, whereas the highest positive

1557 values indicate the absence of grazing.

1558 Table S3.1: Correlations between habitat covariates and the axes of the Principal

1559 Coordinate Analysis (Fig S2.1).

1560 Table S3.2: Model-selection table for *Akodon azarae*, with candidate models ranked

according to their AICc. p = detection probability;  $\psi$  = occupation probability. PCO1=

- 1562 gradient of grazing intensity (Fig. S2.1). The models with stronger support are those 1563 with Delta AICc  $\leq$  4 (in **bold**).
- 1564 Table S3.3: Estimates of the detection probabilities of *Akodon azarae*, given as a
- 1565 function of the sampling occasion covariates.
- 1566 Table S3.4: Model-selection table for *Oligoryzomys flavescens*, with candidate models
- 1567 ranked according to their AICc. p = detection probability;  $\psi =$  occupation probability.
- 1568 PCO1= gradient of grazing intensity (Fig. S2.1). The models with stronger support are
- 1569 those with Delta AICc  $\leq 4$  (in **bold**).
- 1570 Table S3.5: Estimates of the detection probabilities (p) of *Oligoryzomys flavescens*,
- 1571 given as a function of the sampling occasion covariates.
- 1572 Table S3.6: Model-selection table for *Oxymycterus nasutus*, with candidate models
- 1573 ranked according to their AICc. p = detection probability;  $\psi =$  occupation probability.
- 1574 PCO1= gradient of grazing intensity (Fig. S2.1). The models with stronger support are
- 1575 those with Delta AICc  $\leq 4$  (in **bold**).
- 1576 Table S3.7: Estimates of the detection probabilities (p) of Oxymycterus nasutus, given
- as a function of the sampling occasion covariates.

### 1 CONSIDERAÇÕES FINAIS

2 A habilidade das espécies em seguir expansões ou retrações no hábitat (sejam 3 estas causadas por dinâmicas climáticas ou por atividades antropogênicas) depende 4 tanto da velocidade em que o hábitat muda quanto do potencial dispersivo das espécies 5 em superar limites ecológicos e adversidades ambientais (Isbell et al., 2017; Davidson et al., 2017; Sandel et al., 2011; Prevedello & Vieira, 2010; Umetsu et al., 2008; 6 7 Debinski & Holt, 2000). Geralmente, espécies com elevada especificidade a um determinado hábitat e recurso tem menor capacidade de dispersão e maior propensão à 8 9 extinção quando os hábitats mudam (Cantalapiedra et al., 2011; Sandel et al., 2011; 10 Bofarull et al., 2008). Utilizando análises de aninhamento (nestedness), um componente 11 da diversidade  $\beta$  que caracteriza a perda ordenada de espécies entre sítios e regiões 12 (Baselga, 2010; Almeida-Neto et al., 2008), observei que a distribuição de somente três 13 de 13 guildas analisadas é limitada ao hábitat ancestral, sugerindo que um elevado 14 fitness (baixas taxas de mortalidade e altas taxas de reprodução) é alcançado 15 independentemente da similaridade entre o hábitat ancestral e o novo hábitat ocupado. 16 Esta capacidade de mudança de nicho (niche shifts, Perman et al., 2008) pelas espécies 17 de mamíferos pode indicar baixas taxas de extinção com a mudança no hábitat devido a 18 alterações climáticas, embora análises mais profundas são necessárias para elucidar 19 propriamente o efeito das mudanças climáticas (e.g., Davidson et al., 2017).

Processos de extinção regional e global de espécies (diversidade γ) podem ser
explicados não somente pela extinção local de espécies (i.e., diminuição da diversidade
α) mas também pela perda das diferenças na composição de espécies entre sítios (i.e.,
diminuição da diversidade β geralmente culminando com o aumento da contribuição do
componente de aninhamento) (Isbell et al., 2017; Socolar et al., 2016; McGill et al.,
2015). Observamos uma variação regional no processo de extinção de espécies através

da análise da composição de comunidades de pequenos mamíferos não-voadores em 26 27 hábitats prístinos e modificados por ações antropogênicas (capítulo 2). O componente de aninhamento foi maior para as comunidades de biomas temperados do que para as 28 29 comunidades tropicais, e maior entre florestas e hábitats modificados do que entre campos e hábitats modificados. A estabilidade climática de regiões tropicais ao longo 30 31 do tempo geológico parece ter favorecido o acúmulo de diversidade em regiões 32 tropicais, principalmente devido às baixas taxas de extinção de espécies; em contraste, 33 extinções e recolonizações parecem ser eventos comuns em regiões temperadas (Soininen et al., 2018; Dobrovolski et al., 2012; Jansson, 2003). Já o maior aninhamento 34 35 observado entre florestas e lavouras/clareiras (crop fields/clear-cuts) parece resultar da 36 severa simplificação do hábitat culminando na extinção de espécies florestais raras em lavouras e clareiras (McGill et al., 2015; Tschnartke et al., 2012; Ewers & Didham, 37 38 2006).

39 As análises da composição de comunidades de pequenos mamíferos não-40 voadores em hábitats modificados por ações antropogênicas sugerem extinções na 41 escala regional (capítulo 2) praticamente sem extinções locais e mudanças na 42 composição de espécies relativo aos hábitats prístinos e ao esperado pelo conjunto 43 regional de espécies (capítulo 3). De fato, esta é uma das várias respostas da 44 biodiversidade que podem ser evidenciadas em escala local (McGill et al. 2015). Por exemplo, Pardini e colaboradores (2010), Fonseca e colaboradores (2009) e Barlow e 45 colaboradores (2007) observaram que florestas primárias (old-growth forests), florestas 46 secundárias e florestas plantadas não diferiram em riqueza de espécies de pequenos 47 48 mamíferos; no entanto, perceberam um processo de diminuição na diversidade  $\beta$  entre 49 hábitats devido à imigração de espécies florestais generalistas nos diferentes tipos de 50 hábitats modificados. Assim, há um certo grau de inconsistência nas tendências da

biodiversidade observadas em escalas locais, principalmente devido às dificuldades de
diferenciar extinções de imigrações. Debates tem surgido sobre como propriamente
diferenciar as extinções de imigrações em escala local e se avaliações da biodiversidade
na escala local deveriam ser consideradas para o planejamento de conservação de
espécies e de serviços ecossistêmicos (veja, por exemplo, Cardinale et al., 2018;
Vellend et al., 2017; Gonzalez et al., 2016).

57 A extinção regional de espécies nem sempre é acompanhada por extinções locais justamente pelo balanço entre extinções e imigrações (McGill et al., 2015; Jackson & 58 59 Sax, 2010). A alternativa que utilizei para diferenciar extinções de imigrações foi 60 considerar a 'diversidade obscura' de espécies ("dark diversity") (Lewis et al., 2017; 61 Pärtel et al., 2013); a outra alternativa seria o acompanhamento das variações na riqueza 62 e composição de comunidades ao longo do tempo (time-series analysis; Cardinale et al., 2018; Vellend et al., 2017, 2013; Dornelas et al., 2014). A diversidade obscura de 63 espécies contida no pool regional de espécies inclui o conjunto de espécies com 64 65 probabilidade diferencial de chegar e sobreviver nas comunidades, mas que já não ocorrem nestas devido a interações bióticas ou distúrbios antropogênicos (Lewis et al., 66 67 2017; Karger et al., 2016; Pärtel et al., 2013). A comparação da diversidade de espécies 68 e de funções de comunidades de hábitats pristinos e modificados em relação ao que seria esperado pelo conjunto regional de espécies indicou baixas taxas de extinção e 69 imigração. No entanto, a perda de poucas espécies resultou em diminuição da 70 71 diversidade funcional em alguns hábitats modificados (bordas de campos, lavouras). Em 72 geral, as comunidades de hábitats modificados pareceram ser resistentes a modificações 73 no hábitat, já que parecem ser tão 'completas' e capazes de manter a riqueza e 74 composição regional quanto as comunidades de hábitats prístinos. Um aspecto 75 importante a se considerar é que espécie pode estar presente em um hábitat mesmo

76 fadada à extinção; assim, sua presença não garante um bom status de conservação 77 (Isbell et al., 2017; McGill et al., 2015; Jackson & Sax, 2010). Um outro fato a se considerar é a sensibilidade dos táxons às modificações no hábitat. A ausência de 78 79 extinções locais pode ser transitória devido à atrasada resposta dos pequenos mamíferos 80 às modificações no hábitat (Metzger et al., 2010; Johnson et al., 2002). Assim, débitos 81 de extinção podem se acumular ao longo do tempo (Isbell et al., 2017; Jackson & Sax, 82 2010). Considerar outros mamíferos, como aqueles pertencendo às guildas cuja 83 distribuição foi fortemente limitada ao hábitat ancestral (granívoros), pode revelar respostas mais claras sobre a influência de atividades antropogênicas sobre a 84 biodiversidade. 85

86 Um importante pressuposto para as análises comparando hábitats prístinos e modificados (capítulos 2 e 3) foi que comunidades dos campos e florestas prístinas 87 estariam sujeitas à baixas taxas de extinção; portanto, estes hábitats prístinos 88 89 resguardariam a diversidade 'original' de espécies e funções 'do o conjunto regional de 90 espécies. No entanto, isto nem é sempre o caso, como constatei no último capítulo desta 91 tese. Incêndios, caçadas, pastejo por ungulados domésticos, corte ilegal de árvores, 92 abertura de estradas e a drenagem de banhados são algumas fontes de degradação que 93 influenciam na qualidade dos hábitats prístinos para a fauna e flora (Barlow et al., 2016, 94 2007; Luza et al., 2016, 2014; Azpiroz et al., 2012; Gibson et al., 2011). Por exemplo, 95 Barlow e colaboradores (2016) estimaram que distúrbios dentro das florestas reduziram 96 severamente (41-61%) a qualidade esperada das florestas primárias para a conservação 97 de plantas, aves e besouros de uma região da Amazônia. Gibson e colaboradores (2011) 98 realizaram uma meta-análise do valor de diversos tipos de hábitats modificados para a 99 conservação de espécies florestais; constataram que nenhum hábitat contribui tanto para 100 a conservação de espécies florestais quanto as florestas prístinas sob mínima influência

101 antropogênica. Para ecossistemas campestres, diversas evidências sugerem que campos 102 sob altos níveis de perturbação (intensamente pastejados e frequentemente queimados) 103 tem pouco valor para a conservação de vertebrados e plantas lenhosas (Carlucci et al., 104 2016; Luza et al., 2016, 2014; Azpiroz et al., 2012; Andersen et al., 2012; mas veja 105 Overbeck et al., 2016; Parr et al., 2014; Pillar & Vélez, 2010; Bond & Parr, 2010). 106 Avaliar o papel de ecossistemas campestres para a conservação da biodiversidade é 107 relevante uma vez que queimadas e o pastejo por ungulados têm sido considerados 108 elementos indispensáveis à diversidade de plantas e animais em ecossistemas 109 campestres (Pillar & Vélez, 2010; Overbeck et al., 2007). 110 Para avaliar a estrutura de populações de mamíferos em hábitats prístinos 111 sujeitos à influência antropogênica, registramos a ocorrência de três espécies de 112 roedores durante um ano em campos sob diferentes níveis de pastejo por bovinos. As 113 análises revelaram que a espécie de roedor com maior massa corporal e menor 114 capacidade de dispersão (Oxymycterus nasutus) dentre as espécies avaliadas teve maior 115 probabilidade de ocupação em hábitats não pastejados. Portanto, a supressão de áreas 116 não pastejadas possivelmente provocaria a extinção local de O. nasutus mesmo em 117 campos prístinos; outras espécies dependendo de vegetação campestre alta e densa 118 também poderiam ter o mesmo destino (Luza et al. 2016; Pedó et al. 2010). Desde que 119 ambientes pastejados dominam as paisagens campestres do sul do Brasil (Carlucci et al., 120 2016; Luza et al., 2016, 2014), manter hábitats pristinos livres da influência 121 antropogênica é indispensável para a conservação de populações de mamíferos e de 122 outros organismos (Barlow et al., 2016, 2007; Luza et al. 2016, 2014; Gibson et al., 123 2011).

O principal objetivo da tese foi contribuir para o conhecimento de como
características das espécies e dos hábitats influenciam na força dos processos de

dispersão e de extinção em escala regional e local. Para tanto, utilizamos ferramentas da 126 127 macroecologia e ecologia de comunidades (diversidade  $\beta$ , conjuntos probabilísticos de 128 espécies) e ecologia de populações (modelos hierárquicos de ocupação e detecção). Em 129 suma, dependendo da escala de observação, encontramos que processos históricos, 130 evolutivos e antropogênicos podem 'desequilibrar a balança' para maior extinção ou 131 dispersão, que por sua vez influencia na extensão espacial de distribuição das espécies e na estrutura das comunidades e populações. Perspectivas futuras incluem a avaliação do 132 133 tempo necessário para o pagamento de débitos de extinção e como podemos frear este processo. Além disto, análises com grupos de mamíferos mais dependentes de tipos 134 específicos de hábitats e recursos (e.g., granívoros) podem demonstrar mais claramente 135 136 o efeito da influência antropogênica sobre a biodiversidade. Finalmente, ecossistemas campestres ainda são pouco conhecidos do ponto de vista científico (e.g., Vorontsova et 137 138 al., 2016) e muito negligenciados pela agenda conservacionista (Veldman et al. 2015; 139 Parr et al., 2014; Overbeck et al., 2007; Hoekstra et al., 2005). Embora os dados 140 analisados nos capítulos desta tese considerem muitos sítios campestres de diversas 141 partes do planeta, uma meta-análise em escala global se faz necessária para avaliar o 142 valor de ecossistemas campestres sob diferentes níveis de distúrbios para a conservação 143 de sua flora e fauna específicas.

