

**UNIVERSIDADE FEDERAL DO RIO GRANDE DO SUL  
FACULDADE DE AGRONOMIA  
PROGRAMA DE PÓS-GRADUAÇÃO EM ZOOTECNIA**

**GIOVANI LUIS FELTES**

**DIFERENTES ABORDAGENS PARA A AVALIAÇÃO GENÉTICA DA PRODUÇÃO  
DE OÓCITOS E EMBRIÕES NA RAÇA GIR LEITEIRO**

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**GIOVANI LUIS FELTES**  
Zootecnista / UFSM  
Mestre em Produção Animal / UFSM

Tese apresentada com um dos requisitos à obtenção do grau de doutor em  
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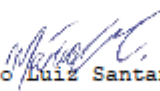
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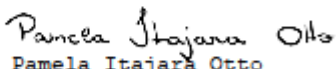
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JAIME ARAÚJO COBUCI  
PPG Zootecnia/UFRGS  
Orientador

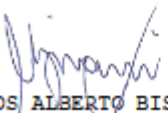
  
SERGIO LUIZ VIEIRA  
Coordenador do Programa de  
Pós-Graduação em Zootecnia

  
Fernanda Santos Silva Raidan  
CSIRO-Commonwealth Scientific and Industrial

  
Mario Luiz Santana Júnior  
UFR

  
Pamela Itajara Otto  
UFMS

  
Renata Negri  
ABCG

  
CARLOS ALBERTO BISSANI  
Diretor da Faculdade de Agronomia

*À minha família e amigos*

**DEDICO**

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**MUITO OBRIGADO!**

***“O conhecimento serve  
para encantar as  
pessoas, não para  
humilhá-las.”***

Mário Sergio Cortella

# DIFERENTES ABORDAGENS PARA A AVALIAÇÃO GENÉTICA DA PRODUÇÃO DE OÓCITOS E EMBRIÕES NA RAÇA GIR LEITEIRO<sup>1</sup>

Autor: Giovani Luis Feltes

Orientador: Jaime Araújo Cobuci

## RESUMO:

As tecnologias de reprodução artificial, como a produção de oócitos e embriões, desempenham um papel importante na disseminação de material genético superior, contribuindo de forma efetiva para acelerar o ganho genético de características de interesse comercial no sistema de produção e no desenvolvimento da cadeia produtiva de carne e leite. Frente a isso, o objetivo deste estudo foi avaliar diferentes metodologias na avaliação genética da produção de embriões e oócitos em bovinos Gir Leiteiro e identificar as estratégias mais adequadas para futuras avaliações genéticas da raça. No primeiro capítulo, apresentamos a revisão de literatura sobre os temas que estão diretamente relacionados aos objetivos do nosso projeto. No segundo capítulo, utilizamos modelos de repetibilidade e regressão aleatória (RRM) para estimar parâmetros genéticos e prever valores genéticos para a produção de oócitos e embriões, e identificamos os modelos que resultaram em maiores ganhos genéticos na produção de oócitos e embriões. No terceiro capítulo, investigamos o impacto do estresse térmico nos parâmetros genéticos e valores genéticos para produção de oócitos e embriões em animais da raça Gir Leiteiro. E, no quarto capítulo, avaliamos a eficiência dos modelos com distribuição Gaussiana (variável não transformada — LIN; transformada por logaritmo — LOG; transformada pelas distribuições de Anscombe — ANS) e Poisson (POI) na avaliação genética para a produção de oócitos e embriões. A herdabilidade estimada pelo RRM foi superior à estimada pelo modelo de repetibilidade, e o ganho genético foi maior, com acréscimos variando entre 0,02 (13,33%) e 0,26 (152,94%) ao utilizar o RRM. Observamos uma reordenação na classificação dos valores genéticos preditos dos 5% melhores touros e fêmeas ao longo da idade da doadora no momento da coleta de oócitos. É recomendável considerar o efeito do estresse térmico por meio dos índices de temperatura e umidade nas avaliações genéticas da raça para produção de oócitos e embriões e, assim, é possível selecionar animais tolerantes ao estresse térmico em características relacionadas à reprodução artificial. Também observamos o reordenamento dos melhores animais em relação às escalas de idades para coleta de oócitos e do índice de temperatura e umidade. Dessa forma, esses fatores devem ser levados em consideração no momento de identificar e selecionar os candidatos à reprodução. Ainda, identificamos que o modelo POI ajustou-se melhor aos dados e resultou em maior acurácia dos valores genéticos quando comparado aos demais modelos com distribuição de probabilidade Gaussiana (LIN, LOG e ANS). Os resultados obtidos nesta tese suportam a conclusão de que é possível obter progresso genético para a produção de oócitos e embriões por meio de seleção. Isso pode

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<sup>1</sup> Tese de Doutorado em Zootecnia, Faculdade de Agronomia, Universidade Federal do Rio Grande do Sul, Porto Alegre, RS, Brasil. (149 p.) Abril, 2022.



contribuir para o crescimento econômico de fazendas e demais empresas envolvidas na comercialização de embriões. Além disso, a rápida disseminação de material genético superior, proporcionada pelas técnicas reprodutivas via grande número de progênies de fêmeas com alto valor genético para diferentes características de interesse econômico, favorecem também o desenvolvimento e o retorno financeiro para os sistemas produtivos.

**Palavras-chave:** distribuição de Poisson, estresse térmico, índice de temperatura e umidade, modelo de regressão aleatória, modelo de repetibilidade, modelos lineares generalizados.

