

UNIVERSIDADE FEDERAL DO RIO GRANDE DO SUL
ESCOLA DE EDUCAÇÃO FÍSICA, FISIOTERAPIA E DANÇA
PROGRAMA DE PÓS-GRADUAÇÃO EM CIÊNCIAS DO MOVIMENTO HUMANO

**“WATER IMMERSED INVERTED PENDULUM”: A PHYSIOMECHANICAL
MODEL OF SHALLOW WATER WALKING AT DIFFERENT DEPTHS AND
SPEEDS**

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Porto Alegre

2020

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“Water immersed inverted pendulum”: a biomechanical model of shallow water walking at different depths and speeds

Dissertação apresentada ao Programa de Pós-Graduação Ciências do Movimento Humano da Escola de Educação Física, Fisioterapia e Dança da Universidade Federal do Rio Grande do Sul como requisito parcial para obtenção do título de Mestre em Ciências do Movimento Humano.

Orientador: Prof. Dr. Leonardo Alexandre Peyré-Tartaruga

Coorientadora: Profa. Dra. Flávia Gomes Martinez

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CIP - Catalogação na Publicação

Ivaniski-Mello, André
"WATER IMMERSED INVERTED PENDULUM": A
PHYSIOMECHANICAL MODEL OF SHALLOW WATER WALKING AT
DIFFERENT DEPTHS AND SPEEDS / André Ivaniski-Mello. --
2020.
140 f.
Orientador: Leonardo Alexandre Peyré-Tartaruga.

Coorientadora: Flávia Gomes Martinez.

Dissertação (Mestrado) -- Universidade Federal do
Rio Grande do Sul, Escola de Educação Física, Programa
de Pós-Graduação em Ciências do Movimento Humano,
Porto Alegre, BR-RS, 2020.

1. Locomoção. 2. Caminhada em água rasa. 3.
Fisiomecânica. 4. Imersão em água. 5. Otimização. I.
Peyré-Tartaruga, Leonardo Alexandre, orient. II.
Gomes Martinez, Flávia, coorient. III. Título.

Elaborada pelo Sistema de Geração Automática de Ficha Catalográfica da UFRGS com os
dados fornecidos pelo(a) autor(a).

AGRADECIMENTOS

Eu gostaria de agradecer primeiramente a todo povo brasileiro que por meio de seus impostos possibilita a realização de um ensino público gratuito de qualidade. E ressaltar a importância de todas as pessoas que fazem o dia-a-dia desse ensino e pesquisa.

Agradeço infinitamente meus pais e meu irmão. A educação e o exemplo que me propiciaram são a base de tudo o que sou. Não tenho palavras e conceitos para descrever a importância de vocês. Espero que possa viver à altura de tudo o que me ensinaram e proporcionaram.

Agradeço também a orientação e os ensinamentos de meus orientadores Dr. Leonardo Alexandre Peyré-Tartaruga e Dra. Flávia Gomes Martinez. A sua paciência e dedicação em ensinar e incentivar o desenvolvimento pessoal e acadêmico de seus alunos são inspiradores. Verdadeiros exemplos para mim tanto no âmbito profissional, quanto pessoal.

Agradeço a todos os integrantes da banca de avaliação Profa. Dra. Cristine Lima Alberton, Prof. Dr. Flávio de Souza Castro, Prof. Dr. Luca Ardigò e Prof. Dr. Marcelo Coertjens pelo gentil aceite e importantes contribuições com o trabalho.

Agradeço a todo o grupo de pesquisa Locomotion e GPCOMFA. O aprendizado, convivência e suporte de vocês foram essenciais por toda essa etapa. Nomeio alguns com receio de esquecer nomes igualmente importantes: Leonardo de Los Santos Bloedow, Marcela Zimmermann Casal, Edson Soares da Silva, Antônio Henrique Leal do Nascimento, Valéria Feijó Martins, Diana Maria Cubillo Arcila, Lucas de Liz Alves, Misael da Silva Camacho, Jennifer Oliveira, Andressa Fiori Bortoli. Agradeço à Daniela Luft pela parceria e confiança em aceitar ser coorientada por mim.

Agradeço a todos os sujeitos voluntários das coletas de dados que permitiram a execução deste trabalho. Agradeço à toda equipe de trabalho do Centro Natatório da Escola de Educação Física, Fisioterapia e Dança da UFGRS, incansáveis em propiciar as melhores condições possíveis para a realização das coletas de dados.

Agradeço à Fernanda Scalco Acco pelo apoio, incentivo e parceria. Sou muito grato por toda a motivação e partilha, e pela possibilidade de convivência e crescimento.

Agradeço à Luiza Rampi Pivotto pelo compartilhamento, escuta e atenção. Sempre vou recordar das conversas que muito me ajudaram a amadurecer ideias e sentimentos ao longo desse período.

Por fim, agradeço a todos os professores que tive ao longo da minha vida acadêmica. Com certeza cada um contribuiu fundamentalmente para minha formação e educação.

RESUMO

Durante a caminhada, o corpo humano realiza trocas de energia mecânica como um pêndulo invertido. A cada ciclo de passada é observada essa transformação entre energia cinética horizontal e energia potencial gravitacional do centro de massa. Esse mecanismo de pêndulo invertido atua como uma estratégia de minimização de gasto energético durante a caminhada. Considerando que esse mecanismo é dependente de fatores internos e externos à tarefa de caminhada, e que foi um produto dos agentes de pressão evolutiva em nossa espécie, surge o questionamento sobre o comportamento desse mecanismo de minimização de gasto energético do pêndulo invertido durante condições dinâmicas modernas como a caminhada em água rasa: uma atividade física muito popular e disseminada para um amplo espectro de populações. O objetivo principal desta dissertação foi desenvolver um modelo fisiomecânico do comportamento do pêndulo invertido durante a caminhada em água rasa por homens adultos saudáveis. Nossa hipótese foi que o mecanismo de pêndulo invertido durante a caminhada em água rasa seria afetado pelas forças de empuxo e de arrasto, e que existiria uma profundidade ótima para o custo de transporte mínimo de caminhada devido à interação entre essas duas forças. A dissertação é dividida em quatro seções principais. **1)** Após uma apresentação geral (capítulo 1), nós introduzimos a justificativa para o objetivo principal desta dissertação (capítulo 2) e fornecemos uma base teórica para nosso modelo fisiomecânico do “pêndulo invertido molhado” da caminhada em água rasa (capítulos 3 e 4). **2)** Reportamos uma revisão sistemática (capítulo 5 – estudo 1) de estudos observacionais de variáveis fisiológicas e biomecânicas de caminhada em água rasa em comparação com a caminhada em solo seco. **3)** Com o objetivo de desenvolver um modelo fisiomecânico da caminhada em água rasa, realizamos um estudo experimental (capítulo 6– estudo 2) em que parâmetros fisiológicos, cinéticos e espaço-temporais foram analisados em quatro profundidades (joelho, quadril, umbigo e xifóide) e em cinco velocidades (0,2, 0,4, 0,6, 0,8 m/s e velocidade confortável autosselecionada) durante a caminhada em água rasa por nove homens adultos saudáveis (28 ± 8 anos, $77,7 \pm 9,2$ kg, $1,78 \pm 0,04$ m). **4)** Finalmente, as conclusões gerais da dissertação são apresentadas no capítulo 7. O “pêndulo invertido imerso na água” é um modelo fisiomecânico de caminhada em água rasa representado por um diagrama de corpo livre considerando as forças de empuxo e de arrasto atuantes sobre um pêndulo invertido imerso. O resultado

principal dessa dissertação é um valor mínimo de custo de transporte na profundidade do quadril apenas na menor velocidade de caminhada analisada (0,2 m/s), em decorrência, provavelmente, de uma relação ótima entre as forças de empuxo e de arrasto nessa condição. Nas velocidades restantes, a profundidade mais econômica de caminhada foi na profundidade do joelho. O gasto energético durante a caminhada em água rasa parece ser influenciado tanto pela profundidade e velocidade de caminhada, o que poderia ser atribuído às forças de empuxo e de arrasto. Futuros estudos testando esse modelo fisiomecânico em outras profundidades, velocidades de caminhada, populações e com um modelo de estimativa da força de arrasto aperfeiçoado são sugeridos.

Palavras-chave: locomoção; caminhada em água rasa; fisiomecânica; imersão em água, otimização.

ABSTRACT

During walking, the human body operates a mechanical energy exchange as an inverted pendulum. At each stride, there is an exchange between the forward kinetic energy and the gravitational potential energy of the center of mass. This inverted pendulum mechanism actuates as an energy saving strategy of walking. Considering that this mechanism is dependent on both intrinsic and extrinsic factors related to walking task and that these factors are product of the evolutionary pressures to our specie, arises the question of the response of the inverted pendulum energy saving during current dynamic conditions as shallow water walking (SWW): a prevalent and disseminate physical exercise to a wide range of populations. The present dissertation's main goal was to propose a biomechanical model of inverted pendulum response during SWW by healthy adult men. We hypothesized that the inverted pendulum mechanism during SWW would be affected by the buoyancy and drag forces and that would exist an optimal depth for the minimal cost of walking due to the interplay between these forces. The dissertation was divided into four main sections. **1)** After a general presentation (chapter 1), we introduced the dissertation's primary aim justification (chapter 2) and provided a theoretical basis for our "water immersed inverted pendulum" biomechanical model of SWW (chapters 3 and 4). **2)** We reported a systematic review (chapter 5 - study 1) of observational studies focusing on physiological and biomechanical responses of SWW in comparison to dry land walking. **3)** Aiming to develop a biomechanical model of SWW, we performed an experimental study (chapter 6 - study 2) where physiologic, kinetic, and spatiotemporal parameters were measured at four depths (knee, hip, umbilical, and xiphoid) and five speeds (0.2, 0.4, 0.6, 0.8 m/s, and at comfortable self-selected speed) during SWW by nine healthy adult men (28 ± 8 years, 77.7 ± 9.2 kg, 1.78 ± 0.04 m). **4)** Finally, we present the dissertation general conclusions in chapter 7. The "water immersed inverted pendulum" is an SWW biomechanical model represented by a free body diagram that considers both buoyancy and drag forces acting on an immersed inverted pendulum. The main finding was a minimum cost of transport at the hip depth during the slowest walking speed analyzed (0.2 m/s), probably due to the optimal interplay between buoyancy and drag forces at this condition. For the remaining speeds, the most economical depth was at knee. The energy expenditure during SWW seems to be influenced by both depth and walking speed, which could be

attributed to buoyancy and drag forces. Future studies testing this biomechanical model in other depths, speeds, populations, and an improved drag force estimation model are suggested.

Keywords: locomotion; shallow water walking; biomechanics; water immersion; optimization.

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LIST OF ABBREVIATIONS

39	
40	
41	A: area of body surface
42	AA: articular angular
43	Ap: projected frontal area
44	AP-GRF: anterior-posterior ground reaction force
45	B: buoyancy force
46	BF: <i>biceps femoris</i>
47	C: cost of transport
48	Cd: drag coefficient
49	CI: confidence interval
50	D: body diameter.
51	DLW: dry land walking
52	DrF: drag force
53	E_{kf}: kinetic forward energy
54	EMG: muscular activity
55	F: force
56	F_h and F_{h'}: total forces on the lateral body surfaces
57	Fr: Froude number
58	F₁: total force in superior body surface
59	F₂: total force on the inferior body surface
60	g: gravitational acceleration
61	G_f: gravitational force
62	GL: <i>gastrocnemius lateralis</i>
63	GIMax: <i>gluteus maximus</i>
64	GIMed: <i>gluteus medius</i>
65	GLMM: Generalized linear mixed model
66	GRF: ground reaction forces
67	h: height
68	HR: heart rate
69	JM: joint moments
70	L: body length characteristic
71	m: rigid body with mass
72	mV-GRF: mean vertical ground reaction force

- 73 **m_w** : mass of water displaced
- 74 **NI**: not informed
- 75 **P**: pressure
- 76 **Pg**: potential gravitational energy
- 77 **PMet**: metabolic power
- 78 **PRISMA**: Preferred Reporting Items for Systematic Reviews and Meta-Analyses
- 79 **Psp**: *paraspinal*
- 80 **RA**: *rectus abdominalis*
- 81 **Re**: Reynolds number
- 82 **RPE**: rating of perceived exertion
- 83 **RF**: *rectus femoris*
- 84 **SD**: standard deviation
- 85 **SMD**: standard mean difference
- 86 **SOL**: *soleus*
- 87 **SSWS**: comfortable self-selected speed of walking
- 88 **ST**: spatiotemporal
- 89 **SWW**: shallow water walking
- 90 **TA**: *tibialis anterior*
- 91 **TFL**: *tensor fascia latae*
- 92 **v**: velocity;
- 93 **VL**: *vastus lateralis*
- 94 **VM**: *vastus medialis*
- 95 **VO2**: energy expenditure
- 96 **V-GRF**: vertical ground reaction force
- 97 **y**: vertical axis definition
- 98 **η** : fluid viscosity
- 99 **ρ** : specific mass
- 100 **ρ_f** : fluid specific mass
- 101 **ρ_w** : water specific mass
- 102
- 103
- 104

SUMMARY

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135

136

137

138 **1. General presentation**

139

140 This work is part from a research line from the Locomotion research group under
141 coordination from professor Dr. Leonardo Alexandre Peyré-Tartaruga. The main goal
142 from the group is to study energy saving mechanism during human locomotion in
143 different gaits, task conditions, environments, populations. Yet, this study was
144 developed with the co-supervision from Dra. Flávia Gomes Martinez. Her profound
145 knowledge and experience with aquatic physiotherapy were paramount to the study
146 construction in all phases. Besides, the choice to study human walking in shallow water
147 goes along my personal experience with aquatic physiotherapy.

148 This study has also received important contributions from professor Dr. Alberto
149 Enrico Minetti, helping us to establish the theoretical foundations for the
150 biomechanical model developed. His analyzes from the data were likewise
151 essential in order to expand our thoughts on the graphic construction and results
152 discussion.

153 This document has four main sections. 1) We introduced the dissertation's
154 primary aim justification (chapter 2) and provided a theoretical basis for our "water
155 immersed inverted pendulum" biomechanical model of shallow water walking
156 (SWW) (chapter 3). 2) We reported a systematic review (chapter 5 - study 1) of
157 observational studies focusing on physiological and biomechanical responses of SWW
158 in comparison to dry land walking. 3) Aiming to develop a biomechanical model of
159 SWW, we performed an experimental study (chapter 6 - study 2). 4) Finally, we present
160 the dissertation general conclusions in chapter 7.

161

162 **2. Introduction**

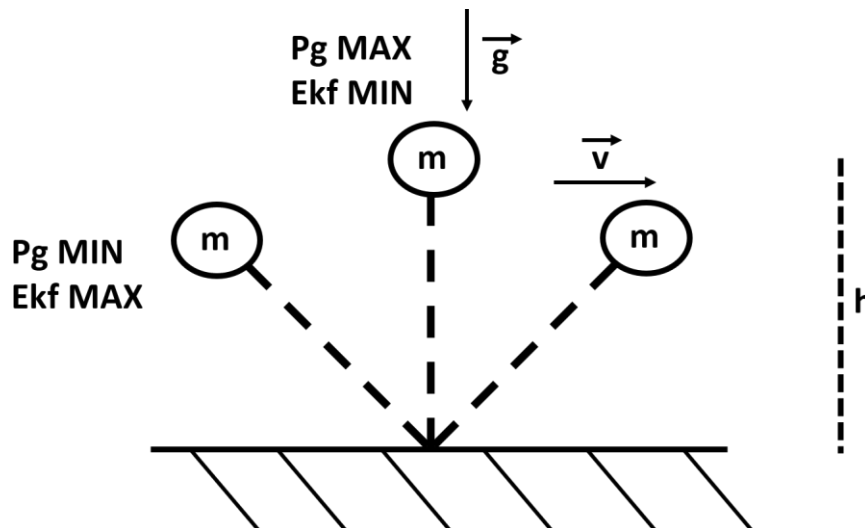
163

164 During walking gait, the human body operates mechanical energy exchange as
165 an inverted pendulum. The body center of mass lays in the upper part of the pendulum,
166 around the hip, and the pendulum pivot is on the floor on the foot. At each stride, it is
167 observed an exchange between the kinetic forward energy and the gravitational
168 potential energy of the center of mass, as these energies fluctuate in phase opposition
169 (CAVAGNA, 2017).

170 The body center of mass kinetic forward energy is due to the forward velocity
 171 from the body displacement; in other words, this kinetic energy is associated to the
 172 walking speed. Conversely, the body center of mass potential gravitational energy is
 173 due to body weight (gravity acceleration multiplied by the body mass) and the body
 174 center of mass vertical position (CAVAGNA, 2017).

175 In human walking occurs a mechanical energy transference between these
 176 energies; one kinetic energy related to the actual movement state, and one potential
 177 energy related to the system state characteristics (HALLIDAY; RESNICK; WALKER,
 178 2016). Similar as occurs in a pendular movement - when the kinetic energy is at
 179 maximum, the gravitational potential is at minimum - the human body center of mass
 180 mechanical response acts as inverted pendulum (Figure 1).

181



182

183 **Figure 1** - Inverted pendulum during dry land walking. Ekf: kinetic
 184 forward energy; g: gravitational acceleration; h: height; m: rigid
 185 body with mass; Pg: potential gravitational energy; v: velocity.

186

187 This mechanical energy exchange contributes to reduce energy expenditure
 188 during walking; therefore, this inverted pendulum operates as an energy saving
 189 mechanism of walking. In order to sustain the dynamic task of walking, the locomotor
 190 system has been evolutionary adapted to interchange the mechanical energies
 191 associated with locomotion in humans, contributing to reduce the energy expenditure
 192 (metabolic energy) necessary to walk (CROMPTON; VEREECKE; THORPE, 2008).

193 Considering that the inverted pendulum is a mechanical integrative mechanism
194 that helps the organism to save metabolic energy, it can be analyzed by a
195 physiomechanical perspective. Therefore, different mechanical (as external and
196 internal mechanical work, etc.) and physiological (as cost of transport and metabolic
197 power) outcomes can be associated to the inverted pendulum due to the integrative
198 characteristic of this physiomechanical model (CAVAGNA, 2017; PEYRÉ-
199 TARTARUGA; COERTJENS, 2018; SAIBENE; MINETTI, 2003).

200 Nevertheless, the inverted pendulum mechanism operates optimally in
201 particular dynamic and environmental conditions, being affected by the speed of
202 walking, stride frequency, slope of the terrain, among other factors (CAVAGNA;
203 FRANZETTI, 1986; DI PRAMPERO, 1986; PEYRÉ-TARTARUGA; COERTJENS,
204 2018). This energy saving mechanism, therefore, is dependent of both intrinsic and
205 extrinsic conditions of the locomotor system. And these optimal dynamical points which
206 the inverted pendulum actuates seems to be evolutionary molded by the natural
207 selection of our species, as the animal body design evolves in direction of the best
208 possible structures and behaviors (ALEXANDER, 1989, 1996).

209 The inverted pendulum mechanism is an energy saving strategy of the
210 locomotor system of our species – i.e., *Homo Sapiens Sapiens*. Considering that the
211 locomotor system evolved along with all the others organic systems as
212 musculoskeletal, respiratory, postural, neural, cardiovascular (and somehow is an
213 integrative system of all of them), it can be observed that this inverted pendulum
214 mechanism has been under the same biological and evolutionary constraints that our
215 species.

216 The natural selection that designed *Homo Sapiens Sapiens* along the biological
217 evolution was the same natural selection that designed inverted pendulum. However,
218 to analyze one thing separately from another is a difficult intellectual exercise,
219 considering that this same locomotor energy saving mechanism have been important
220 for our species' evolution (CROMPTON; VEREECKE; THORPE, 2008).

221 Considering that this inverted pendulum mechanism is dependent from both
222 intrinsic and extrinsic factors related to the walking task, that it has been under the
223 same evolutionary pressure than our species, and analyzing the distinct body activities
224 that we do nowadays in comparison to our early ancestors (LIEBERMAN, 2012), some
225 questions can be raised. We can ask ourself about the response of this ancestral

226 physiomechanical mechanism during the dynamic conditions that we are submitting it
227 now.

228 One of these modern conditions of physical activity is the aquatic exercise: an
229 exercise option to several healthy conditions and populations with growing utilization
230 in the past years (SO et al., 2018). The physical proprieties from water fluid and its
231 effects on musculoskeletal and physiological systems contribute to this wide
232 application of aquatic exercise. The effects of buoyancy force on weight bearing
233 reduction and drag force on movement resistance are the main water immersion kinetic
234 characteristics that influence the aquatic exercise practice.

235 Specifically, the shallow water walking (SWW) is a type of aquatic exercise very
236 popular and disseminate to a wide range of populations (LEE; KIM, 2017; STEVENS
237 et al., 2015). The SWW is realized under the effect from buoyancy and drag forces: a
238 distinct environmental physical condition where the human locomotor system have
239 been developed.

240 In summary, the inverted pendulum mechanism is walking metabolic energy
241 saving mechanism that has been natural selected at the same biological and physical
242 conditions that our species *H. Sapiens Sapiens*, nevertheless today we experience a
243 very distinct life that our early ancestors. Therefore, may the SWW, a popular aquatic
244 physical activity, alters the inverted pendulum mechanism? This is the main question
245 of the present work (DOI: 10.17605/OSF.IO/JFYXN).

246

247 **2.1 Aims and hypothesis**

248

249 **General aim**

250 To examine the shallow water walking effects on inverted pendulum mechanism
251 through a physiomechanical model from the inverted pendulum response during
252 shallow water walking at different depths (knee, hip, umbilical, and xiphoid) and speeds
253 (0.2, 0.4, 0.6, 0.8 m/s) by healthy adult men.

254

255 **Specific aims**

256 To perform a systematic review of the literature about physiological,
257 spatiotemporal, kinetic, and muscular activity parameters during shallow water
258 walking;

259 To analyze the energy expenditure, kinetic and spatiotemporal parameters of
260 shallow water walking at different depths of immersion and speeds of walking by
261 healthy adult men;

262 To develop a biomechanical model called “water immersed inverted
263 pendulum” during shallow water walking taking in account the interplay between the
264 buoyancy and drag forces effects.

265

266 **Hypothesis**

267 Our hypothesis was that the literature systematic review would demonstrate
268 differences of physiological, spatiotemporal, kinetic, and muscular activity parameters
269 between shallow water walking and dry land walking.

270 We also hypothesized that the different depths of immersion and speeds of
271 walking would affect the energy expenditure, kinetic and spatiotemporal parameters of
272 shallow water walking.

273 In this sense, our hypothesis was that the inverted pendulum mechanism during
274 shallow water walking would be affected by the buoyancy and drag forces, and that
275 would exist an optimal point of walking cost of transport due to the interplay between
276 these forces.

277

278 **3. Walking in water: The “water immersed inverted pendulum”**

279

280 The shallow water walking (SWW) is under the effects from the physical
281 characteristics of the water fluid environment. During dry land walking, the human body
282 is also immersed in a fluid: the air. Nevertheless, when comparing air with water, there
283 are physical differences related to the interaction between the human body and the
284 surrounding fluid.

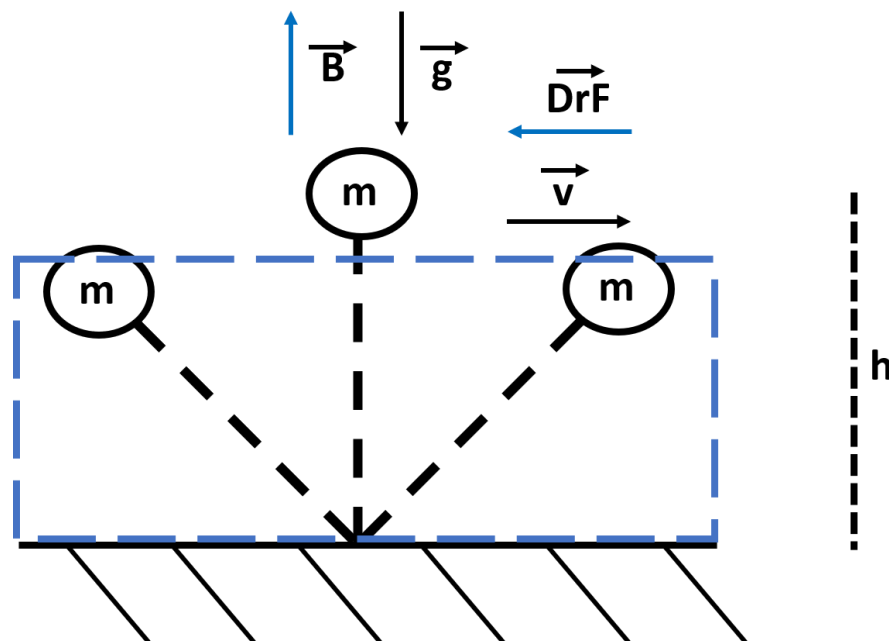
285 These differences between fluid-environment are due, mainly, the different
286 specific mass between air and water. The water has a specific mass of about 826 times
287 greater than air (HALLIDAY; RESNICK; WALKER, 2016). This greater specific mass
288 can lead to affect different aspects of SWW biomechanics.

289 During SWW, the body moves through the water and is under the effect of
290 principally two forces with higher magnitude in water fluid, than in air fluid. These forces

291 are the buoyancy (B) and drag force (DrF). The former is a hydrostatic force, while the
 292 latter is a hydrodynamic force (NUSSENZVEIG, 2002).

293 The mechanical hydrodynamic and hydrostatic characteristics of aquatic
 294 environment will exert influence on the human body while walking at shallow water,
 295 and, probably, affects the inverted pendulum mechanism (Figure 2).

296



297

298 **Figure 2** - The inverted pendulum during shallow water walking
 299 (“water immersed inverted pendulum”). B : buoyancy force; DrF : drag
 300 force; g : gravitational acceleration; h : height; m : rigid body with mass;
 301 v : velocity.

302

303 In order to better understand the possible effects of the specific physical
 304 characteristics of water environment on the inverted pendulum, we first will introduce
 305 basic concepts about the physical characteristics of B and DrF . Then, with the purpose
 306 to substantiate theoretically the “water immersed inverted pendulum” model, an
 307 analytic interpretation from SWW free body diagram (Figure 2) will be performed.

308 First, the weight reduction effects of B will be approached through the
 309 discussion of the literature findings of dry land simulated hypogravity walking. In
 310 sequence, due to the lack of specific quantitative data exploring the DrF effects, the

311 effects of DrF resistance on SWW will be discussed inside a systematic review of SWW
312 (Study 1). Finally, the results of the experimental study of SWW in different depths and
313 walking speeds will be presented (Study 2).

314

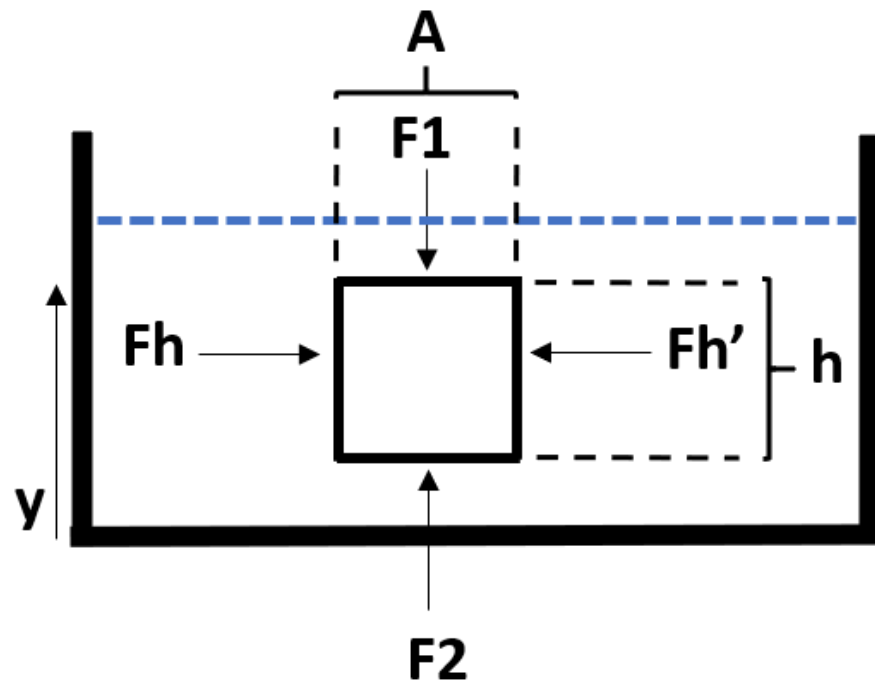
315 **3.1 Buoyancy force**

316

317 The buoyancy force (B) is a hydrostatic force of equal direction and opposite
318 sense than the gravitational force, with a vector pointing up. When a body is immersed
319 in a fluid it suffers simultaneously the B and the gravitational force, the effects of each
320 one diametrically in opposition.