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### 1 MATERIAL SUPLEMENTAR

## 2 **CAPÍTULO 1**

#### 3 Mammal guild distribution dynamics between forest and open habitats

Ecoregions rearranged according to biogeography and habitat type







- 16 random taxonomic NODF; rndPHYLO= random phylogenetic nestedness; SES=
- 17 standardized effect sizes for NODF and phyloNODF. Random indexes were generated
- 18 by matrix randomization.

# 19 Table S1.1: Ecoregion mean species richness ± SD by habitat type and biogeographic realm. We used these criteria plus the ecoregion area to rearrange

20 ecoregions. Details of feeding habits in Table 1.

HABITAT TYPE				BIOGEOGRAPHIC REALM						
TROPHIC LEVEL/Dietary guild	FOREST	OPEN	AFROTROPIC	ANTARCTICA	AUSTRALASIA	INDO- MALAYAN	NEARCTIC	NEOTROPIC	OCEANIA	PALEARCTIC
HERBIVORES										
Grazers	$5.27 \pm 3.82$	$7.78 \pm 5.64$	$11.85 \pm 6.69$	$0 \pm 0$	$4.97 \pm 3.93$	$3.55 \pm 2.42$	$6.17 \pm 2.86$	$5.03 \pm 3.62$	$0 \pm 0$	$6.20 \pm 4.15$
Browsers	$7.42 \pm 4.78$	$9.19 \pm 6.82$	$15.88 \pm 7.52$	$0 \pm 0$	$3.6 \pm 3.13$	$6.06 \pm 4.50$	$8.12 \pm 3.62$	$6.54 \pm 3.05$	$1 \pm 0$	$8.0 \pm 4.44$
Mixed-feeders	$7.05 \pm 4.74$	$5.56 \pm 3.89$	$10.83 \pm 4.61$	$0 \pm 0$	$2.64 \pm 1.35$	$5.69 \pm 4.17$	$6.18 \pm 3.03$	$3.60 \pm 2.43$	$1 \pm 0$	$7.26 \pm 4.06$
Granivores	$3.57 \pm 2.25$	$4 \pm 2.88$	$2.64 \pm 1.81$	$0 \pm 0$	$1.69 \pm 0.78$	$1.49 \pm 0.85$	$3.96 \pm 1.63$	$3.60 \pm 1.92$	$0 \pm 0$	$5.25 \pm 3.10$
Frugivores	$5.97 \pm 5.39$	$3.74 \pm 3.68$	$6.11 \pm 3.3$	$0 \pm 0$	$7 \pm 9.60$	$7 \pm 3.65$	$1.76 \pm 0.96$	$7.13 \pm 4.59$	$0 \pm 0$	$1.53 \pm 0.78$
CARNIVORES										
Vertebrate-eaters	$5.61 \pm 2.67$	$6.25 \pm 2.57$	$5.45 \pm 2.13$	$0 \pm 0$	$2.26 \pm 1.21$	$6.08 \pm 3.25$	$5.38 \pm 1.93$	$5.93 \pm 1.23$	$0 \pm 0$	$6.52 \pm 3.30$
Invertebrate- eaters	$4.97 \pm 2.86$	$5.05 \pm 3.25$	$6.88 \pm 4.07$	$0 \pm 0$	$4.6 \pm 2.44$	$3.74 \pm 2.52$	$3.00 \pm 1.42$	$6.04 \pm 2.63$	$0 \pm 0$	$4.94 \pm 2.63$
OMNIVORES										
Vertebrate- and fruit- eaters	$2.49 \pm 2.07$	$2.31 \pm 1.99$	$5.01 \pm 3.83$	$0 \pm 0$	$1 \pm 0$	$1.12 \pm 0.33$	$1.35 \pm 0.53$	$2.77 \pm 0.94$	$0 \pm 0$	$1.77 \pm 0.75$
Invertebrate- and fruit- eaters	$4.93 \pm 4.16$	$4.38 \pm 4.35$	$7.33 \pm 4.67$	$0 \pm 0$	2.67 \$ 2.58	$3.74 \pm 3.22$	$1.21 \pm 0.43$	$5.56 \pm 4.11$	$0 \pm 0$	$1.04 \pm 0.19$
Invertebrate- and seed- eaters	$3.15 \pm 2.60$	$5.51 \pm 4.38$	$7.17 \pm 4.68$	$0 \pm 0$	$3.97 \pm 2.25$	$2.12 \pm 1.49$	$5.42 \pm 3.51$	$2.91 \pm 2.23$	$0 \pm 0$	$2.95 \pm 2.93$
Invertebrate- and fruit/seed-eaters	$6.04 \pm 6.48$	$4.49 \pm 3.96$	$5.478 \pm 3.57$	$0 \pm 0$	$3.67 \pm 3.56$	12.69 ± 8.71	$3.29 \pm 1.89$	$3.38 \pm 2.78$	$1.45\pm0.60$	$5.48 \pm 5.44$
Vertebrate/invertebrate- and seed-eaters	$2.31 \pm 2.13$	$1.95 \pm 1.74$	$3.27 \pm 2.27$	$1 \pm 0$	$1 \pm 0$	$4.48 \pm 2.44$	$1.01 \pm 0.11$	$1.06 \pm 0.24$	$1 \pm 0$	$2.28 \pm 1.82$
Vertebrate/invertebrate- and fruit-eaters	$6.87 \pm 4.59$	$5.70 \pm 3.72$	$8.62 \pm 3.04$	$0 \pm 0$	$2.18 \pm 1.74$	9.71 ± 4.86	$4.02 \pm 1.72$	$9.00 \pm 4.35$	$0 \pm 0$	$3.63 \pm 1.99$

# Table S1.2: Ecoregion mean phylogenetic diversity ±SD by habitat type and biogeographic realm. We used these criteria plus the ecoregion area to rearrange

25 ecoregions. Details of feeding habits in Table 1.

HABITAT TYPE				BIOGEOGRAPHIC REALM						
TROPHIC LEVEL/Dietary guild	FOREST	OPEN	AFROTROPIC	ANTARCTICA	AUSTRALASIA	INDO- MALAYAN	NEARCTIC	NEOTROPIC	OCEANIA	PALEARCTIC
HERBIVORES										
Grazers	335.94 ± 145.93	417.35 ± 300.32	571.84 ± 207.36	$0 \pm 0$	$233.81 \pm 104.32$	284.78 ± 122.78	348.08 ± 106.47	324.02 ± 128.01	$0 \pm 0$	396.82 ± 162.45
Browsers	449.22 ± 176.47	490.71 ± 217.17	$702.85 \pm 232.28$	$0 \pm 0$	$304.79 \pm 115.40$	$362.68 \pm 177.07$	499.44 ± 125.41	477.95 ± 148.43	$147.10\pm0$	426.68 ± 129.35
Mixed-feeders	343.65 ± 135.65	405.52 ± 169.55	592.97 ± 168.83	$0 \pm 0$	$259.96 \pm 98.77$	$326.59 \pm 142.88$	$340.82 \pm 79.44$	289.01 ± 81.39	$147.10\pm0$	391.90 ± 113.31
Granivores	255.39 ± 88.43	269.77 ± 105.89	$201.06 \pm 79.26$	$0 \pm 0$	$208.73 \pm 72.95$	$171.22 \pm 47.90$	$286.05 \pm 77.29$	282.68 ± 82.95	$0 \pm 0$	293.84 ± 104.23
Frugivores	409.35 ± 239.37	293.67 ± 184.59	$425.08 \pm 175.08$	$0 \pm 0$	338.15 ± 235.42	$501.91 \pm 186.70$	$165.05 \pm 34.19$	499.25 ± 239.73	$0 \pm 0$	$173.13 \pm 50.08$
CARNIVORES										
Vertebrate- eaters	297.26 ± 79.43	307.52 ± 80.89	285.35 ± 85.52	$0 \pm 0$	235.08 ± 78.93	$307.26 \pm 86.08$	288.69 ± 36.43	263.63 ± 60.39	$0 \pm 0$	348.27 ± 79.45
Invertebrate- eaters	385.97 ± 149.04	381.81 ± 159.63	$478.95 \pm 173.08$	$0 \pm 0$	$415.65 \pm 101.80$	352.67 ± 163.39	$269.57 \pm 77.60$	458.06 ± 144.54	$0 \pm 0$	335.78 ± 115.74
OMNIVORES										
Vertebrate- and fruit- eaters	144.04 ± 55.05	132.77 ± 47.68	$179.23 \pm 62.57$	$0 \pm 0$	$96.20 \pm 0$	$103.81 \pm 20.91$	113.74 ± 26.09	180.63 ± 48.83	$0 \pm 0$	108.66 ± 18.69
Invertebrate- and fruit- eaters	352.25 ± 182.60	307.12 ± 191.46	416.87 ± 192.82	$0 \pm 0$	213.24 ± 71.82	280.08 ± 113.62	$178.62 \pm 62.64$	417.68 ± 189.65	$0 \pm 0$	150.59 ± 17.68
Invertebrate- and seed- eaters	227.02 ± 92.40	279.28 ± 115.29	$307.58 \pm 101.34$	$0 \pm 0$	$237.66 \pm 85.60$	$197.10\pm60.50$	322.41 ± 131.31	$208.25 \pm 81.08$	$0 \pm 0$	216.91 ± 82.19
Invertebrate- and fruit/seed- eaters	282.64 ± 151.49	261.00 ± 107.43	286.33 ± 83.48	$0 \pm 0$	$181.88 \pm 50.21$	431.26 ± 188.35	$263.88 \pm 74.62$	$224.07 \pm 95.05$	151.34 ± 5.35	280.44 ± 136.80
Vertebrate/invertebrate- and seed- eaters	132.58 ± 65.78	118.98 ± 49.76	$146.81 \pm 63.31$	$96.20 \pm 0$	$96.20 \pm 0$	$181.02 \pm 75.21$	97.31 ± 10.31	$101.94 \pm 22.88$	$96.20 \pm 0$	$135.66 \pm 70.45$
Vertebrate/invertebrate- and fruit- eaters	411.66 ± 197.27	362.28 ± 161.04	489.58 ± 121.46	$0 \pm 0$	184.59 ± 82.28	500.75 ± 227.55	274.46 ± 72.91	540.10 ± 154.12	$0 \pm 0$	272.63 ± 77.63

of the "permRows" algorithm. Mean ± SD of NODF<sub>sites</sub> values for each dietary guild was calculated across the four matrix rearrangements. In **bold** we showed

29 the rearrangement yielding the strongest nestedness values.

	Biogeography and habitat effect						
TROPHIC LEVEL/	0	pen-to-fo	rest	Forest-to-open			Mean $\pm$ sd
Dietary guild	S.Fraction	Null Mean	SES	S.Fraction	Null Mean	SES	
HERBIVORES							
Grazers	4.01	3.16	8.47**	3.60	3.16	4.19**	$3.76 \pm 0.28$
Browsers	5.17	4.36	6.40**	5.12	4.37	5.67**	$5.00 \pm 0.17$
Mixed-feeders	6.83	5.48	8.15**	6.51	5.47	6.19**	$6.28\pm0.50$
Granivores	4.21	3.76	2.93**	3.96	3.74	1.43	$4.07 \pm 0.19$
Frugivores	3.73	3.14	5.37**	4.12	3.15	8.64**	$3.76 \pm 3.76$
CARNIVORES							
Vertebrate- eaters	13.05	10.54	7.99**	12.74	10.56	6.83**	$12.28\pm0.78$
Invertebrate- eaters	4.51	3.91	4.69**	4.79	3.91	6.72**	$4.56 \pm 0.24$
OMNIVORES							
Vertebrate- and fruit- eaters	6.93	6.87	0.18	8.40	6.86	4.77**	$7.79 \pm 0.91$
Invertebrate- and fruit- eaters	4.28	3.30	6.25**	3.98	3.28	4.48**	$3.85 \pm 0.42$
Invertebrate- and seed- eaters	3.33	2.68	5.99**	2.70	2.69	0.09	$2.99 \pm 0.39$
Invertebrate- and fruit/seed- eaters Vertebrate/invertebrate- and seed- eaters	9.74 42.37	7.40 24.39	8.41** <b>18.86**</b>	9.77 41.67	7.38 24.34	<b>8.81**</b> 17.54**	$9.26 \pm 0.58$ $34.94 \pm 8.28$
Vertebrate/invertebrate- and fruit- eaters	12.13	9.89	7.38**	12.43	9.87	8.23**	$11.28 \pm 1.24$

30 Significance intervals: 0.001 – 0.01 '\*\*'; 0.01 – 0.05'\*'; 0.05 – 0.1 '.'; 0.1 – 1 ''

33 randomizations of the 'permRows' algorithm. Mean ± SD of phyloNODF<sub>sites</sub> values for each dietary guild was calculated across the four matrix rearrangements.