## DIFFERENT APPROACHES FOR THE GENETIC EVALUATION OF OOCYTE AND EMBRYO PRODUCTION IN THE DAIRY GIR BREED<sup>2</sup>

Author: Giovani Luis Feltes

Adviser: Jaime Araújo Cobuci

### ABSTRACT:

Artificial reproduction technologies, such as the production of oocytes and embryos, play an important role in the dissemination of superior genetic material, effectively contributing to accelerate the genetic gain of traits of commercial interest in the production system and in the development of the production chain of meat and milk. In view of this, the objective of this study was to evaluate different methodologies in the genetic evaluation of the production of oocytes and embryos in Dairy Gir cattle and to identify the most appropriate strategies for future genetic evaluations of the breed. In the first chapter, we present a literature review on topics that are directly related to the objectives of our project. In the second chapter, we used repeatability and random regression (RRM) models to estimate genetic parameters and predict breeding values for oocyte and embryo production, and we identified the models that resulted in the greatest genetic gains in oocyte and embryo production. In the third chapter, we investigated the impact of heat stress on genetic parameters and breeding values for the production of oocytes and embryos in Dairy Gir animals. And, in the fourth chapter, we evaluated the efficiency of models with Gaussian distribution (non-transformed variable — LIN; transformed by logarithm — LOG; transformed by the Anscombe distributions — ANS) and Poisson (POI) in the genetic evaluation for the production of oocytes and embryos. The heritability estimated by the RRM was higher than that estimated by the repeatability model, and the genetic gain was greater, with increases ranging between 0.02 (13.33%) and 0.26 (152.94%) when using the RRM. We observed a reordering in the classification of the predicted breeding values of the top 5% sires and females along the age of the donor at ovum pick-up. It is recommended to consider the effect of heat stress through the temperature-humidity index in the genetic evaluations of the breed for the production of oocytes and embryos and, thus, it is possible to select animals tolerant to heat stress in traits related to artificial reproduction. We also observed the reordering of the best animals in relation to the age scales for age at ovum pick-up and the temperature-humidity index. Thus, these factors must be taken into account when identifying and selecting breeding candidates. Furthermore, we identified that the POI model fitted the data better and resulted in greater accuracy of the breeding values when compared to the other models with Gaussian probability distribution (LIN, LOG and ANS). The results obtained in this thesis support the conclusion that it is possible to obtain genetic progress for the production of oocytes and embryos through selection. This can contribute to the economic growth of farms and other companies involved in the commercialization of embryos. In addition, the rapid dissemination of superior genetic material, provided by

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<sup>2</sup> Doctoral thesis in Animal Science, Faculdade de Agronomia, Universidade Federal do Rio Grande do Sul, Porto Alegre, RS, Brazil. (149 p.) April, 2022.

reproductive techniques via a large number of progenies of females with high genetic value for different traits of economic interest, also favors the development and financial return for production systems.

**Keywords:** Poisson distribution, heat stress, temperature-humidity index, random regression model, repeatability model, generalized linear models.

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## LISTA DE ABREVIATURAS E SIGLAS

A	<i>Additive genetic effect</i>
AIC	<i>Akaike Information Criterion</i>
ANS	<i>Anscombe model (transformed by Anscombe)</i>
AOPU	<i>Age at ovum pick-up</i>
BIC	<i>Bayesian information criterion</i>
CE	<i>Cleaved embryos</i>
CG	<i>Contemporary group</i>
DIC	<i>Deviance information criterion</i>
DBT	<i>Dry bulb temperature</i>
D7M2	<i>Random regression model that considers two regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 7 days.</i>
D7M3	<i>Random regression model that considers three regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 7 days.</i>
D7M4	<i>Random regression model that considers four regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 7 days.</i>
D14M2	<i>Random regression model that considers two regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 14 days.</i>
D14M3	<i>Random regression model that considers three regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 14 days.</i>
D14M4	<i>Random regression model that considers four regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 14 days.</i>
D28M2	<i>Random regression model that considers two regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 28 days.</i>

- D28M3                *Random regression model that considers three regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 28 days.*
- D28M4                *Random regression model that considers four regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 28 days.*
- D42M2                *Random regression model that considers two regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 42 days.*
- D42M3                *Random regression model that considers three regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 42 days.*
- D42M4                *Random regression model that considers four regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 42 days.*
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- D56M3                *Random regression model that considers three regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 56 days.*
- D56M4                *Random regression model that considers four regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 56 days.*
- D112M2               *Random regression model that considers two regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 112 days.*
- D112M3               *Random regression model that considers three regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 112 days.*

D112M4	<i>Random regression model that considers four regressors for genetic additive and permanent environmental effect at AOPU and THI, considering THI about 112 days.</i>
EBV	<i>Estimated breeding value</i>
EBV20_80	<i>Estimated breeding value in 20 months at temperature-humidity index equal 80</i>
IETS	<i>International Embryo Technology Society's</i>
ITU	<i>Índice de temperatura e umidade</i>
IOPU	<i>Interval between ovum pick-up</i>
HS	<i>Heat stress</i>
LIN	<i>Linear model (untransformed variable)</i>
LOG	<i>Logarithm model (transformed by logarithm)</i>
MOET	<i>Multiple ovulation embryo transfer</i>
MPP	<i>Model posterior probabilities</i>
MRA	<i>Modelo de regressão aleatória</i>
MSE	<i>Mean square error</i>
N	<i>Number</i>
NOPU	<i>Number of ovum pick-up</i>
NRC	<i>National research council</i>
P	<i>Permanent environment effect</i>
PEV	<i>Prediction error variance</i>
POI	<i>Poisson model</i>
PIVE	<i>Produção in vitro de embriões</i>
R	<i>Repeatability</i>
RRM	<i>Random regression models</i>
RH	<i>Relative humidity</i>
SD	<i>Standard deviation</i>
SM2	<i>Random regression model that considers two regressors for genetic additive and two regressors for permanent environmental.</i>
SM3	<i>Random regression model that considers three regressors for genetic additive and three regressors for permanent environmental.</i>