321 An immersed body is submitted to hydrostatic pressure from the fluid (Figure 3).
322 The hydrostatic pressure is a force applied by the fluid molecules on the immersed
323 body area. According to Stevin law, with higher immersion depth in relation to the fluid
324 surface, higher the hydrostatic pressure. It follows that points equidistant from the fluid
325 surface will suffer equal hydrostatic pressure (NUSSENZVEIG, 2002).

326



327

328

Figure 3 - Hydrostatic pressure of a fluid on a cubical immersed body.

329

A: area of body surface; $F1$: total force in superior body surface; $F2$:

330

total force on the inferior body surface; Fh and Fh' : total forces on the

331

lateral body surfaces; h : body height; y : vertical axis definition.

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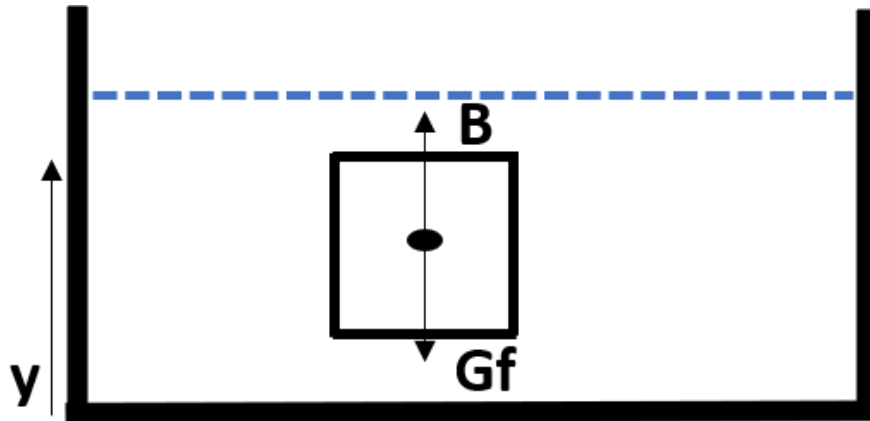
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As it is possible to see in Figure 3, the lateral forces Fh and Fh' - equivalent in magnitude and with opposite sense – cancel each other, considering that these lateral forces are due to hydrostatic pressure. The resultant force from this hydrostatic pressure gradient, thereafter, will be the difference between the forces applied on the superior ($F1$) and inferior ($F2$) regions of the immersed body. The resultant force will be on vertical axis pointing up, considering that the hydrostatic pressure on the inferior region will be higher than the hydrostatic pressure on the superior region ($F2 > F1$). This resultant force is called B (Figure 4 and Equation 1), defined by Arquimedes' principle, and has same magnitude than the weight from the volume of fluid displaced by the immersed body (NUSSENZVEIG, 2002).



344

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346

347

Figure 4 - Buoyancy and gravitational forces applied on an immersed body. B: buoyancy force; Gf: gravitational force; y: vertical axis definition.

348

349 The B effects oppose those of gravitational force, reducing the apparent weight
 350 from an immersed body. The apparent weight is the subtraction of B from the real body
 351 weight (body mass times gravitational acceleration). In summary, higher the body
 352 volume fraction immersed, higher the fluid volume displaced, higher B magnitude,
 353 lower the body apparent weight (HALLIDAY; RESNICK; WALKER, 2016).

354

355

356 **Equation 1** - Buoyancy force.

357

$$B = m_w \cdot g$$

358 where, B: buoyancy force; m_w : water mass; g: gravitational acceleration.

359

360 Equation 1 development

361

By Stevin law

362

$$P2 = P1 + \rho_w \cdot g \cdot h$$

363 where, P_2 : hydrostatic pressure at deeper point 2; P_1 : hydrostatic pressure at
 364 shallower point 1; ρ_w : water specific mass. g : gravitational acceleration; h : body
 365 height.

$$366 \quad P_2 - P_1 = \rho_w \cdot g \cdot h$$

367 Therefore, the resultant force from the superficial forces applied on an immersed
 368 body will be a vertical B force (Arquimedes' principle).

369 With,

$$370 \quad P = \frac{F}{A}$$

371 where, P : pressure; F : force; A : area of body surface

$$372 \quad B = P_2 \cdot A - P_1 \cdot A$$

373 Then,

$$374 \quad B = \rho_w \cdot h \cdot A \cdot g$$

375 With volume definition,

$$376 \quad B = \rho_w \cdot V \cdot g$$

377 where, V : body volume.

378

379 So,

$$380 \quad B = m_w \cdot g$$

381

382 As we wanted to demonstrate.

383

384 The application of these kinetic concepts to the human body immersed at
 385 shallow water is evident. At a deeper immersion depth, a greater water volume will be
 386 displaced, a greater B the human body will experience, and a lower apparent body
 387 weight will occur. A summary of the apparent body weight in percentage of the real
 388 body weight at different immersion depths in relation to anatomical landmarks from
 389 different studies is presented in Chart 1. Considering that different authors have
 390 analyzed different immersion depths, more than one study was used to organize this
 391 chart in order to provide a more comprehensive view of the B effect on the apparent
 392 body weight reduction in several depths of immersion.

393

394 **Chart 1** - Apparent body weight at different immersion depths in relation to anatomical
 395 landmarks.

Immersion depth	Apparent body weight (% of real body weight)
C7	8% (HARRISON & BULSTRODE, 1987)
Axillar	20% (MIYOSHI et al., 2004)
Xiphoid	43% (MACDERMID, FINK, STANNARD, 2017), 35% (HARRISON & BULSTRODE, 1987) 34,7% (ORSELLI; DUARTE, 2011),
Anterior superior iliac spine	54% (HARRISON & BULSTRODE, 1987) 52,3% (ADEGOKE et al., 2014)
Thigh	74% (MACDERMID, FINK, STANNARD, 2017)

396 Sources: ADEGOKE et al., 2014; HARRISON & BULSTRODE, 1987; MACDERMID, FINK,
 397 STANNARD, 2017; MIYOSHI et al., 2004; ORSELLI; DUARTE, 2011.

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401

402 3.2 Drag force

403

404 The drag force (DrF) is a hydrodynamic force with same direction and opposite
 405 sense to the velocity vector of the immersed body, with a vector in opposite sense to
 406 the displacement of the immersed body. Its application on the body, therefore,
 407 generate a tendency to reduce the linear moment of the body. In conclusion, DrF is a
 408 resistance force to the immersed body (FOX; MCDONALD; MITCHELL, 2018).

409 The total DrF can be divided into three components: wave DrF, frictional DrF,
 410 and pressure (shape) DrF (Equation 2) (TOUSSAINT; STRALEN; STEVENS, 2002).
 411 The wave DrF is due to the water surface deformation during displacements at the
 412 interface between water and air. The frictional DrF is due to the fluid viscosity, effect
 413 from the friction between the body surface and the fluid layers. The pressure DrF is
 414 due to the pressure forces applied on the body surface and is related to the body shape
 415 (FOX; MCDONALD; MITCHELL, 2018; NUSSENZVEIG, 2002; TOUSSAINT; BEEK,
 416 1992).

417

418 **Equation 2** - Components of total drag force.

$$419 \quad DrF_{total} = DrF_{wave} + DrF_{frictional} + DrF_{pressure}$$

420 where, DrF : drag force.

421

422 The wave DrF is present during body displacements on the water surface,
 423 since that at this condition the body speed is restricted by the wave formation. With the
 424 increase of the body speed, the wave formation increases, raising the movement
 425 resistance as a result from the wave DrF. An important parameter for the wave DrF is
 426 the hull speed: a critical speed for the body displacement on the fluid surface. When
 427 the body in movement approaches the hull speed, it undergoes a higher wave DrF
 428 resistance, because the waves formed in its front do not have enough time to flow
 429 away, generating a higher resistance. This greater resistance, ultimately, limits the
 430 body speed increase (AIGELDINGER; FISH, 1995).

431 The hull speed is dependent from the waterline length; that is, the longitudinal
 432 length of the body lying on the fluid surface measured along the direction of the body
 433 velocity vector. Bodies with greater waterline length have greater hull speed, and,
 434 therefore, will encounter a larger wave DrF only at higher absolute speeds of
 435 displacement in comparison to bodies with smaller waterline length (AIGELDINGER;
 436 FISH, 1995).

437 Toussaint et al. (2002) have reported a contribution of 12.1% of wave DrF to
 438 total DrF during crawl swimming at 1.89 m/s. The authors estimate that for a subject
 439 with 2.0 m stature, the hull speed will be 1.77 m/s; thus, the analyzed swimmers were
 440 capable to swim at speeds higher than the hull speed. We do not have found, however,
 441 studies analyzing the wave DrF during shallow water walking (SWW).

442 During SWW the body is at vertical position, while in swimming the body is at
 443 horizontal position. The waterline length is lower at SWW in comparison to swimming.
 444 One could assume, in this sense, that the hull speed will be lower during SWW than
 445 swimming (1.89 m/s). And, consequently, the relative greater contribution of wave DrF
 446 to total DrF will be reached at slower speeds during SWW than at swimming.

447 The relation between the relative contribution of frictional and pressure DrF to
 448 total DrF can be understand by the ratio between these two forces through the
 449 Reynolds number (Re). The Re (Equation 3) is a dimensionless ratio between pressure
 450 and frictional forces; higher Re number means a greater pressure force magnitude in
 451 relation to frictional forces. Also, at lower Re the predominant flow is laminar, while at
 452 higher Re the predominant flow is turbulent (FOX; MCDONALD; MITCHELL, 2018).

453

454 **Equation 3** - Reynolds number (Re).

$$455 \quad Re = \frac{v \cdot D \cdot \rho_f}{\eta}$$

456 where, Re : Reynolds number; v : velocity; D : linear dimension; ρ_f : fluid specific
 457 mass; η : fluid viscosity.

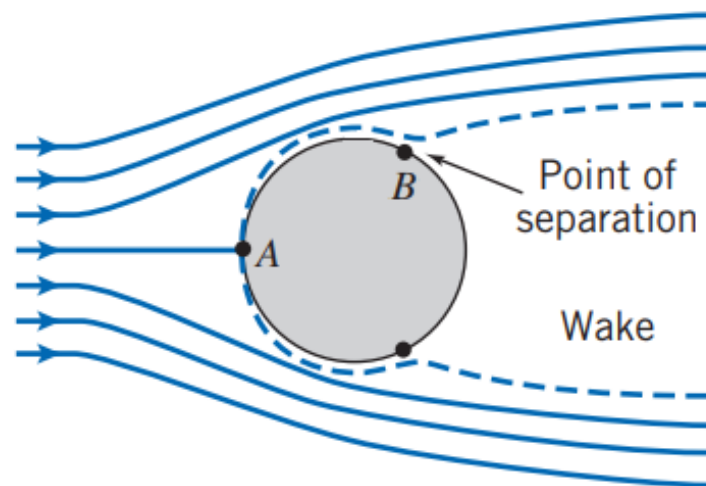
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459 The relation between pressure DrF and frictional DrF is determined by the
460 boundary layer concept. The boundary layer is a fluid region closer to the body surface,
461 and only in this region the frictional viscous forces are important. In the more internal
462 region of this boundary layer - in other words, at fluid surface in direct contact with the
463 body – the flow speed is null. This speed gradient between the fluid layers in
464 consequence of the boundary layer is the origin of resistance by frictional forces
465 (frictional DrF) (FOX; MCDONALD; MITCHELL, 2018).

466 At higher flow speeds, with greater Re , occurs the wake phenomenon due to
467 turbulent flow (Figure 5). During the fluid flow on an immersed body surface, the fluid
468 particles suffer a deacceleration in consequence from viscosity. At greater flow speed
469 (turbulent flow) the fluid yet suffers the deacceleration due to the negative pressure
470 gradient (wake) on the posterior body region. This fluid deacceleration due to friction
471 and pressure gradient is so important, that the fluid particles reduce their speed until
472 rest on the posterior body region (FOX; MCDONALD; MITCHELL, 2018).

473 These fluid particles that reduce their speed until rest at the body posterior
474 region suffer a phenomenon of flow separation. In this condition, the boundary layer
475 detach from the body surface at the point of separation, creating a low-pressure wake
476 region. These particles that detach are moved away by the next particles. With this
477 low-pressure wake region occurs an increase of the DrF , because, further on the high
478 positive pressure on the anterior body region, this low-pressure wake region
479 contributes to exacerbate the DrF . The DrF therefore, is a force that resists the body
480 displacement through a fluid, creating the tendency to deaccelerate the body (FOX;
481 MCDONALD; MITCHELL, 2018)

482



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Figure 5 - Fluid flow on an immersed body at higher Reynold number creating a low-pressure wake region. A: high pressure point. B: point of separation of boundary layer. Figure extracted and adapted from Fox, McDonald, Mitchell (2018).

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For SWW, Newman (1992) reported Re values between 0.82×10^5 and 6.88×10^5 at a walking speed of 1.5 m/s, suggesting the predominance of turbulent flow during SWW. The pressure DrF (Equation 4), thereafter, contribute predominantly to total DrF during SWW. For the DrF analysis during SWW in the present study, we used a mathematical model proposed by Orselli & Duarte (2011) to estimate the DrF during the stride cycle; in detail, this model takes in account only the pressure DrF.

496

Equation 4 - Pressure drag force.

497

$$\text{Pressure DrF} = \frac{1}{2} \cdot Cd \cdot \rho_f \cdot Ap \cdot v^2$$

498

499

where, *DrF*: drag force; *Cd*: drag coefficient; ρ_f : fluid specific mass; *Ap*: projected frontal area; *v*: velocity.

500

501

502 4. Hypogravity walking

503

504 The walking in hypogravity is the walking performed in conditions where the
505 vertical downward gravitational force effects are diminished in relation to Earth
506 normogravity. The hypogravity is the condition where the gravitational acceleration is
507 lower than from the Earth gravitational acceleration of 1.0 g (or 9.81 m/s²)
508 (LACQUANITI et al., 2017). There is a growing interest on the hypogravity locomotor
509 biomechanics due the human space exploration (CAVAGNA; WILLEMS;
510 HEGLUND, 1998; PAVEI; MINETTI, 2016) and advantages of reduced weight bearing
511 walking in different clinical conditions (HUBLIE & DIETZ, 2013; SALE et al., 2012). Yet,
512 different walking biomechanics is both expected theoretically (MARGARIA;
513 CAVAGNA, 1964) and observed experimentally (LACQUANITI et al., 2017; SYLOS-
514 LABINI; LACQUANITI; IVANENKO, 2014) during simulated hypogravity in comparison
515 to normal gravity conditions.

516 Gravity exerts a great influence on dry land walking, determining the inverted
517 pendulum mechanism for energy recovery during the walking stride cycles
518 (CAVAGNA; WILLEMS; HEGLUND, 1998, 2000; SYLOS-LABINI; LACQUANITI;
519 IVANENKO, 2014). At each stride, the locomotor system takes advantage of the
520 gravity downward force to fall forward and convert the body center of mass potential
521 gravitational energy (height-dependent) into kinetic energy (speed-dependent), and
522 latter this kinetic energy is used to restore the body center of mass height again
523 (CAVAGNA; WILLEMS; HEGLUND, 1998). The gravitational force importance to this
524 mechanical energy saving mechanism can be observed due to its relation with the
525 potential gravitational energy.

526 One important concept to discuss about hypogravity locomotion is the principle
527 of dynamic similarity. The dynamic similarity in locomotion states that dynamically
528 similar bodies will behave similar – i.e., will have similar gait pattern – if their dynamic
529 characteristics are similar. The principle of dynamic similarity allows the comparison of
530 different bodies at similar movement conditions, and enables to compare the same
531 body at different movement conditions. An important dynamic similarity parameter to
532 analyze movements that are affected by gravitational force is the Froude number
533 (ALEXANDER, 1989; LACQUANITI et al., 2017).

534 The Froude number (Equation 5) is a dimensionless unit that express the ratio
 535 between kinetic energy and potential gravitational energy. The higher the speed of
 536 locomotion, higher kinetic energy associated to the movement. The higher the
 537 gravitational acceleration, higher the potential gravitational energy associated to the
 538 movement. The L factor corresponds to a geometric characteristic from the body length
 539 (ALEXANDER, 1989; LACQUANITI et al., 2017).

540

541 **Equation 5** - Froude number (Fr). v : velocity; g : gravitational acceleration; L : body
 542 length characteristic.

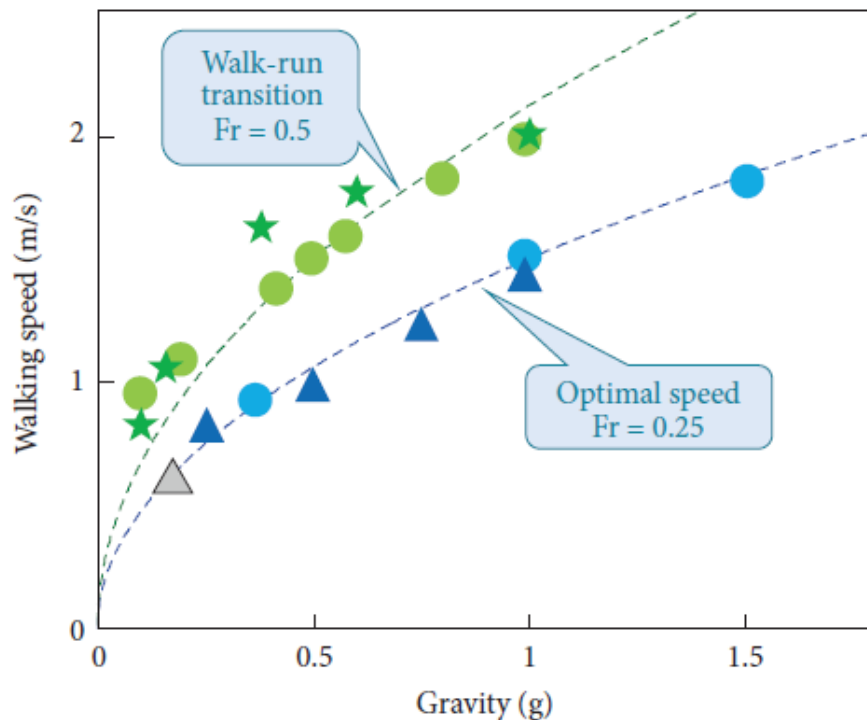
543
$$Fr = \frac{v^2}{g \cdot L}$$

544 Where, Fr : Froude number; v : velocity; g : gravitational acceleration; L : body length
 545 characteristic.

546

547 The Froude number can also be associated to a ratio between centrifugal
 548 (mv^2/L) and centripetal (mg) forces. In this sense, while the centripetal force is higher
 549 than centrifugal (Froude number < 1), the body can maintain walking gait without an
 550 aerial phase. But when the centrifugal force overcomes the centripetal (Froude number
 551 > 1), an aerial phase occurs, the walking gait becomes impossible, and running is the
 552 gait adopted (LACQUANITI et al., 2017). Experimentally, however, it has been
 553 observed that the walking-running transition in normal gravity condition occurs at 0.5
 554 Froude number (IVANENKO et al., 2011; KRAM; DOMINGO; FERRIS, 1997). At
 555 simulated hypogravity, the walking-running transition occurs at a Froude number about
 556 0.5, but at slower absolute speed than normal gravity condition (IVANENKO et al.,
 557 2011; KRAM; DOMINGO; FERRIS, 1997); this phenomenon is well exposed by Sylos-
 558 Labini et al. (2014), so here we reproduce their figure (Figure 6).

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Figure 6 - Walking-running transition and optimal walking speeds at different gravity conditions. Fr: Froude number. Each type symbol represents one study different. Blue circle: Cavagna, Willems and Heglund (1998, 2000); blue triangle: Griffin, Tolani and Kram (1999); green circle: Kram, Domingo and Ferris (1997); green star: Ivanenko et al. (2011); grey triangle: Margaria and Cavagna (1964). Figure extracted and adapted from Sylos-Labini, Lacquaniti and Ivanenko (2014).

Lower gravity conditions cause the locomotor functional repercussion of a walking speeds range narrowing. In consequence from reduced gravity, there is a reduction of the potential gravitational energy available to be converted into kinetic forward energy through pendular mechanism. Therefore, the maximum speed that the locomotor system can sustain walking gait type is diminished at simulated hypogravity, reducing the range of walking speeds (CAVAGNA; WILLEMS; HEGLUND, 2000; MARGARIA, CAVAGNA, 1964).

Also, accordingly to the principle of dynamic similarity, the simulated hypogravity affects not only the walking-running transition speed, but the optimal speed of walking as well. The optimal speed of walking is the speed which the exchange

580 between potential gravitational energy and kinetic energy is optimized; in other words,
581 the speed which the recovery is maximum (CAVAGNA; THYS; ZAMBONI, 1976;
582 CAVAGNA; WILLEMS; HEGLUND, 2000). Considering that the self-selected speed
583 (SSWS) of walking is close to the optimal speed (SAIBENE; MINETTI, 2003), Salisbury
584 et al. (2015) have found lower SSWS at simulated hypogravity conditions (0.38 and
585 0.16 g) in comparison to normal gravity (1.0 g).

586 During simulated hypogravity walking, the maximum recovery occurs at lower
587 walking speeds than at Earth gravity, and at an even walking speed the recovery is
588 lower at simulated hypogravity (CAVAGNA; WILLEMS; HEGLUND, 1998, 2000;
589 GRIFFIN; TOLANI; KRAM, 1999; PAVEI; BIANCARDI; MINETTI, 2015). This recovery
590 response modification in relation to absolute walking speed during simulated
591 hypogravity indicates an alteration from the inverted pendulum mechanism in
592 conditions where the gravitational acceleration is reduced. Nevertheless, when
593 adjusted for the gravity acceleration of each condition, Pavei, Biancardi & Minetti
594 (2015) observed that the maximal recovery was reached at similar Fr number (0.22 to
595 0.26) comparing Earth (1.0 g), Mars (0.36 g), and Moon (0.16 g) gravities.

596 In relation to the mechanical work of walking, Cavagna, Willems and Heglund
597 (2000) (1.5, 1.0, 0.4 g), Griffin, Tolani and Kram (1999) (1.0, 0.75, 0.5, 0.25 g), and
598 Pavei, Biancardi and Minetti (2015) (1.0, 0.36, 0.16 g), reported lower external
599 mechanical work with lower gravity at even walking speeds. This reduced external
600 mechanical work seems to be related to the reduced magnitude fluctuations from the
601 potential gravitational and kinetic forward energies curves during walking at simulated
602 hypogravity (CAVAGNA; WILLEMS; HEGLUND, 2000; GRIFFIN; TOLANI; KRAM,
603 1999; MARGARIA; CAVAGNA, 1964; PAVEI, BIANCARDI, MINETTI, 2015)

604 The authors (CAVAGNA, WILLEMS, HEGLUND, 2000) yet discuss that the
605 internal mechanical work seems to be independent from gravity or to decrease with it,
606 considering that the stride frequency is about the same or decreases at lower gravity
607 conditions. While Pavei, Biancardi and Minetti (2015) observed a diminished internal
608 work at simulated hypogravity, but with no differences between the simulated
609 hypogravity conditions (0.36 vs. 0.16 g). In this way, the total mechanical work during
610 walking - the sum of external and internal - will be lower at lower gravity (CAVAGNA;
611 WILLEMS; HEGLUND, 2000; PAVEI; BIANCARDI; MINETTI, 2015).

612 About the ground reaction forces, Newman and Alexander (1993) and
613 Newman, Alexander and Webbon (1994) observed a reduced peak ground reaction
614 force values with the decrease in gravity level (1.0, 0.9, 0.67, 0.38, 0.17 g). Richter et
615 al. (2017) performed a systematic review with meta-analysis from 43 studies including
616 several biomechanical and physiological parameters of simulated hypogravity
617 locomotion, and they have observed along with the gravity acceleration reduction a
618 systematic reduction from body weight, ground reaction forces peak, rate of force
619 development, and impact forces.

620 Comparing the spatiotemporal parameters during walking at simulated
621 hypogravity, Griffin, Tolani and Kram (1999) and Pavei, Biancardi and Minetti (2015)
622 do not have found difference of stride frequency with reduction of gravity at even
623 speed, while Cavagna, Willems and Heglund (2000) reported a similar or reduced
624 stride frequency at lower gravity during walking at even speed. Newman & Alexander
625 (1993) and Newman, Alexander and Webbon (1994) also described lower stride
626 frequency and lower duty factor with lower gravity. The stride frequency results
627 discrepancies during simulated hypogravity walking between these studies could be
628 related to the weight reduction apparatus adopted by the authors, as Sylos-Labini et
629 al. (2013) already have demonstrated gait kinematic alterations due to the gravity
630 reduction simulator chosen. Namely, Griffin, Tolani and Kram (1999) and Pavei,
631 Biancardi and Minetti (2015) used trunk suspension device; Cavagna, Willems and
632 Heglund (2000) collected data during a parabolic flight; Newman and Alexander (1993)
633 and Newman, Alexander and Webbon (1994) employed underwater treadmill.

634 What concern the cost of transport (C) at simulated hypogravity conditions,
635 there seems to be an imbalance between the external mechanical work reduction and
636 the C reduction at simulated hypogravity (GRIFFIN; TOLANI; KRAM, 1999). With the
637 gravity level reduction occurs both a reduction of external mechanical work and C,
638 although the reduction of external mechanical work is more accentuated than that of
639 C.

640 Griffin, Tolani and Kram (1999) observed a reduction of 50% from the external
641 mechanical work, while the C reduced only 25% when the gravity was reduced by half
642 (1.0 vs. 0.5 g). Walking in simulated hypogravity appears to be a locomotion type of
643 relatively high C when normalized by the apparent body weight; in other words,
644 comparing even walking speed, the mechanical work curve suffers a steeper decay

645 with gravity reduction than C curve. The authors discuss that this uneven reduction of
646 external mechanical work and C could be related to fact that not only the external work
647 is a source of energy expenditure. But the work necessary to move the limbs – internal
648 mechanical work – should also be taken in account (CAVAGNA; WILLEMS;
649 HEGLUND, 2000; GRIFFIN; TOLANI; KRAM, 1999).

650 Pavei, Biancardi and Minetti (2015) have analyzed the C and both external an
651 internal mechanical work during walking at even speeds in simulated hypogravity. In
652 spite of the reduced external and internal mechanical work at lower gravity levels (0.36
653 and 0.16 g) in comparison to Earth gravity (1.0 g), the authors did not found a
654 statistically significant C reduction during simulated hypogravity walking. The
655 differences between the results of this study with others from the literature that show
656 the C reduction in simulated hypogravity - as Farley & McMahon (1992) – can be
657 related to the setup apparatus to simulate simulated hypogravity.

658 About the relation of C with speed of walking, Pavei, Biancardi and Minetti
659 (2015) also reported the maintenance of the U-shaped curve of C at simulated
660 hypogravity conditions. The authors yet found that a minimum point of C was reached
661 at similar speeds of walking in all gravity conditions (1.0, 0.36, and 0.16 g). This
662 behavior of C curve - taken in conjunction with the mechanical recovery features at
663 reduced gravity stated above - suggests that the inverted pendulum mechanism seems
664 to operate also at dry land simulated hypogravity walking.

665 In conclusion, we can observe that the gravity acceleration reduction affects
666 different aspects from the biomechanics of dry land simulated hypogravity walking,
667 with the mechanical perspective contributing to understand the narrower range of
668 walking speeds during dry land simulated hypogravity. And as it can be seen by the
669 recovery and C responses, in spite of the center of mass mechanical energies
670 alterations reported during simulated hypogravity, the inverted pendulum mechanism
671 appears to have a somewhat important function during dry land simulated hypogravity
672 walking.