34	In <b>bold</b> we show	the rearrangement	yielding the	e strongest n	estedness values.
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	Biogeography and habitat effect						
TROPHIC LEVEL/	Op	en-to-for	est	Forest-to-open			Mean $\pm$ sd
Dietary guild	phyloNODF	Null Mean	SES	phyloNODF	Null Mean	SES	
HERBIVORES							
Grazers	34.42	25.64	11.76**	33.49	25.68	10.40**	$31.59 \pm 3.89$
Browsers	35.92	25.89	14.80**	36.23	25.92	14.42**	$33.96 \pm 4.12$
Mixed-feeders	41.89	29.65	15.01**	41.49	29.71	14.78**	$37.02 \pm 5.89$
Granivores	36.61	28.89	8.66**	35.92	28.81	8.11**	$33.09 \pm 3.71$
Frugivores	34.7	23.97	15.16**	35.69	24.01	15.95**	$29.91 \pm 6.74$
CARNIVORES							
Vertebrate- eaters	47.51	35.82	12.25**	47.67	35.89	12.29**	43.49± 4.81
Invertebrate- eaters	27.47	21.26	10.58**	28.06	21.21	11.01**	$25.23 \pm 2.98$
OMNIVORES							
Vertebrate- and fruit- eaters	34.29	24.02	11.43**	35.65	24	13.68**	$30.05 \pm 5.97$
Invertebrate- and fruit- eaters	27.54	18.34	13.46**	26.59	18.32	11.89**	$23.26 \pm 4.63$
Invertebrate- and seed- eaters	39.68	29.05	10.87**	38.52	29.11	9.98**	$34.32 \pm 6.68$
Invertebrate- and fruit/seed- eaters Vertebrate/invertebrate- and seed- eaters	45.59 52.54	32.55 31.54	14.05** <b>19.41**</b>	45.52 51.72	32.51 31.48	<b>14.43**</b> 18.64**	$41.28 \pm 4.94$ $43.80 \pm 9.73$
Vertebrate/invertebrate- and fruit- eaters	39.78	27.77	14.98**	40.41	27.71	16.15**	$34.00 \pm 7.18$

35 Significance intervals: 0.001 – 0.01 '\*\*'; 0.01 – 0.05 '\*'; 0.05 – 0.1 '.'; 0.1 – 1 ''

# 1 CAPÍTULO 2

## 2 Broad-scale assessment of nestedness between pristine and human-modified

## 3 habitats

- 4 Appendix A1: Description of the database based on bibliographic searches.
- 5 **Title:** A global database on non-volant small mammal composition in pristine in 6 human-modified habitats
- 7 Authors: André Luís Luza<sup>a,b</sup>, Sandra Maria Hartz<sup>a</sup> & Catherine Helen Graham<sup>c,d</sup>

## 8 Affiliations:

- 9 <sup>a</sup> Programa de Pós-Graduação em Ecologia. Departamento de Ecologia, Prédio 43422,
- 10 Instituto de Biociências, Universidade Federal do Rio Grande do Sul. Av. Bento
- 11 Gonçalves 9500, Bairro Agronomia, CEP: 91501-970 Post-Office Box: 15007. Porto
- 12 Alegre Rio Grande do Sul, Brazil. Fax: + 55 51 3308-7626, Phone: +55 51 3308-7623.
- 13 Homepage URL: http://www.ufrgs.br/ppgecologia/
- <sup>b</sup> Brazilian Federal Agency for Support and Assessment of Post-Graduate Education,
   Sandwich Doctorate Program (process no. 88881.134011/2016-01).
- <sup>c</sup> Swiss Federal Research Institute, WSL- Zürcherstrasse 111 CH-8903 Birmensdorf,
   Switzerland.
- <sup>d</sup> Department of Ecology and Evolution, Stony Brook University, Stony Brook, NY.
- 19 Corresponding author: André Luís Luza
- 20 Contact email: <u>luza.andre@gmail.com</u>

Full postal address: Programa de Pós-Graduação em Ecologia. Departamento de
Ecologia, Prédio 43422, Instituto de Biociências, Universidade Federal do Rio Grande
do Sul. Av. Bento Gonçalves 9500, Bairro Agronomia, CEP: 91501-970 - Post-Office
Box: 15007. Porto Alegre - Rio Grande do Sul, Brazil. Fax: + 55 51 3308-7626, Phone:
+55 51 3308-7623. Homepage URL: http://www.ufrgs.br/ppgecologia/

26

# 27 Abstract

Non-volant small mammals, which include small-bodied representatives from several mammal orders, have been used as a model group to test the effects of habitat conversion and edge creation on biodiversity. Small mammals occupy a large variety of habitat types and vegetation strata, and have varied lifestyles and diets. They include species with slow- (European Hare *Lepus europaeus*) to fast-life history species (Etruscan shrew *Suncus etruscus*) and very specialized (e.g. Atlantic bamboo rat,

Kannabateomys amblyonyx) to very generalist habits and diets (e.g. house mouse Mus 34 35 *musculus*, the only terrestrial mammals present in Antarctica). There are no databases with global coverage focusing on small mammal composition in pristine and human-36 37 modified habitats, and including neglected pristine habitats (e.g. grasslands and 38 savannas). Here, we searched peer-reviewed papers in primary literature to synthesize 39 almost half century (1973-2017) of research on small mammal diversity in pristine 40 forests, grasslands and their natural edges, and in five types of human-modified habitats (anthropogenic forest edges, anthropogenic grassland edges, crop fields, clear-cuts and 41 tree plantations). The complete database includes information from 220 peer-reviewed 42 papers. We obtained data for 568 species (including 29 unidentified) in 462 sites 43 44 distributed in 101 ecoregions, 12 biomes and six realms. We also obtained the 45 abundance values at the habitat scale for most of recorded species. We hope that our database will be useful for researchers interested in local- to broad-scale patterns of 46 47 alpha- and beta-diversity in pristine and human-modified habitats.

48

Keywords: habitat conversion, fragmentation, Didelphimorphia, Eulipothyphla,
 Rodentia, non-volant small mammal sampling.

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Sprintentions rush	
Subject area	Biology
More specific subject	Ecology
area	
Type of data	Table
How data was acquired	Bibliographic searches
Data format	Raw
Experimental factors	We obtained the composition and abundance of non-volant
	small mammal species in pristine and human-modified
	habitats. We also obtained information on the sampling
	design and effort and the geographic location of the sampled
	habitats.
Experimental features	Bibliographic searches in primary literature
Data source location	Global coverage
Data accessibility	Data is available with this article.

## 52 **Specifications Table**

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## 55 Value of the data

- 1. New and geographically replicated database with focus on small mammal composition in paired pristine and human-modified habitats;
- Database included the composition and abundance of non-volant small mammal
   species (eight mammalian orders) measured with different sampling techniques
   and efforts;
- Bernold B
- 6464 4. Database clearly differentiated artificial pastures from pristine grasslands and savannas;

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- 67
- 68
- 69

### 70 **Data**

database.

169

We searched peer-reviewed papers in primary literature to synthesize almost half century (1973-2017) of research on small mammal diversity in pristine forests, grasslands and their natural edges, and in five types of human-modified habitats (anthropogenic forest edges, anthropogenic grassland edges, crop fields, clear-cuts and tree plantations) (Table 1).

5. Researchers interested in the analysis of specific regions, habitat types, sampling

techniques and taxonomic groups can easily extract information from our

76 The data sources were (see complete references in References): Adams and Geis [1], Archibald et al. [2], Ardente et al. [3], Ascensão et al. [4], Bachmann et al. [5], Barlow 77 78 et al. [6], Bayne and Hobson [7], Bayne and Hobson [8], Bekele [9], Bennet [10], 79 Bernardo et al. [11], Bilenca et al. [12], Birkedal et al. [13], Blouin-Demers and Weatherhead [14], Bock et al. [15], Bolger et al. [16], Borges et al. [17], Bowers and 80 Dooley Jr. [18], Bowers et al. [19], Brady et al. [20], Briggs [21], Brodie et al. [22], 81 Busch and Kravetz [23], Busch and Kravetz [24], Cain III et al. [25], Carfagno et al. 82 [26], Carrilho et al. [27], Cerboncini et al. [28], Chalfoun et al. [29], Christianini and 83 84 Galetti [30], Constantine et al. [31], Cooney et al. [32], Craig et al. [33], Cramer and 85 Willig [34], Cramer and Willig [35], Croonquist and Brooks [36], Darveau et al. [37], 86 Braga et al. 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[126], Maier and Degraaf [127], 108 109 Malcolm and Ray [128], Malick et al. [129], Manson and Stiles [130], Manson et al. 110 [131], Mauffrey et al. [132], Mazerolle et al. [133], Medellin and Equihua [134], Melo et al. [135], Mendes-Oliveira et al. [136], Menzel et al. [137], Mongo et al. [138], 111 Moore and Swihart [139], Mugerwa et al. [140], Murphy et al. [141], Nocera and Dawe 112 113 [142], Nupp and Swihart [143], Osbourne et al. [144], Osunkoya [145], Pagels et al. 114 [146], Panzacchi et al. [147], Pardini [148], Paschoal et al. [149], Pascoe et al. [150], 115 Pasitschniak-Arts and Messier [151], Passamani and Fernandez [152], Pavey et al. 116 [153], Pedersen et al. [154], Persons and Eason [155], Pinto et al. [156], Pires and Cademartori [157], Pires et al. [158], Purger et al. [159], Purger et al. [160], Püttker et 117 al. [161], Radtke and Wilson [162], Ramanamanjato and Ganzhorn [163], Ramesh et al. 118 119 [164], Ransome et al. [165], Renfrew et al. [166], Rhim and Lee [167], Rhim et al. 120 [168], Ribeiro et al. [169], Řičánková et al. [170], Rose et al. [171], Rovero et al. [172], Sálek et al. [173], Sálek et al. [174], Santos-Filho et al. [175], Santos-Filho et al. [176], 121 122 Santos-Filho et al. [177], Schlesser et al. [178], Schlinkert et al. [179], Schnell et al. [180], Sekgororoane and Dilworth [181], Shore et al. [182], Sidorovich et al. [183], 123 124 Silva [184], Sinclair et al. [185], Smith [186], Sodhi et al. [187], Srbek-Araujo and 125 Chiarello [188], Stanley [189], Stepankova and Vohralik [190], Stephens et al. [191], Stephenson [192], Sterner et al. [193], Stevens and Husband [194], Storm and Choate 126 [195], Suarez et al. [196], Suchomel et al. [197], Sullivan et al. [198], Sunde et al, [199], 127 128 Suzán et al. [200], Svobodová et al. [201], Tattersall et al. [202], Terwilliger and Pastor 129 [203], Thoisy et al. [204], Thompson III et al. [205], Thornton et al. [206], Thorstrom et al. [207], Tomback et al. [208], Haegen et al. [209], Vargas-Salinas and López-Aranda 130 [210], Vernes and Dennis [211], Walters [212], Weirich et al. [213], Wilson et al. [214], 131 Wittenberg [215], Wolcott et al. [216], Yatsiuk et al. [217], Youngentob et al. [218], 132 133 Yue et al.[219], Zub et al. [220].