SM4	<i>Random regression model that considers four regressors for genetic additive and four regressors for permanent environmental.</i>
SS	<i>Service Sire</i>
TO	<i>Total oocytes</i>
THI	<i>Temperature-humidity index</i>
VE	<i>Viable embryos</i>
VO	<i>Viable oocytes</i>
1G1P	<i>Repeatability model</i>
1G2P	<i>Random regression model that considers one regressor for genetic additive and two regressors for permanent environmental.</i>
1G3P	<i>Random regression model that considers one regressor for genetic additive and three regressors for permanent environmental.</i>
1G4P	<i>Random regression model that considers one regressor for genetic additive and four regressors for permanent environmental.</i>
2G1P	<i>Random regression model that considers two regressors for genetic additive and one regressor for permanent environmental.</i>
2G2P	<i>Random regression model that considers two regressors for genetic additive and two regressors for permanent environmental.</i>
2G3P	<i>Random regression model that considers two regressors for genetic additive and three regressors for permanent environmental.</i>
2G4P	<i>Random regression model that considers two regressors for genetic additive and four regressors for permanent environmental.</i>
3G1P	<i>Random regression model that considers three regressors for genetic additive and one regressor for permanent environmental.</i>
3G2P	<i>Random regression model that considers three regressors for genetic additive and two regressors for permanent environmental.</i>
3G3P	<i>Random regression model that considers three regressors for genetic additive and three regressors for permanent environmental.</i>
3G4P	<i>Random regression model that considers three regressors for genetic additive and four regressors for permanent environmental.</i>
4G1P	<i>Random regression model that considers four regressors for genetic additive and one regressor for permanent environmental.</i>

- 4G2P                    *Random regression model that considers four regressors for genetic additive and two regressors for permanent environmental.*
- 4G3P                    *Random regression model that considers four regressors for genetic additive and three regressors for permanent environmental.*
- 4G4P                    *Random regression model that considers four regressors for genetic additive and four regressors for permanent environmental.*

## LISTA DE SÍMBOLOS

$c^2$	<i>Fraction of the phenotypic variance explained by the permanent environment</i>
$h^2$	<i>Heritability</i>
$\sigma$	<i>Sigma</i>
$\sigma_u^2$	<i>Additive genetic variance</i>
$\sigma_{pe}^2$	<i>Permanent environmental variance</i>
$\Sigma$	<i>Somatório</i>
$\beta$	<i>Beta</i>
$\delta$	<i>Delta</i>
$\rho$	<i>Spearman rank correlation</i>
$\varphi$	<i>Fi</i>
$\times$	<i>Multiplicação</i>
$\otimes$	<i>Kronecker product</i>
<b>G</b>	<i>Covariance matrices genetics of the random regression coefficients</i>
<b>P</b>	<i>Covariance matrices permanent environmental of the random regression coefficients.</i>
<b>A</b>	<i>Kinship matrix</i>
<b>I</b>	<i>Identity matrix</i>
<b>E = <math>\sigma_e^2</math></b>	<i>Residual matrix</i>

## **CAPÍTULO I**

## 1. Introdução

A introdução do uso de técnicas embrionárias em animais domésticos iniciou-se no final da década de 1940, com o desenvolvimento de protocolos para superovulação e transferência de embriões, e se intensificou nos últimos 20 anos. Por exemplo, a produção mundial de embriões transferíveis saltou de entorno de 800 mil em 2000 para cerca 1.4 milhões em 2019, sendo produzidos 1.419.336 embriões transferíveis e, desse total, 72.7% foram produzidos *in vitro* (Viana, 2020). Atualmente, a indústria mundial de embriões bovinos é caracterizada por tendências divergentes: uma diminuição na produção e na transferência de embriões *in vivo*, contrastando com um aumento no número de transferências de embriões *in vitro* (Viana, 2020).

A produção de embriões *in vitro* (PIVE) é uma tecnologia reprodutiva usada para aumentar o número de progênie de fêmeas de alto valor genético (PARKER GADDIS et al., 2017). Nesse contexto, é importante destacar que somente essas fêmeas com alto valor genético, em razão de ser uma característica importante economicamente, são submetidas a essa tecnologia. Trata-se de um processo similar à inseminação artificial, que facilita a disseminação do material genético dos machos. Além do desenvolvimento da tecnologia de PIVE muitos esforços foram realizados para melhorar a eficiência da produção de embriões, entretanto, todos eles focados em fatores não genéticos (MERTON et al., 2009).

A utilização das técnicas embrionárias tem alto custo e grande variabilidade no resultado (JATON et al., 2016a). Caso parte dessa variabilidade tenha origem genética aditiva, a seleção de animais pode ser usada para melhorar a resposta à seleção nos rebanhos (PARKER GADDIS et al., 2017; DE VRIES; KANIYAMATTAM, 2020). Conhecendo esse potencial, o criador poderá decidir quais animais serão utilizados nesse procedimento (JATON et al., 2016a).