673

674

675 **4.1 Bridge between dry land simulated hypogravity and shallow water** 676 **walking**

677

678 We can observe that the human walking is affected in different
679 biomechanical ways by the gravity acceleration reduction. The mechanical
680 energies response, the energies exchange, the spatiotemporal parameters, the cost of
681 transport: all these variables seem to be altered during dry land simulated hypogravity
682 walking.

683 The dry land simulated hypogravity walking discussion comes to us an
684 argumentative resource to substantiate the weight bearing reduction effects that the
685 water exerts on the “water immersed inverted pendulum”. But during the immersion in
686 water, the “water immersed inverted pendulum” is not only under the effects of a
687 gravitational acceleration attenuate force (buoyancy) but is also suffering the effects of
688 a movement resistance force (drag force).

689 Considering the individual effect of the gravity reduction on human walking
690 biomechanics, we can move forward in our exploration in order to analyze the
691 effects of shallow water immersion on this “water immersed inverted pendulum”. So
692 far, we have discussed the vertical axis of the “water immersed inverted pendulum”
693 free body diagram, considering the consequences of simulated hypogravity. But now
694 we propose the addition of the horizontal kinetic axis to our free body diagram; that is,
695 consider also the dynamic drag force resistance effects on the “water immersed
696 inverted pendulum”.

697 However, before enter into the exploration of the experimental data concerning
698 the effects of depth and speed of walking on the biomechanics of shallow water
699 walking (Chapter 6: Study 2), the very next chapter will bring the Study 1 (Chapter 5):
700 a systematic review from the literature on shallow water walking and their physiological,
701 spatiotemporal, kinetic, and muscular activity parameters.

702 If until this point we have discussed the dry land simulated hypogravity walking,
703 now we begin the specifically analyze simulated hypogravity walking during water
704 immersion.

705

706 **5. Study 1: Quantifying the acute responses of shallow water immersion on**
707 **walking physiology and biomechanics: a systematic review and meta-analysis**

708

709

Abstract

710 Shallow water walking (SWW) generates changes in cardiorespiratory parameters in
711 comparison to terrestrial exercise, and these changes are highly dependent of
712 immersion depth. We reviewed the evidence from observational studies focusing on
713 physiological and biomechanical responses of SWW in comparison to dry land walking.
714 This systematic review and meta-analysis (initial search: 1516 studies; systematic
715 review: 40 studies; meta-analysis: 22 studies) presents evidence that higher energy
716 expenditure, heart rate and rating of perceived exertion are accompanied by depth-
717 dependent reductions in self-selected speed and stride length in SWW compared with
718 dry land. The stride frequency, however, was similar at waist and reduced at xiphoid
719 depth. As expected, the ground reaction forces were reduced according to the
720 buoyance forces acting. SWW appears to increase muscular activity. Importantly, the
721 depth-related increase in energy expenditure of SWW seems to involve a major role of
722 resistive forces compensating the reduced task of support the body weight. Besides
723 the benefits of water immersion as reduced joint impact, biomechanical alterations on
724 force production may produce additional long-term gains in functional mobility.
725 However, the influence of these physiological and biomechanical alterations on
726 functional mobility are largely unknown. Due to these inconclusive points, there is a
727 huge opportunity to determine (1) the alterations on muscle activation in different
728 depths in order to explain the higher energy expenditure at organismal level, and (2)
729 whether these alterations can maximize gains in metabolic economy and gait
730 biomechanics after long-term SWW intervention. PROSPERO registration protocol:
731 CRD42018113040.

732

733 **Keywords:** head-out aquatic immersion; locomotion; metabolic cost; aquatic exercise;
734 biomechanics

735

736

737 5.1 Introduction

738

739 Exercise in aquatic environment is a feasible and recommended intervention to
740 a wide range of populations. The practice of physical exercise in water is advantageous
741 to a variety of health conditions, having benefits in pain (5, 22, 60, 63), muscle strength
742 (7, 8, 72, 74), mobility (22), equilibrium (75), flexibility (7, 72), cardiorespiratory capacity
743 (72), functionality (7, 63, 74, 75). The benefits from the aquatic exercise intervention
744 can be associated with the physical characteristics of this environment. In this way, the
745 shallow water walking (SWW) is a popular method of water immersion exercise (43,
746 68).

747 The locomotion is dependent from environment and task constraints (12, 19,
748 59). While, in water immersion, the relative high density induces the addition of two
749 forces neglected in dry land walking (DLW): drag and buoyancy forces (19). The drag
750 force (DrF) is a force that resists to the displacement of a body immersed in a fluid.
751 The drag force magnitude is directly dependent to the density of the fluid, the cross-
752 sectional area of the moving body, a fluid drag coefficient, and the square of the relative
753 velocity of the body in relation to the fluid (19). Therefore, the higher water density
754 generates a higher DrF at a given walking velocity in aquatic environment in
755 comparison with land. The buoyancy force (B) is the vertical force in opposite direction
756 to the gravitational force, resulting from the difference in the gradient of hydrostatic
757 pressure applied to the immersed body, and is directly dependent of the displaced fluid
758 mass (55). Accordingly, greater the immersion depth, greater body volume immersed,
759 greater the B, and smaller the apparent body weight to be supported and propelled by
760 the locomotor system.

761 In comparison to DLW, SSW presents altered physiological and biomechanical
762 parameters such as increased energy expenditure and heart rate (HR) (27), and
763 reduced range of motion (ROM) and kinetic parameters (4). Heywood et al. (33)
764 developed a systematic review comparing gait parameters in water and dry land. Their
765 search comprised spatiotemporal, kinematic, kinetic, and muscular activity
766 parameters. Despite the many variables analyzed, a meta-analytic approach to
767 examine changes in metabolic economy of SWW is lacking (19). Also, the role from
768 depth immersion on physiological and biomechanical parameters is unknown.
769 Furthermore, the findings on energy expenditure parameters are controversial in

770 previous studies. Some studies have found that water immersion produces higher (2,
771 6, 30) values and others have found similar (2, 30, 70) values of energy expenditure in
772 shallow water in comparison to dry land.

773 Therefore, this review 1) systematically appraised the available evidence from
774 observational studies, analyzing the physiological and biomechanical responses of
775 SSW in comparison to DLW and testing the influence of immersion depth in healthy
776 adults and elderly; 2) meta-analytically pooled physiological and biomechanical
777 measurements comparing the SWW and DLW responses; and 3) provides scoping
778 lines for future research to enhance the understanding of potential gain from SWW in
779 the health context.

780

781 **5.2 Methods**

782

783 This systematic review was registered as protocol at PROSPERO (registry
784 number CRD42018113040) (Annex 1) and the Preferred Reporting Items for
785 Systematic Reviews and Meta-Analyses (PRISMA) checklist was followed to conduct
786 and to report this study.

787

788 *Data extraction and quality assessment*

789 The search strategy was elaborated accordingly to the PICOT strategy.
790 Combinations from the subsequent terms were used: “walk”, “gait”, “shallow water”.
791 The search was conducted at November of 2018 and actualized at September of 2019
792 in PubMed Medline, Cochrane, Scopus, and Embase databases, including studies
793 from inception until the search date (Appendix 1). Handsearching was also performed
794 by the reference lists of obtained articles at the digital databases.

795

796 *Eligibility criteria*

797 The studies included in this systematic review were selected based on the
798 subsequent inclusion criteria: i) Participants: healthy adults and/or elderly; ii)
799 Experimental condition: walking in shallow water at any depth. Studies that evaluated
800 walk in dry land were also included only if they have evaluated walking in shallow

801 water as well; iii) Outcomes variables analyzed: spatiotemporal, articular range of
802 motion, ground reaction forces, muscle activity, physiologic parameters; iv) Study
803 design: observational or experimental; v) Publication in peer-reviewed journal in
804 English, Portuguese or Spanish language.

805

806 *Selection of studies*

807 After the search in databases, two reviewers (A.I-M and M.Z.C) selected
808 independently the studies in the following steps: 1) Screening: the studies found in the
809 databases were first selected accordingly the inclusion criteria by title and abstract,
810 also the duplicates were excluded. 2) Eligibility: the studies remaining from step 1 were
811 evaluated accordingly the inclusion criteria by their full text reading. 3) Inclusion in the
812 systematic review: the studies remaining from step 3 were considered included in the
813 systematic review. 4) Inclusion in the meta-analysis: the meta-analysis was performed
814 for a specific variable when a sufficient number of studies using the same unit of
815 measure compared the variable in different depths in similar experimental conditions
816 (speed of walking, for example). In case of disagreement between different views a
817 third author (R.R.C) was consulted.

818

819 *Data extraction and quality assessment*

820 The extraction of data from the studies included in the systematic review was
821 made independently by two reviewers (A.I-M and M.Z.C). The data extracted of each
822 included study was the following: authors, year of publication, number of participants,
823 age from the participants, depth of immersion, water temperature, local of walking (floor
824 or treadmill), speed control method, speed of walking, and physiological,
825 spatiotemporal, angular, kinetic, and neuromuscular parameters. In case of missing
826 data, a written solicitation was sent by e-mail to the authors of the article.

827 The data extracted for each parameter were: 1) Physiological: energy
828 expenditure, HR, rating of perceived exertion (RPE). 2) Spatiotemporal: speed, stride
829 frequency, stride length, stride duration, duty factor. 3) Angular: articular ROM. 4)
830 Kinetic: ground reaction forces, joint moments. 5) Neuromuscular: electromyographic
831 activity (EMG).

832 The quality assessment of the included studies was performed with a checklist
833 based on Downs and Black (21). There was a total of 8 questions to be analyzed. The
834 questions are described in Table 2. Each question was rated as 2 (satisfying
835 description), 1 (limited details), or 0 (no information). The methodological quality of the
836 studies was rated according to criteria proposed by Hootman et al. (35) in percentage
837 of the total possible score achieved by the study as: low ($\leq 33\%$), moderate (33.4% to
838 66.7%), and high ($\geq 66.8\%$).

839

840 *Data analysis*

841 A meta-analysis was conducted. The comparisons were performed between
842 land and one specific immersion depth. The depths selected were those with sufficient
843 available data to perform meta-analysis. The mean and standard deviation values of
844 physiological, spatiotemporal, angular, and kinetic parameters were used. Results are
845 presented as standardized mean differences. Both fixed and random effect models
846 were used. The inconsistency was evaluated using the I^2 test, and heterogeneity level
847 was evaluated accordingly to Higgins et al. (34). Forest plots were generated to present
848 the pooled effect and the standardized mean differences (SMD) with 95% confidence
849 intervals (CI). The statistical significance level was $\alpha = 0.05$. The analyzes were
850 conducted using in RStudio (1.3.1056, PBC, Boston, USA).

851 To perform the meta-analysis of energy expenditure, some considerations must
852 be pointed out. Firstly, the meta-analysis was realized for studies that compared the
853 same depth conditions. Secondly, only studies that analyzed the same walking speed
854 in both depth conditions were included in the meta-analysis. Lastly, due to the different
855 units used by the studies to report energy expenditure, we performed data unit
856 adjustments.

857 These data unit adjustments were made to facilitate the comparison between
858 the studies and to express the energy expenditure in Joules (J), accordingly to the
859 International Unit System. Also, we calculate the energy expenditure normalized in the
860 space domain. The meta-analysis of energy expenditure therefore was performed for
861 both the units of J/kg/min (PMet) and J/kg/m (C) (59). It is worth noting that the C is
862 calculated from the gross oxygen consumption values given by the studies,
863 considering that only one study (16) informed energy expenditure in net oxygen

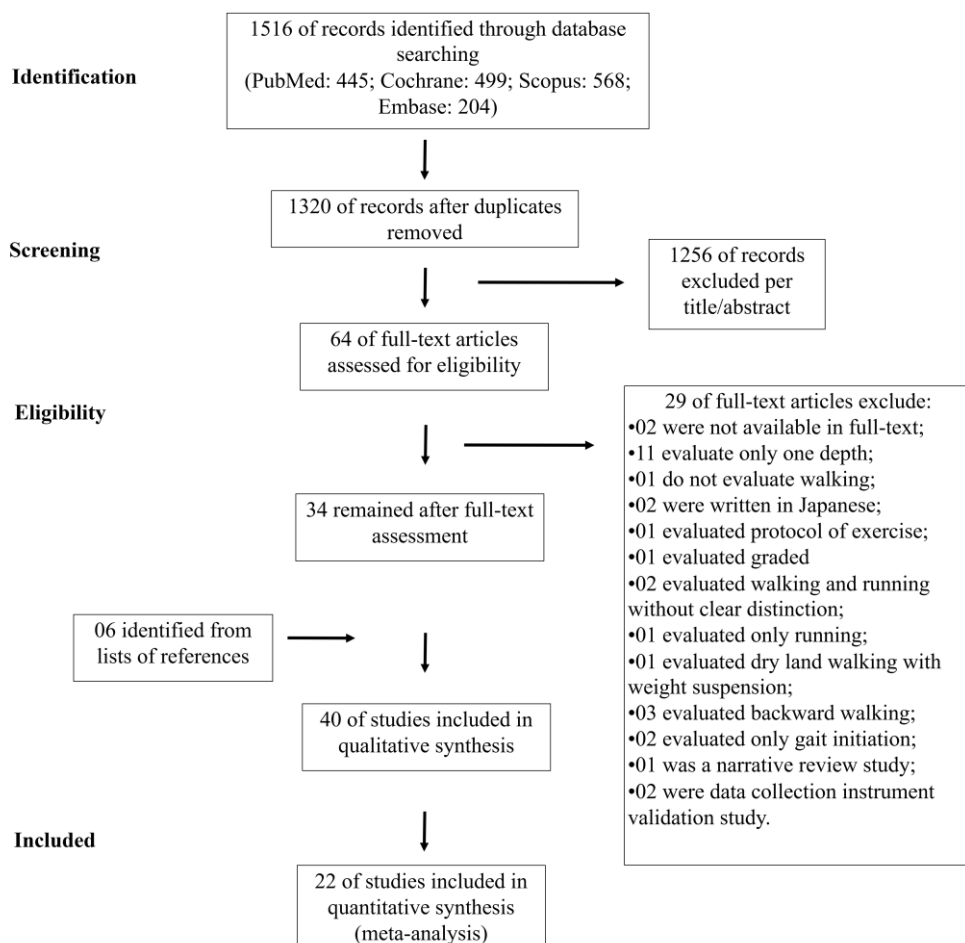
864 consumption, but this study was not included in the meta-analysis because evaluated
865 an incremental submaximal test.

866 5.3 Results

867

868 *Studies selection*

869 The initial search at databases resulted in 1516 studies, of which 196 duplicates
870 were excluded (Figure 7). After title and abstract screening, 64 studies remained for
871 the full text reading assessment. Of these, 34 studies were included; and 6 more
872 additional studies from the reference lists of the included studies were selected.
873 Therefore, a total of 40 (2–4, 6, 10, 11, 15–17, 20, 23, 24, 26–28, 30, 31, 36–40, 42,
874 44, 46–49, 51–54, 56, 61, 62, 65–67, 70, 73) studies were included in this systematic
875 review.



876

877

Figure 7 - Flow chart of studies research and selection for inclusion.

878

879 *Characteristics of included studies*

880 All data are available at supplementary material (DOI:
881 10.6084/m9.figshare.13225304). The studies characteristics are summarized in Table
882 1. Thirty-one studies have analyzed adults (2, 4, 6, 10, 11, 15, 16, 23, 24, 27, 30, 36–
883 40, 42, 44, 46–49, 51–54, 56, 61, 62, 65, 73) (26.3 ± 4.5 years), and 10 studies (3, 17,
884 20, 26, 28, 36, 48, 66, 67, 70) analyzed elderly (63.9 ± 3.8 years). The total number of
885 subjects was of 572, with a mean of 14 ± 7 subjects per study (minimum of 6 and a
886 maximum of 60 subjects per study).

887 From the 40 studies included, 21 (3, 4, 10, 11, 15, 17, 23, 24, 31, 36, 38, 40, 42,
888 51–54, 56, 62, 70, 73) performed the walking at the pool floor, while 20 (2, 16, 20, 23,
889 26–28, 30, 37, 39, 44, 46–49, 61, 65–67, 73) performed the walking at motorized
890 treadmill, and one (6) at non-motorized treadmill. Eighteen studies controlled the
891 walking speed (3, 4, 11, 15, 17, 24, 26, 31, 36, 38, 40, 47, 51–53, 56, 62, 70) by self-
892 selected determination of speed, 17 studies (2, 16, 20, 23, 27, 30, 37, 39, 44, 46, 48,
893 49, 61, 65–67, 73) used treadmill control, six studies (6, 10, 16, 23, 47, 54) used
894 metronome frequency determination, one study (28) used HR levels to determine the
895 walking intensity, and two studies (42, 73) controlled the speed of walking by
896 synchronizing the volunteer location against markers in the space.

897 The upper limb orientation during the walking in water was not described in 28
898 studies (2, 6, 15–17, 20, 23, 24, 26–28, 31, 38–40, 42, 46–49, 51–54, 62, 65, 70, 73),
899 while five studies (30, 44, 61, 66, 67) allowed upper limb swing, five studies (3, 4, 36,
900 37, 56) oriented to maintain the upper limbs outside of water, and two studies (10, 11)
901 oriented to cross arms at the chest.

902 About water temperature, nine studies (3, 4, 10, 17, 31, 36, 42, 54, 62) did not
903 report water temperature, two reported the range 30 to 31° C (23, 44) and one study
904 reported 25.0 to 27.2 °C (73). For the other 28 studies (2, 6, 11, 15, 16, 20, 24, 26–28,
905 30, 37–40, 46–49, 51–53, 56, 61, 65–67, 70) the mean temperature was $30.5 \pm 2.2^\circ$
906 C, with a minimum of 16.7° C (40) and a maximum of 35.8° C (30).











































907 About the depths studied, 38 studies (2–4, 10, 11, 15–17, 20, 23, 24, 26–28, 30,
908 31, 36, 38–40, 42, 44, 46–49, 51–54, 56, 61, 62, 65–67, 70, 73) used land as a
909 comparator of SWW. The water depth was determined by anatomical landmarks: ankle
910 (27); knee (27); thigh (27, 61); iliac crest (6, 20, 28); waist (23, 37, 39, 73); umbilicus

911 (16, 27, 61); xiphoid (2–4, 6, 10, 11, 15, 17, 26, 30, 44, 46–49, 56, 62, 65–67); 0.1 m
912 below xiphoid (2); 0.1 m above xiphoid (2); 0.05 to 0.10 m above xiphoid (15); axillar
913 (51–53, 70); neck (37). In terms of absolute depth, the values were: 0.4 m depth (54);
914 0.5 m depth (40); 0.7 m depth (54); 0.96 m depth (42); 1.0 m depth (54); 1.1 m depth
915 (31, 38); 1.2 m depth (24, 36, 42, 54); 1.3 m depth (31).

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













































918 **Table 1** - Characteristics of the included studies

Study	N (male)	Age	Depths	Floor or treadmill	Speed control	Variables								
						ST	AA	EMG	VO2	HR	GRF	JM	RPE	
Alkurdi et al., 2010	18 (0)	45 ± 1.3	  						X	X				X
Barela & Duarte, 2008	10 (6)	70 ± 4.5	 			X	X	X					X	
Barela et al., 2006	10 (4)	29 ± 6	 			X	X	X					X	
Benelli et al., 2014	15 (0)	43 ± 3.7	 	 (Non-motorized)		X			X	X				X
Cadenas-Sanchez et al., 2015	8 (4)	22 ± 1.1	 			X								
Carneiro et al., 2012	22 (11)	24.6 ± 2.6	 			X	X							
Chevustchi et al., 2009	31 (16)	22.8 ± 1.8	 			X								
Conti et al., 2015	8 (NI)	26.5 ± 2.8	 		Land:  Water: 				X	X				
Degani & Danna-dos-Santos, 2007	8 (NI)	62.5	 					X						
Dolbow et al., 2008	20 (13)	58.0 ± 2.1	 						X	X				X

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Evans et al., 1978	6 (6)	21 to 42			Land:  Water: 	Land:  Water: 			X	X			
Fantozzi et al., 2015	11 (6)	27.0 ± 3.4		1.2 m			X	X					
Fujishima & Shimizu, 2003	9 (9)	67.9 ± 1.7							X	X			
Gleim & Nicholas, 1989	11 (6)	27.5 ± 1.8										X	X
Gobbo et al., 2014	18 (18)	64.2 ± 2.9							X	X		X	
Hall et al., 1998	8 (0)	30.2					X		X	X		X	
Harrison et al., 1992	9 (3)	NI		1.1 m	1.3 m							X	
Jabbar et al., 2017	51 (51)	A: 24.6 ± 4.9 B: 58.5 ± 5.1		1.2 m			X	X					
Jung et al., 2018	15 (9)	37.1 ± 10.9						X	X				
Kaneda et al., 2007	9 (9)	24.9 ± 2.2		1.1 m							X		
Kato et al., 2001	6 (6)	19.8 ± 1.3					X	X		X			
Kotani et al., 2009	8 (8)	22.8 ± 1.0		0.5 m			X			X			

Shimizu et al., 1998	8 (8)	19 ± 1								X	X	
Shono et al., 2001	6 (0)	62.2 ± 4.2					X			X	X	
Shono et al., 2007	8 (0)	61.4 ± 3.9					X	X	X	X	X	
Takehima et al., 1997	15 (8)	79.9 ± 4.2					X			X	X	X
Whitley & Schoene, 1987	12 (0)	24.5 ± 5.4			Land:	Land:					X	
					Water:	Water:						

Depths		Walking surface		Speed control		Self-Selected	Timed Lap
Anatomical reference		Floor		Heart Rate			
Metric reference	0.7 m	Treadmill		Metronome			

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AA: articular angular; EMG: muscular activity; GRF: ground reaction forces; HR: heart rate; JM: joint moments; NI: not informed; RPE: rating of perceived exertion; ST: spatiotemporal; VO2: energy expenditure. The depth of immersion in anatomical reference are (from shallower to deeper): dry land, ankle, knee, thigh, waist, xiphoid, axillar, neck.

928 *Methodological quality*

929 The methodological quality results are in Table 2. One study (31) was
930 classified with low quality, seven (23, 38, 40, 42, 49, 54, 66) with moderate
931 quality, and all the others were classified as high quality.

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956 **Table 2** - Methodological quality analysis.

Study	Q1	Q2	Q3	Q6	Q7	Q10	Q18	Q20	Score (Absolute/ Percentual)	Quality Rate
Alkurdi et al. 2010	2	2	2	2	2	1	2	2	(15 / 94%)	High
Barela & Duarte, 2008	2	2	2	2	2	2	2	2	(16 / 100%)	High
Barela et al., 2006	2	2	2	2	2	0	2	2	(14 / 88%)	High
Benelli et al., 2014	2	2	1	1	2	0	2	2	(12 / 75%)	High
Cadenas-Sanchez et al., 2015	2	2	2	2	2	2	2	2	(16 / 100%)	High
Carneiro et al., 2012	2	2	2	2	2	2	2	2	(16 / 100%)	High
Chevustchi et al., 2009	2	2	2	2	2	0	2	2	(14 / 88%)	High
Conti et al., 2015	2	2	1	2	2	0	2	2	(13 / 81%)	High

Degani & Danna-dos-Santos, 2007	2	2	2	2	2	2	2	2	(16 / 100%)	High
Dolbow et al., 2008	2	2	2	2	2	2	2	2	(16 / 100%)	High
Evans et al., 1978	2	2	2	0	0	0	2	2	(10 / 63%)	Moderate
Fantozzi et al., 2015	2	2	1	2	2	0	2	2	(13 / 81%)	High
Fujishima & Shimizu, 2003	2	2	1	1	2	0	2	2	(12 / 75%)	High
Gleim & Nicholas, 1989	2	2	2	2	2	0	2	2	(14 / 88%)	High
Gobbo et al., 2014	2	2	2	2	2	2	2	2	(16 / 100%)	High
Hall et al., 1998	2	2	2	2	2	2	2	2	(16 / 100%)	High
Harrison et al., 1992	2	0	1	0	0	0	0	2	(5 / 31%)	Low
Jabbar et al., 2017	2	2	2	2	2	2	2	2	(16 / 100%)	High
Jung et al., 2018	2	2	2	2	2	2	2	2	(16 / 100%)	High

Kaneda et al., 2007	2	2	1	0	1	0	2	2	(10 / 63%)	Moderate
Kato et al., 2001	2	2	2	2	2	0	2	2	(14 / 88%)	High
Kotani et al., 2009	1	2	2	0	1	0	1	2	(9 / 56%)	Moderate
Kuliukas et al., 2009	2	0	0	0	1	2	2	2	(9 / 56%)	Moderate
Lim & Rhi, 2014	2	2	2	2	2	0	2	2	(14 / 88%)	High
Masumoto et al., 2004	2	2	2	0	0	0	2	2	(10 / 63%)	Moderate
Masumoto et al., 2008	2	2	2	2	2	0	2	2	(14 / 88%)	High
Masumoto et al., 2012	2	2	2	2	2	0	2	2	(14 / 88%)	High
Masumoto et al., 2013	2	2	2	2	2	1	2	2	(15 / 94%)	High
Miyoshi et al., 2003	2	2	2	0	1	0	2	2	(11 / 69%)	High
Miyoshi et al., 2004	2	2	2	0	2	0	2	2	(12 / 75%)	High
Miyoshi et al., 2005	2	2	2	1	2	0	2	2	(13 / 82%)	High
Nakazawa et al., 1994	2	2	0	0	0	0	2	2	(8 / 50%)	Moderate

Orsell & Duarte, 2011	2	2	2	2	2	2	2	2	(16 / 100%)	High
Pohl & McNaughton, 2003	2	2	2	2	2	2	2	2	(16 / 100%)	High
Ribas et al., 2007	2	2	2	2	2	0	2	2	(14 / 88%)	High
Shimizu et al., 1998	2	2	2	1	2	0	2	2	(13 / 81%)	High
Shono et al., 2001	1	1	1	1	2	0	2	2	(10 / 63%)	Moderate
Shono et al., 2007	2	2	1	2	2	2	2	2	(15 / 94%)	High
Takeshima et al., 1997	2	2	2	2	2	0	2	2	(14 / 88%)	High
Whitley & Schoene, 1987	2	2	0	2	2	0	2	2	(12 / 75%)	High

957 **Q.1:** “Is the hypothesis/aim/objective of the study clearly described?”. **Q.2:** “Are the main outcomes to be measured clearly described in the
958 Introduction or Methods section?”. **Q.3:** “Are the characteristics of the patients included in the study clearly described?”. **Q.6:** “Are the main findings
959 of the study clearly described?”. **Q.7:** “Does the study provide estimates of the random variability in the data for the main outcomes?”. **Q.10:** “Have
960 actual probability values been reported (e.g. 0.035 rather than <0.05) for the main outcomes except where the probability value is less than
961 0.001?”. **Q.18:** “Were the statistical tests used to assess the main outcomes appropriate?”. **Q.20:** “Were the main outcome measures used
962 accurate (valid and reliable)?”.

963 *Energy expenditure*

964 Twenty studies (2, 6, 16, 20, 23, 26–28, 30, 39, 42, 44, 46–48, 61, 65–67, 70)
965 have analyzed energy expenditure during walking. Except for Benelli et al. (6) that have
966 compared exclusively two water depths conditions, all studies have compared energy
967 expenditure between shallow water and dry land walking. All 20 studies have analyzed
968 the energy expenditure normalized in the time domain, i.e., metabolic power (PMet),
969 while only one (42) also have analyzed energy expenditure normalized in the space
970 domain, i.e., cost of transport (C).