134 Most of the suitable papers were designed to evaluate the habitat preferences of small mammal species and to compare differences in community richness and composition 135 between pristine and human-modified habitats [Refs. 1, 2, 3, 4, 6, 8, 12, 15, 16, 18, 19, 136 137 20, 21, 27, 28, 31, 33, 34, 35, 36, 37, 38, 39, 40, 41, 43, 47, 48, 49, 51, 55, 56, 59, 62, 138 63, 64, 65, 67, 68, 69, 70, 71, 72, 75, 77, 78, 80, 81, 82, 84, 87, 88, 89, 90, 91, 92, 93, 139 95, 96, 102, 103, 104, 106, 109, 112, 114, 115, 117, 118, 119, 122, 125, 126, 128, 129, 132, 133, 134, 136, 137, 138, 139, 141, 143, 144, 146, 147, 148, 151, 152, 158, 165, 140 168, 169, 174, 175, 176, 177, 178, 179, 181, 182, 183, 184, 191, 192, 194, 195, 197, 141 142 198, 202, 206, 210, 212, 214, 216, 218, 219]. We considered as suitable the papers assessing the effect of habitat conversion and edges on medium and large-sized 143 144 mammals that provided information on the distribution of mammals weighing  $\leq 5 \text{ kg}$ [Refs. 11, 17, 22, 50, 60, 94, 99, 100, 113, 140, 149, 157, 164, 167, 172, 188]. We also 145 considered suitable some papers assessing the effect of habitat conversion and edges to 146 prey availability [Refs. 14, 26, 66, 73, 83, 86, 116, 150, 153, 154, 173, 199, 201, 207, 147 211, 215, 217, 220], predation of nests [Refs. 7, 25, 29, 44, 45, 52, 54, 76, 105, 107, 148 149 120, 127, 159, 160, 166, 185, 186, 187, 189, 205, 209], predation of saplings, fruits, 150 seeds and invertebrates [Refs. 13, 30, 46, 61, 74, 79, 85, 111, 124, 130, 131, 135, 145, 156, 162, 193, 203, 208], small mammal populations (when presenting information of 151 coexisting species [Refs. 9, 10, 23, 24, 32, 42, 53, 57, 97, 98, 101, 108, 110, 121, 123, 152
142, 155, 161, 163, 170, 171, 180, 190] and to increased epidemiological threats linked to the abundance of mammalian vectors [Refs. 5, 58, 196, 200, 204, 213]. The composition of non-volant small mammal assemblages in each habitat was generally obtained using trapping grids or transects (see Pearson & Ruggiero [221]) in discrete habitats (e.g. one grid or transect in the forest interior, one in the grassland interior, and one in the human modified-habitat [e.g. 33, 189]) or across habitats (e.g. grid or transect from forest interior until grassland interior [e.g. 126,176]). References 221 to 224 were

- 160 used to better describe the data and not contributed to the present database.
- 161

	Afrotropics	Australasia	Indo-Malay	Nearctic	Neotropics	Palearctic	Total
Pristine habitats							
Forest	40	37	6	109	89	30	311
Grassland	16	0	0	59	21	6	102
Natural edge	1	0	0	2	0	1	4
Human-modified	habitats						
Forest edge	4	14	0	39	16	24	97
Grassland edge	1	2	0	8	10	6	27
Clear-cut	1	3	0	44	1	9	58
Crop field	13	7	0	27	18	15	80
Tree plantation	4	6	1	4	2	17	34
Total	80	69	7	292	157	108	713

162 Table 1: Number of sampling units (habitats) by biogeographic realm.

#### 163

164

# 165 Experimental Design, Materials and Methods

166 We used a bibliographic search to obtain data on small mammal assemblage composition in pristine and human-modified habitats. We searched peer-reviewed 167 168 papers in SCOPUS and ISI Web of Knowledge, according to indexed title, abstract, keywords and topics, using two sets of key words: 1) mammal\* AND edge\* AND 169 forest\*, and 2) mammal\* AND edge\* AND grassland\* OR crop\* OR field\* (Table 2). 170 171 The first set of key words returned few papers about native grasslands (Table 2). To 172 better represent grasslands and their edges, we performed the second search (Table 2). 173 In total, we performed six bibliographic searches but considered five, as one of them provided no suitable papers (Table 2). A total of 220 of the 1 054 reviewed papers used 174 175 several trapping techniques to sample non-carnivore, non-strictly forest (e.g. Primates, 176 Dermoptera) species with an averaged body mass  $\leq 5$ kg (Table 2). The database has a 177 global coverage, with most of sites located in the Neotropics and Nearctic (Figure 1, 178 Table 1). Temporal information on assemblage composition was summarized since we 179 were mainly interested in spatial patterns of species distribution across pristine and 180 human-modified habitats. Thus, the absence of a species in a given site was considered 181 presence if that species was recorded in that site in other sampling occasion.

In few cases, insufficient details prevented a precise definition of assemblage 182 composition in a given habitat. In that case, we first contacted authors to obtain 183 information omitted in the published papers (see Acknowledgements for the list of 184 185 authors). If no detail was provided by the contacted authors, we set this entry as undefined ("NA") (see Table 3). In just one case (Hutchison and Rodgers [92]) we 186 187 checked information provided by thesis because we were not able to assess the 188 published paper. We repeated the values of the lat-long geographical coordinates 189 (generally the locality coordinates) when the authors of the reviewed papers not 190 provided the specific location of the sampled habitats.

191 Forest and grassland fragments, continuous remnants and advanced secondaryregeneration were considered pristine habitats, because composition and richness 192 differences between these habitats are minimal [222]. We considered managed forests 193 194 as pristine when the authors provided enough information about logging regimes to 195 judge that they were only minimally disturbed [8,165]. Grasslands and savannas with native vegetation were considered as pristine habitats even if they were grazed by 196 domesticated animals [126, 223]. An edge was considered the boundary between the 197 198 pristine and human-modified habitats. Species composition at edges was generally 199 quantified with traps paralleling the sharp border between two habitats, mostly between forest and human-modified habitats [8,165,175,176]. We considered tree plantations 200 201 those tree monocultures planted in grasslands and cleared forests. We considered clear-202 cuts, crop fields and young-secondary vegetation as open habitats.

203





Figure 1: Global distribution of the sampled habitats. We present the world ecoregions (Olson et al. [224]) in the background (gray color).

207

We obtained abundance measurements from relative abundances, captures per trapping effort, total number of individuals and averaged abundance (e.g., averaged at habitat scale, average abundance estimates from occupancy models). Frequency of occurrence was extracted from those papers using sampling techniques that do not allow differentiating individuals (e.g., tracking tunnels, camera-traps). Species abundance obtained over many sampling occasions was summed up to obtain a unique abundance value by habitat. When precise abundance information in tables or text was lacking, we interpreted figures (except ordination diagrams) and axes values to obtain abundance values. We set abundance as undefined ("NA" entry) when authors presented total summed abundance by site instead by habitat (Table 3). For papers providing averaged abundance <1, we set this values to a minimum of 1.

219 Many authors provided abundances based on captures per trapping effort (CPTE; 220 generally, 100 or 1,000 traps) but did not state if CPTE was calculated from either total 221 sampling effort or habitat sampling effort. In such cases, we divided the total sampling 222 effort by the number of sampled habitats to obtain a value of sampling effort by habitat. 223 We then multiplied this value with the CPTE of each species to obtain the species abundance by habitat. Sampling effort for scat analysis (owl pellet analysis, scat 224 225 content) was total number of analyzed samples; sampling effort for walking/car/ski 226 track techniques was the total travelled distance. We summed up sampling efforts for 227 those sites sampled with several sampling methods. Site abundance varied from one to 228 5,256 individuals (299.13  $\pm$  556.43). The most abundant species are *Myodes gapperi* 229 (N= 8,627), Peromyscus maniculatus (N= 7,837), Microtus pennsylvanicus (N= 7,613) 230 and *Myodes glareolus* (N = 6,040).

Database	Search order	Search topics (date of the last update)	Total number of papers (% already included in the previous search)	Suitable peer- reviewed paper
	1°			
Scopus	man	nmal* AND edge* AND forest* (2017/26/07)	506	131
beepus	2°			
	mammal* AND	edge* AND grassland* OR crop* OR field* (2017/26/07)	364 (34%)	28
	3°			
	man	nmal* AND edge* AND forest* (2017/08/08)	609 (60%)	52
Isi Web of	4°			
Science	mammal* AND a	edge* AND grassland* OR crop* OR field* (2017/08/08)	3 082 493 † (-)	-
	5°			
	mammal* AN	D edge* AND grassland* OR cropfield* (2017/09/08)	124 (48%)	9
<b>C</b>	6°			
Scopus	mammal* AN	D edge* AND grassland* OR cropfield* (2017/09/08)	63 (100%)	0
Total number	r of papers		1 054	220

#### Table 2: Number of papers in each bibliographic search.

† Not considered due to large number of health science papers.

# 240 Table 3: Description table.

Descriptor	Type of variable (descriptor)	Levels of categorical and binary descriptors Unit of continuous descriptors	Characterization of levels
		Five levels:	Descriptor characterizing in which bibliographic search a given paper was found:
		Forest	SCOPUS searches:
		Open	Forest (keywords: mammal* AND edge* AND forest*);
		Forest/open	Open (keywords: mammal* and edge* and grassland* or crop* or field*);
SCOPUS_search	Categorical	ForestWEB	Forest/open: peer-reviewed papers found in both searches.
		GrasslandWEB	WEB OF SCIENCE searches:
			ForestWEB (keywords: mammal* AND edge* AND forest*);
			GrasslandWEB (keywords: mammal* and edge* and grassland* or crop* or
			field*)
Reference	Categorical	220 data sources (peer-	The citation of a suitable paper. Complete references in <b>References</b> below this
Reference	Categoricai	reviewed papers)	table.
Region	Categorical	210 levels	Information on major region and country where a given site was sampled.
		126 levels	Sites (localities) sampled within a region.
			We named <i>unique</i> those papers including only one site, or those papers for which
Sito	Catagorical		it was not possible to define different sites. We named the sites as uniqueA,
Site	Categorical		uniqueB (and so on) for those papers clearly including more than one site but
			which were not named by the authors.
			Otherwise, sites were named with the designation provided by the authors.
		11 levels:	The number of years that a given site was sampled.
		two_season_snapshot	two_year_snapshot: discrete snapshots (quick sampling) performed in two or
n_year	Categorical	many_years	more years but in similar seasons (i.e., at least one temporal replication);
		mon_snapshot	many years: continuous sampling for many years (apparently without discrete
		mon_snapshot_year	snapshots);

		NA	mon_snapshot: discrete snapshots in sequential months but total sampling did
		one_year	not cover one year;
		snapshot	mon_snapshot_year: seasonal sampling where monthly discrete snapshots were
		two_season_snapshot	conducted during more than one year;
		two_seasons	NA: undefined
		two_snapshots	one_year: continuous sampling for at maximum one year
		two_year_snapshot	snapshot: one discrete sampling in the time, without temporal replication;
			two_season_snapshot: discrete snapshots performed in two or more seasons in
			the same year;
			two_seasons: sampling was performed continuously during two or three seasons.
			Sampling did not cover one year;
			two_snapshots: more than one discrete snapshot was conducted in the same
			season;
snanshot	Categorical	Three levels:	Description if sampling was temporally replicated (1) or not (one discrete
shapshot	Cutegoneur	1; 0; NA	snapshot, 0). NA: undefined.
		19 levels	The season (s) in which the sampling was conducted. Depending on the "n_year"
			descriptor, "season_trap" descriptor may describe just one season (we provide
season tran	Categorical		the name of the season) or all seasons (many_year_seasons). Further, the number
season_trap	Categoriear		of seasons depends on the region where a fieldwork was conducted (subtropical
			regions: autumn, winter, spring, summer; tropical regions: dry and wet seasons).
			NA: undefined.
one season	Categorical	Three levels:	If sampling was conducted in one (1) or more seasons (0) NA: undefined
one_season	Categoriear	1; 0; NA	It sampling was conducted in one (1) of more seasons (0). TVA, undermed.
		Number of traps per night by	Depending on the sampling method, sampling effort can be in trap/nights,
effort_by_habitat	Continuous	habitat	number of analyzed scats, kilometers or hours travelled in transects. NA:
			undefined.
		76 levels	Combinations of trap types used to the sampling of small mammal species,
tune tran	Categorical		embracing:
type_trap	Calegonical		box-like traps: sherman, wooded chmela, longworth, BTTm, triptrap, and elliott
			live-traps;

			snap-like traps: Victor snap, spring traps, Museum snap, fenn trap, mouse and rat
			shap traps, wire meshed traps: tomahawk, wire cage, mascot, ugglan, havahart; traces: methods to register tracks and bits (artificial eggs, hairtubes, sandplots, trackplates, snowtracks, tracking tunnels, transects, visual insights, sandplots); pitfall traps: buckets connected or not by drift-fences; scats: species presence in owl-pellets, dogscats, catpreys; cameratrap: camera-traps (pictures, videos); NA: undefined
box-like	Categorical	Three levels: 1; 0; NA	Mammal sampling using sherman, wooded chmela, longworth, BTTm, triptrap, and Elliott live-traps (1) or not (0). NA: undefined.
snap-like	Categorical	Three levels: 1; 0; NA	Mammal sampling using Victor snap-traps, spring snap-traps, Museum snap- traps, fenn snap-traps, mouse and rat snap-traps (1) or not (0). NA: undefined.
wire_meshed	Categorical	Three levels: 1; 0; NA	Mammal sampling using tomahawk, wire cage, mascot, ugglan and havahart wire-traps (1) or not (0). NA: undefined.
traces	Categorical	Three levels: 1; 0; NA	Mammal sampling using artificial eggs, hairtubes, sandplots, trackplates, snowtracks, tracking tunnels, transects, visual insights, sandplots (1) or not (0). NA: undefined.
pitfall	Categorical	Three levels: 1; 0; NA	Mammal sampling using plastic buckets connected or not by drift-fences (1) or not (0). NA: undefined.
scats	Categorical	Three levels: 1; 0; NA	Mammal sampling by analyzing prey remains in owl-pellets, regurgitations or scats (1) or not (0). NA: undefined.
camera	Categorical	Three levels: 1; 0; NA	Mammal sampling using camera-traps (1) or not (0). NA: undefined.
Lat_orig	Continuous	Several types	Original latitude values provided by the authors
Long_orig	Continuous	Several types	Original longitude values provided by authors
Lat	Continuous	Decimal degrees	Latitude value in degrees after checking the location of sites

Long	Continuous	Decimal degrees	Longitude value in degrees after checking the location of sites
Species	Categorical	568 species (including 29 non- identified species)	Binomial name (or genus plus 'sp.' when the species was not identified) following Wilson & Reeder 2005 (also applied by IUCN assessment and Catalogue of Life).
Order	Categorical	12 levels	The mammal orders
Habitat	Categorical	Six levels	Habitat where species were registered (see main text for details) Edge – habitat edges Forest – pristine forests Grassland – pristine grasslands NA – undefined Open – anthropogenic habitats with open vegetation structure Tree_plantation – tree plantations
Clearcut	Binary	Two levels: 1 and 0	If habitat is a clear-cutting (1) or not (0). In the case of 0, habitat is a crop field (e.g. soybean field, hayfield, artificial pasture).
forest_edge	Binary	Two levels: 1 and 0	If edge is bordering a forest (1) or grassland (0)
GF_edge	Binary	Two levels: 1 and 0	Natural edge between pristine grasslands and forests (1); anthropogenic edge (0)
fragm	Categorical	Three levels: 1; 0; NA	Sampling was conducted in fragments of forests or grasslands (1) or it was conducted in continuous patches (e.g., conservation unities) (0). NA: undefined.
Abundance	Continuous	Continuous value	Number of individuals of a given species. NA: undefined.
Presence	Binary	Two levels: 1 and NA	Species presence (1) or undefined (NA).