Nesse sentido, as primeiras pesquisas realizadas na raça Holandesa no Brasil estimaram herdabilidade e repetibilidade para o número de embriões de 0,03 e de 0,13, respectivamente (TONHATI; LÔBO; OLIVEIRA, 1998). Mais recentemente, foram obtidas estimativas de herdabilidade de 0,17 e 0,14 para número de embriões totais viáveis na população de animais da raça Holandesa no Canadá (JATON et al., 2016a). Dessa forma, os autores concluíram que é possível aumentar a produção de embriões das vacas por meio de seleção. Além disso, a seleção de fêmeas com maior



valor genético para produção de embriões poderia ser utilizada como uma ferramenta adicional disponível para os criadores identificarem animais *outliers* antes de tomar a decisão da escolha de uma possível doadora.

De forma semelhante aos estudos realizados para estimar a herdabilidade para produção de embriões, em 2016, foi estimada herdabilidade de 0.19 para número de oócitos viáveis mensurados em animais da raça Guzerá no Brasil (PEREZ et al., 2016). No mesmo trabalho também é reportada correlação genética positiva e de alta magnitude entre número de oócitos e embriões viáveis (PEREZ et al., 2016). Sendo assim, essas características podem ser utilizadas como critério de seleção em programas de melhoramento genético com objetivo de melhorar o desempenho dos animais nas biotecnologias reprodutivas.

É importante destacar que as avaliações genéticas para as características de embriões e oócitos são, geralmente, realizadas pelo uso do modelo de repetibilidade (ASADA; TERAWAKI, 2002; JATON et al., 2016a; MERTON et al., 2009; PEREZ et al., 2016; TONHATI; LÔBO; OLIVEIRA, 1998). Nesses modelos, as correlações genéticas e de ambiente permanente entre as medidas repetidas do mesmo animal ao longo de tempo animal são consideradas perfeitas ( $\rho=1$ ). Portanto, não se considera que possam ocorrer mudanças na expressão da característica ao longo do tempo. Entretanto, isso pode não ser caso, como, por exemplo, a idade das fêmeas pode resultar em mudanças na sua fertilidade e, com isso, espera-se que a correlação entre a produção de oócitos aos dois e aos oito anos de idade pode ser diferente de um. Uma alternativa eficiente para modelar esse efeito seria os modelos de regressão aleatória (RRM), uma vez que esses assumem que a correlação genética e ambiental permanente entre medidas repetidas ao longo do tempo pode ser diferente de um (OLIVEIRA et al., 2019b).

Outro ponto a ser observado nas avaliações genéticas para produção de oócitos e embriões é o fato de que essas características são contagens ou percentuais que normalmente não apresentam distribuição normal dos resíduos. Essa limitação pode ser corrigida por meio da transformação dos dados, por exemplo, pela transformação Logarítmica ou Anscombe (JATON et al., 2016a) ou a utilização de modelos lineares mistos generalizados com distribuições alternativas que melhor se ajustam à contagem de oócitos e embriões. A distribuição de Poisson apresentou

melhores resultados com maior estimativa de herdabilidade e maior acurácia dos valores genéticos que as transformações dos dados (PEREZ et al., 2017a), sendo uma alternativa interessante para avaliação genética de animais da raça Guzerá.

Além dos fatores genéticos, o estresse por calor também pode afetar a qualidade do oócito ou embrião e comprometer a fertilidade no gado leiteiro. O estresse por calor já foi associado a reduções na taxa de fertilização (SARTORI et al., 2002). Isso porque o estresse por calor influencia negativamente o desenvolvimento embrionário e o processo de ovogênese, uma vez que a capacidade de o oócito ser fertilizado e se desenvolver até o estágio de blastócito é menor no verão em comparação ao inverno (HANSEN, 2013).

Uma forma de medir e quantificar o estresse térmico é através do índice de temperatura-umidade (ITU), que foi utilizado para determinar a tolerância ao calor em animais da raça Gir por Santana et al. (2015). Esses autores demonstraram que a seleção para produção de leite sem levar em consideração o componente genético que influencia a tolerância ao calor pode causar perdas em produtividade.

Diante do contexto, foram desenvolvidos três estudos. O primeiro utilizou modelos de regressão aleatória para avaliar o efeito da idade das fêmeas nas características de produção de oócitos e embriões. O segundo avaliou o efeito do estresse térmico por meio do índice ITU sobre as características de produção de oócitos e embriões utilizando modelos de regressão aleatória. O terceiro abordou à utilização de modelos lineares mistos generalizados e transformações dos dados na estimação de parâmetros genéticos para as características de produção de oócitos e embriões.

## 2. Revisão bibliográfica

### 2.1 Produção de embriões *in vivo*, *in vitro* e transferência de embriões

A transferência de embriões produzidos *in vivo* é uma biotecnologia reprodutiva na qual os embriões são coletados das trompas uterinas de uma fêmea doadora e depois são transferidos para o útero de uma outra fêmea, que é chamada de receptora e serve como mãe substituta para o restante da gestação (GADISA; FURGASA; DUGUMA, 2019). Essa técnica já foi aplicada em várias espécies de animais domésticos e também em animais selvagens e exóticos (GADISA; FURGASA; DUGUMA, 2019).