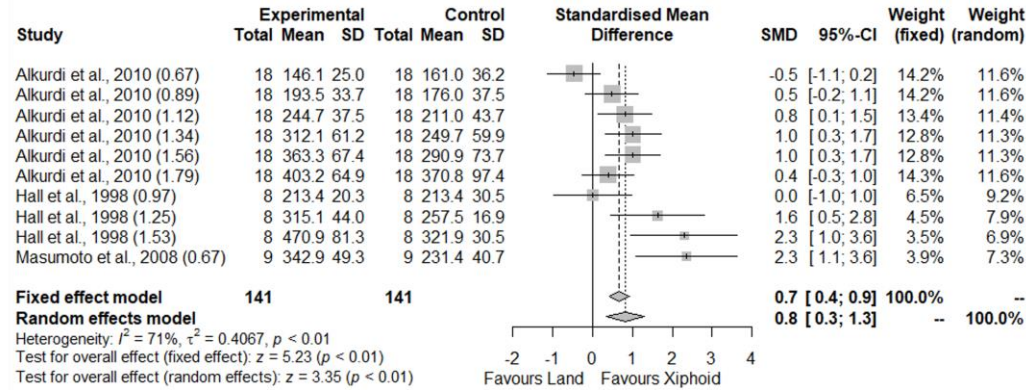
971 From the twenty studies that evaluated energy expenditure, seven were
972 included in meta-analysis (2, 20, 27, 30, 39, 48, 61). Thirteen were not included in
973 meta-analysis for different reasons: compared different walking speeds between
974 depths (26, 28, 44, 46, 70), controlled the stride frequency not the walking speed (47),
975 evaluated a submaximal incremental test (16), do not have provided enough data
976 information (23, 64–66), and evaluated different depths than the other studies (6, 42).

977 The PMet (J/kg/min) was higher in SWW at xiphoid depth than in DLW at even walking
978 speed (SDM = 0.80; 95% CI: 0.32 to 1.28; $p < 0.01$; I^2 : 74%; 304.1 ± 105.1 vs. 252.0
979 ± 60.9 J/kg/min) (Figure 8.A).

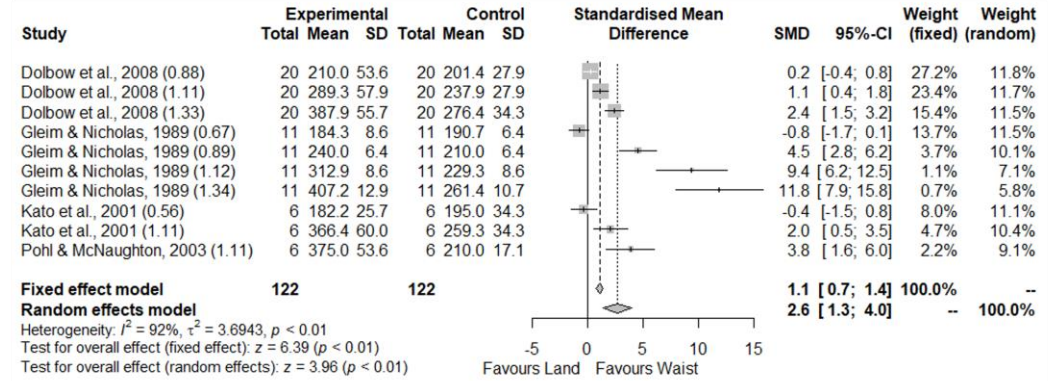
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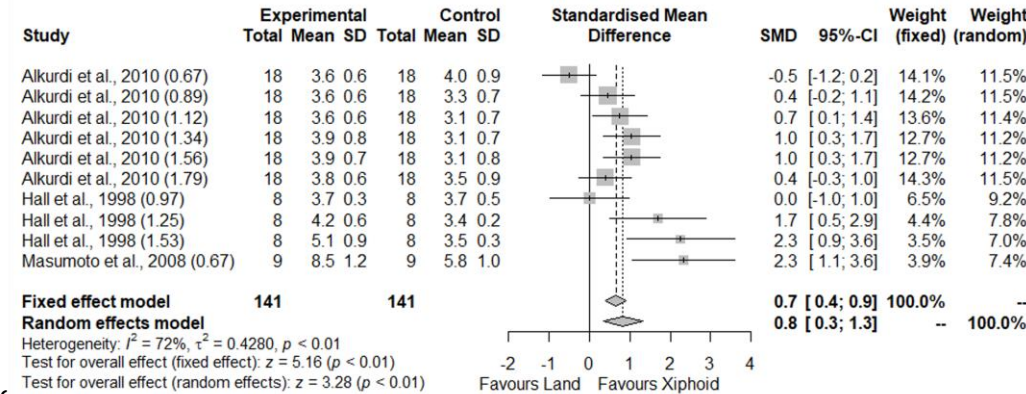
A. Metabolic Power: xiphoid depth vs. dry land



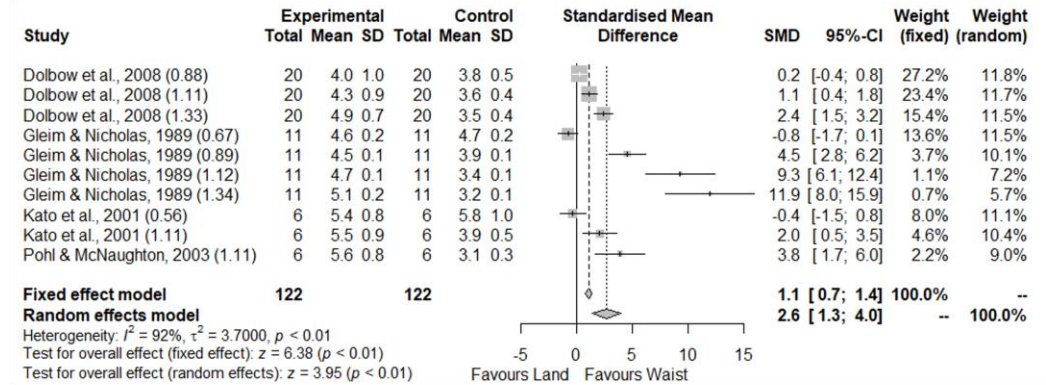
B. Metabolic Power: waist depth vs. dry land



C. Cost of transport: xiphoid depth vs. dry land



D. Cost of transport: waist depth vs. dry land



984 **Figure 8** – Panel of metabolic power and cost of transport meta-analysis. **A.** Meta-analysis of
 985 metabolic power (J/kg/min) at even walking speed (xiphoid depth vs. dry land). Standard mean
 986 differences between walking in the same speed (1.16 ± 0.37 m/s). **B.** Meta-analysis of
 987 metabolic power (J/kg/min) at even walking speed (waist depth vs. dry land). Standard mean
 988 differences between walking in the same speed (1.19 ± 0.42 m/s). **C.** Meta-analysis of cost of
 989 transport (J/kg/m) at even walking speed (xiphoid depth vs. dry land). Standard mean
 990 differences between walking in the same speed (1.20 ± 0.35 m/s). **D.** Meta-analysis of cost of
 991 transport (J/kg/m) at even walking speed (waist depth vs. dry land). Standard mean differences
 992 between walking in the same speed (1.19 ± 0.42 m/s).

993

994

995 The PMet (J/kg/min) was higher in SWW at waist depth than in DLW at even
 996 walking speed (SMD = 3.76; 95% CI: 2.38 to 5.14; $p < 0.01$; I^2 : 94%; 295.5 ± 87.1 vs.
 997 227.1 ± 30.5 J/kg/min) (Figure 8.B).

998 The C (J/kg/m) was higher in SWW at xiphoid depth than in DLW at even
 999 walking speed (SDM = 0.79; 95% CI: 0.31 to 1.27; $p < 0.01$; I^2 : 75; 4.32 ± 1.38 vs. 3.62
 1000 ± 0.69 J/kg/m) (Figure 8.C).

1001 The C (J/kg/m) was higher in SWW at waist depth than in DLW at even walking
 1002 speed (SMD = 3.75; 95% CI: 2.37 to 5.13; $p < 0.01$; I^2 : 94%; 4.85 ± 0.54 vs. $3.90 \pm$
 1003 0.81 J/kg/m) (Figure 8.D).

1004

1005

1006 *Spatiotemporal parameters*

1007 From the 13 studies that reported speed as dependent variable (3, 4, 6, 10, 11,
 1008 15, 24, 36, 47, 51, 53, 56, 70), 11 analyzed comfortable speed of walking (3, 4, 11, 15,
 1009 24, 36, 47, 51, 53, 56, 70) and three studies analyzed fast speed condition (15, 53,
 1010 70). Taking together the studies that have compared different water depths with DLW,
 1011 both comfortable (0.46 ± 0.06 vs. 1.25 ± 0.13 m/s) and fast (0.63 ± 0.23 vs. 1.74 ± 0.40
 1012 m/s) walking speeds were lower in SWW.

1013 From the 13 studies that analyzed speed as dependent variable, nine were
 1014 included in meta-analysis (3, 4, 10, 11, 47, 51, 53, 56, 70). Four studies were not

1015 included in meta-analysis for different reasons: controlled the intensity by stride
1016 frequency (6), evaluated different depths than the other studies (15, 24, 36)

1017 According to the meta-analysis, the SSWS (m/s) was lower in SWW than DLW
1018 both at xiphoid depth (SMD = -6.51; 95% CI: -7.69 to -5.32; $p < 0.01$; I^2 : 39%; $0.48 \pm$
1019 0.08 vs. 1.21 ± 0.17 m/s) (Figure 9.A), and at axillar depth (SMD = -6.38; 95% CI: -
1020 8.66 to -4.10 ; $p < 0.01$; I^2 : 78%; 0.50 ± 0.09 vs. 1.11 ± 0.13 m/s) (Figure 9.B).

1021 The fast speed (m/s) was lower in SWW at axillar depth than DLW (SMD = -
1022 6.25 ; 95% CI: -12.59 to 0.09 ; $p = 0.05$; I^2 : 95%; 0.69 ± 0.37 vs. 1.40 ± 0.03 m/s) (Figure
1023 9.C).

1024 The duty factor (%) was lower in SWW at xiphoid depth than DLW at self-
1025 selected speed (SMD: -1.27 ; 95%CI: -2.42 to -0.11 ; $p = 0.03$; I^2 : 79%; 60.4 ± 2.6 vs.
1026 $63.7 \pm 1.9\%$) (Figure 9.D).

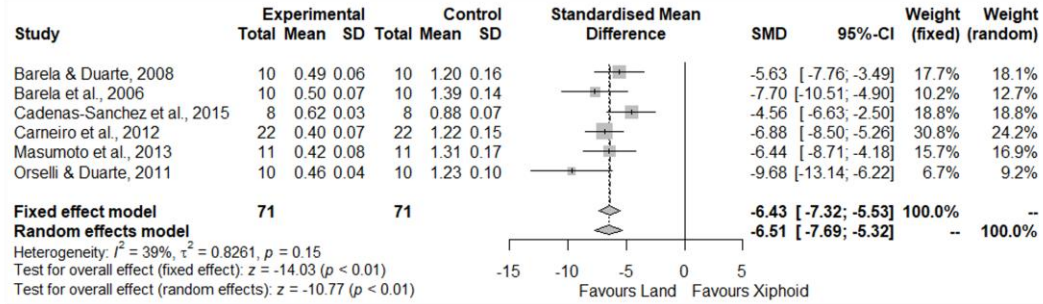
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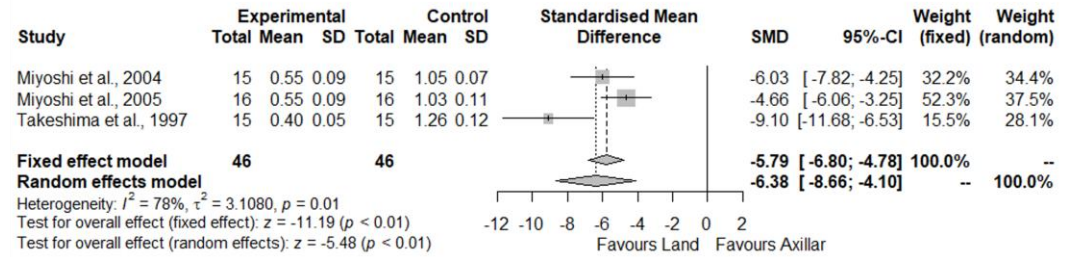
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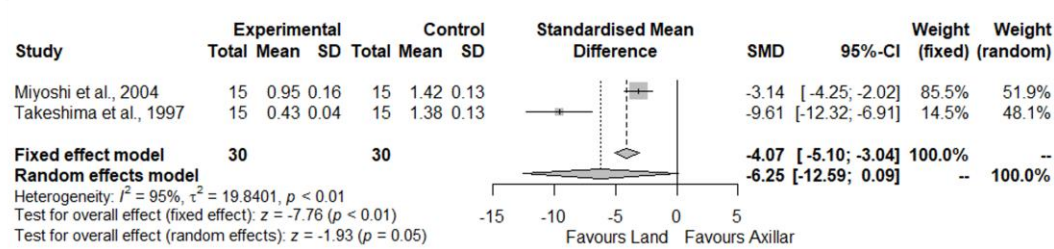
A. Self-selected walking speed: xiphoid depth vs. dry land



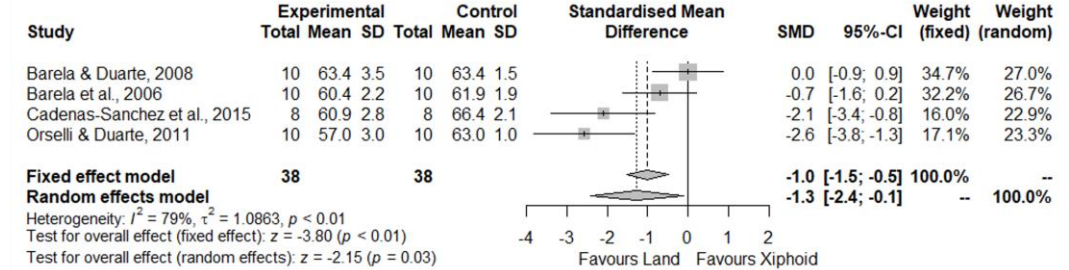
B. Self-selected walking speed: axillar depth vs. dry land



C. Fast walking speed: axillar depth vs. dry land



D. Duty factor: xiphoid depth vs. dry land



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1036 **Figure 9** – Panel of walking speed and duty factor meta-analysis. **A.** Meta-analysis of
1037 comfortable self-selected walking speed (m/s) (xiphoid depth vs. dry land). Standard mean
1038 differences between conditions. **B.** Meta-analysis of comfortable self-selected speed of
1039 walking (m/s) (axillar depth vs. dry land). Standard mean differences between conditions. **C.**
1040 Meta-analysis of fast self-selected speed of walking (m/s) (axillar depth vs. dry land). Standard
1041 mean differences between conditions. **D.** Meta-analysis of duty factor (%) at self-selected
1042 speed (xiphoid depth vs. dry land). Standard mean differences between conditions.

1043

1044 The stride length (m) was lower in SWW at xiphoid depth than DLW at self-
1045 selected speed (SMD = -1.38; 95% CI: -2.19 to -0.57; $p < 0.01$; I^2 : 56%; 1.09 ± 0.18
1046 vs. 1.28 ± 0.09 m) (Figure 10.A).

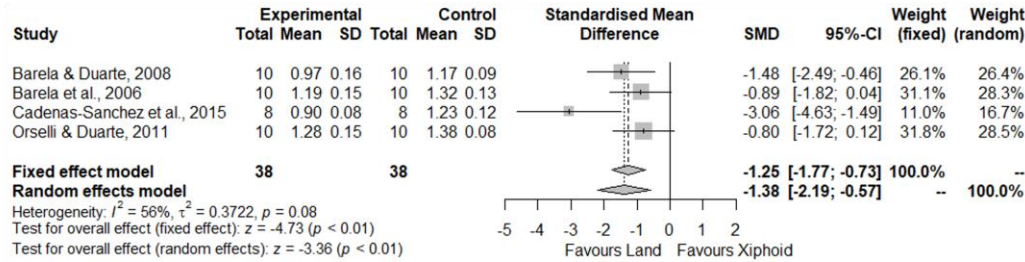
1047 The stride duration (s) was higher in SWW at xiphoid depth than DLW in self-
1048 selected speed (SMD: 6.40; 95%CI: 4.29 to 8.50; $p < 0.01$; I^2 : 64%; 2.4 ± 0.4 vs. $1.0 \pm$
1049 0.1 s) (Figure 10.B).

1050 The stride frequency (strides/min) was lower in SWW at xiphoid depth than DLW
1051 at even speed (SMD: -4.67; 95%CI: -6.99 to -2.35; $p < 0.01$; I^2 : 80%; 84.7 ± 9.6 vs. 114.7
1052 ± 8.6 strides/min) (Figure 10.C).

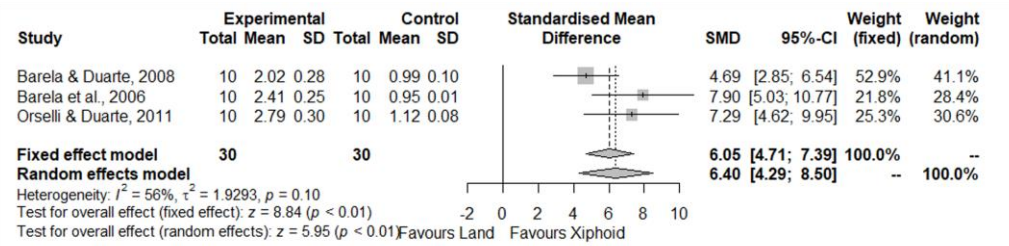
1053 The stride frequency was similar in SWW at waist depth than DLW at even
1054 walking speed (SMD: -0.56; 95%CI: -1.24 to 0.12; $p = 0.10$; I^2 : 0%; 63.7 ± 25.4 vs. 72.4
1055 ± 26.7 strides/min) (Figure 10.D).

1056

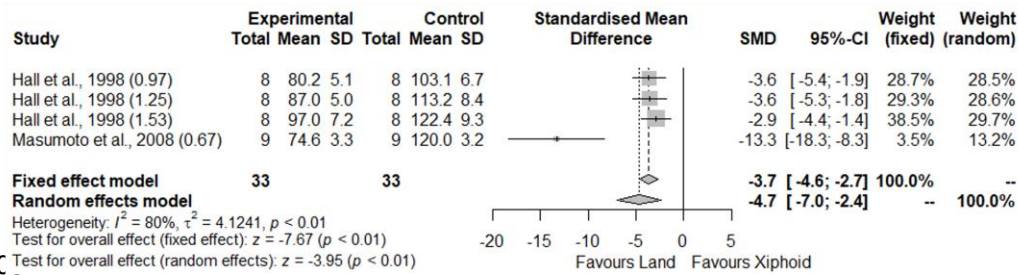
A. Stride length: xiphoid depth vs. dry land



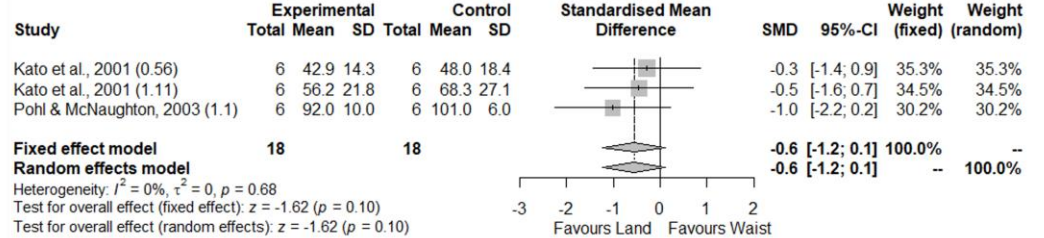
B. Stride duration: xiphoid depth vs. dry land



C. Stride frequency: xiphoid depth vs. dry land



D. Stride frequency: waist depth vs. dry land



10 Test for overall effect (random effects): $z = -3.95$ ($p < 0.01$)

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1063 **Figure 10** – Panel of stride length, stride duration and stride frequency meta-analysis. **A.** Meta-
1064 analysis of stride length (m) at self-selected speed (xiphoid depth vs. dry land). Standard mean
1065 differences between conditions. **B.** Meta-analysis of stride duration (s) at self-selected speed
1066 (xiphoid depth vs. dry land). Standard mean differences between conditions. **C.** Meta-analysis
1067 of stride frequency (strides/min) at even walking speed (xiphoid depth vs. dry land). Standard
1068 mean differences between walking in the same speed (1.11 ± 0.37 m/s). **D.** Meta-analysis of
1069 stride frequency (strides/min) at even walking speed (waist depth vs. dry land). Standard mean
1070 differences between walking in the same speed (0.92 ± 0.31 m/s).

1071

1072

1073 *Joint kinematics*

1074 The hip ROM was similar in SWW at xiphoid depth and DLW at self-selected
1075 speed (SMD: -0.12; 95%CI: -0.64 to 0.39; $p = 0.64$; I^2 : 22%; 33.1 ± 3.2 vs. 33.4 ± 4.3
1076 $^\circ$) (Figure 11.A).

1077 The knee ROM was lower in SWW at xiphoid depth than DLW at self-selected
1078 speed (SMD: -0.62; 95%CI: -1.17 to -0.06; $p = 0.03$; I^2 : 27%; 55.6 ± 7.9 vs. 59.0 ± 6.6
1079 $^\circ$) (Figure 11.B).

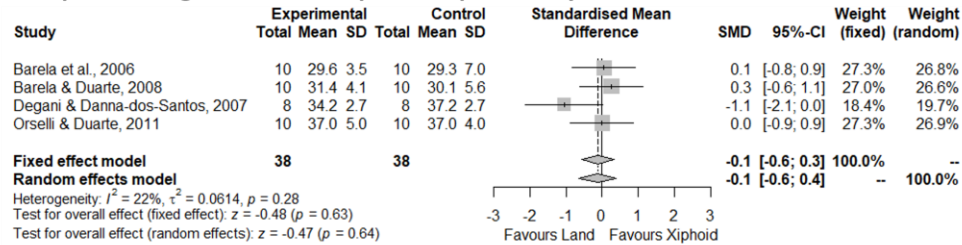
1080 The ankle ROM was similar in SWW at xiphoid depth than DLW at self-
1081 selected speed (SMD: -0.84; 95%CI: -2.15 to 0.47; $p = 0.31$; I^2 : 84%; 25.9 ± 7.4 vs.
1082 28.3 ± 3.9 $^\circ$) (Figure 11.C).

1083

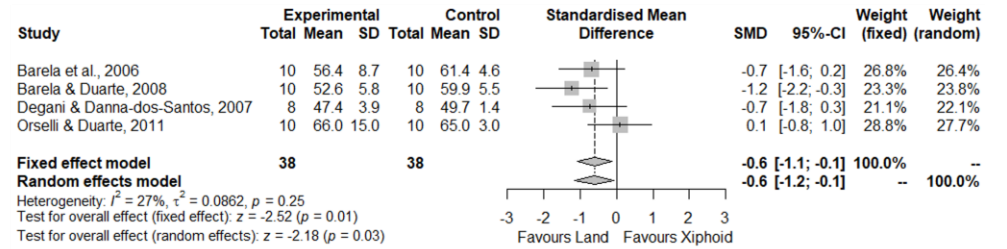
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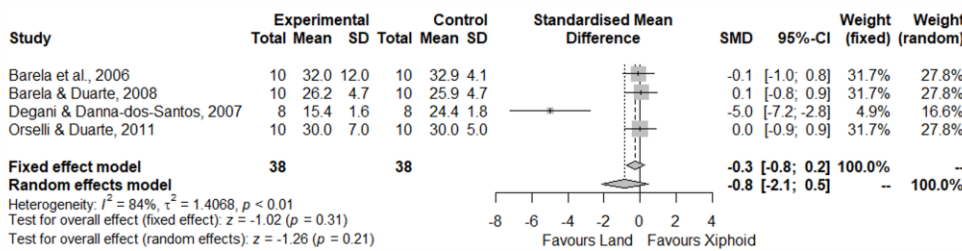
A. Hip total range of motion: xiphoid depth vs. dry land



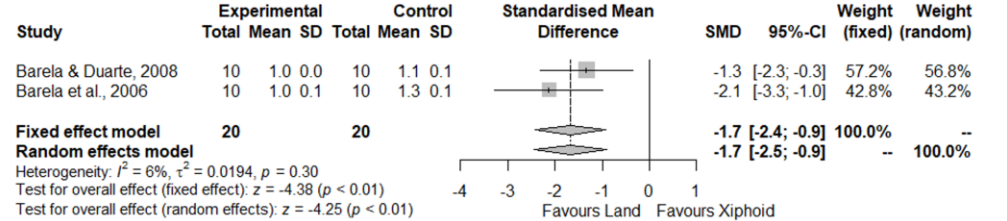
B. Knee total range of motion: xiphoid depth vs. dry land



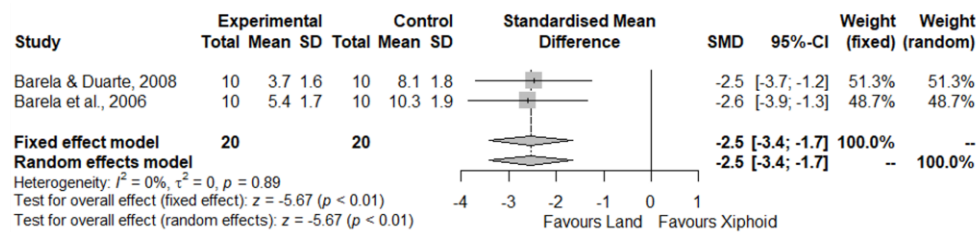
C. Ankle total range of motion: xiphoid depth vs. dry land



D. Peak vertical ground reaction force: xiphoid depth vs. dry land



E. Rate of force development: xiphoid depth vs. dry land



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1091 **Figure 5** – Panel of joints total range of motion and ground reaction forces meta-analysis. **A.**
 1092 Meta-analysis of hip total range of motion at self-selected speed (xiphoid depth vs. dry land).
 1093 Standard mean differences between conditions. **B.** Meta-analysis of knee total range of motion
 1094 at self-selected speed (xiphoid depth vs. dry land). Standard mean differences between
 1095 conditions. **C.** Meta-analysis of ankle total range of motion at self-selected speed (xiphoid
 1096 depth vs. dry land). Standard mean differences between conditions. **D.** Meta-analysis of peak
 1097 vertical ground reaction force (N/body weight) during walking at self-selected speed (xiphoid
 1098 depth vs. dry land). Standard mean differences between conditions. **E.** Meta-analysis of rate
 1099 of force development (body weight/s) during walking at self-selected speed (xiphoid depth vs.
 1100 dry land). Standard mean differences between conditions.

1101

1102 *Ground reaction forces*

1103 The peak of vertical ground reaction force (peak of V-GRF) (N/body weight) was
 1104 lower in SWW at xiphoid depth than DLW at self-selected speed (SMD: -1.68; 95%CI:
 1105 -2.45 to -0.90; $p < 0.01$; I^2 : 6%; 1.02 ± 0.01 vs. 1.20 ± 0.11 N/body weight) (Figure
 1106 11.D). Likewise, the rate of force development (body weight/s) was lower in SWW at
 1107 xiphoid depth than DLW at self-selected speed (SMD: -2.54; 95%CI: -3.41 to -1.66; p
 1108 < 0.01 ; I^2 : 0%; 4.55 ± 1.20 vs. 9.20 ± 1.56 body weight/s) (Figure 11.E).

1109

1110

1111 *Heart rate*

1112 The HR was higher in SWW at xiphoid depth than DLW at even walking speed
 1113 (SMD: 2.10; 95%CI: 1.18 to 3.02; $p < 0.01$; I^2 : 91%; 127.9 ± 24.1 vs. 96.3 ± 18.1 bpm)
 1114 (Figure 12.A).

1115

1116 The HR was higher in SWW at waist depth than DLW at even walking speed
 1117 (SMD: 3.22; 95%CI: 2.14 to 4.31; $p < 0.01$; I^2 : 90%; 111.2 ± 21.1 vs. 91.1 ± 12.6 bpm)
 1118 (Figure 12.B).