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866	1. Obtaining data on species composition in pristine and human-modified habitats
867	We used a new database (Luza et al., unpublished data) and the PREDICTS
868	database (Hudson et al., 2017) to obtain data for conduct the nestedness analysis. Both
869	are taxonomically and spatially comprehensive databases that shares many descriptors.
870	Both databases are formatted as data-frames (i.e., studies and localities in the rows; site
871	and species descriptors in the columns). We obtained a combined data-frame of
872	databases by matching descriptors relevant for building maps, defining species
873	composition and extracting environmental and biogeographic information of sampled
874	sites. Descriptors of the unpublished database (Luza et al., unpublished data) were
875	"Reference", "Region", "Site", "Longitude", "Latitude", "Trap_type",
876	"Effort_by_habitat", "Habitat", "Species", "Order" and "Presence". Descriptors of
877	PREDICTS were "Reference", "Site_name", "SSB", "Longitude", "Latitude",
878	"Sampling_method", "Sampling_effort", "Habitat_as_described",
879	"Best_guess_binomial", "Order" and "Measurement". The descriptor "Measurement",
880	which also contain abundances, was transformed in presences. "Best_guess_binomial"
881	refers to the species taxonomic identity according the Catalogue of Life
882	(http://www.catalogueoflife.org/), which matches with our taxonomic classification
883	(International Union for Conservation of Nature, IUCN).
884	
885	

886 2. Defining the basic sampling unit for nestedness analysis

887	We combined the descriptors 'Reference', 'Site', 'Subsite' and 'Habitat'
888	('Reference', 'Site_name', 'SSB' and 'Habitat_as_described' in the case of
889	PREDICTS) to obtain our basic sampling unity for analysis. Thus, each sampling unity
890	represents one habitat type sampled in one site in a given region and study. For
891	example, if a given study provided information for species composition in one forest
892	and one anthropogenic edge, it had two sampling unities. The combination of sites and
893	habitats resulted in a total of 598 sampling unities for Luza et al. and 454 for
894	PREDICTS (Tables A2.1 and A2.2). The large number of sites in PREDICTS database
895	- even though it considered fewer studies - arises from the fact that PREDICTS includes
896	the raw information on sampling effort (at day, month or year scale; see
897	"Sample_date_resolution" in PREDICTS data-frame) by site. Such detailed
898	information, which in the case of PREDICTS was obtained directly from data compilers
899	and authors, generally is omitted from published peer-reviewed papers.
900	

901 Table A2.1: Number of sites in the combined database by realm and habitat. In

902 parenthesis we present the number of sites obtained from PREDICTS database (Hudson

903 et al., 2017).

Realm	Forest	Grassland	Edge	Open	Tree plantation	Total
Afrotropics	25 (18)	13 (18)	4 (-)	12 (17)	3 (-)	110
Australasia	30 (84)	- (53)	12 (-)	8 (47)	6 (-)	240
Indo-Malayan †	3 (25)	-	-	- (2)	- (1)	31
Nearctic	100 (87)	57 (-)	40 (-)	63 (-)	4 (-)	351
Neotropics	74 (36)	16 (5)	22 (-)	18 (13)	1 (34)	219
Palearctic	19 (14)	3 (-)	28 (-)	20 (-)	17 (-)	101
Total	515	165	106	200	66	1 052

<sup>904 †</sup>Not analyzed due to the low number of habitat comparisons.

905

Doolm	Piama	Bibliographic	DDEDICTS
Keann	Biome	search	PREDICTS
	Mediterranean Forests, Woodlands and Scrub	9	48
	Temperate Broadleaf and Mixed Forests	24	44
Australasia	Temperate Grasslands, Savannas and Shrublands	3	-
	Tropical and Subtropical Grasslands, Savannas and Shrublands	8	92
	Tropical and Subtropical Moist Broadleaf Forests	12	
	Deserts and Xeric Shrublands	1	29
A fuetuenies	Montane Grasslands and Shrublands	1	-
Anonopies	Tropical and Subtropical Grasslands, Savannas and Shrublands	35	-
	Tropical and Subtropical Moist Broadleaf Forests	20	24
Indo-	Montane Grasslands and Shrublands	-	3
Malayan	Tropical and Subtropical Moist Broadleaf Forests	3	25
	Boreal Forests/Taiga	43	87
	Deserts and Xeric Shrublands	9	-
Nagratia	Mediterranean Forests, Woodlands and Scrub	41	-
Nearctic	Temperate Broadleaf and Mixed Forests	101	-
	Temperate Conifer Forests	42	-
	Temperate Grasslands, Savannas and Shrublands	28	-
	Temperate Broadleaf and Mixed Forests	-	38
	Temperate Grasslands, Savannas and Shrublands	18	-
Nactronica	Tropical and Subtropical Coniferous Forests	3	-
Neotropics	Tropical and Subtropical Dry Broadleaf Forests	21	-
	Tropical and Subtropical Grasslands, Savannas and Shrublands	16	47
	Tropical and Subtropical Moist Broadleaf Forests	73	3
	Boreal Forests/Taiga	1	-
Dolognatio	Mediterranean Forests, Woodlands and Scrub	3	-
Palearctic	Temperate Broadleaf and Mixed Forests	80	14
	Temperate Conifer Forests	3	-
	Total	598	454

Table A2.2: Number of sites in the combined database by realm and terrestria	ıl biome.
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908

### 909 3. References

910	Hudson, L.N., Newbold,	T., Contu, S.	Hill, S.L.L.	, Lysenko, I., De	Palma, A., et al.
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- 914 Appendix A3: List of the 180 data sources included in the present manuscript
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## 1431 CAPÍTULO 3

### 1432 Supplementary material



Figure S1: Linear relationship between small mammal adult body mass and natal dispersal
distance (m/yr= meters per year) in the original (187 entries, 49 species) and in the imputed
dataset (187 entries, 49 species + 3,030 species).

1.1.2



Figure S2: The dispersal-based pool delineated according to the different dispersal abilities
of species over 40 years (k). Upper maps: k defined species-specific and mean. Bottom maps:
k defined as 1° and 4.33° (maximum dispersal ability). Map resolution: 2-degree grid cell
size.



1459 Figure S3: The environmental-based species pool. Predictions were based on Generalized

*Linear Models. Map resolution: 2-degree grid cell size.* 

	Model	AUC	TSS	Kappa
	Generalized linear mode	ls		
	Range	0.602 - 0.996	0.174 - 0.968	0.012 - 0.909
	Mean ± sd	$0.912 \pm 0.055$	$0.741 \pm 0.115$	$0.459 \pm 0.181$
	Generalized additive mo	dels		
	Range	0.640 - 0.994	0.237 - 0.963	0.0180 - 0.900
	Mean ± sd	$0.922 \pm 0.047$	$0.752 \pm 0.107$	$0.472 \pm 0.179$
	Random forest			
	Range	0.692 - 0.995	0.268 - 0.950	0.022 - 0.891
	Mean ± sd	$0.924 \pm 0.045$	$0.729 \pm 0.105$	$0.496 \pm 0.185$
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*Table S1: Results showing the statistics used to test the predictive performance of the models.* 



*cell size*.

## 1487 Table S2: Habitat types characterizing the habitat preferences of the 1,159 non-volant small mammal species included in the probabilistic species

*pool*.

Forest (724)	Grassland (799 species)	Human-modified (430 species)
Forest - Boreal	Grassland - Subantarctic	Artificial/Aquatic - Aquaculture Ponds
Forest - Subantarctic	Grassland - Subarctic	Artificial/Aquatic - Canals and Drainage Channels, Ditches
Forest - Subarctic	Grassland - Subtropical/Tropical Dry	Artificial/Aquatic - Excavations (open)
Forest - Subtropical/Tropical Dry	Grassland - Subtropical/Tropical High Altitude	Artificial/Aquatic - Irrigated Land (includes irrigation channels)
Forest - Subtropical/Tropical Mangrove Vegetation Above High Tide Level	Grassland - Subtropical/Tropical Seasonally Wet/Flooded	Artificial/Aquatic - Ponds (below 8ha)
Forest - Subtropical/Tropical Moist Lowland	Grassland - Temperate	Artificial/Aquatic - Salt Exploitation Sites
Forest - Subtropical/Tropical Moist Montane	Grassland - Tundra	Artificial/Aquatic - Seasonally Flooded Agricultural Land
Forest - Subtropical/Tropical Swamp	Wetlands (inland) - Alpine Wetlands (includes temporary waters from snowmelt)	Artificial/Aquatic - Wastewater Treatment Areas
Forest - Temperate	Wetlands (inland) - Bogs, Marshes, Swamps, Fens, Peatlands	Artificial/Aquatic - Water Storage Areas (over 8ha)
	Wetlands (inland) - Freshwater Springs and Oases	Artificial/Terrestrial - Arable Land
	Wetlands (inland) - Geothermal Wetlands	Artificial/Terrestrial - Pastureland
	Wetlands (inland) - Karst and Other Subterranean Hydrological Systems (inland)	Artificial/Terrestrial - Plantations
	Wetlands (inland) - Permanent Freshwater Lakes (over 8ha)	Artificial/Terrestrial - Rural Gardens
	Wetlands (inland) - Permanent Freshwater Marshes/Pools (under 8ha)	Artificial/Terrestrial - Subtropical/Tropical Heavily Degraded Former Forest
	Wetlands (inland) - Permanent Inland Deltas	Artificial/Terrestrial - Urban Areas
	Wetlands (inland) - Permanent Rivers/Streams/Creeks (includes waterfalls)	Introduced vegetation
	Wetlands (inland) - Permanent Saline, Brackish or Alkaline Lakes	
	Wetlands (inland) - Permanent Saline, Brackish or Alkaline Marshes/Pools	

Wetlands (inland) - Seasonal/Intermittent Freshwater Lakes (over 8ha) Wetlands (inland) - Seasonal/Intermittent Freshwater Marshes/Pools (under 8ha) Wetlands (inland) - Seasonal/Intermittent Saline, Brackish or Alkaline Lakes and Flats Wetlands (inland) - Seasonal/Intermittent Saline, Brackish or Alkaline Marshes/Pools Wetlands (inland) - Seasonal/Intermittent/Irregular Rivers/Streams/Creeks Wetlands (inland) - Shrub Dominated Wetlands Wetlands (inland) - Tundra Wetlands (incl. pools and temporary waters from snowmelt) Rocky areas (eg. inland cliffs, mountain peaks) Shrubland - Mediterranean-type Shrubby Vegetation Shrubland - Subantarctic Shrubland - Subarctic Shrubland - Subtropical/Tropical Dry Shrubland - Subtropical/Tropical High Altitude Shrubland - Subtropical/Tropical Moist Shrubland - Temperate Savanna - Dry Savanna - Moist Desert - Cold Desert - Hot Desert - Temperate

#### 1489 CAPÍTULO 4

# 1490 Rodent occupancy in grassland paddocks subjected to different grazing intensities 1491 in South Brazil

Appendix S1: Research station management and analyses of the effect of grazing onhabitat structure.