Em bovinos, a coleta de embriões foi realizada pela primeira vez de forma cirúrgica, um procedimento invasivo, caro, demorado e trabalhoso para as pessoas envolvidas, que exigia instalações cirúrgicas sofisticadas e não poderia ser realizada na fazenda de forma prática. Além disso, as repetidas recuperações cirúrgicas no mesmo animal podem resultar no desenvolvimento de lesões graves nos ovários e fímbrias. Dessa forma, o surgimento de técnicas não-cirúrgicas beneficiou o procedimento, resultando em ampla disseminação e aplicação dessa técnica, em razão de seu fácil acesso, tanto para os técnicos quanto para os animais, e menor custo (MOORE; HASLER, 2017). Para a transferência de embriões ser realizada, é preciso de uma série de processos, entre eles: superovulação, inseminação de doadoras, coleta de embriões, isolamento, avaliação e armazenamento de curto prazo de embriões, micromanipulação e testes genéticos, congelamento de embriões e posterior transferência (BETTERIDGE, 2003).

O tratamento de animais doadores com gonadotrofina coriônica equina e o uso de prostaglandinas e seu análogo (cloprostenol) têm contribuído para a superovulação, resultando em um grande número de embriões (GADISA; FURGASA; DUGUMA, 2019) e fazendo o número médio aumentar de cinco ovócitos viáveis para entorno de 20 em animais *Bos taurus*, entretanto, para *Bos indicus* não há consenso científico sobre esse resultado (FERRÉ et al., 2020).

A PIVE envolve a coleta de ovócitos de folículos (aspiração folicular) e um período de amadurecimento (maturação *in vitro*), quando são misturados com esperma capacitado (fertilização *in vitro*) e o zigoto é cultivado no período de oito a nove dias para obtenção de blastocistos para transferência ao útero da fêmea

receptora (GADISA; FURGASA; DUGUMA, 2019). A eficiência da PIVE varia significativamente, mas um número razoável é o de quatro embriões transferíveis por coleta ocorrida a cada 14 dias (DE VRIES; KANIYAMATTAM, 2020).

A coleta de oócitos para a PIVE pode ser feita por diversas técnicas, mas a principal é a técnica de aspiração folicular transvaginal, a qual tem apresentado uma maior flexibilidade e sido a melhor opção para a recuperação de oócitos *in vivo* na espécie bovina (MELLO et al., 2016). Os oócitos são aspirados de um pool heterogêneo de folículos antrais, de 2 a 8 mm de tamanho, incluindo folículos de ondas foliculares ovulatórias e não ovulatórias, bem como folículos dominantes e subordinados nessas ondas (FERRÉ et al., 2020).

A principal vantagem da PIVE é maximizar a exploração do potencial genético de fêmeas bovinas, porque permite a interação entre o espermatozoide e o oócito fora do trato reprodutivo da fêmea, com a possibilidade de formação de um novo indivíduo (MELLO et al., 2016). Entre outras vantagens da PIVE, estão: gerar filhos de animais inférteis, filhos de novilhas jovens antes da idade reprodutiva, salvar a genética de animais terminalmente doentes e/ou feridos, uso de vários touros em um curto período de tempo e a utilização de oócitos derivados de matadouro para produção de embriões de pesquisa e/ou de baixo custo (HASLER J. F.; BARFIELD, 2021). Mas existem alguns problemas, como o tamanho dos bezerros, a diminuição da intensidade do trabalho de parto, o aumento na taxa de aborto, aumentos nas taxas de má formação congênita e aumento na taxa de morte perinatal, além de menor taxa de gravidez quando comparada com embriões produzidos *in vivo* (HASLER J. F.; BARFIELD, 2021).

Embora no Brasil a PIVE tenha atingido escala comercial, ainda existem algumas limitações, como a baixa taxa de blastocisto que implica no aumento do custo de cada embrião produzido (MELLO et al., 2016). Assim como o fato de algumas fêmeas produzirem poucos oócitos e de baixa qualidade. Adicionalmente, os embriões produzidos por essa técnica apresentam baixa resistência ao congelamento, devendo ser transferidos frescos para obter maior taxa de prenhez (MELLO et al., 2016). E o sucesso da superovulação e da recuperação de embriões em bovinos depende de vários fatores relacionados à doadora e ao ambiente, como clima, instalações, protocolos hormonais, técnico responsável pelo procedimento, entre outros. Por fim, ressalta-se que as melhorias alcançadas nessa técnica facilitaram a conveniência dos

protocolos, o bem-estar animal e a facilidade de gestão no procedimento de coleta. O fator limitante mais significativo no sucesso da superovulação tem sido a imprevisibilidade, devido à alta variabilidade entre os indivíduos, e na resposta ovariana à estimulação de gonadotrofinas (MIKKOLA; TAPONEN, 2017).

## 2.2 Situação atual do mercado de embriões

As biotecnologias ligadas à reprodução artificial avançaram muito e, hoje, os embriões congelados podem ser transferidos para recipientes adequados por meio de técnicas de amplo acesso, assim como a inseminação artificial. Uma combinação de transferência de embriões usando vacas de alto valor genético, inseminadas com touros provados, parece ser o uso mais comum da transferência de embriões bovinos mundial (MAPLETOFT, 2013).

No Brasil, a partir do ano de 2005, a PIVE ultrapassou a produção *in vivo*, e em 2014 o país foi considerado o maior produtor mundial de embriões *in vitro* (MELLO et al., 2016). O Brasil, nos anos 1990, era considerado como referência regional na utilização dessa tecnologia e, em apenas duas décadas, tornou-se líder mundial na produção de embriões *in vitro*, confirmando previsões sobre a taxa de aplicação da técnica. O crescimento do uso da técnica também foi observado em diversos países nos anos subsequentes (GONÇALVES; VIANA, 2019). Em 2016, o número de embriões viáveis produzidos via PIVE ultrapassou o número de embriões transferíveis produzidos *in vivo*, com base em dados registrados pela International Embryo Technology Society (IETS). No entanto, esse evento histórico se baseia apenas nos dados declarados submetidos ao IETS por participantes voluntários e, como tal, provavelmente não inclui o número total de embriões produzidos *in vivo* e PIVE em todo o mundo, portanto, é possível que o evento real tenha ocorrido ainda mais cedo (FERRÉ et al., 2020).