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1123 *Rating of perceived exertion*

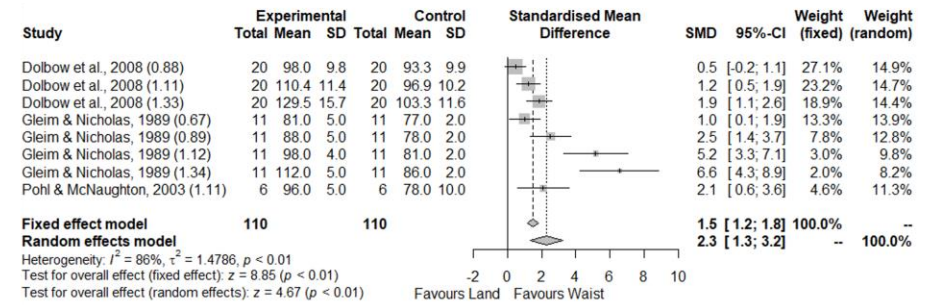
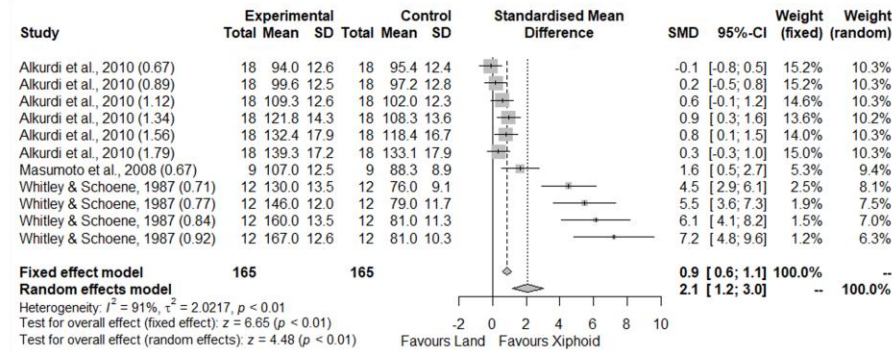
1124 The RPE for breathing (6 to 20 scale) was higher in SWW than in DLW at both
1125 xiphoid depths (SMD: 1.4; 95%CI: 0.7 to 2.0; $p < 0.01$; I^2 : 54%; 11 ± 1 vs. 10 ± 1)
1126 (Figure 12.C) and waist depths (SMD: 0.83; 95%CI: 0.34 to 1.32; $p < 0.01$; I^2 : 41%; 11
1127 ± 2 vs. 9 ± 1) (Figure 12.D) at even walking speed.

1128 The RPE for lower limbs (6 to 20 scale) was higher in SWW at xiphoid depth
1129 than DLW at even walking speed (SMD: 3.0; 95%CI: 1.8 to 4.2; $p < 0.01$; I^2 : 77%; 12
1130 ± 2 vs. 10 ± 1) (Figure 12.E).

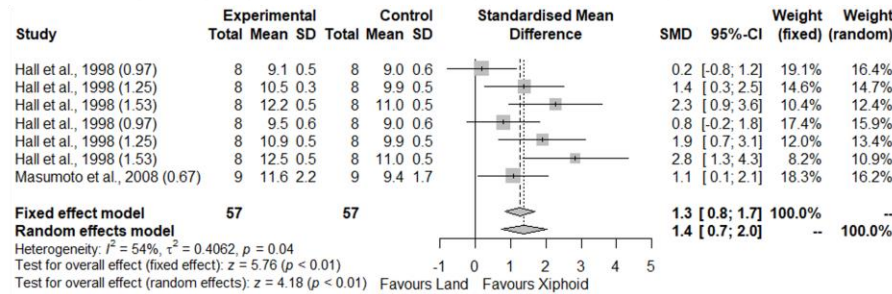
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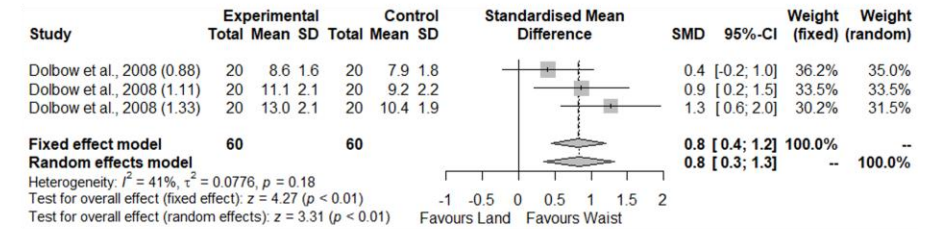
A. Heart rate: xiphoid depth vs. dry land



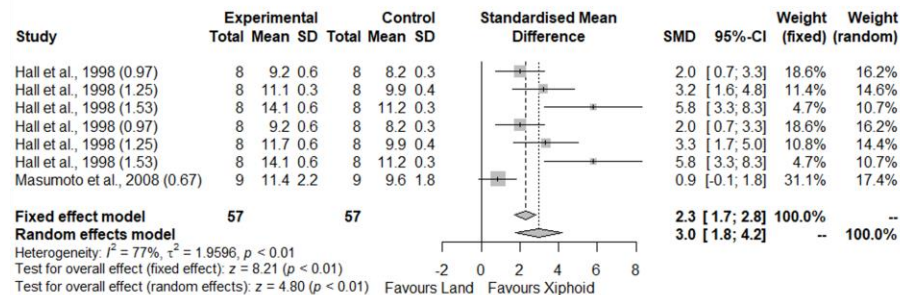
C. Breathing rating of perceived exertion: xiphoid depth vs. dry land



D. Breathing rating of perceived exertion: waist depth vs. dry land



E. Lower limb rating of perceived exertion: xiphoid depth vs. dry land



1135 **Figure 12** – Panel of heart rate and rating of perceived exertion meta-analysis **A.** Meta-
1136 analysis of heart rate at even walking speed (xiphoid depth vs. dry land). Standard mean
1137 differences between walking in the same speed (1.03 ± 0.38 m/s). **B.** Meta-analysis of heart
1138 rate at even walking speed (waist depth vs. dry land). Standard mean differences between
1139 walking in the same speed (1.06 ± 0.23 m/s). **C.** Meta-analysis of rating of perceived exertion
1140 for breathing at even walking speed (xiphoid depth vs. dry land). Standard mean differences
1141 between walking in the same speed (1.17 ± 0.32 m/s). **D.** Meta-analysis of rating of perceived
1142 exertion for breathing at even walking speed (waist depth vs. dry land). Standard mean
1143 differences between walking in the same speed (1.11 ± 0.23 m/s). **E.** Meta-analysis of rating
1144 of perceived exertion for lower limb at fixed speed (xiphoid depth vs. dry land). Standard mean
1145 differences between walking in the same speed (1.17 ± 0.32 m/s).

1146

1147 *Muscular activity*

1148 All 10 studies (3, 4, 38, 40, 48, 49, 51, 53, 54, 67) that analyzed EMG, monitored
1149 lower limb EMG, and 3 (3, 4, 49) analyzed trunk EMG. Two studies (48, 67) have
1150 compared the same walking speed (0.67 and 0.66 m/s, respectively) between water
1151 and dry land, and 7 studies (3, 4, 38, 40, 51, 53, 54) have compared the same self-
1152 selected walking condition between water and dry land. None meta-analysis was
1153 performed with EMG due to the heterogeneity of data presentation. Details about the
1154 EMG findings of each study are described below and in Table 3. Only the Miyoshi et
1155 al. (51) study is not detailed due to the lack of information.

1156 Masumoto et al. (48) have found higher activity at xiphoid depth in comparison
1157 to dry land of vastus medialis (VM), rectus femoris (RF), (long head) biceps femoris
1158 (BF), and gastrocnemius lateralis (GL), and similar activity for tibialis anterior (TA) at
1159 0.67 m/s. Likewise, Shono et al. (67) comparing also xiphoid depth with dry land
1160 walking at 0.66 m/s observed in water higher activity VM, BF and TA, and similar
1161 activity for RF and gastrocnemius medialis (GM).

1162 At self-selected speed conditions, Kotani et al. (40) found at 0.5 m depth water
1163 walking in comparison to dry land higher EMG activity in water for RF, BF, TA and GM,
1164 and similar EMG activity for vastus lateralis (VL) and gluteus medius (GIMed).
1165 Nakazawa et al. (54) found at 1.0 m depth water walking in comparison to dry land
1166 higher EMG activity for gluteus maximus, BF and tensor fascia latae (TFL), and similar
1167 EMG activity for RF, VL, TA, and soleus (SOL). Kaneda et al. (38) found at 1.1 depth

1168 water walking in comparison to dry land lower EMG activity in water for SOL, and
1169 similar EMG activity for VL, RF, BF, TA, GM. Miyoshi et al. (53) found at axillar depth
1170 similar activity of RF and TA, and higher activity in water for BF and GM.

1171 Masumoto et al. (49) compared the EMG activity of RA, paraspinal (Psp),
1172 GIMed, RF, VM, BF lateral head, TA, and GL during walking in water at xiphoid depth
1173 and in dry land at slow, moderate and hard intensity (0.5 vs 1.0; 0.67 vs. 1.33; 0.83 vs.
1174 1.67 m/s, respectively). The water condition was evaluated without and with addition
1175 of a water flow at subject's chest in opposite sense of walking speed. At slow intensity,
1176 all muscles presented lower activity in water. At moderate intensity, only TA presented
1177 similar activity in water and dry land. At hard intensity, only BF and TA presented
1178 similar activities in water and dry land. With the addition of water flow in opposite sense
1179 of walking speed, the BF have had higher activity with flow in comparison to without
1180 flow condition at slow intensity.

1181

1182 **Table 3** - Summary of muscular activity results.

Study	Depth	Walking speed	Muscle													
			Psp	RA	GIMax	GIMed	TFL	RF	VL	VM	BF	TA	GM	GL	SOL	
Barela & Duarte (2008)	Xiphoid	SSWS	↑ (End of contact; Swing)	↑ (Foot strike)			↑ (Swing)	↑ (Contact)		↑ (Contact)		↑ (Swing)	=			
Barela et al. (2006)	Xiphoid	SSWS	↑ (End of contact; Swing)	↑ (Foot strike)			↑ (Swing)	↑ (Contact)		↑ (Contact)		↑ (Swing)	=			
Kaneda et al. (2007)	1.1 m	SSWS						=	=		=	=	=			↓
Kotani et al. (2009)	0.5 m	SSWS				=		↑	=		↑	↑	↑			
Masumoto et al. (2004)	Xiphoid	Slow (0.5 vs. 1.0 m/s)	↓	↓		↓		↓		↓	↓	↓				↓

		Moderate (0.67 vs. 1.33 m/s)	↓	↓	↓	↓	↓	↓	=	↓		
		Hard (0.83 vs. 1.67 m/s)	↓	↓	↓	↓	↓	↓	=	=	↓	
Masumoto et al. (2008)	Xiphoid	0.67 m/s				↑		↑	↑	=	↑	
Miyoshi et al. (2004)	Axillar	SSWS				=			↑	=	↑	
Nakazawa et al. (1994)	1.0 m	SSWS		↑		↑	=	=		↑	=	
Shono et al. (2007)	Xiphoid	0.66 m/s					=		↑	↑	↑	=

1183 The comparisons of muscular activity response are between shallow water walking in relation to dry land walking. .BF: *biceps femoris*;
1184 GL: *gastrocnemius lateralis*; GIMax: *gluteus maximus*; GIMed: *gluteus medius*; GM: *gastrocnemius medialis*; Psp: *paraspinal*; RA: *rectus*
1185 *abdominis*; RF: *rectus femoris*; SOL: *soleus*; SSWS: comfortable self-selected speed; TA: *tibialis anterior*; TFL: *tensor fasciae latae*; VL:
1186 *vastus lateralis*; VM: *vastus medialis*.

5.4 Discussion

The purpose of our study was to employ a systematic review of physiologic, spatiotemporal, angular, kinetic, and neuromuscular parameters of SWW in different depths performed by healthy adults and elderly. We intended, therefore, to analyze organismal physiologic variables, particularly energy expenditure, and possible biomechanical determinants involved in the SWW. The main findings (Figure 13) are that energy expenditure (PMet and C), HR and RPE are increased at both xiphoid and waist depths in comparison to DLW at even walking speed. While at self-selected speed condition there is a reduction at walking speed, stride length, stride frequency, duty factor, and ground reaction forces in SWW at xiphoid depth in comparison to DLW. Our study updates the literature review in comparison to Heywood et al (33) and Denning et al. (18) studies, and also, to our knowledge, this is the first systematic review to develop a meta-analysis comparing walking in different shallow water depths and in dry land.

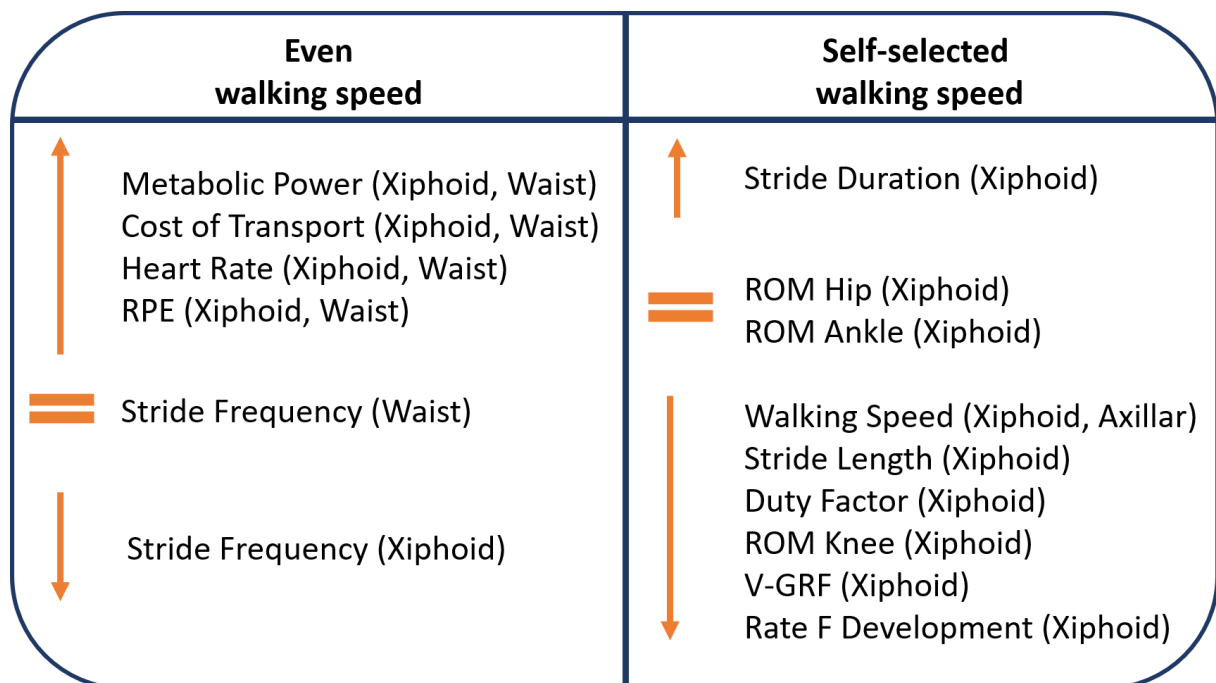


Figure 13 - Summary of meta-analysis results. All comparisons are made with dry land condition. F: force; ROM: range of motion; RPE: rating of perceived exertion (breathing and lower limb); V-GRF: vertical ground reaction force.

The higher physiologic demand during SWW in comparison to DLW at even walking speed could have some explanations. Firstly, one could analyze the hydrostatic force involved, and secondly, the hydrodynamic force.

The principal hydrostatic force acting during shallow water walking is the B that creates a simulated hypogravity condition. At simulated hypogravity there is a reduction of external mechanical work (14, 57), nevertheless, the metabolic demand does not diminish proportionally to the reduction of the mechanical demand (25, 29) or does not even diminish at all (57). Farley & McMahon (25) found a reduction of only 25% of C with a 75% reduction on gravity, while Pavei et al. (57) reported a non-statistically significant reduction of 18% in C at 0.36 and 0.16 g conditions. A possible explanation for this relatively high metabolic cost in simulated hypogravity conditions is the importance of the mechanical energy fluctuations of the moving limbs during walking (14, 29), i.e., internal mechanical work. Nevertheless, our findings do not demonstrate a relatively small reduction, but a significant increase in energy expenditure during SWW. Which take us to the hydrodynamic approach of the SWW.

At a given speed, there is a maintenance or increase of the stride frequency at dry land simulated hypogravity conditions with negligible DrF (14), but at SWW was observed a maintenance (waist depth) or decrease (xiphoid depth) of stride frequency at a fixed speed in comparison to DLW. Considering that the internal work is directly proportional to the stride frequency (13), one could expect that this maintenance/reduction of stride frequency would maintain/reduce the internal work, reducing the energy expenditure. The explanation of the higher physiologic demand during SWW in comparison to DLW - despite the expected reduction of both external and internal work at simulated hypogravity – can be the hydrodynamic resistance of water due the DrF. The DrF resists to the body displacement in the fluid, generating a mechanical dissipative system, breaking down the speed of locomotion. In order to maintain a constant speed, the body needs to employ extra chemical energy in order to overcome this resistance force during SWW, leading to higher physiologic demands (PMet, C, HR, RPE) and also modifying the spatiotemporal (stride frequency) characteristics of walking.

The HR was higher during SWW at even speed in comparison to DLW at both xiphoid and waist depths. Despite the bradycardia effect due to water immersion in rest condition (41), the walking activity was able to develop higher HR in water than in dry land when performed at even speed. Using the formula to calculate the predicted

maximal HR during immersion proposed by Krueger et al. (41), it can be seen that SWW at xiphoid (127.9 ± 24.1 vs. 96.3 ± 18.1 bpm; 77% vs. 55% of maximum HR) and at waist (111.2 ± 21.1 vs. 91.1 ± 12.6 bpm; 63% vs. 49% of maximum HR) depths demands higher absolute and relative HR levels in comparison to DLW at the even speed. This higher HR could also help to explain the higher energy expenditure found for SWW due to reverse Fick principle (9) of oxygen consumption.

The RPE for breathing was higher at both waist and xiphoid depths than in DLW at even speed. Likewise, the RPE for lower limbs during walking at even speed was higher in xiphoid depth than in dry land. This could be related to the higher energy expenditure values encountered for walking at same speed in water in comparison to dry land. Also, the respiratory mechanical work is increased due to increased airways resistance and reduced pulmonary volume in aquatic immersion (1), probably affecting the breathing sensation.

During the self-selected condition, our results demonstrated a reduction of the comfortable (xiphoid and axillar) and fast (axillar) self-selected walking speed in SWW in comparison to DLW. In accordance with the principle of dynamic similarity, at hypogravity conditions the optimal walking speed is lower in comparison to Earth gravity, considering that the pendulum mechanism operates optimally at lower speeds of walking at lower gravity (45, 50, 58, 69). To maintain a constant Froude number of 0.25 - proportional to the optimal speed of walking – at hypogravity, the speed is reduced by a factor of the squared root from the relative gravity ($v_{new\ condition} = v_{Earth} \sqrt{g_{new\ condition} / g_{Earth}}$). Therefore, the self-selected speed of walking, considered close to the optimal speed (64), would also be expected to be reduced in simulated hypogravity conditions.

The lower speed of walking in water in comparison to dry land could also be explained by a hydrodynamic approach. The DrF resists to the body displacement through the fluid and has a squared relation with the speed of displacement (71). Higher speed of walking causes higher DrF, inducing higher demands over the musculoskeletal system to sustain the motor activity. Masumoto et al. (46) found higher values of energy expenditure when walking at xiphoid depth in underwater treadmill with the addition of water flow on subject chest in opposite sense to walking speed, in comparison to a condition without the water flow. Therefore, at conditions that the subject is oriented to select the most comfortable speed of walking, it is expected lower

speeds in water in comparison to dry land. This pattern seems to be independent of age (3, 4), gender (15), and water depth (4, 51, 53).

At self-selected speed condition, the SWW at xiphoid depth presented lower stride length (SMD = -1.38; 1.09 ± 0.18 vs. 1.28 ± 0.09 m) and higher stride duration (SMD: 6.40; 2.4 ± 0.4 vs. 1.0 ± 0.1 s) in comparison to DLW. Observing the higher SMD for stride duration, it seems that the walking speed reduction in water is mainly due to the temporal rather than spatial characteristics of gait cycle. This fact can also be explained by the hydrodynamic characteristics of the water walking: the reduction of walking self-selected speed in water seems to be caused mainly by the reduced angular velocity of the lower limb (17, 56) which will increment the stride period, more than due to reduction in the total foot excursion during the stride (stride length).

The lower limb ROM at self-selected speed showed similar values for hip and ankle, while knee presented lower values in SWW at xiphoid depth (SMD: -0.62; 55.6 ± 7.9 vs. 59.0 ± 6.6 °) in comparison to DLW. At first, these data suggest a somehow similar lower limb angular pattern for walking at xiphoid and dry land self-selected walking. Nevertheless, considering the reduced stride length in the xiphoid depth in comparison to dry land, one could expect reduced ROM at xiphoid condition. Modeling the lower limb as a pendulum with constant radius, a lower linear displacement (arch length) will cause a lower angular displacement; but this is not what happened for hip and ankle joints. So, we interpreted that although present similar absolute angular displacement at xiphoid depth and dry land, hip and ankle joints showed higher movement excursion at xiphoid depth. Similarly, the stride length is increased at major degree (SMD: -1.38) than knee ROM (SMD: -0.62).

The lower limb angular displacement adaptations during SWW can be a kinematic strategy to minimize the effects of DrF resistance. Considering the DrF dependence on the projected frontal area, the locomotor system seems to manage the DrF resistance by controlling the relative angular displacement of lower limb joints. In this way, the higher relative angular displacement could be a strategy to reduce the projected frontal area, considering the more flexed positions of hip (3, 10) and knee (3, 10, 17) during stride cycle. On the other side, the DrF dependence on speed seems to be controlled by the angular velocity. Despite the lower stride length during water walking, the similar absolute angular displacement associated with higher stride period leads to diminished peak angular velocities (17, 56).

The peak V-GRF and the rate of force development during walking at self-selected speed was lower in SWW at xiphoid depth than in DLW. The pattern of V-GRF curve during SWW was flatter in comparison to DLW (3, 4) at self-selected speed. The peak V-GRF was lower in water in comparison to dry land, even if the V-GRF was normalized by the body weight (11, 53, 54, 56) or by the apparent body weight in immersion condition (3, 4). This could be understood by the relation of peak V-GRF with both immersion ratio (ratio between water depth and stature) and speed of walking (32), considering the B effects and lower self-selected speed in water. The anterior-posterior ground reaction force curve during water walking assumed a predominant positive pattern, different from the negative-positive pattern of dry land walking (3, 4, 53, 56). This monophasic anterior-posterior ground reaction force curve is justified by the authors (4) as a kinetic strategy to maintain a constant walking speed and overcome the DrF horizontal resistance of water.

The muscular activity findings are not conclusive, but it can be observed a pattern of higher activity in SWW of lower limb in the studies that compared the same speed (48, 67) and self-selected speed (40, 53, 54) of walking in water and in dry land, what could be related to the necessity of overcome the DrF resistance in order to move the limb during gait cycle. The only study that reported a pattern of muscular activity reduction in water was of Masumoto et al. (49), but this study compared the SWW at half of the speed of the DLW at treadmill. Despite other studies compared self-selected speed between water and dry land – expected to be lower in water -, they analyzed shallow water walking on the pool floor, and not on an underwater treadmill (3, 4, 38, 40, 53, 54). The underwater treadmill condition can be a source of influence on the neuromuscular activation during walking, due to the reduced body center of mass horizontal displacement in comparison to the pool floor walking, that can reduce the force production demand from the neuromuscular system. And the other studies that investigated underwater treadmill have compared the muscular activity in SWW at same speed with DLW treadmill (48, 67). Also, at self-selected (3, 4, 40, 53, 54) and even (48, 67) speed of walking, BF activation was higher in SWW in comparison to DLW. This finding can be attributed to higher extensor hip moment observed during SWW, related to the need to overcome the DrF resistance (51–53).

Limitations

The limitations of this systematic review are related to the search terms choice and to language restriction, that could have left out others studies eligible to inclusion. The limitations associated with the analyses of the included studies are related to the methodological heterogeneity between studies, as the different depth method determination and speeds performed. Of all 40 included studies, only 7 (2, 27, 31, 37, 42, 54, 61) have used more than one depth as comparator to dry land, complicating a better understanding from the depth level influence on the walking parameters. The lack of information of the data results also have limited the inclusion of more studies in the meta-analysis.

Future investigations

Some suggestions can be made from the results of the present systematic review for future studies investigating the acute effects of SWW. It can be suggested the execution of studies that investigate more than one shallow water depth, in order to provide a better understand of the influence of hydrostatic and hydrodynamic forces involved during SWW on metabolic cost. For example, Kuliukas et al. (42) have found at waist depth walking higher values of C at low (<0.2 m/s) and high (>0.7 m/s) speeds, with the minimum C during walking at intermediate speeds (0.3 to 0.7 m/s). To our knowledge, this is the first study to demonstrate this C response during SWW. Nevertheless, remains the question if this U-shaped curve appears during walking in other depths.

A deeper exploration of the response of spatiotemporal (self-selected speed, stride frequency, stride length), lower limb angular parameters and neuromuscular activity during shallow water walking in other depths than xiphoid is recommended. The investigation of neuromuscular activity in different depths can help to explain the higher energy expenditure at organismal level.

The analysis of these variables on a more thorough depth gradation could enhance the exercise and therapeutic prescription to a variety of healthy conditions and different populations, and help to understand if these alterations can maximize gains in metabolic economy and gait biomechanics after long-term SWW intervention.

5.5 Conclusion

The SSW is a locomotion condition strongly influenced by the hydrostatic and hydrodynamic forces due water immersion. Our systematic review found higher physiologic demand (energy expenditure, HR, RPE) in SSW at waist and xiphoid depths in comparison to DLW at even walking speed. While at self-selected speed conditions was found lower speed, lower stride length, and lower stride frequency in SSW at xiphoid in comparison to DLW. All ground reaction forces were reduced during SSW, and muscular activity seems to be higher in water at even walking speed than dry land, or during floor walking at self-selected speed.

We recommend future studies exploring these physiological and biomechanical variables during SSW in other depths than xiphoid, in order to investigate if the neuromuscular activity can help to explain the higher energy expenditure at organismal level. Also, we indicate future studies that analyze if these alterations can maximize gains in metabolic economy and gait biomechanics after long-term SSW intervention.

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6.Study 2: Mechanical determinants from minimum cost of transport of shallow water walking in humans

Abstract

Although the physiologic and biomechanical responses of shallow water walking (SWW) have been studied extensively, a physiomechanical model aiming to define the mechanical determinants of cost of transport (C) of SWW is lacking. Therefore, the aims of this study were 1) to compare the C and the spatiotemporal parameters during SWW at different depths and speeds by healthy adult men, and 2) to propose a physiomechanical model called “water immersed inverted pendulum”, estimating the buoyancy force (B) and the drag force (DrF). We measured the C and spatiotemporal parameters at four depths (knee, hip, umbilical, xiphoid) and five speeds (0.2, 0.4, 0.6, 0.8 m/s, and at comfortable self-selected speed) in nine healthy adult men (28 ± 8 years, 77.7 ± 9.2 kg, 1.78 ± 0.04 m) during SWW. The C had a minimal value at intermediary speeds only in the knee depth, whilst in the other deeper depths the C presented a monotonic rise with the speed increase. A minimum C was found at hip depth during 0.2 m/s, suggesting an optimization between the effects of buoyancy and drag forces at this condition. The novel physiomechanical model allowed us to observe that the C in SWW seems be an optimized interplay between buoyancy and drag forces.

Key-words: locomotion; physiomechanics; aquatic walking; water immersion.

6.1 Introduction

Exercise in aquatic environment is a feasible and recommended intervention to a wide range of populations, being advantageous to pain (4, 14, 39, 41), muscle strength (5, 6, 46, 48), mobility (14), equilibrium (49), flexibility (5, 46), cardiorespiratory capacity (46), functionality (5, 41, 48, 49). Among others physical activities performed in aquatic environment, there is the shallow water walking (SWW) (26, 44).