1494 In the research stations where the study took place, grazing intensity is 1495 manipulated to experimentally evaluate the production of beef from the cattle breeds of 1496 Aberdeen Angus and Brangus-Ibagé (Nabinger et al., 2009; Trindade et al., 2012). 1497 Researchers from EEA manage grasslands according to daily forage allowances (i.e., 1498 the amount of forage available on a daily basis for cattle in relation to their live weight) 1499 and adjust the stocking rate according to potential pasture growth (i.e., paddocks 1500 support less cattle in winter because vegetation growth is slower). Each EEA paddock 1501 with continuous grazing occupied  $\approx 5$  hectares. The highest forage allowance is 16%, 1502 meaning that around 16 kg of dry matter is available daily per 100 kg of live weight 1503 (Nabinger et al., 2009; Trindade et al., 2012). Moderate forage allowance is 8-12%, 1504 while the lowest allowance is 4% (i.e., overgrazing where many animals eat a small quantity of food) (Nabinger et al., 2009; Trindade et al., 2012). 1505 1506 EMBRAPA paddocks have 5-70 ha under continuous grazing. The lowest food 1507 allowance is 6-8% in EMBRAPA (as seen in Fig. S1.2), which occurs around cattle 1508 troughs and in thin soils. EMBRAPA researchers mow the vegetation in the spring and 1509 autumn to remove dry and senescent biomass which accumulated during growth 1510 periods, as well as to increase the regrowth of palatable leaves (Nabinger et al., 2009). 1511 In both sites, researchers maintain ungrazed areas (for 6 years in EMBRAPA and 11 1512 years in EEA). Cattle only access the ungrazed areas and forest patches to forage and

was similar between the two research stations (Fig. S1.1). The presence of trees is very
rare outside ungrazed areas at EEA, while solitary trees and forest patches are scattered
throughout EMBRAPA (as perceived in Table S1.2). Neither site is managed using
burning.

1518 We performed multivariate analysis to explore habitat differences within (Test 1519 for Homogeneity of Multivariate Dispersion, Betadisper; Anderson, 2006) and between (Multivariate Analysis of Variance, Permanova; Legendre and Legendre, 2012) 1520 1521 paddocks. We did not use trap distance to the nearest tussock, shrub and tree (Table S1.1) in any analysis, because they were inversely correlated with tussock, shrub and 1522 1523 tree height. First, we explored habitat data through Principal Coordinate Analysis (PCO), based on Euclidean distance between standardized covariates (Legendre and 1524 1525 Legendre, 2012). The position of paddocks along the grazing gradient revealed three 1526 groups with low overlap (high, moderate/low, ungrazed; Table S1.2; Fig. S1.2). Groups were then used to test habitat differences within (seasonal variation) and between 1527 1528 paddocks (spatial variation) through Betadisper and Permanova (999 permutations) 1529 tests. Permutations were used to generate random F-statistic values. P-values were 1530 derived by counting the number of times that random F-statistic values were higher than the observed F-statistic values (Legendre and Legendre, 2012). Since we measured the 1531 1532 covariates for each trap in EMBRAPA (24 points/paddock) and in each tunnel in EEA 1533 (6 points/paddock), we also ran analyses controlling for differences in the number of 1534 points by restricting permutations with a 'site' block factor. We did not find any 1535 differences in the results when considering the blocking factor 'site' in the multivariate 1536 analyses. We performed a post-hoc contrast analysis with Bonferroni correction of P-1537 values to test for pairwise differences in habitat within and between the three grazing 1538 intensities.

Results for these analyses revealed significant within-paddock differences in 1539 vegetation structure and heterogeneity over the seasons (Betadisper's F= 8.27, P  $\leq$ 1540 1541 0.012), with the contrast analysis revealing that paddocks under moderate/low grazing 1542 intensities are seasonally less variable than ungrazed paddocks (P < 0.001) (Fig. S1.2). 1543 These results were also evidenced by the habitat data collected only from the tracking tunnel points (Betadisper's F= 11.13,  $P \le 0.001$ ). As observed in the field, seasonal 1544 1545 changes in the habitat structure in ungrazed areas resulted from the rapid and ephemeral 1546 appearance of shrub and tree saplings, which might have promoted changes in 1547 microhabitat characteristics over very short time scales. Furthermore, the increase in 1548 stature and in green leaf production during the reproduction of rosette (Eryngium horridum) and tussock species (e.g., Aristida laevis, Saccharum angustifolium) in the 1549 spring and summer largely contributed to the changes in vegetation structure in grazed 1550 paddocks (Table S1.2). 1551

1552 Vegetation structure also varied between paddocks, with highly grazed paddocks 1553 and ungrazed areas representing the extreme end-points of the disturbance gradient (Fig. 1554 S1.2; Permanova's F= 20.36;  $R^2$ = 0.35; P< 0.001). Post-hoc contrast analysis revealed that the habitat provided by ungrazed areas differed significantly from the habitat of 1555 1556 low/moderately and highly grazed paddocks, and that the habitat provided by low/moderately grazed paddocks significantly differed from those under intense grazing 1557 (Bonferroni adjusted P-values= 0.003). The results were similar when using the habitat 1558 data from tracking tunnel points (Permanova's F= 18.21;  $R^2 = 0.32$ ;  $P \le 0.001$ ). In the 1559 1560 Principal Coordinate Analysis (Fig. S1.2), high positive scores for axis I reveals increases for litter depth and the height of trees, shrubs and tussock grasses, while the 1561 1562 high negative scores for axis I indicates increases in the amount of cattle dung (Table

1564 characterizing differences in the amount of bare ground and tree height (Table S1.3).

1565

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Maximum Daily Rainfall (mm)  $\circ 0 \bigcirc 20 \bigcirc 40 \bigcirc 60$ 

1583 Figure S1.1: Temperature (°C) and maximum precipitation (mm.) during the course of

- the study. Each point shows the mean daily temperature (°C), and point size represents
- the maximum daily rainfall (mm) (from 2015-12-01 to 2017-03-15). Data was obtained
- 1586 from the Brazilian National Institute of Meteorology (INMET;
- 1587 <u>http://www.inmet.gov.br/portal/</u>). The horizontal line segments indicate the periods of
- 1588 rodent sampling in each season.
- 1589
- 1590



1592 Figure S1.2: Principal Coordinate Analysis plot showing the between-season and between-paddock variation in habitat. Variation captured by the two first ordination 1593 1594 axes is included within the axes labels. Convex hulls delimit paddock groups according 1595 to their grazing intensity; the lines inside each convex hull link the paddock centroid 1596 with the habitat of each season. We used the continuous value of the paddock centroid 1597 as the quantitative measurement of the grazing intensity. Black circles indicate centroids 1598 from EEA (Eldorado do Sul, RS) paddocks, and white circles indicate centroids from EMBRAPA (Bagé, RS) paddocks. 1599

1600 Table S1.1: Habitat covariates collected at trapping points distributed across grazing paddocks from two livestock research stations in the Pampa

1601 biome, Brazil. A point refers to one Sherman, one tomahawk or one tracking tunnel. For the data analysis, covariates were averaged by paddock.

1602 Covariates marked with \* had low or moderate correlation and were used to extract the multivariate gradient of grazing intensity (Fig S1.2).

Variable (unity)

#### Site covariates

Vegetation composition and height (cm)

*Herbaceous height* (cm): The amount of a graduated pole touching herbaceous plants, which included the leaves and reproductive structures of prostrated species (*Paspalum notatum*, *Paspalum dilatatum*, *Axonopus affinis*, *Axonopus argentinum*, *Dichondra sericea*, *Andropogon lateralis*) that generally occur under high grazing intensity.

*Tussock height* (cm) \*: The amount of a graduated pole touching tussock species, which form a dense canopy of dry biomass above the ground. The maximum tussock height was reached when leaves were mostly erect (i.e., no dense structure). The main tussock species were *Saccharum angustifolium*, *Paspalum quadrifarium*, *Stipa* spp., *Aristida jubata*, *Aristida laevis*, *Andropogon lateralis* and the exotic plant *Eragrostis plana* (which form a dense cover very near to the soil). Rosette species (*Eringyum horridum*, *E. pandanifolium*) were regarded as tussocks because these plants form a dense above-ground structure.

Shrub height (cm) \*: The amount of a graduated pole touching the stems, leaves and branches of shrubs (e.g., Baccharis dracuncunifolia, Heterotalamus alienus, Heterothalamus rupestris, Baccharis trimera, Achyrocline alata, Eupatorium buniifolium, Senecio brasiliensis).

*Tree height* (cm) \*: The amount of a graduated pole touching the stems, leaves and branches of trees (e.g., *Schinus polygamus, Quilaja brasiliensis, Lithrea brasiliensis, Zanthoxylum rhoifolium*).

Litter depth (cm) \*

The depth of litter (senescent leaves and stems) at a given point.

Bare ground * and cattle dung (%) *	
The amount of bare ground and cattle dung at a given point, measured using a 1 m <sup>2</sup> plot divided into 100 subplots.	
Distance to nearest tussock, shrub, and tree (meters)	
Distance between a given point and the nearest tussock, shrub and tree.	
Slope (degrees)	
The slope at a given point. Vegetation composition and structure differ according to slope and relief.	
Sampling-occasion covariates	
Total vegetation height (cm): the total height reached by the vegetation, regardless of type (herbs, tussocks, shrubs or trees),	
measured using a graduated pole. This covariate represents the total vegetation over a given trapping point in a given season.	
Moon phases: trapping occurred under full moon (1) or not (0)	
Season: winter (1) or not (0)	
<i>Type of trap</i> : tracking tunnel (1) or live-trap (0)	

Site	Grazing intensity*	Akodon azarae	Oligoryzomys flavescens	Oxymycterus nasutus	Total height (cm)	Herbaceous height (cm)	Shrub height (cm)	Tree height (cm)	Tussock height (cm)	Litter depth (cm)	Bare ground (%)	Cattle dung (%)	Distance to nearest tussock (m)	Distance to nearest shrub (m)	Distance to nearest tree (m)	Slope (°)
EMBRAPA		7	7	14	189.33±11.12	2.59±1.99	62.08±9.06	24.28±15.23	38.35±10.58	1.78±0.24	0±0	0±0	0.04±0.05	0.25±0.14	3.06±0.4	8.14±0.96
EMBRAPA	0	8	15	15	166.38±10.45	3.58±2.71	66.42±12.22	9.6±9.38	36.28±6.89	1.61±0.41	0.01±0.01	0.01±0.01	0.16±0.11	0.22±0.14	4.72±0.58	5.1±0.98
EEA	ž	2	2	0	237.62±81.5	1.33±1.97	101.29±69.26	52.5±73.09	35.67±9.08	2.02±0.38	0.01±0.01	0±0	0±0	0.23±0.12	2.89±1.67	4.38±2.23
EEA		1	1	1	206.24±58.09	0.42±0.83	120.54±72.13	1.25±2.5	39.32±8.41	1.49±0.38	0.08±0.05	0±0	0.05±0.09	0.26±0.17	3.92±2.19	12.76±2.54
EMBRAPA		3	0	0	91.5±35.43	2.56±1.1	15.15±6.3	0±0	23.46±8.79	0.96±0.11	0.01±0.01	0.02±0.02	0.11±0.1	0.71±0.38	79.51±17.99	4.97±1.06
EMBRAPA		6	1	0	102.97±27.25	1.22±0.56	11.99±5.5	1.56±3.12	36.11±10.21	1.07±0.28	0.01±0.01	0.03±0.02	0.04±0.05	1.31±0.48	18.6±2.05	3.56±0.51
EMBRAPA		25	1	0	92.85±21	2.09±0.78	11.69±4.24	6.47±6.42	25.97±8.23	1.32±0.51	0±0	0.02±0.01	0.16±0.12	1.27±0.99	24.95±3.2	4.52±0.62
EMBRAPA	RY	9	0	0	77.38±10.65	2.94±1.41	12.77±9.33	0±0	21.22±5.17	1.49±0.39	0.01±0	0.03±0.02	0.24±0.37	0.68±0.58	19.68±0.81	6.46±0.69
EMBRAPA	DIA	6	1	3	97.39±32.74	4.46±2.33	23.98±4.74	3.65±4.76	21.88±9.7	1.58±0.28	0.02±0.01	0.03±0.02	0.21±0.11	0.25±0.13	10.71±1.18	8.08±1.99
EMBRAPA	ME	0	0	0	77.79±19.06	4.55±1.88	25.58±8.44	8.2±9.74	12.24±4.64	0.86±0.28	0.01±0.01	0.02±0.01	0.68±0.26	0.07±0.05	7.89±0.61	8.66±1.6
EMBRAPA	TER	7	5	1	83.21±15.31	4.16±2.49	13.73±6.4	1.93±1.29	20.9±8.09	1.01±0.34	0.01±0.01	0.03±0.02	0.47±0.56	1.17±0.74	13.78±0.95	7.05±0.79
EMBRAPA	N	1	9	0	123.04±20.95	6.85±2.76	16.59±5.23	39.52±19.06	12.98±3.85	1.08±0.06	0.01±0.01	0.03±0	1.2±0.51	0.27±0.1	10.35±0.85	7.94±0.71
EEA	V TC	0	0	0	86.25±16.38	4.62±5.46	17.83±7.06	0±0	34.46±6.58	0.57±0.25	0.01±0.01	0.01±0.02	0±0	0.88±0.59	96.67±6.67	5.21±1.66
EEA	TOV	0	0	0	106.12±21.25	0±0	6.5±7.52	0±0	43.5±6.25	0.86±0.45	0.03±0.02	0±0	0±0	25.87±49.42	100±0	7.29±1.67
EEA		0	0	0	106.12±20.72	0.42±0.83	12.04±2.15	0±0	42.67±3.05	1.25±0.32	0±0	0±0	0±0	0.73±0.22	73.12±34.6	8.25±2.03
EEA		0	0	0	107.54±14.3	0±0	2.42±4.83	0±0	41.58±3.6	0.92±0.33	0±0.01	0.02±0.02	0±0	27.29±48.5	100±0	1.62±1.01
EEA		0	0	0	74.88±14.88	3.83±2.16	28.08±18.63	3.25±6.5	25.21±12.75	0.77±0.29	0±0.01	0.02±0	0.25±0.31	0.51±0.37	100±0	5.54±1.44
EEA		0	0	0	60.17±20.36	4±1.97	3.21±6.42	0±0	17.79±8.08	0.31±0.19	0.02±0.01	0.02±0.02	0.17±0.2	8.95±12.95	100±0	6.71±2.1
EEA	IIGH	0	0	0	7.96±5.07	5.79±1.38	2.46±4.92	0±0	0±0	0.38±0.11	0.01±0.01	0.03±0.03	49.17±14.17	12.11±7.59	100±0	3.62±1.86
EEA	H	0	0	0	6.73±3.31	3.1±2.07	1.79±3.58	0±0	0±0	0.22±0.06	0.01±0.01	0.07±0.02	33.38±19.51	6.99±3.7	100±0	4.03±1.55

1608 Table S1.2: Habitat covariates by grazing paddock. \* Grazing intensity was derived from the paddock's position along the disturbance gradient

1609 (Fig. S1.2). We present the total number of live-trap captures and recaptures per species in each paddock. Detections derived from tracking

1610 tunnels are presented in *bold* and *italic*.