O uso de tecnologias embrionárias no Brasil aumentou notavelmente 726,5% nos últimos 20 anos, mas ainda representa apenas 0,33% do número de vacas e novilhas em idade reprodutiva. O aumento no uso de transferência de embriões no período entre 1995 a 2015 nos rebanhos leiteiros brasileiros foi ainda maior, 2.261,7%. No entanto, isso representa apenas 0.48% das fêmeas aptas à transferência e que foram efetivamente coletadas (VIANA; FIGUEIREDO; SIQUEIRA, 2017). É importante destacar que essa proporção também é baixa para o uso de outras tecnologias

reprodutivas, como a inseminação artificial com apenas 13,3% das fertilizações realizadas em bovinos (VIANA; FIGUEIREDO; SIQUEIRA, 2017).

O grande destaque brasileiro na PIVE se deve, principalmente, ao tamanho e às características do rebanho nacional, como o alto número de animais zebuínos, que têm maior produção de oócitos por coleta (MELLO et al., 2016).

A transferência comercial de embriões em bovinos tornou-se uma indústria bem estabelecida. Embora um número muito pequeno de descendentes (em proporção) via tecnologias reprodutivas seja gerado anualmente, seu impacto é grande devido ao alto valor genético desses animais. Essa contribuição parece ser mais marcante na pecuária leiteira mundial, em que a maior parte do sêmen usado atualmente vem de touros criados via transferências de embriões (MAPLETOFT, 2013).

### **2.3 Influência genética na produção de embriões**

As pesquisas realizadas com PIVE buscam avanços no desempenho geral do processo, tais como melhoria nos métodos para estimulação ovariana, recuperação e maturação de ovócitos, fertilização, desenvolvimento, congelamento e transferência do embrião e estabelecimento da prenhez (FERRÉ et al., 2020). Assim, vários fatores, incluindo aqueles relacionados aos animais e aqueles que envolvem o ambiente e o manejo (técnico, protocolo hormonal, aparelho de ultrassonografia), podem contribuir para melhorar os resultados da transferência de embriões (FLEMING et al., 2018a).

Adicionalmente, resultados de pesquisas indicam que existe um componente genético para características relacionadas à produção de oócitos e embriões e que a seleção animal é possível (CORNELISSEN et al., 2017; JATON et al., 2016a; MERTON et al., 2009; PARKER GADDIS et al., 2017; PEREZ et al., 2016). Além disso, o componente genético para características de superovulação envolve algumas regiões genômicas (BTA 5, e no BTA19, *SEC14L1*) que são similares às aquelas de outras características de fertilidade atualmente avaliadas (PARKER GADDIS et al., 2017).

Ainda, alguns estudos relataram herdabilidade para produção de embriões viáveis variando de 0,03 até 0,34 (ASADA; TERAWAKI, 2002; JATON et al., 2016a; KÖNIG et al., 2007a; MERTON et al., 2009; TONHATI; LÔBO; OLIVEIRA, 1998). Enquanto as estimativas de repetibilidade variam de 0,13 até 0,34 (ASADA; TERAWAKI, 2002; JATON et al., 2016a; TONHATI; LÔBO; OLIVEIRA, 1998). Esses

resultados indicam que a seleção de características de produção de embriões poderia acarretar um progresso genético para essas características, já que as herdabilidades estimadas variaram de baixas a moderadas. As estimativas de parâmetros genéticos não apresentam valores muito discrepantes entre animais zebuínos e taurinos. Por exemplo, para embriões clivados na raça Guzerá a herdabilidade é de 0,23 (PEREZ et al., 2017b) e o mesmo valor foi relatado para a raça Holandesa por Jatón *et al.* (2016b).

A seleção genética de animais para esse tipo de característica permitirá que os criadores identifiquem doadoras com maior valor genético para produção de embriões e oócitos, para que as futuras gerações respondam melhor a procedimentos como a superovulação e a fertilização *in vitro*.

Algumas regiões do genoma associada a características de superovulação e genes de interesse foram investigadas e validadas, como BTA3, BTA8, BTA11, BTA19, BTA27, BTA28, e BTA19 (PARKER GADDIS et al., 2017). A adição de informações genômicas à informação de pedigree afetou pouco as estimativas de herdabilidade para número de oócitos (0,31) e número de embriões (0,22). No entanto, ocorreu uma diminuição no erro padrão dessas estimativas, demonstrando uma acurácia ligeiramente maior quando se utiliza a informação genômica (CORNELISSEN et al., 2017) em detrimento da utilização exclusiva de informação genealógica na avaliação genética das populações.

As características de PIVE estão relacionadas geneticamente com outras características produtivas e reprodutivas. Correlações desfavoráveis de baixa a média magnitude entre a PIVE e a produção de leite, gordura e proteína foram relatadas na raça Gir (VIZONÁ et al., 2020) e na raça Holandesa (JATÓN et al., 2016b). Além disso, correlações de baixa magnitude, negativas e positivas, foram relacionadas com a idade no primeiro parto em doadoras da raça Guzerá (PEREZ et al., 2016). Entretanto, mesmo com correlações baixas, a seleção para vacas de alto desempenho produtivo que produzem grande número de embriões viáveis é uma alternativa interessante a ser utilizada (JATÓN et al., 2016b), uma vez que na população podem existir animais com bons valores genéticos para ambas características.