The human walking can be interpreted by a biomechanical model of inverted pendulum that actuates to minimize the metabolic energy expenditure due to mechanical energy exchange during gait cycle (42). This inverted pendulum enables the interchange between potential gravitational and kinetic forward energies, and its function depends on both intrinsic and extrinsic factors (10, 13, 38). The reduction of gravity acceleration during dry land simulated hypogravity has effects on different aspects of inverted pendulum response, as cost of transport (C), spatiotemporal parameters, and mechanical energy fluctuations (12, 35, 36, 40).

During SWW the human body is under the greater effects of two forces in comparison to dry land walking: buoyancy (B) and drag (DrF) forces. The B is a vertical force that opposes the gravitational force effect, reducing the apparent body weight of an immersed body, simulating a hypogravity environment ($g < 1.0$) (33). The DrF is a hydrodynamic force that resists to the displacement of a body immersed in a fluid. The total DrF is composed by pressure DrF, frictional DrF, and wave DrF (45); but the pressure DrF seems to be the most important DrF type during SWW (30).

Several studies have studied different physiologic and biomechanical parameters from SWW (2, 3, 17, 34). However, we did not have find any study proposing a SWW biomechanical model in the light of inverted pendulum mechanism, although Kuliukas, Milne and Fournier (25) have found a U-shaped like C curve at waist depth. Therefore, we had two main aims with the present study (one experimental and one theoretical aim): 1) Experimental: to compare the C and the spatiotemporal parameters during SWW at different depths and speeds in healthy adult men. 2) Theoretical: considering that the C mechanical determinants from SWW are unclear, our second aim was to propose a biomechanical model called "water immersed inverted pendulum", estimating the B and the DrF. Our hypotheses were: 1)

The C of SWW would have a minimal value at intermediary speeds. 2) The C response would be related to the interplay between B and DrF.

6.2 Methods

Participants

The sample size calculus with a f effect size of 0.31, an α of 0.05, and power of 0.9 resulted in a sample size of 8 (*GPower* v. 3.9.1.4, Düsseldorf University, Düsseldorf, Germany). Nine men (28 ± 8 years, 77.7 ± 9.2 kg, 1.78 ± 0.04 m) were analyzed during the walking at shallow water. All subjects were healthy, without any neurological or musculoskeletal condition that could impair their walking ability. This study was approved by the institutional ethics committee (project number: 37928 / Universidade Federal do Rio Grande do Sul, Brazil). All subjects were aware of the potential risks of the experimental protocol and gave their written informed consent. The project was registered at Open Society Foundations (DOI 10.17605/OSF.IO/JFYXN) (Annex 2).

Experimental protocol and data collection

The data collection occurred in two non-consecutive days, with one week of interval at least. The subject was asked to walk at four immersion depths (knee, hip, umbilical, xiphoid) at four fixed speeds (0.2, 0.4, 0.6, 0.8 m/s) and at SSWS (self-selected comfortable speed) in each depth. The depths and speeds order were randomized. The subjects performed the walking at two depths at each day of data collection, accomplishing the four depths in two days. Anthropometric data from lower limb and trunk measures of lengths and circumferences were obtained.

The walking protocol was performed in a pool of 16 x 6 m. The pool floor was fixed; therefore, the immersion depths are presented in metric scale for each depth condition with the respective mean and standard deviation (SD) in percentage from subjects' stature. Knee: 0.5 m, 0.28 ± 0.01 % from stature. Hip: 0.85 m, 0.48 ± 0.01 % from stature. Umbilical: 1.12 m, 0.63 ± 0.01 % from stature. Xiphoid: 1.3 m, 0.73 ± 0.02 % from stature. The water temperature was of 27 – 30 °C.

The walking speed was controlled by a timed audible stimulus and marked positions every 2.5 m on the border of the pool. In each fixed speed condition, the subject was instructed to perform the displacement from one marker to another accordingly to the audible stimulus synchronization. Only for the SSWS condition, the subject was asked to choose freely the speed of walking.

The walking protocol was performed in a round trip mode. The subject performed a 5 m walking distance, then turned around 180° at each 5 m. In order to evaluate if this circular route would affect the energy expenditure, we performed a pilot study ($n = 2$, 25 ± 0 years, 84.5 ± 4.9 kg, 1.80 ± 0.08 m) in a larger pool where the subjects could walk in 10 m distance before turn around and compared to the 5 m path. In this pilot, we have found a mean difference of 2% higher $\dot{V}O_2$ in 5 m path in comparison to the 10 m path, therefore validating our experimental design.

Each walking speed was performed during 5 minutes with 3 to 5 minutes rest interval between each speed. The return to basal levels of heart rate (HR) and rating of perceived exertion (RPE) was a criterion to initiate the subsequent walking condition. The SSWS condition was collected during a 2 minutes interval, because no physiological measure was made.

To account for influence of water immersion on cardiovascular rest parameters, a larger interval time between different depths was given. If the subject has first performed the walking in a deeper depth (xiphoid, p.ex.), a 15 minutes interval was respected before initiate the walking in the following shallower depth (umbilical, p.ex.) (16).

The kinematic data was collected by a waterproof GoPro Hero 5 (GoPro Inc., San Mateo, USA) at 60 Hz. The camera was positioned at 4 m distance of the subject during the walking, with the lens projected at 90° with the sagittal plane of the subject. The camera was at 0.6 m (hip, umbilical, xiphoid) and 0.5 m (knee) from the pool floor. Anatomical points in the subjects' skin were marked with ink at the following position (18): the fifth metatarsal, calcaneus, lateral malleolus, femoral epicondyle, greater trochanter, lateral projection of umbilical, lateral projection of xiphoid. In order to calibrate the area of movement in metric scale, a rectangular calibrator of 2.1 x 1.6 m dimensions (with 0.10 m distances between each calibrator marker) was used.

The O₂ consumption and CO₂ production were collected by a K5 wearable metabolic system (COSMED, Rome, Italy) in breath by breath mode calibrated accordingly to manufacturer instructions. At each depth, the respiratory gases response in rest orthostatic posture were collected during a 5 min period. During the walking tests, the respiratory gases were collected during all the 5 min of walking, but only the last 2 min of the walking test was used for posterior analysis. The HR and RPE were collected just before the start and just after the end of each walking test. The HR in bpm was collected with a hear rate monitor Polar FT1 (Polar, Kempele, Finland), and the RPE with a 6-20 Borg's scale (8).

Data analysis

The videos recorded by GoPro were imported into SkillSpector v. 1.2.3 where the anatomical points were manually digitalized. Five strides per subject in each speed condition were analyzed with a total of 700 strides. The position by time array of each anatomical point were exported and processed in a MatLab routine (2012b, Mathworks Inc., Natick, Massachusetts, USA). The routine is available on-line (<https://github.com/andreivaniskimello/Gait-Analysis>). The kinematic data were low-pass filtered with a Butterworth filter 4-5 Hz, 2nd order. From the position per time curves, the speed per time curves were calculated by finite-difference Winter's technique (47). From this kinematic data, the spatiotemporal variables were calculated: speed of walking (m/s), stride length (SL) (m), stride frequency (SF) (Hz), and duty factor (%). The speed of walking was calculated in order to verify if the subjects were walking at the proposed speed for each condition.

The energy expenditure was estimated by indirect calorimetry from the K5 data (22, 37). The O₂ and CO₂ data was used to estimate the J/kg/min expenditure in each condition (rest and walking). This was considered the gross-PMet (J/kg/min). The net PMet (PMet) (J/kg/min) was calculated from the subtraction of gross-PMet during the walking condition from the gross-PMet obtained during the rest condition of the respective depth. The C (J/kg/m) was obtained dividing PMet by walking speed.

“Water immersed inverted pendulum” theoretical model

The “water immersed inverted pendulum” theoretical model was developed from physiologic collected and kinetic estimated data during SWW. The physiologic data was C. The kinetic data was estimated from kinematic and anthropometric data collected experimentally. The kinetic variables were: DrF and mean vertical ground reaction force during stride cycle (mV-GRF).

The DrF (N) was estimated from the model of Orselli and Duarte (34) using anthropometric and kinematic data. From anthropometric data of segments circumferences and lengths, the lower limb and trunk segments were modeled as a conic frustum. From the kinematic data, the velocity and angular position of each segment was calculated. The angular position was used to determine the frontal projected area of segment. The strip theory was used to estimate the DrF. In this strip theory, each segment is divided in several thin strips. Then the DrF is calculated for each of this strip at each time point, and the total DrF for the segment is calculated from the sum of all the strips. The DrF was calculated during the contact and swing phase, and the sum of these two phases resulted in the total DrF of the full stride. The total DrF during the stride was used for the statistical analysis and “water immersed inverted pendulum” theoretical model.

For estimate the B effect of each walking condition, the mV-GRF (N) during the entire stride cycle was estimated by the apparent body weight in each immersion depth. Considering the along the entire stride cycle, the mV-GRF is equal to the subject weight (28), we calculated the apparent body weight (% of dry land weight) for each depth accounting for the B weight bearing reduction effect (24), and considered this value of apparent body weight as the mV-GRF of the stride.

The SSW “water immersed inverted pendulum” model has taken in account, therefore, the mean values of C, DrF and mV-GRF of each condition of depth and walking speed. From the mean values of C, DrF and mV-GRF, we performed polynomial regression with C as dependent variable and DrF and mV-GRF as independent variables.

In order to compare our data of SWW with the dry land simulated hypogravity walking regression polynomial from Pavei and Minetti (36), we converted the gravity acceleration (g) into metric scale of immersion depth (m) using the data from Krueel (24)

of apparent weight reduction due B (Equation 6). From the immersion depth in metric scale (m) of the anatomical landmark depths of the present study, we estimated the apparent weight reduction using the data of Krueel (24), and considering this apparent weight reduction as a simulated hypogravity condition, i.e., a ratio of Earth gravity acceleration. We considered the metric scale (m) as equivalent of gravity acceleration (g) to utilize the Pavei and Minetti (36) function to plot a dry land simulated hypogravity surface. The depths analyzed have the gravity acceleration equivalents of 0.88 g for knee, 0.58 g for hip, 0.48 g for umbilical, 0.33 for xiphoid.

The polynomial regression and all graphics were made in Python language. The scripts are available on-line (<https://github.com/andreivaniskimello/Graphics>).

Equation 6 - Conversion from depth of water immersion (m) into gravity acceleration (g).

$$\begin{aligned} \text{Depth in metric scale (m)} &\rightarrow \text{Apparent body weight (\% real body weight)} \\ &\rightarrow \text{Earth dry land acceleration gravity ratio (g)} \end{aligned}$$

Statistical analysis

The results are presented as mean, SD and 95% confidence interval (95% CI). The alpha level $\alpha = 0.05$ was set for all analyses. Statistical analysis was performed in Statistical Package for Social Sciences v.26 (SPSS, Chicago, Illinois, USA).

A simple *t*-test was used to compare the speed of walking achieved by the subjects with the proposed speed condition. Generalized linear mixed model (GLMM) was used to compare the dependent variables (SL, SF, duty factor, C, PMet, DrF, HR, RPE) response on the different conditions of depth (knee, hip, umbilical, xiphoid), speed (0.2, 0.4, 0.6, 0.8), and their interactions (depth*speed). And GLMM was used to compare the mV-GRF between depths. A correlation was used to verify the relation between C with kinetic parameters (DrF and mV-GRF).

6.3 Results

The individual dataset is disponible on-line (DOI: 10.6084/m9.figshare.13221485).

Spatiotemporal

The Table 4 presents the mean speed achieved for each walking speed condition. With exception of 0.8 m/s walking speed condition at all depths and 0.4 m/s at xiphoid depth, the subjects were able the reach the proposed walking speed in all speed conditions.

Table 4 – Mean walking speed in each depth during shallow water walking. The comparisons are made respectively with the proposed walking speeds (m/s): 0.2, 0.4, 0.6, 0.8.

Depth	Speed (m/s)	Statistics (t value; df; p)
Knee	0.19 ± 0.02	-0.798; 7; p = 0.451
	0.39 ± 0.02	-1.155; 7; p = 0.286
	0.64 ± 0.06	-1.594; 7; p = 0.155
	0.72 ± 0.04*	-5.001; 7; p = 0.002
Hip	0.19 ± 0.02	-0.834; 6; p = 0.436
	0.40 ± 0.02	0.000; 6; p = 1.000
	0.59 ± 0.02	-0.757; 6; p = 0.104
	0.73 ± 0.20*	-8.990; 6; p < 0.001
Umbilical	0.21 ± 0.01	2.169; 5; p = 0.082
	0.43 ± 0.30	2.371; 5; p = 0.064
	0.64 ± 0.06	1.914; 6; p = 0.104
	0.76 ± 0.04*	-2.791; 6; p = 0.032
Xiphoid	0.19 ± 0.02	-0.916; 5; p = 0.402
	0.43 ± 0.04*	2.622; 6; p = 0.039
	0.58 ± 0.04	-1.283; 4; p = 0.269
	0.64 ± 0.03*	-11.241; 2; p = 0.008

Data are presented as mean and standard deviation. The statistics is a simple *t*-test comparing the mean value obtained with the proposed walking speeds (m/s) of: 0.2, 0.4, 0.6, 0.8.

*: statistically significant difference.

The SF (Figure 14 and Table 5) decreased with increased depth ($F(3, 32.7) = 7.0$ $p = 0.001$), while increased with increased speed ($F(3, 66.6) = 120.7$; $p < 0.001$). Yet, a significant interaction of depth*speed was not found for SF ($F(9, 70.7) = 0.4$; $p = 0.93$).

The SL (Figure 14 and Table 5) increased with both increased depth ($F(3, 36.6) = 9.5$; $p < 0.001$) and speed ($F(3, 70.5) = 116.9$; $p < 0.001$). While a significant interaction of depth*speed was found ($F(9, 73.6) = 2.1$; $p = 0.041$).

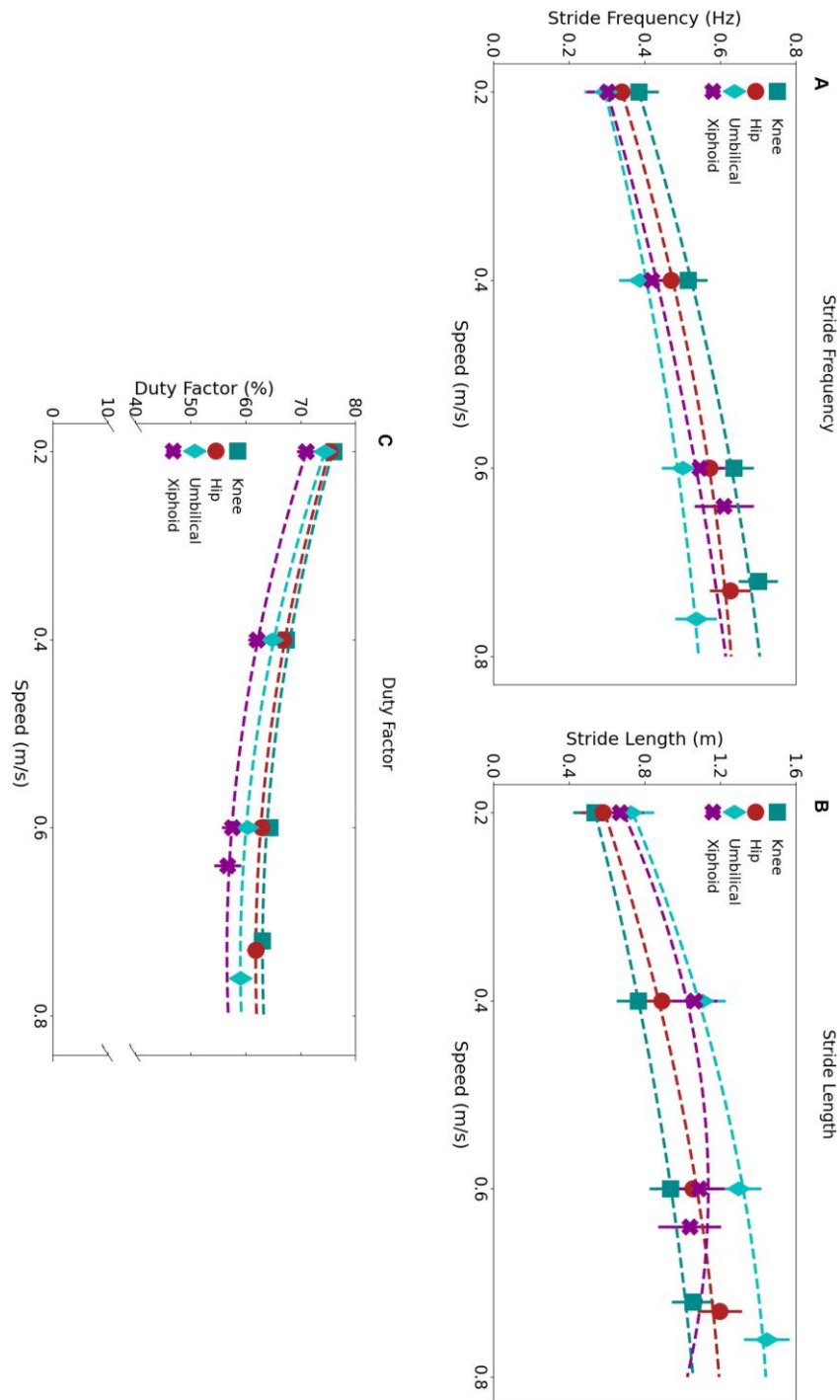
The duty factor (Figure 14 and Table 5) decreased with both increased depth ($F(3, 33.5) = 24.2$; $p < 0.001$) and speed ($F(3, 62.4) = 259.7$; $p < 0.001$). And a significant interaction of depth*speed was not found ($F(9, 65.1) = 0.5$; $p = 0.88$).

1 **Table 5** - Mean and 95% CI of spatiotemporal variables at different depths and different speeds of walking condition.

Variable	Depth / Speed	0.2 m/s	0.4 m/s	0.6 m/s	0.8 m/s
SF (Hz)	Knee	0.38 (0.33–0.44) ^{A/a}	0.52 (0.46-0.57) ^{B/a}	0.63 (0.58-0.69) ^{C/a}	0.70 (0.65-0.75) ^{C/a}
	Hip	0.34 (0.29-0.39) ^{A/a}	0.47 (0.42-0.52) ^{B/a, b}	0.57 (0.52-0.63) ^{C/a, b}	0.63(0.57-0.68) ^{C/a, b}
	Umbilical	0.30 (0.26-0.34) ^{A/a}	0.39 (0.36-0.41) ^{B/b}	0.50 (0.47-0.53) ^{C/b}	0.54 (0.49-0.58) ^{C/b}
	Xiphoid	0.30 (0.25-0.36) ^{A/a}	0.42 (0.36-0.47) ^{B/a, b}	0.55 (0.48-0.61) ^{C/a, b}	0.61 (0.53-0.69) ^{C/a, b}
SL (m)	Knee	0.53 (0.42-0.65) ^{A/a}	0.76 (0.65-0.88) ^{B/a}	0.94 (0.82-1.05) ^{C/a}	1.05 (0.94-1.17) ^{C/a}
	Hip	0.58 (0.46-0.70) ^{A/a}	0.89 (0.77-1.01) ^{B/a, c}	1.06 (0.94-1.17) ^{C/a}	1.20 (1.08-1.31) ^{D/a}
	Umbilical	0.73 (0.61-0.85) ^{A/a}	1.10 (0.98-1.23) ^{B/b}	1.30 (1.18-1.42) ^{C/b}	1.44 (1.32-1.56) ^{D/b}
	Xiphoid	0.67(0.54-0.79) ^{A/a}	1.06 (0.94-1.18) ^{B/b, c}	1.09 (0.96-1.22) ^{B/a, b}	1.04 (0.87-1.20) ^{B/a}
Duty factor (%)	Knee	76.0 (74.5-77.5) ^{A/a}	67.4 (65.9-68.9) ^{B/a}	64.4 (62.9-65.8) ^{C/a}	63.0 (61.5-64.5) ^{C/a}
	Hip	75.2 (73.6-76.8) ^{A/a}	66.9 (65.3-68.5) ^{B/a}	62.9 (61.3-64.5) ^{C/a, b}	61.9 (60.3-63.4) ^{C/a, b}
	Umbilical	74.5 (72.8-76.2) ^{A/a}	64.8 (63.1-66.5) ^{B/a, c}	60.3 (58.7-61.9) ^{C/b, c}	59.1 (57.5-60.7) ^{C/b, c}
	Xiphoid	71.0 (69.3-72.8) ^{A/b}	62.0 (60.5-63.7) ^{B/b, c}	57.6 (55.7-59.4) ^{C/c}	56.7 (54.3-59.1) ^{C/c}

2 SF: stride frequency. SL: stride length. The letters indicate the comparisons of *post hoc* tests: different uppercase letters indicate statistically significant

3 difference between speeds; different lowercase letters indicate statistically significant difference between depths.



4

5 **Figure 14** - Spatiotemporal variables per speed of walking condition at the different depths
 6 during shallow water walking: **A.** Stride frequency (Hz); **B.** Stride length (m); **C.** Duty factor
 7 (%). Values are presented as mean and 95% confidence interval. Squares are for knee deth.
 8 Circles are for hip depth. Diamonds are for umbilical depth. X is for xiphoid depth. The lines
 9 connecting each symbol are 2^o order polynomial fit calculated for each depth calculated from
 10 experimental data. The lines' colors correspond to the respective depth symbol color.

11

12 **Kinetic**

13 The DrF increased with both increased depth ($F(3, 45.1) = 30.4; p < 0.001$) and
14 speed ($F(3, 77.0) = 403.0; p < 0.001$); besides, a significant interaction of depth*speed
15 was found ($F(9, 77.8) = 17.6; p < 0.001$). The mV-GRF decreased with increased depth
16 ($F(3, 150) = 439.0; p < 0.001$) (Table 6).

17

18 **Physiologic**

19 The C (Figure 15) increased with both increased depth ($F(3, 33.7) = 23.1; p <$
20 0.001) and speed ($F(3, 66.1) = 139.8; p < 0.001$), furthermore a significant interaction
21 of depth*speed was found ($F(9, 69.2) = 10.9; p < 0.001$). The PMet (Figure 16)
22 increased with both increased depth ($F(3, 29.7) = 25.0; p < 0.001$) and speed ($F(3,$
23 $61.5) = 344.1; p < 0.001$), moreover a significant interaction of depth*speed was found
24 ($F(9, 65.6) = 16.2; p < 0.001$) (Table 6).

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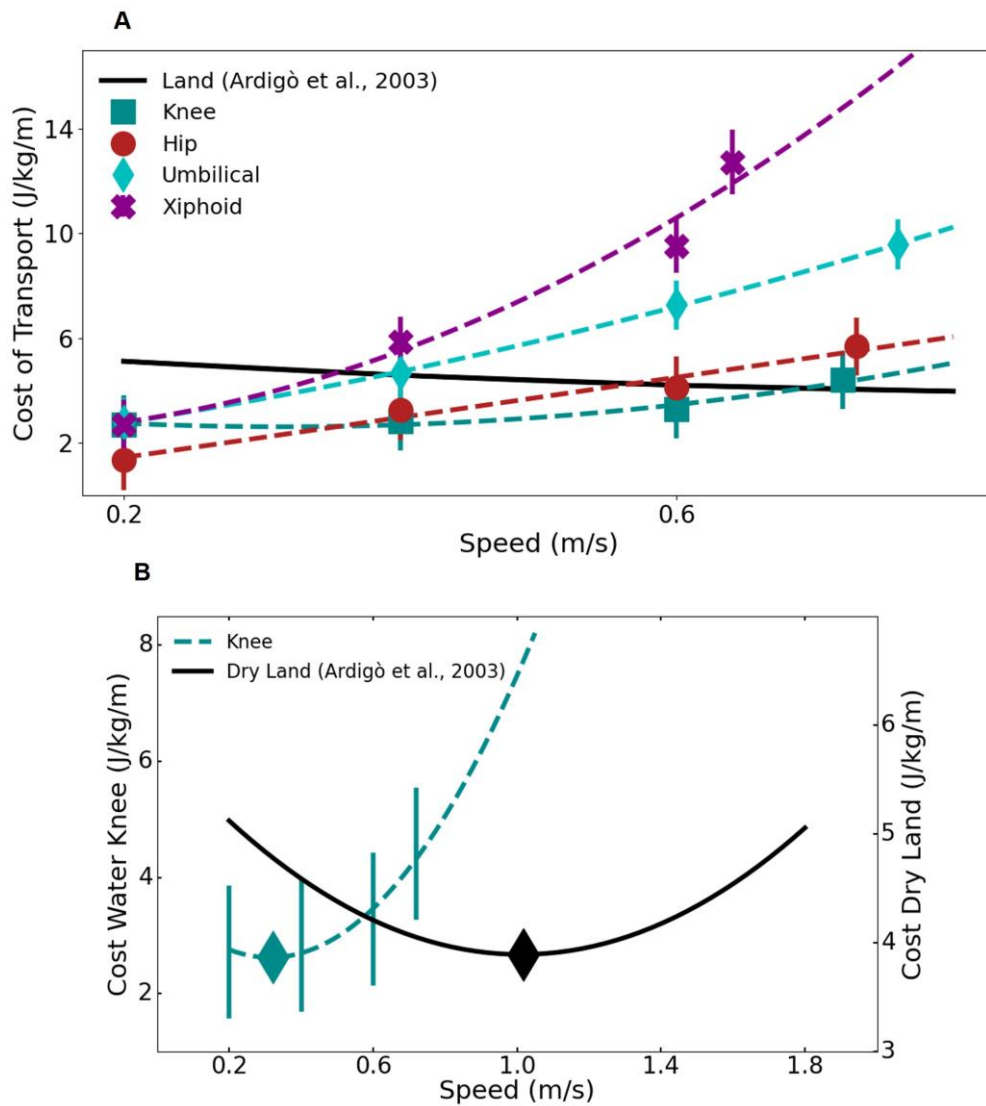
32 **Table 6** - Mean and 95% CI of physiologic and kinetic variables at different depths and different speeds of walking.