1611 Table S1.3: Correlations between habitat covariates and the axes of the Principal

	PCO 1 (40.32%)	PCO 2 (19.89%)
Shrub height	0.72	0.03
Tree height	0.40	-0.66
Tussock height	0.73	0.17
Litter depth	0.79	-0.32
Bare ground	0.25	0.74
Cattle dung	-0.75	-0.25

1612 Coordinate Analysis (Fig. S1.2).

1614 Table S1.4: Candidate models potentially explaining rodent detection (p) and site

1615 occupation ( $\psi$ ).

	Model	Number of parameters
	p(.) ψ (.)	2
	p(.) ψ (PCO1)	3
	$p(Season) \psi(.)$	3
	p(Total height) $\psi$ (.)	3
	$p(Season) \psi (PCO1)$	4
	p(Moon) \u03c8 (PCO1)	4
	p(Trap) ψ (PCO1)	4
	p(Total height) ψ (PCO1)	4
	p(Total height^2) ψ (PCO1^2)	4
	p(Total height^3) \u03c8 (PCO1^3)	4
	$p(\text{Season+total height}) \psi (\text{PCO1})$	5
	$p(Season:total height) \psi (PCO1)$	5
	p(Season: total height^2) $\psi$ (PCO1^2)	5
	p(Season: total height^3) $\psi$ (PCO1^3)	5
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Table S1.5: Sampling effort and number of detections presented according to species, site, trap type and season. In Eldorado do Sul (EEA), we sampled from winter 2016 to summer 2017 using tracking tunnels only. Within parenthesis, we present the number of recaptures for live-trap sampling. In **bold**, we present the number of tracking tunnels with detections.

1629 with detections.

	Live-trap†/ <b>tunnel</b>	Akodon	Oligoryzomys	Oxymycterus	TOTAL	
	effort	azarae	flavescens	nasutus		
	4,239	39 (33)	28 (10)	21 (12)	88 (55)	
DAGE (ENIDKAPA)	240	34	11	5	50	
Autumn 2016	1,104	10 (5)	1	2	13 (5)	
Autumn 2010	60	5	1	2	8	
Winton 2016	1,065	23 (27)	24 (7)	12 (8)	59 (42)	
winter 2016	60	26	9	1	36	
Spring 2016	1,031	5 (1)	0	1	6 (1)	
Spring 2010	60	0	0	0	0	
Summer 2017	1,039	1	3 (3)	6 (4)	10 (7)	
Summer 2017	60	3	1	2	6	
	728	0	0	0	0	
ELDORADO DO SUL (EEA)	240	3	3	1	7	
Autumn 2016	728	0	0	0	0	
Autumn 2010	60	0	1	1	2	
Winter 2016	-	-	-	-	-	
winter 2010	60	2	2	0	4	
Spring 2016	-	-	-	-	-	
Spring 2010	60	0	0	0	0	
Summer 2017	-	-	-	-	-	
Summer 2017	60	1	0	0	1	
TOTAL (Live-traps)	4,967	39 (33)	28 (10)	21 (12)	88 (55)	
TOTAL (Tracking tunnels)	480	37	14	6	57	

† Net sampling effort (trap/nights) for live traps, calculated by discounting the number
of unavailable traps (armed and unbaited, disarmed and baited/unbaited or switched)
from the total sampling effort. The total sampling effort calculated per site and season
was: 24 traps x 10 paddocks x 5 nights = 1,200 trap/nights. Since we only conducted
live-trap sampling in EEA in the autumn, the total effort in this site was 1,200

- 1635 trap/nights. Since we conducted live-trap sampling in EMBRAPA across the four
- 1636 seasons, the total sampling effort for this site was 4,800 trap/nights.
- 1637
- 1638 Table S1.6: AICc ranking of all candidate models for Azara's grass mouse (Akodon
- 1639 *azarae*). p = detection probability;  $\psi$  = occupation probability. PCO1= gradient of
- 1640 grazing intensity (Fig. S1.2). The models with stronger support are those with Delta
- 1641 AICc  $\leq$  4 (in **bold**).

Model	df	LogLik	AICc	Delta AICc	Weight
p(Season) y (PCO1)	4	-128.487	267.6	0	0.552
p(Season+total height) ψ (PCO1)	5	-127.435	269.2	1.52	0.259
p(Season) ψ (.)	3	-131.138	269.8	2.14	0.19
p(Total height^3) \u03c8 (PCO1^3)	4	-152.873	316.4	48.77	0
p(Season: total height^3) $\psi$ (PCO1^3)	5	-151.749	317.8	50.14	0
p(Season: total height^2) $\psi$ (PCO1^2)	5	-153.491	321.3	53.63	0
p(.) ψ (PCO1)	3	-157.317	322.1	54.49	0
p(Season:total height) $\psi$ (PCO1)	5	-154.703	323.7	56.05	0
p(Trap) ψ (PCO1)	4	-156.531	323.7	56.09	0
p(Moon) ψ (PCO1)	4	-156.615	323.9	56.26	0
p(Total height) $\psi$ (PCO1)	4	-156.953	324.6	56.93	0
p(.) ψ (.)	2	-159.967	324.6	57	0
p(Total height^2) \u03c8 (PCO1^2)	4	-157.087	324.8	57.2	0
p(Total height) $\psi$ (.)	3	-159.606	326.7	59.07	0

- 1643 Table S1.7: AICc ranking of all candidate models for the yellow pigmy rice rat
- 1644 (*Oligoryzomys flavescens*).  $p = detection probability; \psi = occupation probability.$
- 1645 PCO1= gradient of grazing intensity (Fig. S1.2). The models with stronger support are
- 1646 those with Delta AICc  $\leq 4$  (in **bold**).

Model	df	LogLik	AICc	Delta AICc	Weight
p(Season) ψ (PCO1)	4	-81.06	172.8	0	0.737
p(Season+total height) ψ (PCO1)	5	-80.921	176.1	3.34	0.139
p(Season) ψ (.)	3	-84.429	176.4	3.57	0.124
p(Season:total height^3) ψ (PCO1^3)	5	-91.476	197.2	24.45	0
p(Total height^3) ψ (PCO1^3)	4	-94.445	199.6	26.77	0
p(Season:total height) $\psi$ (PCO1)	5	-93.297	200.9	28.09	0
p(Trap) ψ (PCO1)	4	-95.242	201.2	28.36	0
p(Season: total height^2) $\psi$ (PCO1^2)	5	-93.654	201.6	28.81	0
p(.) ψ (PCO1)	3	-97.183	201.9	29.08	0
p(Moon) \u03c8 (PCO1)	4	-97.048	204.8	31.98	0
p(Total height) $\psi$ (PCO1)	4	-97.183	205	32.24	0

p(.) ψ (.)	2	-100.53	205.8	32.98	0
p(Total height) ψ (.)	3	-100.526	208.6	35.76	0
p(Total height^2) \u03c8 (PCO1^2)	4	-99.129	208.9	36.14	0

- 1650 Table S1.8: AICc ranking of all candidate models for the long-nosed hocicudo
- 1651 (*Oxymycterus nasutus*). p = detection probability;  $\psi$  = occupation probability. PCO1=
- 1652 gradient of grazing intensity (Fig. S1.2). The models with stronger support are those
- 1653 with Delta AICc  $\leq 4$  (in **bold**).

Model	df	LogLik	AICc	Delta AICc	Weight
p(Season) \u03c8 (PCO1)	4	-66.661	144	0	0.448
p(Season) ψ (.)	3	-68.588	144.7	0.69	0.317
p(Season+total height) ψ (PCO1)	5	-66.333	147	2.96	0.102
p(.) ψ (PCO1)	3	-70.709	148.9	4.93	0.038
p(.) ψ (.)	2	-72.637	150	5.99	0.022
p(Total height^3) \u03c8 (PCO1^3)	4	-70.048	150.8	6.77	0.015
p(Trap) ψ (PCO1)	4	-70.14	150.9	6.96	0.014
p(Total height) ψ (PCO1)	4	-70.233	151.1	7.14	0.013
p(Moon) ψ (PCO1)	4	-70.382	151.4	7.44	0.011
p(Total height) $\psi$ (.)	3	-72.118	151.7	7.75	0.009
p(Season:total height) ψ (PCO1)	5	-69.172	152.6	8.64	0.006
p(Season: total height^3) $\psi$ (PCO1^3)	5	-70.11	154.5	10.52	0.002
p(Total height^2) \u03c8 (PCO1^2)	4	-72.265	155.2	11.21	0.002
p(Season: total height^2) $\psi$ (PCO1^2)	5	-71.563	157.4	13.42	0.001

We based the identification of free-ranging individuals on a reference footprint 1665 1666 collection of the live-trapped individuals. We scanned papers with footprints at 600 dpi 1667 resolution and analyzed them in TPSDIG v.2.30 (Rohlf, 2015). Landmarks were set in 1668 toe and central pads of right hand and foot (see van Apeldoorn et al., 1993; Palma and 1669 Gurgel-Gonçalves, 2007). Whenever possible, we used at least three footprints from 1670 each individual paper to improve consistency in footprint classification. We estimated 1671 the location of missing landmarks in the reference collection using available 1672 information from the toes and pads of the respective species, while missing landmarks 1673 for free-ranging individuals were based in toes and pads of the complete reference collection (Table S2.1). In both cases, we applied the "TPS" method for missing 1674 1675 landmarks, which adjust a thin-plate spline to interpolate missing landmarks from 1676 individuals presenting all landmarks (Gunz et al., 2009; Adams et al., 2016). For identification of footprints from free-ranging individuals, we overlapped tunnel samples 1677 1678 with the reference collection (Table S2.1). We created new variables describing 1679 footprint shape after correcting for non-shape differences through Generalized 1680 Procrustes Analysis (GPA), which involves the translation, scaling and rotation of a 1681 footprint to decrease distances among corresponding landmarks (Rohlf and Slice, 1990). 1682 We used the GPA corrected landmark-coordinates for projecting, in a shape space, the footprints of free ranging plus reference individuals, and then performed the 1683 1684 classification using Canonical Variate Analysis (CVA). A priori defined groups in CVA 1685 consisted of the respective species (for those footprints in the reference collection) and 1686 the tracking tunnel point (for free-ranging individuals). CVA provided the probability 1687 that the footprint from a given free-ranging individual belongs to either one of the pre-1688 defined species groups or their own group (i.e. unidentifiable) (Lawing and Polly,

1689 2010). We tested accuracy on free-ranging individual classification between species 1690 groups through Canonical Variate Analysis (CVA) based in 1,000 permutations and the 1691 robust 'mve' covariance estimation method. We calculated the ratio between the 1692 counting of correct and incorrect footprint classification of the reference collection to 1693 define classification accuracy (see Table S2.1 below). We measured size variation by 1694 considering the perimeter embracing the peripheral landmarks. We merged 1695 identification for right/left hands and feet to define species detection/non-detection in a 1696 given paddock. We performed the morphometric analyses with functions implemented in geomorph and Morpho packages in R (R Development Core Team, 2017). 1697 1698 We compared CVA scores among species and observed that the three rodent species differed in hand and foot shapes (Procrustes ANOVA; 999 permutations;  $P \le$ 1699 0.05). Furthermore, the size of hands and feet of the vellow pigmy rice rat 1700 1701 (Oligoryzomys flavescens) were found to be larger than those of the other two species 1702 (Fig. S2.1, S2.2, S2.3, S2.4). The toes of the hands and feet of the yellow pigmy rice rat 1703 are farther from the central pads than those of the long-nosed hocicudo (Oxymycterus 1704 nasutus) and Azara's grass mouse (Akodon azarae). Conversely, the toes of long-nosed 1705 hocicudo are closer to central pads than those of the other species, which confers an 1706 adaptation to a semi-fossorial mode of life. The hand and foot morphology of Azara's 1707 grass mouse was found to be intermediate in size and shape relative to the two other 1708 species.