A inclusão do número de oócitos como critério de seleção nos programas de melhoramento genético de vacas leiteiras pode resultar em melhor desempenho da produção embrionária *in vitro* e maior retorno econômico do setor produtivo devido à

quantidade razoável de variação genética detectada para essas características (VIZONÁ et al., 2020). Todavia, devido à PIVE aumentar o número de descendentes de fêmeas com alto valor genético, é importante ter cuidado com parâmetros de genética populacional, uma vez que na raça Gir o número efetivo de fundadores e ancestrais na população atual demonstra a existência de gargalos no pedigree e indicam a necessidade de monitoramento da estrutura populacional (SANTANA et al., 2014).

#### **2.4 Modelo de regressão aleatória**

Algumas características relacionadas à produção animal são avaliadas como medidas repetidas no tempo, como é o caso da produção de oócitos e embriões. Ao avaliar uma característica que se repete ao longo do tempo, modelos de repetibilidade, geralmente, são empregados. Entretanto, esse tipo de modelo não considera que a variância genética, variância de ambiente permanente e variância residual podem mudar ao longo do período avaliado, dessa forma, considera-se que existe uma correlação perfeita ( $\rho=1$ ) entre a mesma característica avaliada em diferentes períodos de tempo.

Todavia, há uma forma de avaliar medidas repetidas, considerando que a correlação pode ser diferente de um, através do modelo de regressão aleatória. Inicialmente proposto por Henderson (1982), o modelo de regressão aleatória teve sua primeira aplicação em dados de produção animal conduzida por Ptak & Schaeffer (1993), na análise dos registros de produção de leite no dia do controle. Essa primeira tentativa não foi um MRA, mas foi responsável pela forma geral ou curva média de lactação para vacas dentro do rebanho, com ano e estação semelhantes.

Após esse ensaio inicial, Schaeffer & Dekkers (1994) estenderam os coeficientes de regressão do modelo de regressão fixa para efeitos aleatórios de animais. Ao fazê-lo, eles foram capazes de explicar a forma média da curva de lactação dentro de um determinado rebanho, ano e estação, bem como elucidar o desvio da curva de lactação de cada animal individual a partir dessa forma média. Os autores também foram capazes de explicar a mudança na estrutura de correlação de registros repetidos em indivíduos ao longo do tempo. Fornecendo, assim, uma estrutura robusta para modelar trajetórias de características e realizar análises genéticas simultaneamente (CAMPBELL et al., 2019). Desse modo, não é necessário



utilizar fatores de ajuste para uma determinada idade ou dias em lactação, porque esse ajuste já está incluído na matriz de delineamento (ALBUQUERQUE, 2004).

Toda a informação fenotípica disponível pode ser incluída na avaliação genética, pois o MRA não exige que os registros sejam medidos ao mesmo tempo em todos os indivíduos e também não requer número mínimo de registros por animal (SCHAEFFER, 2004).

O uso de polinômios ortogonais de Legendre no MRA oferece estabilidade numérica, reduzindo a correlação entre os coeficientes de regressão aleatória e o erro de computação (SCHAEFFER, 2004). E, após obter os coeficientes para efeitos genéticos aleatórios, os valores genéticos em qualquer ponto do tempo podem ser facilmente calculados (BABA et al., 2020).

O modelo de regressão aleatória estatisticamente é:

$$Y_{ijkl} = hys_i + \sum_{k=0}^n \phi_k(mo_t)\beta_{jk} + \sum_{k=0}^n \phi_k(mo_t)u_{jk} + \sum_{k=0}^n \phi_k(mo_t)pe_{jk} +$$

$e_{ijkl}$ , em que  $Y_{ijkl}$  é o vetor das informações da característica;  $hys_i$  é o efeito fixo;  $\beta_{jk}$  é o coeficiente de regressão fixa;  $u_{jk}$  e  $pe_{jk}$  são os coeficientes de regressão aleatória que descrevem os efeitos genéticos aditivos e de ambiente permanente sobre a performance do animal;  $\phi_k(mo_t)$  é função matemática ou polinômio usado como coeficiente de regressão, e  $e_{ijkl}$  é o erro aleatório.

Para os quais se assume:

$$Var \begin{bmatrix} \mathbf{u} \\ \mathbf{pe} \\ \mathbf{e} \end{bmatrix} = \begin{bmatrix} \mathbf{A} \otimes \mathbf{G} & 0 & 0 \\ 0 & \mathbf{I} \otimes \mathbf{P} & 0 \\ 0 & 0 & \mathbf{E} \end{bmatrix},$$

$\mathbf{G}$  e  $\mathbf{P}$  são as matrizes de covariância dos coeficientes de regressão aleatória;  $\mathbf{A}$  é a matriz de parentesco;  $\mathbf{I}$  é uma matriz identidade;  $\mathbf{E} = \sigma_e^2$  é uma matriz diagonal dos resíduos; e  $\otimes$  é o produto de Kronecker entre as matrizes.

O MRA tem sido considerado o método de escolha para avaliar geneticamente caracteres longitudinais em várias espécies, incluindo gado leiteiro, gado de corte, ovelhas, caprinos, cavalos, suínos, aves, codornas e peixes (DZOMBA et al., 2011; OLIVEIRA et al., 2019b). O modelo de regressão aleatória também está despertando o interesse da área genômica do melhoramento animal (KANG et al., 2018; OLIVEIRA

et al., 2019a), dessa forma, a atualização do modelo de regressão aleatória na avaliação de características de produção artificial pode ser uma alternativa interessante a ser pesquisada.