Variable	Depth / Speed	0.2 m/s	0.4 m/s	0.6 m/s	0.8 m/s
Cost of transport (J/kg/m)	Knee	2.7 (1.6-3.8) ^{A/a}	2.8 (1.7-3.9) ^{A/a}	3.3 (2.2-4.4) ^{A/a}	4.4 (3.3-5.5) ^{A/a}
	Hip	1.4 (0.19-2.5) ^{A/a}	3.3 (2.1-4.5) ^{B/a}	4.1 (3.0-5.3) ^{B/a}	5.7 (4.6-6.8) ^{C/a}
	Umbilical	2.8 (1.9-3.7) ^{A/a}	4.7 (3.7-5.6) ^{B/a, b}	7.3 (6.3-8.2) ^{C/b}	9.6 (8.6-10.5) ^{D/b}
	Xiphoid	2.7 (1.7-3.7) ^{A/a}	5.9 (4.9-6.8) ^{B/b}	9.5 (8.5-10.6) ^{C/c}	12.7 (11.5-14.0) ^{D/c}
Net metabolic power (J/kg/min)	Knee	32.7 (29.1-36.7) ^{A/a}	68.1 (61.4-75.5) ^{B/a}	118.8 (114.2-123.7) ^{C/a}	212.6 (191.1-236.5) ^{D/a}
	Hip	15.4 (11.8-20.0) ^{A/b}	79.6 (65.2-97.1) ^{B/a, b}	154.4 (140.9-169.1) ^{C/b}	288.7 (267.5-311.5) ^{D/b}
	Umbilical	33.1 (23.9-45.9) ^{A/a}	111.7 (95.5-130.7) ^{B/b}	260.9 (222.9-305.5) ^{C/c}	458.7 (401.3-524.5) ^{D/c}
	Xiphoid	32.3 (25.1-41.4) ^{A/a}	140.9 (118.5-167.6) ^{B/c}	351.5 (313.0-394.8) ^{C/d}	579.6 (509.9-658.7) ^{D/c}
Drag force (N)	Knee	8.7 (7.5-10.0) ^{A/a}	22.0 (20.0-24.4) ^{B/a}	38.6 (34.5-43.2) ^{C/a}	58.7 (52.5-65.6) ^{D/a}
	Hip	13.0 (11.2-15.0) ^{A/b}	38.8 (35.6-42.3) ^{B/b}	74.0 (68.5-80.0) ^{C/b}	111.3 (103.6-119.5) ^{D/b}
	Umbilical	20.9 (17.8-24.5) ^{A/c}	67.1 (55.1-81.7) ^{B/c}	115.9(96.6-139.0) ^{C/c}	170.9(148.4-196.7) ^{D/c}
	Xiphoid	18.9(16.4-21.8) ^{A/c}	76.0(65.3-88.4) ^{B/c}	133.9(111.5-160.9) ^{C/c}	144.0(114.9-180.5) ^{C/b, c}
GRFV * (N)	Knee	666.7(616.5-721.0) ^a			
	Hip	419.6(390.5-450.8) ^b			
	Umbilical	352.7(325.1-382.7) ^c			
	Xiphoid	244.7(221.6-267.8) ^d			

33 GRFV: mean vertical ground reaction force during the stride cycle. The letters indicate the comparisons of *post hoc* tests: different uppercase letters

34 indicate statistically significant difference between speeds; different lowercase letters indicate statistically significant difference between depths. *:

35 Apparent body weight comparisons were only made between depths, without considering the different speeds of walking.

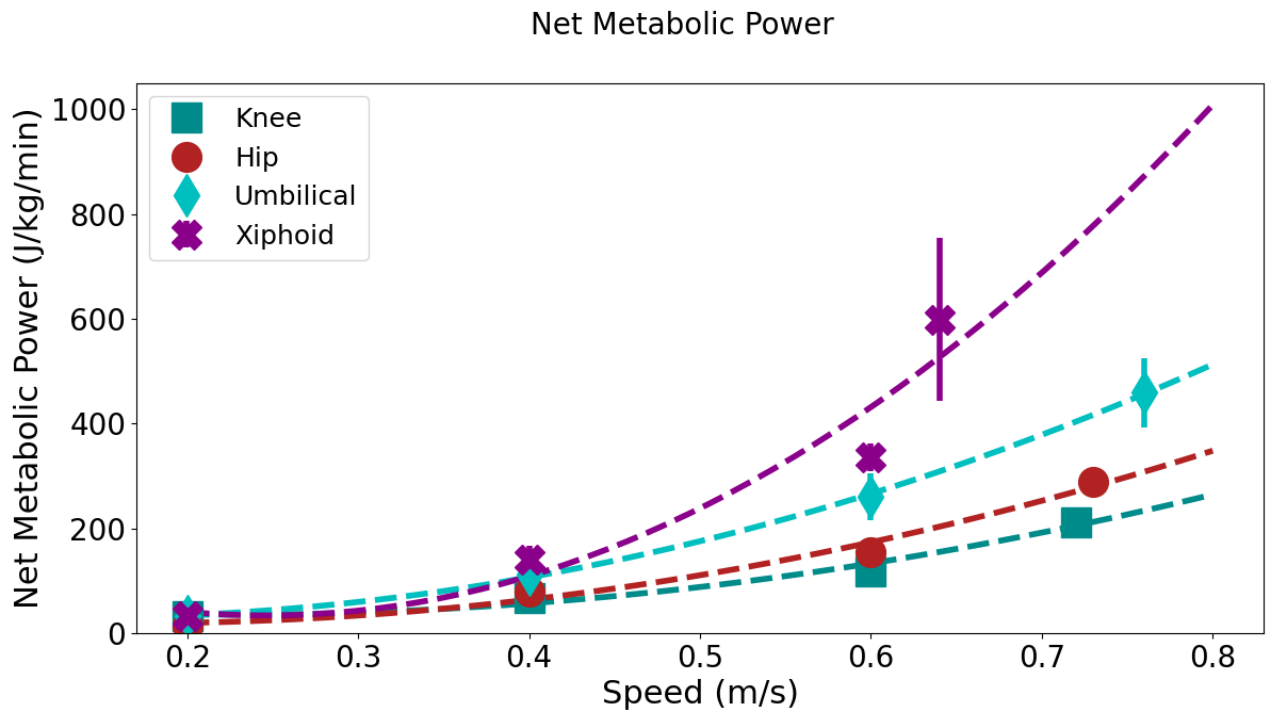


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37 **Figure 15** - Cost of transport (J/kg/m) per speed of walking (m/s) at different depths during
 38 shallow water walking in comparison to dry land walking. The data for shallow water walking
 39 was collected experimentally and 2^o order polynomial fit curve for each depth was calculated,
 40 while the data for dry land walking (black line) are from a polynomial function by Ardigò,
 41 Saibene and Minetti (2003). **A.** All shallow water depths and dry land conditions are plotted.
 42 Knee: squares; hip: circles; umbilical: diamonds; xiphoid: xiphoid. The lines have the color
 43 corresponding to the respective depth symbol color. Values are presented as mean and 95%
 44 confidence interval. **B.** Only the knee depth is plotted in comparison to dry land, in order to
 45 demonstrated the U-shaped curve at this depth. The diamonds are the minimum points of cost
 46 of transport for each condition and have the color corresponding to the respective line color.
 47 The vertical blue bars crossing the blue knee depth line are the 95% confidence intervals for
 48 each speed condition obtained from experimental data.

49

50



51

52 **Figure 16** - Net metabolic power (J/kg/min) per speed of walking at the different depths during
 53 shallow water walking. Values are presented as mean and 95% confidence interval. Squares
 54 are for knee deth. Circles are for hip depth. Diamonds are for umbilical depth. X is for xiphoid
 55 depth. The lines connecting each symbol are 2^o order polynomial fit calculated for each depth
 56 calculated from experimental data. The lines have the color corresponding to the respective
 57 depth symbol color.

58

59 What concerns the HR and the RPE response, both of them increased with
 60 increased depth ($F(3, 42.7) = 3.2$; $p = 0.033$; $F(3, 41.0) = 5.7$; $p = 0.002$, respectively)
 61 and speed ($F(4, 104.2) = 123.5$; $p < 0.001$; $F(4, 100.1) = 184.0$; $p < 0.001$, respectively).
 62 Also, a significant interaction of depth*speed was found for HR and RPE ($F(12, 105.1)$
 63 $= 5.3$; $p < 0.001$; $F(12, 101.0) = 2.1$; $p = 0.024$, respectively). For HR and RPE, the rest
 64 condition was compared as a speed condition (Table 7).

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69 **Table 7** - Mean and 95% CI of heart rate and rating of perceived exertion different depths and different speeds of walking.

Variable	Depth / Speed	Rest	0.2 m/s	0.4 m/s	0.6 m/s	0.8 m/s
Heart rate (bpm)	Knee	79 (71-87) ^{A, B/a}	75 (68-83) ^{B/a}	83 (75-91) ^{A, B/a}	91 (83-99) ^{A/a}	103 (95-111) ^{C/a}
	Hip	79 (71-88) ^{A, B/a}	75 (66-84) ^{A/a}	84 (75-93) ^{A, B/a}	95 (86-104) ^{B/a}	119 (110-128) ^{C/b}
	Umbilical	74 (64-82) ^{A, B/a}	74 (66-82) ^{B/a}	87 (77-96) ^{A/a}	107 (98-115) ^{C/a, b}	135 (127-144) ^{D/b, c}
	Xiphoid	70 (62-79) ^{A/a}	71 (62-79) ^{A/a}	93 (85-102) ^{B/a}	114 (104-123) ^{C/b}	148 (137-159) ^{D/c}
RPE (6 – 20 scale)	Knee	6 (5-7) ^{A/a}	7 (6-8) ^{A, B/a}	8 (7-9) ^{B/a}	10 (9-11) ^{C/a}	13 (11-14) ^{D/a}
	Hip	6 (5-8) ^{A/a}	7 (6-8) ^{A/a}	9 (8-10) ^{B/b}	11 (10-12) ^{C/a, b}	14 (13-15) ^{D/a, b}
	Umbilical	6 (5-7) ^{A/a}	7 (6-8) ^{A/a}	10 (9-11) ^{B/b, c}	11 (10-12) ^{B/a}	15 (14-16) ^{C/b}
	Xiphoid	7 (6-8) ^{A/a}	8 (7-9) ^{A/a}	10 (9-11) ^{B/c}	13 (12-15) ^{C/b}	16 (15-18) ^{D/b}

70 RPE: rating of perceived exertion. The letters indicate the comparisons of *post hoc* tests: different uppercase letters indicate statistically significant

71 difference between speeds; different lowercase letters indicate statistically significant difference between depths.

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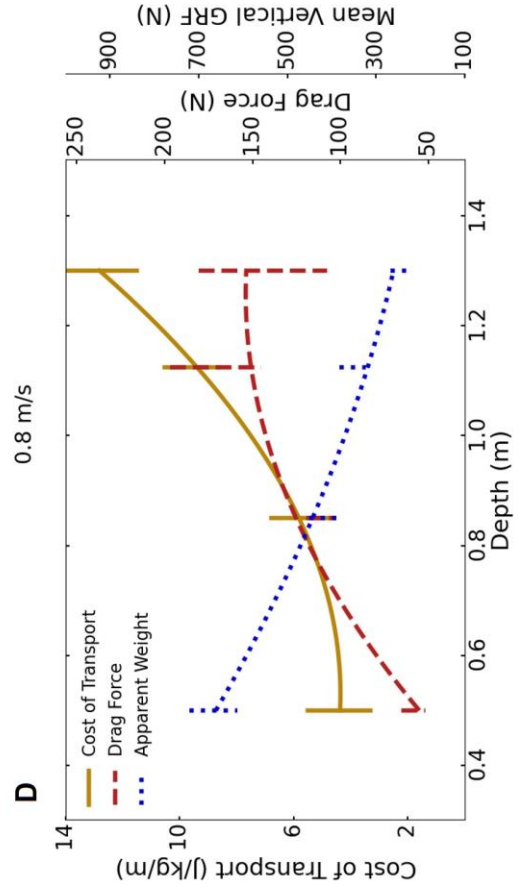
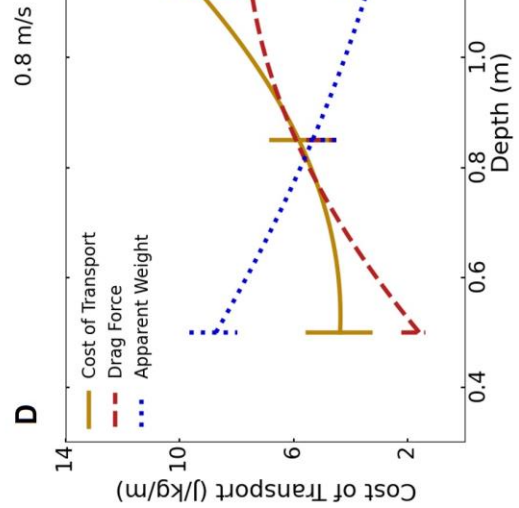
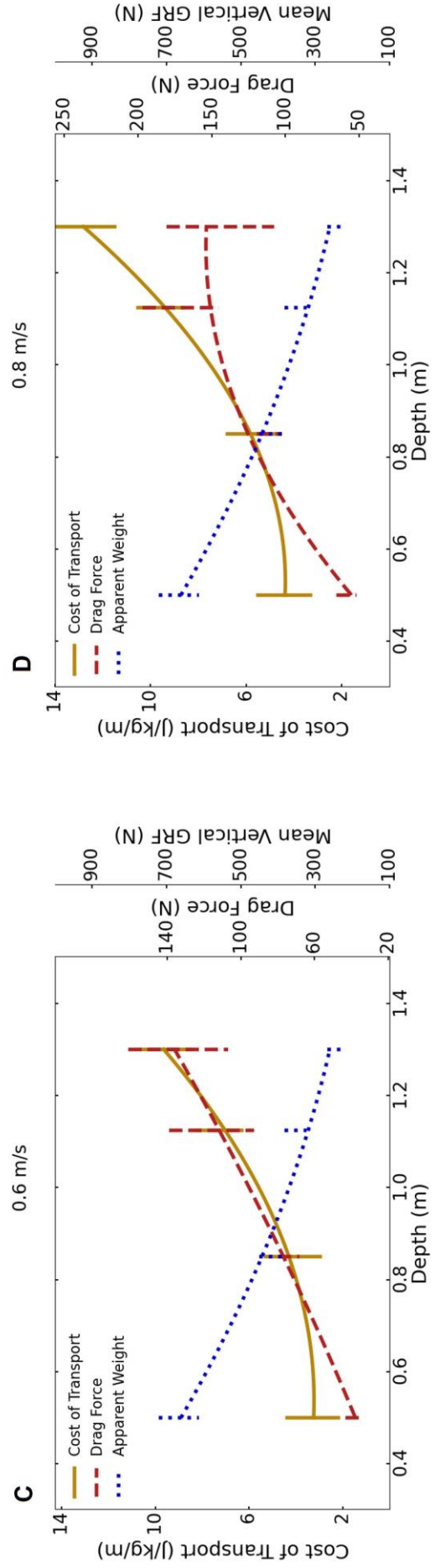
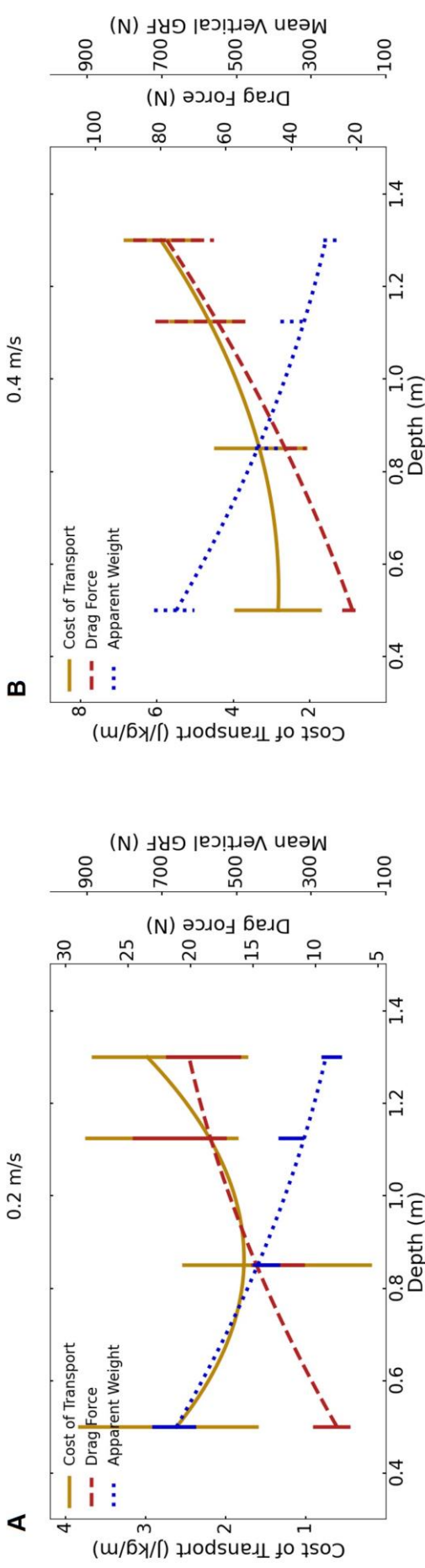
74 **“Water immersed inverted pendulum” (physiomechanical model)**

75 At Figure 15 are plotted regression curves showing the response of C in function
 76 of walking speed during SWW at different depths and at dry land walking. The SWW
 77 regression lines were calculated from experimental data, and the dry land walking line
 78 was extracted from the polynomial by Ardigò, Saibene and Minetti (1). The curves
 79 present inverted patterns for C x speed curve during SWW and dry land walking at
 80 slow speeds (until 0.8 m/s). While the C increases in water, the C decreases in dry
 81 land.

82 From the polynomial regression lines, we can observe isocost points between the
 83 SWW curves and dry land walking curve; that is, walking speeds at which the C is
 84 similar between SWW and dry land walking. The C is similar between dry land and
 85 SWW at the knee depth at 0.69 m/s with a C of 4.1 J/kg/m. And C is similar of dry land
 86 with SWW at hip depth at 0.59 m/s with 4.3 J/kg/m; with umbilical depth at 0.40 m/s
 87 with 4.66 J/kg/m; with xiphoid depth at 0.36 m/s with 4.78 J/kg/m. This could be
 88 interpreted as the points of metabolic equivalence between dry land walking and SWW.
 89 Also, one could observe that with the walking speed increase, the isocost points occurs
 90 at shallower depths.

91 The knee depth, however, presents a distinct pattern from the other depths. While
 92 all depths have presented a somewhat monotonic C increase accompanying the speed
 93 increase, the knee depth presented an U-shaped like curve (Figure 15.B).
 94 Nevertheless, when comparing with dry land curve minimum point (1.02 m/s; 3.89
 95 J/kg/m), the knee depth had a minimum C (2.6 J/kg/m) at much lower walking speed
 96 of 0.32 m/s.

97 The correlation analysis showed a positive correlation of C with DrF ($r = 0.87$, p
 98 < 0.001), and negative correlation of C with mV-GRF ($r = -0.39$, $p < 0.001$). The
 99 response of C, DrF, and mV-GRF in different depths for each walking speed condition
 100 are presented in Figure 17.



102 **Figure 6** - Cost of transport (J/kg/m), drag force (N) and mean vertical ground reaction force
 103 (N) per depth of immersion (m) during shallow water walking at 0.2 m/s (**A**), 0.4 m/s (**B**), 0.6
 104 m/s (**C**), 0.8 m/s (**D**) conditions. The lines are plotted from 2^o order polynomial fit calculated for
 105 each variable from experimental data. The vertical bars crossing the lines are the 95%
 106 confidence intervals for each depth condition obtained from experimental data. Blue: mean
 107 vertical ground reaction force. Red: drag force Yellow: cost of transport.

108

109

110 The regression fit of C during SWW from walking speed and depth resulted in a
 111 2^o degree polynomial (Equation 7 and Figure 18).

112

113 **Equation 7** - Cost of transport regression polynomial from immersion depth and
 114 walking speed.

115 *Cost of Transport*

$$116 \quad = (5.0 * Speed^2) + (11.3 * Depth^2) + (20.3 * Speed * Depth)$$

$$117 \quad - (13.0 * Speed) - (24.0 * Depth) + 12.5$$

118

119 The Table 8 presents the minimum and maximum values of C predicted by the
 120 surface regression models of SWW and dry land simulated hypogravity, with the
 121 respective values of depth and speed. The C values for SWW were estimated from the
 122 experimental data of the present study through Equation 7. While the C values for dry
 123 land simulated hypogravity were estimated from Pavei and Minetti (36) predictive
 124 equation. The correspondence between immersion depth (m) and gravitational
 125 acceleration (m/s²) was detailed in Methods section (Equation 6).

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130 **Table 8** - Minimum and maximum values of cost of transport (J/kg/m) respective depth (m) and
 131 speed of walking (m/s) of each surface regression model (shallow water and dry land simulated
 132 hypogravity). Shallow water walking cost of transport were estimated from experimental data
 133 of the present study. Dry land simulated hypogravity cost of transport were estimated from
 134 Pavei and Minetti (36) predictive equation. Correspondence between water immersion depth
 135 (m) and gravitational acceleration (m/s^2) were determined from standing weight bearing
 136 reduction data from literature (Kruel, 1994).

Condition	Surface model	Cost of transport (J/kg/m)	Depth (m)	Speed (m/s)
Minimum	Shallow water	1.3	0.9	0.2
	Dry land simulated hypogravity	1.9	1.3	0.8
Maximum	Shallow water	14.3	1.3	0.8
	Dry land simulated hypogravity	3.0	0.5	0.2

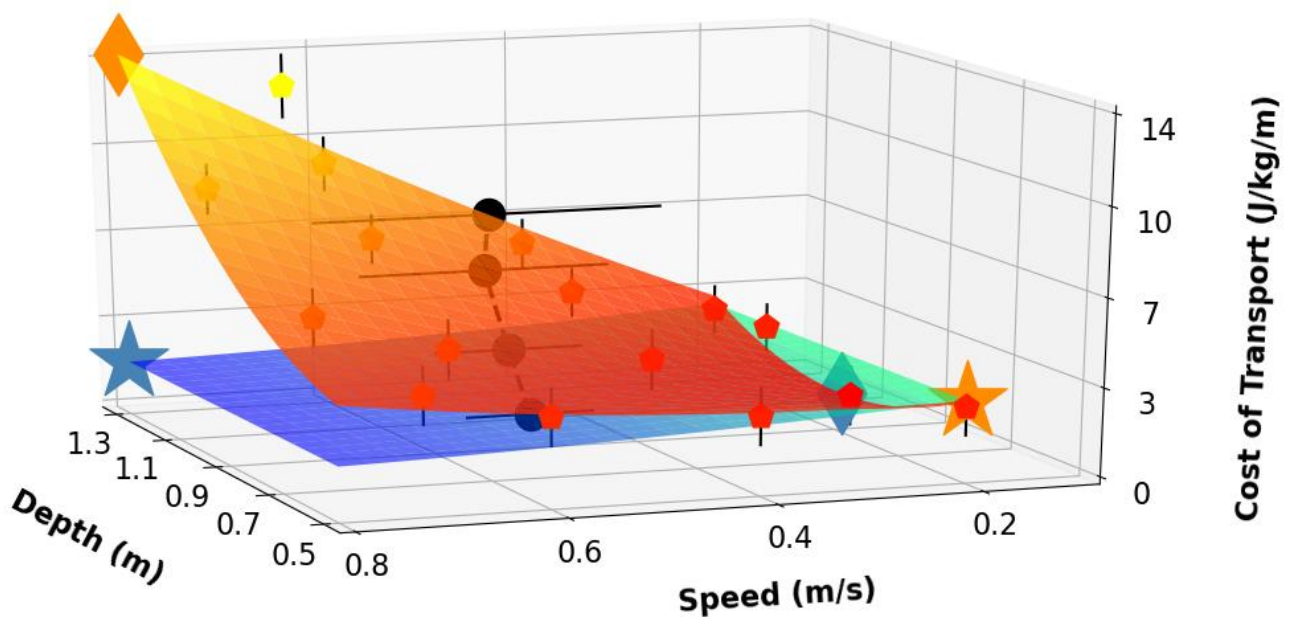
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139 **Table 9** - Comfortable self-selected speed with respective cost of transport (estimated from
 140 polynomial regression surface) and Froude number. Values presented are the mean.

Depth condition	Depth (m)	Speed (m/s)	Cost of transport (J/kg/m)	Froude number
Knee	0.50	0.62	3.5	0.05
Hip	0.85	0.54	4.1	0.05
Umbilical	1.12	0.48	5.8	0.04
Xiphoid	1.30	0.44	7.0	0.05

141



142

143 **Figure 18** - Three-dimensional representation from cost of transport (J/kg/m) (mean and 95%
 144 confidence interval) per speed of walking (m/s) and depth of immersion (m) for shallow water
 145 (red) and dry land simulated hypogravity walking (blue). Surface regression plot from 2^o order
 146 polynomial fit calculated from experimental data. A 360° view video from this plot in disponible
 147 online at <https://doi.org/10.6084/m9.figshare.13012409.v1>. **Red surface:** surface plot from 2^o
 148 degree polynomial fit from experimental data of shallow water walking condition. **Blue surface:**
 149 surface plot from 2^o degree polynomial fit from Pavei and Minetti (36) for dry land simulated
 150 hypogravity walking condition. The minimum (blue) and maximum (orange) points of cost of
 151 transport for each surface are represented as diamond (shallow water) and star (dry land
 152 simulated hypogravity). Black dots with line are the mean and 95% confidence interval of self-
 153 selected comfortable speed for each depth condition. A dashed line is plotted connecting each
 154 self-selected comfortable speed dot. For some points, the 95% confidence interval bar was
 155 minor than the symbol size.

156

157 **6.4 Discussion**

158

159 The aims of the present study were two-fold, one experimental and one
 160 theoretical. The former was to compare the C and spatiotemporal parameters during
 161 SWW at different depths and speeds in healthy adult men; while the latter was to
 162 propose a biomechanical model called “water immersed inverted pendulum”, by
 163 estimating the B and DrF acting during SWW. Our first hypothesis was partially
 164 confirmed, as the C during SWW had a minimal value at intermediary speeds only in

165 the knee depth, whilst in the other deeper depths the C presented a monotonic rise
166 with the speed increase. Our second hypothesis that the C response in SWW would
167 be related to the interplay between B and DrF was confirmed, as can it be better
168 observed by the minimum C point at hip depth during 0.2 m/s speed, suggesting an
169 optimization between the effects of B and DrF at this condition.

170 We observed higher energy expenditure (C and PMet), HR and RPE levels with
171 the increase in both immersion depth and walking speed. At deeper depths, the body
172 is on effect of a higher B, reducing the apparent body weight. Despite this reduced
173 apparent body weight that could reduce the mechanical work needed to move the body
174 center of mass (35), we observed higher energy expenditure. Although there is a C
175 reduction during dry land simulated hypogravity walking (9, 15, 31, 32), it seems to be
176 an uneven reduction between C and mechanical work at simulated hypogravity (19).
177 This inequal decrease makes the dry land simulated hypogravity walking a locomotion
178 type of relatively high C if normalized by the apparent body weight. Nevertheless, our
179 results not only demonstrated an uneven reduction of C with weight bearing
180 attenuation, but actually a C increase at higher immersion depths. This could be due
181 to two factors: the altered function of inverted pendulum mechanism in reduced gravity
182 and to the greater body frontal immersed in higher depths increasing the DrF
183 resistance.

184 At simulated hypogravity walking, there are reports of an altered relation of the
185 recovery of mechanical energy response and the speed of walking. That is, at an even
186 walking speed, the authors have found lower recovery values at lower gravity, and the
187 maximum value of recovery occurs at lower speed in lower gravity (12, 19, 35). Walking
188 at even speed in deeper depths, therefore, could submit the inverted pendulum
189 mechanism to function in a further point from an optimal condition, raising the necessity
190 of higher C to walk. Also, at higher depths of immersion, a greater percentage of body
191 area is immersed and under to water DrF resistance, increasing the muscular force
192 production demand in order to maintain a constant speed of walking.

193 During walking in aquatic environment, the body is at a simulated hypogravity
194 condition, but also under the effect of viscous resistance by water fluid. As our results
195 demonstrated, the subjects' body suffered higher DrF resistance at higher depths of
196 immersion during even walking speed. Cavagna et al. (12) described two mechanical
197 factors that decelerated the body in the forward direction during each step cycle during

198 dry land simulated hypogravity: the gravity force during body lift and the ground impact
199 at heel strike. Perhaps we could add to this model during shallow water simulated
200 hypogravity conditions a third factor: the DrF resistance. For Cavagna et al. (12) the
201 interplay between these two sources of forward velocity fluctuation affects the walking
202 speed that occurs the maximum recovery (19, 35), and, consequently, the comfortable
203 self-selected speed (42, 43). During SWW - a simulated hypogravity condition but with
204 the addition of considerable DrF resistance - we observed this comfortable self-
205 selected speed reduction with the immersion depth increase (lower gravity) but with an
206 estimated increase of C (Table 9 and Figure 18).

207 In dry land simulated hypogravity conditions, occurs a reduction from the speed
208 range that walking gait is possible. This speed range narrowing was predicted
209 theoretically by the principle of dynamic similarity and also reported experimentally (11,
210 12, 23, 27, 29), and is attributed to the relation between the gravitational and inertial
211 forces acting on body center of mass during walking. Nevertheless, despite the
212 reduction from the absolute values of walking speed, the authors observed that both
213 optimal and walk-to-run transition speeds occur at similar Froude number at different
214 gravity conditions (23, 29). During SWW, we observed an important reduction of the
215 Froude number of the self-selected speed in comparison to the 0.25 reported in the
216 literature; besides, the Froude number for comfortable self-selected speed remained
217 almost constant at all depths analyzed (0.04 - 0.05). This limitation of the self-selected
218 speed - that in dry land is mainly due to the C (42) – at SWW could be related to a HR
219 limiting factor in consequence of the DrF resistance, considering the overall similar HR
220 between depths per speed (Table 9) with the exception for 0.8 m/s speed condition.
221 However, this was the only speed condition that the subjects did not walk close to the
222 goal speed (Table 4).