1709

1712 collection. We collected the footprints after measuring and weighing rodents trapped in

Limb (number	Azara's grass	Yellow pigmy	Long-nosed	Kappa	Overall
of footprints)	mouse	rice rat	hocicudo	statistic	accuracy (%)
Hand					
Right (310)	93.30	99.08	76.40	0.88	92.60
Left (355)	96.64	99.09	89.58	0.93	95.49
Foot					
Right (325)	90.91	82.64	85.29	0.79	86.60
Left (346)	89.67	83.93	87.34	0.80	87.28

1713 live-traps (Tomahawk and Sherman).



Fig. S2.1: Shape variation (upper) and footprint size distribution (in cm, bottom) for the
left foot of the three studied rodent species. The gray points are landmarks for each
individual in the reference collection (346 footprints), whereas black points compose the
consensus shape.



Fig. S2.2: Distribution of variation in footprint shape (upper) and size (in cm, bottom)
for the right foot of the three studied rodent species. The gray points are landmarks for
each individual in the reference collection (325 footprints), whereas black points
compose the consensus shape.





Fig. S2.3: Distribution of variation in footprint shape (upper) and size (in cm, bottom)
for the left hand of the three studied rodent species. The gray points are landmarks for
each individual in the reference collection (355 footprints), whereas black points
compose the consensus shape.



1735

Fig. S2.4: Distribution of variation in footprint shape (upper) and size (in cm, bottom)
for the right hand of the three studied rodent species. The gray points are landmarks for
each individual in the reference collection (310 footprints), whereas black points
compose the consensus shape.

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1770 Appendix S3: Sensitivity analyses using EMBRAPA data.

We re-ran the analyses using the data from the EMBRAPA locality, collected 1771 1772 from rodents sampled in ten grazing paddocks across one year, using both tunnels and 1773 live traps. We evaluated the between-paddock (spatial) and within-paddock (seasonal) 1774 variation in habitat structure using Permanova and Betadisper (Test for Homogeneity of 1775 Multivariate Dispersions) tests, respectively. P-values were generated through 1776 randomizations (similarly to the analyses using EEA and EMBRAPA data; Appendix S1). We used the habitat covariates as response variables and grazing level as the 1777 1778 predictor variable; we blocked randomizations according to the type of trap (live-traps 1779 or tracking tunnel) we used. 1780 The Permanova analysis we ran showed between-paddock variations in habitat structure (F= 17.57,  $R^2$ = 0.31, P $\leq$  0.001). However, the Betadisper analysis did not 1781 identify within-paddock (seasonal) variation in habitat structure (F= 0.08, P=0.908). We 1782 1783 observed pairwise differences in the habitat characteristics between all combinations of 1784 ungrazed, lowly and moderately grazed paddocks (Bonferroni adjusted P= 0.003), 1785 although the lowly and moderately grazed paddocks had a similar habitat according to 1786 the principal coordinate analysis (Fig. S3.1). The habitat of ungrazed paddocks was 1787 characterized by a deeper layer of litter and taller tussocks, shrubs and trees (Table S3.1). Paddocks subjected to low to moderate grazing intensities were characterized by 1788 1789 a higher percentage of both bare ground and cattle dung in a square meter (Table S3.1).





Fig. S3.1: Principal coordinate analysis plot showing the between-season and betweenpaddock variation in the habitat structure. Variation captured by the two first ordination
axes is included within the axes labels. Convex hulls delimit paddock groups according
to their grazing intensity; the lines inside each convex hull link the paddock centroid
with the habitat of each season. We used the continuous value of the paddock centroid
as the quantitative measurement of the grazing intensity. Data was collected from
EMBRAPA (Bagé, RS).

1804 Table S3.1: Correlations between habitat covariates and the axes of the Princ
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1805	Coordinate Analysis (Fig S3.1).

	Pcoal	Pcoa2
	(37.33%)	(18.91%)
Shrub height	0.82	0.29
Tree height	0.46	0.29
Tussock height	0.72	-0.3
Litter depth	0.73	-0.17
Bare ground	-0.41	0.69
Cattle dung	-0.39	-0.62

The results of the single-season occupancy models run using the EMBRAPA data showed that, for Akodon azarae, the models that were more strongly supported included season as the covariate explaining the probability of detection (Table S3.1). The probability of detection was higher in the winter than in the non-winter months (Table S3.3). The probability of occupation increased with decreasing grazing intensity, although the confidence intervals were very wide (Fig S3.2).
- 1818 Table S3.2: Model-selection table for *Akodon azarae*, with candidate models ranked
- 1819 according to their AICc. p = detection probability;  $\psi$  = occupation probability. PCO1=
- 1820 gradient of grazing intensity (Fig. S3.1). The models with stronger support are those
- 1821 with Delta AICc  $\leq$  4 (in **bold**).

Model	df	LogLik	AICc	Delta AICc	Weight
p(Season) ψ (.)	3	-100.294	210.6	0	0.875
p(Season) ψ (PCO1)	4	-99.263	214.5	3.94	0.122
p(Season+total height) $\psi$ (PCO1)	5	-98.338	221.7	11.09	0.003
p(Trap) ψ (PCO1)	4	-116.29	248.6	37.99	0
p(.) ψ (.)	2	-122.456	250.6	40.04	0
p(Season: total height^2) ψ (PCO1^2)	5	-113.173	251.3	40.76	0
p(.) ψ (PCO1)	3	-121.431	252.9	42.27	0
p(Moon) ψ (PCO1)	4	-118.765	253.5	42.94	0
p(Total height) $\psi$ (.)	3	-121.892	253.8	43.2	0
p(Total height^3) $\psi$ (PCO1^3)	4	-120.816	257.6	47.04	0
p(Total height) ψ (PCO1)	4	-120.879	257.8	47.17	0
p(Total height^2) $\psi$ (PCO1^2)	4	-121.42	258.8	48.25	0
p(Season: total height^3) $\psi$ (PCO1^3)	5	-118.431	261.9	51.27	0
p(Season:total height) $\psi$ (PCO1)	5	-120.012	265	54.44	0

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- 1824 Table S3.3: Estimates of the detection probabilities (p) of Akodon azarae, given as a
- 1825 function of the sampling occasion covariates.

Season	Detection probability	Standard Error	Linear combination (logit scale)
Non-winter	0.088	0.029	-2.335
Winter	0.592	0.067	0.374



Fig. S3.2: Occupation probability ( $\psi$ ) of *Akodon azarae*, given as a function of the gradient of grazing intensity. Values of the multivariate gradient of grazing intensity were extracted from Axis 1 of the Principal Coordinate Analysis (Fig. S3.1). The lowest negative values indicate the highest grazing intensities, whereas the highest positive values indicate the absence of grazing.

The results of the single-season occupancy models run using the EMBRAPA data showed that, for *Oligoryzomys flavescens*, the models that were more strongly supported included season as the covariate explaining the probability of detection (Table S3.4). The probability of detection was higher in the winter than in the nonwinter months (Table S3.5). The probability of occupation was constant across the gradient of grazing intensity (Table S3.4).

- 1844 Table S3.4: Model-selection table for *Oligoryzomys flavescens*, with candidate models
- 1845 ranked according to their AICc. p = detection probability;  $\psi =$  occupation probability.
- 1846 PCO1= gradient of grazing intensity (Fig. S3.1). The models with stronger support are

1847 those with Delta AICc  $\leq 4$  (in **bold**).

Model	df	LogLik	AICc	Delta AICc	Weight
p(Season) ψ (.)	3	-59.933	129.9	0	0.906
p(Season) ψ (PCO1)	4	-59.259	134.5	4.65	0.088
p(Season+total height) $\psi$ (PCO1)	5	-57.463	139.9	10.06	0.006
p(.) ψ (.)	2	-71.533	148.8	18.91	0
p(Total height) ψ (.)	3	-70.015	150	20.16	0
p(.) ψ (PCO1)	3	-70.866	151.7	21.87	0
p(Total height <sup>2</sup> ) ψ (PCO1 <sup>2</sup> )	4	-68.975	154	24.08	0
p(Total height) ψ (PCO1)	4	-69.422	154.8	24.98	0
p(Total height <sup>3</sup> ) ψ (PCO1 <sup>3</sup> )	4	-69.699	155.4	25.53	0
p(Season: total height^2) $\psi$ (PCO1^2)	5	-66.158	157.3	27.45	0
p(Trap) ψ (PCO1)	4	-70.675	157.3	27.48	0
p(Season:total height) $\psi$ (PCO1)	5	-68.213	161.4	31.56	0
p(Season: total height^3) $\psi$ (PCO1^3)	5	-68.718	162.4	32.57	0
$p(Moon) \psi (PCO1)$	4	-118.765	253.5	123.66	0

- 1849 Table S3.5: Estimates of the detection probabilities (p) of *Oligoryzomys flavescens*,
- 1850 given as a function of the sampling occasion covariates.

Season	Detection probability	Standard Error	Linear combination (logit scale)
Non-winter	0.0914	0.0413	-2.297
Winter	0.441	0.084	-0.237

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1852 The results of the single-season occupancy models run using the EMBRAPA

1853 data showed that, for Oxymycterus nasutus, the models with the stronger support

1854 included season and total vegetation height as the covariates explaining the probability

1855 of detection (Table S3.6). The probability of detection was higher in the winter than in

1856 the non-winter months (Table S3.7), and it was also higher in areas with taller

- 1857 vegetation (Table S3.7). The probability of occupation increased with decreasing
- 1858 grazing intensity, although the confidence intervals were very wide (Fig S3.3).

- 1860 Table S3.6: Model-selection table for *Oxymycterus nasutus*, with candidate models
- 1861 ranked according to their AICc. p = detection probability;  $\psi =$  occupation probability.
- 1862 PCO1= gradient of grazing intensity (Fig. S3.1). The models with stronger support are
- 1863 those with Delta AICc  $\leq 4$  (in **bold**).

Model	df	LogLik	AICc	Delta AICc	Weight
p(Total height) ψ (.)	3	-55.178	120.4	0	0.316
p(Season+total height) ψ (PCO1)	5	-47.871	120.7	0.38	0.261
p(Season) ψ (.)	3	-55.804	121.6	1.25	0.169
p(Total height) ψ (PCO1)	4	-53.269	122.5	2.18	0.106
p(Season) ψ (PCO1)	4	-53.779	123.6	3.2	0.064
p(Total height^3) ψ (PCO1^3)	4	-54.627	125.3	4.9	0.027
p(Total height^2) ψ (PCO1^2)	4	-55.042	126.1	5.73	0.018
p(.) ψ (.)	2	-60.71	127.1	6.78	0.011
p(.) ψ (PCO1)	3	-58.686	127.4	7.02	0.009
p(Season:total height) \u03c8 (PCO1)	5	-51.234	127.5	7.11	0.009
p(Season: total height^3) $\psi$ (PCO1^3)	5	-51.81	128.6	8.26	0.005
p(Season: total height^2) $\psi$ (PCO1^2)	5	-51.978	129	8.6	0.004
p(Moon) ψ (PCO1)	4	-58.412	132.8	12.47	0.001
p(Trap) \u03c6 (PCO1)	4	-58.494	133	12.63	0.001

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## 1870 Table S3.7: Estimates of the detection probabilities (p) of Oxymycterus nasutus, given

Detection probability	Standard Error	Linear combination (logit scale)	Season	Total vegetation height (cm)	
0.0117	0.0132	-4.438		4	
0.0272	0.0241	-3.575		42	
0.0622	0.0415	-2.713		104.3	
0.2712	0.1153	-0.988	Non-winter	166	
0.4685	0.176	-0.126		228	
0.657	0.1992	0.65		284	
0.0411	0.0457	-3.149		4	
0.0922	0.0759	-2.287		42	
0.1939	0.1062	-1.425		104.3	
0.5744	0.1159	0.3	Winter	166	
0.7617	0.1071	1.162		228	
0.8742	0.085	1.938		284	

1871 as a function of the sampling occasion covariates.



1883 Fig. S3.3: Occupation probability ( $\psi$ ) of *Oxymycterus nasutus* as a function of the

1884 gradient of grazing intensity. Values of the multivariate gradient of grazing intensity

1885 were extracted from Axis 1 of the Principal Coordinate Analysis (Fig. S3.1). The lowest

1886 negative values indicate the highest grazing intensities, whereas the highest positive

<sup>1887</sup> values indicate the absence of grazing.