223 Our biomechanical model of C response during SWW explained by the
224 relation between hydrostatic B and hydrodynamic DrF shows a trend in the relation of
225 SWW energy expenditure and the external forces involved (Figure 17). We could
226 observe that with depth increase at all speeds the C curves increase in a similar trend
227 along DrF despite of mV-GRF reduction. This response is corroborated by the higher
228 correlation values of C with DrF than with mV-GRF (0.87 vs. -0.39, respectively).
229 Therefore, it seems that for SWW the hydrodynamic characteristics of the task has
230 more influence on the inverted pendulum response than the attenuation of gravitational

231 force effects due to B. Another indication of this influence is the gap between the dry
232 land simulated hypogravity C surface extracted from Pavei and Minetti (36) data and
233 the SWW C surface developed from our experimental data (Figure 18). The gravity
234 reduction of both surfaces was matched (apparent body weight), however it is visible
235 the higher values of C for the water surface as the values of speed axis increase. We
236 could also observe the similar minimum C values for both surfaces, but an almost 5
237 times higher maximum value in water in comparison to dry land simulated hypogravity
238 (Table 5). Another relevant characteristic is the fact that dry land simulated hypogravity
239 surface exhibits a typical U-shape pattern with the maximum C value appearing at 0.2
240 m/s, while the water surface has a more monotonic pattern with the minimum C at 0.2
241 m/s and the maximum at 0.8 m/s; response that could be attribute to the DrF
242 resistance.

243 Despite the general trend of C rise accompanying the increase in both depth
244 and speed, it is possible to observe a minimum point of C during SWW at hip depth at
245 the 0.2 m/s. This could be interpreted in two ways: from a mechanical and from a
246 physiological perspective.

247 In a mechanical view, we could account for an optimization of the relation
248 between the DrF and apparent weight curves (Figure 17). At this depth point, occurs a
249 reduction of the apparent weight that facilitate the work demand to move the body,
250 while the magnitude of the DrF increase at this depth and speed is not enough to
251 provide such important dynamic resistance to the body segments movement. Also,
252 during 0.2 m/s walking speed the hip depth could be the point of optimal recovery
253 mechanism owing to similar values of forward and vertical mechanical work (12)
254 occurring at this simulated hypogravity condition. This optimal point of minimum C may
255 not occur at other speeds as a result of the stronger resistance of DrF at higher speeds,
256 or because the possible speed range where this optimal relation of forward and vertical
257 mechanical work occurs is very reduced during SWW (close to 0.2 m/s).

258 While from a physiological view, we can observe that only at the hip depth
259 occurred a reduction of the HR during 0.2 m/s walking in comparison to rest condition
260 (Table 9), in spite of this reduction was not statistically significant. This HR reduction
261 could be due a muscular pump from calf muscles activation during walking (2, 3),
262 facilitating the vessel blood return, increasing final diastolic volume, and reducing HR

263 by Frank-Starling mechanism (21). Finally, this HR reduction could have diminished
264 the oxygen consumption by reverse Fick principle (7).

265 We also observed points of similar C (Figure 32) when comparing polynomial
266 regression lines of SWW at different depths and dry land curve extracted from a
267 polynomial by Ardigò, Saibene and Minetti (1). These points represent walking speeds
268 at which the metabolic energy required to walk are similar in shallow water in
269 comparison to dry land. The pattern of these isocost points shows that at higher
270 immersion depths, lower the walking speed that has similar C during SWW and dry
271 land walking; for comparison, the isocost point at 0.69 m/s at knee depth, and at 0.36
272 m/s at xiphoid depth. The isocost speed reduction with depth increase can be related
273 to the higher DrF resistance experienced at deeper depths due to greater body volume
274 immersed, requiring more metabolic energy to walk.

275 The U-shaped C curve appeared during SWW only in the regression polynomial
276 from the shallower depth analyzed of knee (Figure 15), yet the minimum C point from
277 knee curve was substantially dislocated to the left on the walking speed axis in
278 comparison to dry land walking (0.32 vs. 1.02 m/s, respectively). Pavei, Biancardi and
279 Minetti (35) have found the maintenance from the U-shaped curve during dry land
280 simulated hypogravity walking at 0.36 and 0.16 g (Mars and Moon gravities);
281 nevertheless, our results point that during SWW only at the depth closer to
282 normogravity condition, i.e. knee with 0.88 g, the U-shaped curve seems to occur.
283 Although the other depths had calculated higher gravity acceleration (0.58 g for hip,
284 0.48 g for umbilical, 0.33 for xiphoid) in comparison to the dry land simulated
285 hypogravity conditions from Pavei, Biancardi and Minetti (35), the C curve at these
286 deeper depths had always a constant positive slope. The DrF effect could account for
287 this difference between SWW and dry land simulated hypogravity walking, generating
288 important movement resistance, increasing monotonically the C with the speed
289 increase.

290 The analysis from the minimum and maximum C values estimated for SWW and
291 dry land simulated hypogravity walking (Table 5) demonstrated an opposite response
292 for each condition in what concerns the walking speed. In SWW the minimum C point
293 was at the speed of 0.2 m/s and the maximum at 0.8 m/s, while in dry land simulated
294 hypogravity walking the minimum C occurred at 0.8 m/s and the maximum at 0.2 m/s.
295 The predicted C values by polynomial regression was calculated for the walking speed

296 range from 0.2 to 0.8 m/s, but we can still observe the predominant pattern of C
297 increase accompanying the walking speed in SWW and the U-shaped curve for dry
298 land. When observing the depths, the SWW had the minimum C point at 0.9 m and
299 maximum C at 1.3 m; the dry land simulated hypogravity walking had a minimum at
300 1.3 m and maximum at 0.5 m. For SWW it seems to occur an optimization of the B and
301 DrF at 0.9 m, appearing a valley for the C polynomial surface on this depth (Figure 18),
302 while in dry land simulated hypogravity the gravitational effect appears to be
303 predominant as the minimum C occurred in equivalent deeper depths.

304 The limitations of this study are the following: limited sample size; the speed
305 control method that only allowed to know precisely if the subjects were walking at the
306 goal speed during the data analysis stage; the DrF model was developed from 2D
307 kinematic data, perhaps a 3D data could give more rich information about the DrF
308 involved; the DrF model estimates only the pressure DrF, maybe a mathematical model
309 that includes also the wave DrF and frictional DrF could improve the DrF resistance
310 estimation; the pool floor was fixed, so the depth of immersion varied in a range around
311 the anatomical landmark desired.

312 For future studies, we suggest the investigation of these parameters in other
313 populations rather than healthy adult males, as females, older individuals, painful
314 conditions, neuromuscular disorders, etc., in order to better understand the interplay
315 between the mechanical forces and energy expenditure of shallow water walking. Also,
316 we indicate the study of other depths than the utilized in this study, as ankle and
317 shoulder, to give a more profound understand of the depth influence on this
318 biomechanical model. And the realization of longitudinal studies with the purpose
319 to evaluate the effects of different physical interventions on these parameters is also
320 encouraged.

321

322 **6.5 Conclusion**

323

324 Our results demonstrated that C had a minimal value at intermediary speeds
325 only in the knee depth, and in the other deeper depths the C presented a monotonic
326 rise with the speed increase. A minimum C point at hip depth during 0.2 m/s speed
327 was found, suggesting an optimization between the effects of B and DrF at this

328 condition. The C during SWW seems to be influenced by both depth and walking
 329 speed, what could be attributed to B and DrF, while the DrF seems to be a more
 330 important limiting factor to physiologic variables during SWW.

331 This is the first study to our knowledge to develop a SWW physiomechanical
 332 model using C measures and estimation from hydrostatic and hydrodynamic forces
 333 involved during SWW. Future studies testing this physiomechanical model in other
 334 depths, speeds, populations, and with an improved DrF estimation model are
 335 suggested.

336

337 **6.6 References**

338

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481 randomized controlled trial. *Clin Rehabil* 30: 587–593, 2015. doi:
482 10.1177/0269215515593392.

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485 **7.General conclusion**

486

487 The general aim of this dissertation was to examine the shallow water walking
488 effects on inverted pendulum mechanism through a biomechanical of inverted
489 pendulum response during shallow water walking by healthy adult men. We
490 hypothesized that the inverted pendulum mechanism would be affected by the
491 buoyancy and drag forces, and that would exist an optimal point of shallow water
492 walking cost of transport due to the interplay between these forces (Figure 19).

493 To our knowledge, this is the first study to propose a biomechanical model
494 from shallow water walking. We have analyzed this “water immersed inverted
495 pendulum” from the literature background about dry land simulated hypogravity
496 walking. In shallow water walking in addition to this simulated hypogravity condition
497 due to buoyancy force, the hydrodynamic resistance by drag force to movement is
498 presented. The “water immersed inverted pendulum” would be, therefore, this free
499 body diagram that takes in account both buoyancy and drag forces acting on an
500 immersed inverted pendulum.

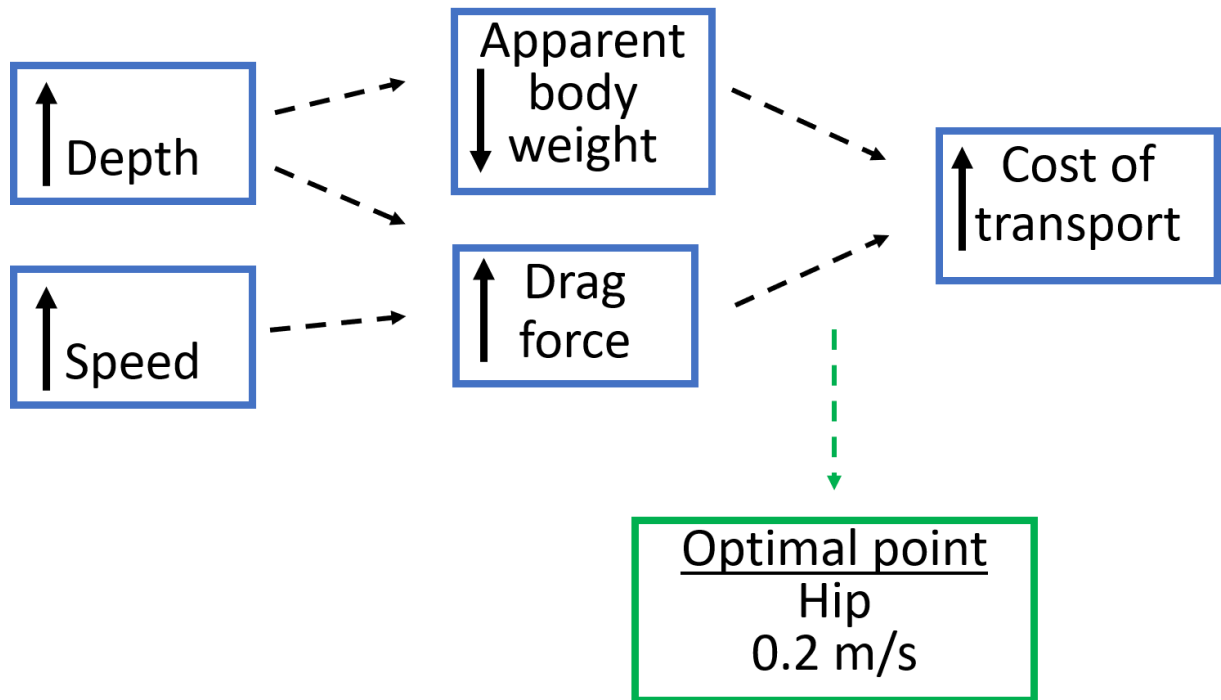
501 Our systematic review indicate that SSW is a locomotion condition strongly
502 influenced by the hydrostatic and hydrodynamic forces due water immersion. Shallow
503 water walking presented higher physiologic demand in shallow water walking at waist
504 and xiphoid depths in comparison to dry land walking at even walking speed.

505 Concerning the biomechanical model proposed here, the main finding is a
506 minimum cost of transport cost at hip depth during the slowest walking speed analyzed
507 (0.2 m/s), probably in consequence of the optimal interplay between buoyancy and
508 drag forces at this condition. Also, the cost of transport had a minimal value at
509 intermediary speeds only in the knee depth, resembling an U-shaped curve of cost of
510 transport per speed; while in the other deeper depths the C presented a monotonic
511 rise with the speed increase

512 The cost of transport during shallow water walking seems to be influenced by
513 both depth and walking speed, what could be attributed to buoyancy and drag forces
514 effects. Future studies testing this biomechanical model in other depths, speeds,
515 populations, and with an improved drag force estimation model are suggested.

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519 **Figure 19** - Conceptual model for biomechanics of shallow water walking.

520

521 **8. References**

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651 **9. Annex**652 **9.1. Annex 1 - Study 1 registry in PROSPERO**

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654

655 **Systematic review**

656

657 **1. Review title.**

658 Give the working title of the review, for example the one used for obtaining funding. Ideally the
659 title should state succinctly the interventions or exposures being reviewed and the associated
660 health or social problems. Where appropriate, the title should use the PI(E)COS structure to
661 contain information on the Participants, Intervention (or Exposure) and Comparison groups, the
662 Outcomes to be measured and Study designs to be included.

663 Gait parameters during shallow water walking in comparison with dry land walking by adults
664 and elderly: asystematic review

665

666 **2. Original language title.**

667 For reviews in languages other than English, this field should be used to enter the title in the
668 language of thereview. This will be displayed together with the English language title.

669 Parâmetros da marcha durante caminhada em água rasa comparada com caminhada no solo
670 por adultos idosos: revisão sistemática

671 **3. Anticipated or actual start date.**

672 Give the date when the systematic review commenced, or is expected to commence.22/10/2018

673 **4. Anticipated completion date.**

674 Give the date by which the review is expected to be completed.31/12/2018

675 **5. Stage of review at time of this submission.**

676 Indicate the stage of progress of the review by ticking the relevant Started and Completed boxes.
677 Additional information may be added in the free text box provided.

678 Please note: Reviews that have progressed beyond the point of completing data extraction at the
679 time of initial registration are not eligible for inclusion in PROSPERO. Should evidence of incorrect
680 status and/or completion date being supplied at the time of submission come to light, the content of
681 the PROSPERO record will be removed leaving only the title and named contact details and a
682 statement that inaccuracies in the stage of the review date had been identified.

683 This field should be updated when any amendments are made to a published record and on
684 completion and publication of the review. If this field was pre-populated from the initial screening
685 questions then you are notable to edit it until the record is published.

686

687 The review has not yet started: Yes

689

690 **Review stage Started Completed**

691 Preliminary searches No No

692 Piloting of the study selection process No No

693 Formal screening of search results against eligibility criteria No No

694 Data extraction No No

695 Risk of bias (quality) assessment No No

696 Data analysis No No

697 Provide any other relevant information about the stage of the review here (e.g. Funded proposal,
698 protocol not yet finalised).

699

700 6. Named contact.

701 The named contact acts as the guarantor for the accuracy of the information presented in the
702 register record. André Ivaniski Mello

703

704 Email salutation (e.g. "Dr Smith" or "Joanne") for correspondence:

705 Mr Ivaniski Mello

706

707 7. Named contact email.

708 Give the electronic mail address of the named contact. andreivaniskimello@gmail.com

709 8. Named contact address

710 Give the full postal address for the named contact.

711 Felizardo street, 750, Jardim Botânico, Porto Alegre, Rio Grande do Sul, Brazil Postal Zip: 90690-200

712 9. Named contact phone number.

713 Give the telephone number for the named contact, including international dialling code. 55 51
714 993566876

715 10. Organisational affiliation of the review.

716 Full title of the organisational affiliations for this review and website address if available. This field
717 may be completed as 'None' if the review is not affiliated to any organisation.

718 Universidade Federal do Rio Grande do Sul

719

720 Organisation web address:

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722

723 11. Review team members and their organisational affiliations.

724 Give the title, first name, last name and the organisational affiliations of each member of the review
725 team. Affiliation refers to groups or organisations to which review team members belong.

726 Mr André Ivaniski Mello. Universidade Federal do Rio Grande do Sul

727 Ms Marcela Zimmermann Casal. Universidade Federal do Rio Grande do Sul
728 Dr Rochelle Costa.
Universidade Federal do Rio Grande do Sul

729 Dr Leonardo Alexandre Peyré Tartaruga. Universidade Federal do Rio Grande do Sul
730 Dr Luiz Fernando
Martins Kruehl. Universidade Federal do Rio Grande do Sul

731 Dr Flávia Gomes Martinez. Universidade Federal do Rio Grande do Sul

732

733 12.* Funding sources/sponsors.

734 Give details of the individuals, organizations, groups or other legal entities who take responsibility for
735 initiating, managing, sponsoring and/or financing the review. Include any unique identification
736 numbers assigned to the review by the individuals or bodies listed.

737 None

738

739 13. Conflicts of interest.

740 List any conditions that could lead to actual or perceived undue influence on judgements concerning
741 the main topic investigated in the review.

742 None

743

744 14. Collaborators.

745 Give the name and affiliation of any individuals or organisations who are working on the review but
746 who are not listed as review team members.

747

748 15. Review question.

749 State the question(s) to be addressed by the review, clearly and precisely. Review questions may be
750 specific or broad. It may be appropriate to break very broad questions down into a series of related
751 more specific questions. Questions may be framed or refined using PI(E)COS where relevant.

752 There are differences in gait parameters during shallow water walking in comparison with dry land
753 walking performed by adults and elderly?

754 16. Searches.

755 Give details of the sources to be searched, search dates (from and to), and any restrictions (e.g.
756 language or publication period). The full search strategy is not required, but may be supplied as a link
757 or attachment.

758 The sources that will be searched are: PubMed, EMBASE, Scopus, Pedro, and Cochrane Library. Will
759 be accepted studies published until the search date. The search will be conducted without language
760 limitations. (walk[tw] OR walking[MeSH] OR gait[MeSH]) AND ("water-based activities" [tw] OR
761 "activities, water-based" [tw] OR "water aerobics" [tw] OR "aerobics, water" [tw] OR "water aerobic
762 exercise" [tw] OR

763 "aerobic exercise, water" [tw] OR "water aerobic exercises" [tw] OR "aerobic exercises, water" [tw]
764 OR "aquatics" [tw] OR "water walking" [tw] OR "walking, water" [tw] OR "shallow water walking" [tw]
765 OR "walking, shallow water" [tw] OR "aquatic environment" [tw] OR "environment, aquatic" [tw] OR
766 "underwater treadmill" [tw] OR "water treadmill" [tw] OR "aquatic treadmill" [tw] OR aquatic [tw]
767 OR

768

769 water[MeSH] OR immersion[MeSH])

770

771 17. URL to search strategy.

772 Give a link to a published pdf/word document detailing either the search strategy or an example of a
773 search strategy for a specific database if available (including the keywords that will be used in the
774 search strategies), or upload your search strategy. Do NOT provide links to your search results.

775

776 Alternatively, upload your search strategy to CRD in pdf format. Please note that by doing so you are
777 consenting to the file being made publicly accessible.

778 Do not make this file publicly available until the review is complete

779

780 18. Condition or domain being studied.

781 Give a short description of the disease, condition or healthcare domain being studied. This could
782 include health and wellbeing outcomes.

783 Gait parameters.

784

785 19 Participants/population.

786 Give summary criteria for the participants or populations being studied by the review. The preferred
787 format includes details of both inclusion and exclusion criteria.

788 Studies involving adults and elderly will be accepted.

789

790 20. Intervention(s), exposure(s).

791 Give full and clear descriptions or definitions of the nature of the interventions or the exposures to
792 be reviewed.

793 Walking immersed in shallow water regardless of the depth.

794

795 21. Comparator(s)/control.

796 Where relevant, give details of the alternatives against which the main subject/topic of the review
797 will be compared (e.g. another intervention or a non-exposed control group). The preferred format
798 includes details of both inclusion and exclusion criteria.

799 Walking in dry land.

800

801 22. Types of study to be included.

802 Give details of the types of study (study designs) eligible for inclusion in the review. If there are no
803 restrictions on the types of study design eligible for inclusion, or certain study types are excluded,
804 this should be stated. The preferred format includes details of both inclusion and exclusion criteria.

805 Observational and clinical trials (or interventional) studies will be included.

806

807 23. Context.

808 Give summary details of the setting and other relevant characteristics which help define the inclusion
809 or exclusion criteria.

810

811 24. Main outcome(s).

812 Give the pre-specified main (most important) outcomes of the review, including details of how the
813 outcome is defined and measured and when these measurements are made, if these are part of the
814 review inclusion criteria.

815

816 The following variables will be accepted:

817

818 Kinematic and spatiotemporal: articular range of movement, walking speed, cadence, step
819 length, stride length, stride duration, stance time, asymmetry between limbs
820 Kinetics :
820 ground reaction forces.

821 Mechanics: internal, external and total work, mechanical power, mechanical efficiency.

822 Neuromuscular: muscle activity.

823 Physiological: energy expenditure, energy cost, oxygen consumption, respiratory-exchange
824 ratio, minute ventilation, heart rate, blood pressure, rating of perceived exertion.

825

826 25. Additional outcome(s).

827 List the pre-specified additional outcomes of the review, with a similar level of detail to that required
 828 for main outcomes. Where there are no additional outcomes please state 'None' or 'Not applicable'
 829 as appropriate to the review

830 None.

831

832 26. Data extraction (selection and coding).

833 Give the procedure for selecting studies for the review and extracting data, including the number of
 834 researchers involved and how discrepancies will be resolved. List the data to be extracted.

835 The selection of the included studies will be made by two independent reviewers accordingly to pre-
 836 established inclusion and exclusion criteria. In case of discrepancies, a third field experienced
 837 reviewer will be consulted.

838 The data extraction will be made by two independent reviewers. The extracted data from the
 839 included studies are the follow: authors, year of publication, sample number, sample characteristics
 840 (age, gender), depth of immersion during walking on shallow water, velocity of walking, bio
 841 mechanical and physiological variables (mean \pm sd) evaluated during walking.

842 27. Risk of bias (quality) assessment.

843 State whether and how risk of bias will be assessed (including the number of researchers involved
 844 and how discrepancies will be resolved), how the quality of individual studies will be assessed, and
 845 whether and how this will influence the planned synthesis.

846 The risk of bias assessment of the included studies will be made based on the checklist of Down and
 847 Black (The feasibility of creating a checklist for the assessment of the methodological quality both of
 848 randomised and non-randomised studies of health care interventions. J. Epidemiol. Community
 849 Health. 1998 ; 52 :

850 377-84).

851

852 28. Strategy for data synthesis.

853 Give the planned general approach to synthesis, e.g. whether aggregate or individual participant data
 854 will be used and whether a quantitative or narrative (descriptive) synthesis is planned. It is
 855 acceptable to state that a

856 quantitative synthesis will be used if the included studies are sufficiently homogenous.

857

858 Standardized mean differences with 95% confidence intervals will be calculated comparing the
 859 outcomes between the water and dry land conditions. A meta-analysis will be executed if sufficient
 860 data will be available and methodological homogeneity between the studies will be present.

861 Forest plot distribution will be developed to present findings for similar outcomes domains, and
 862 when there was numerical data available for at least two studies reporting the same outcome.

863 Authors will be contacted through emails for unreported data. Results will be presented as means
 864 standardized differences and calculations will be performed using random effects models. Statistical

865 heterogeneity of treatment effects among studies will be evaluated by Cochran's Q test and I²
 866 inconsistency test, and values above 50% indicate high heterogeneity. Values of $\alpha = 0.05$ will be
 867 considered statistically significant and all analysis will be performed using Comprehensive Meta-
 868 Analysis Software version 3.3.070.

869

870 29. Analysis of subgroups or subsets.

871 Give details of any plans for the separate presentation, exploration or analysis of different types of
 872 participants (e.g. by age, disease status, ethnicity, socioeconomic status, presence or absence or co-
 873 morbidities); different types of intervention (e.g. drug dose, presence or absence of particular
 874 components of intervention); different settings (e.g. country, acute or primary care sector,
 875 professional or family care); or different types of study (e.g. randomised or non-randomised).

876 Not planned.

877

878 30. Type and method of review.

879 Select the type of review and the review method from the lists below. Select the health area(s) of
 880 interest for your review.

881 Type of review

882 Meta-analysis

883 Yes

884

885 Systematic review

886 Yes

887

888

889 Health area of the review

890 Musculoskeletal

891 Yes

892

893

894 31. Language.

895 Select each language individually to add it to the list below, use the bin icon to remove any added in
 896 error.English

897 There is not an English language summary

898

899 32. Country.

900 Select the country in which the review is being carried out from the drop down list. For multi-
901 national collaborations select all the countries involved.

902 Brazil

903

904 33. Other registration details.

905 Give the name of any organisation where the systematic review title or protocol is registered (such as
906 with The Campbell Collaboration, or The Joanna Briggs Institute) together with any unique
907 identification number assigned. (N.B. Registration details for Cochrane protocols will be automatically
908 entered). If extracted data will be stored and made available through a repository such as the
909 Systematic Review Data Repository (SRDR), details and a link should be included here. If none, leave
910 blank.

911

912 34. Reference and/or URL for published protocol.

913 Give the citation and link for the published protocol, if there is one Give the link to the published
914 protocol.

915 Alternatively, upload your published protocol to CRD in pdf format. Please note that by doing so you
916 are consenting to the file being made publicly accessible.

917 No I do not make this file publicly available until the review is complete

918 Please note that the information required in the PROSPERO registration form must be completed in
919 full even if access to a protocol is given.

920

921 35. Dissemination plans.

922 Give brief details of plans for communicating essential messages from the review to the appropriate
923 audiences.

924

925 Do you intend to publish the review on completion?

926 Yes

927

928 36. Keywords.

929 Give words or phrases that best describe the review. Separate keywords with a semicolon or new
930 line. Keywords help PROSPERO users find your review (keywords do not appear in the public record
931 but are included in searches). Be as specific and precise as possible. Avoid acronyms and
932 abbreviations unless these are in wide use.

933

934 Gait

935 Walking

936 Water

937 Biomechanics

938

939 37.Details of any existing review of the same topic by the same authors.

940 Give details of earlier versions of the systematic review if an update of an existing review is being
941 registered,including full bibliographic reference if possible.

942

943 38.* Current review status.

944 Review status should be updated when the review is completed and when it is published. For
945 newregistrations the review must be Ongoing.

946 Please provide anticipated publication dateReview_Ongoing

947 39. Any additional information.

948 Provide any other information the review team feel is relevant to the registration of the review.

949

950 40. Details of final report/publication(s).

951 This field should be left empty until details of the completed review are available.Give the link to the
952 published review.

953

954 **9.2 Annex 2: Study 2 registry at Open Society Foundations**

955

956 **Title**

957 "Wet inverted pendulum": A biomechanical model of shallow water walking at different depths
958 and speeds

959 **Research question**

960 There are differences in metabolic, kinetic, and kinematic parameters during shallow water
961 walking by healthy adults at different depths and speeds?

962 **Aims**

- 963 1. To compare the cost of transport, heart rate, rating of perceived effort, drag force
964 resistance, spatiotemporal, and lower limb angular parameters during walking in shallow
965 water at xiphoid, umbilical, hip, and knee depths at the speeds of 0.2, 0.4, 0.6, 0.8 m/s by
966 healthy adults.
- 967 2. To propose a biomechanical model called wet inverted pendulum, estimating the
968 buoyancy and drag forces

969 **Hypothesis**

- 970 1. The cost of transport of shallow water walking will have a minimal value at intermediary
971 speeds.
- 972 2. The cost of transport behavior would be related to the interplay between buoyancy and
973 drag forces

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988 10.Appendix**989 10.1 Appendix 1: Study 1 search terms**

990

991 (walk[tw] OR walking [Mesh] OR gait [Mesh]) AND (“water-based activities” [tw] OR
992 “activities, water-based” [tw] OR “water aerobics” [tw] OR “aerobics, water” [tw] OR
993 “water aerobic exercise” [tw] OR “aerobic exercise, water” [tw] OR “water aerobic
994 exercises” [tw] OR “aerobic exercises, water” [tw] OR “aquatics” [tw] OR “water
995 walking” [tw] OR “walking, water” [tw] OR “shallow water walking” [tw] OR “walking,
996 shallow water” [tw] OR “aquatic environment” [tw] OR “environment, aquatic” [tw] OR
997 “underwater treadmill” [tw] OR “water treadmill” [tw] OR “aquatic treadmill” [tw] OR
998 aquatic [tw] OR water [Mesh] or immersion [Mesh])