## 2.5 Estresse térmico na produção de embriões

A alta temperatura e a umidade do ambiente de criação resultaram em um declínio acentuado na qualidade dos oócitos recuperados de vacas *Bos taurus* e diminuíram acentuadamente suas capacidades de desenvolvimento *in vitro*. Entretanto, em vacas *Bos indicus*, um alto percentual de oócitos recuperados, exibiram morfologia normal e produziram uma alta proporção de blastocistos, independentemente da variação natural da temperatura e da umidade entre as estações do ano (ROCHA et al. 1998).

O genótipo é um dos principais determinantes da resistência ao estresse térmico, e as raças de gado de origem *Bos indicus* são mais resistentes às condições tropicais, como temperatura e umidade elevadas, do que raças que evoluíram em clima temperado (TORRES-JÚNIOR et al., 2008). A maior resistência dos animais zebuínos ao calor pode ser associada à seleção natural que, provavelmente, aumentou a frequência de alguns alelos favoráveis à resistência ao estresse térmico em populações que evoluíram em climas quentes (HANSEN, 2020). Dessa forma, esses animais conseguem suportar melhor os efeitos do estresse térmico, sem comprometer a produção e a reprodução.

Uma das melhores formas para avaliar o estresse térmico é através de variáveis bioclimáticas ou índices que contemplem mais de uma variável. O índice mais utilizado é o ITU, que avalia os efeitos combinados da temperatura e da umidade do ar (NASCIMENTO et al., 2019). Existem diferentes fórmulas de cálculo do ITU, entretanto, a mais comum e amplamente utilizada é a do National Research Council:  $ITU = [(1,8 \cdot T + 32) - (0,55 - (0,0055 \cdot UR) \cdot (1,8 \cdot T - 26))]$ ; em que T = temperatura de bulbo seco (°C); UR = umidade relativa do ar (%).

A principal vantagem do ITU é que os dados necessários podem ser facilmente obtidos na fazenda ou a partir de uma estação meteorológica próxima, enquanto os dados de radiação térmica recebidos pelo animal e a velocidade do vento são mais difíceis de registrar, porque dependem de equipamentos específicos, e os dados

necessários, muitas vezes, não estão disponíveis publicamente (BOHMANOVA; MISZTAL; COLE, 2007a).

No entanto, níveis elevados de ITU têm um efeito negativo na retomada da atividade ovariana em *Bos indicus*, especialmente se ocorrer ITU alto durante o último trimestre da gestação (DÍAZ et al., 2020). O processo de ovogênese é longo e não está claro até que ponto o estresse térmico pode afetar tanto nas fases iniciais do crescimento folicular quanto no período de maturação (HANSEN, 2013).

O oócito adquire seu potencial de desenvolvimento de forma gradual durante o desenvolvimento folicular e, portanto, perturbações induzidas pelo estresse térmico no funcionamento folicular podem levar a uma redução da competência do oócito ao ser fertilizado (HANSEN, 2013; WOLFENSON; ROTH, 2019). A competência dos oócitos de serem fertilizados ou de se desenvolverem até o estágio de blastocisto é menor no verão do que no inverno (HANSEN, 2013).

Um experimento com vacas Gir sob um período de 28 dias de estresse térmico não observou efeito imediato sobre a função reprodutiva, mas verificou que exerceu um efeito deletério tardio sobre o crescimento folicular ovariano, concentrações hormonais e redução da competência oocitária para fertilização *in vitro* em até 105 dias após o término do estresse térmico (TORRES-JÚNIOR et al., 2008). A falta de efeito imediato do estresse térmico na função reprodutiva sugeriu que a suscetibilidade dessa raça termotolerante necessita exposição a longo prazo a temperaturas elevadas.

A seleção somente baseada em características produtivas pode afetar negativamente a termotolerância. Santana et al. (2015) relataram que as tendências genéticas para a raça Gir indicam que no futuro poderá ser necessário selecionar animais termotolerantes para manter a produção alta mesmo em períodos de estresse térmico. O bem-estar animal também é comprometido pelo efeito do estresse térmico. Uma forma muito difundida avaliar o efeito do estresse térmico é através da quantificação da diminuição da produção de leite e da redução das taxas reprodutivas devido a sua facilidade de medição no nível do rebanho, tendo uma ligação direta com a lucratividade da fazenda (POLSKY; VON KEYSERLINGK, 2017). Esse tipo de medida é utilizado devido ao fato de que quantificar o bem-estar animal não é uma tarefa muito simples, dessa forma, reforçasse os efeitos negativos do estresse térmico sobre os animais (POLSKY; VON KEYSERLINGK, 2017). Por isso, investigar

diferentes estratégias para mitigar o estresse térmico em diferentes espécies de animais deve ser uma prioridade devido às perspectivas das mudanças climáticas em nível global (HANSEN, 2013). Nesse contexto, a seleção de animais mais termotolerantes poderá ser uma alternativa viável de bons resultados.

## 2.6 Modelos lineares generalizados

As características de produção de oócitos e embriões são exemplos de atributos que apresentam distribuição não Gaussiana, assim como uma série de outras propriedades; e pela necessidade de fazer previsões para este tipo de característica foram desenvolvidos os modelos lineares generalizados (MCCULLAGH; NELDER, 1983). Assim, a teoria do modelo linear generalizado permite que a variável  $y$  siga qualquer outra distribuição que pertença à família exponencial na forma canônica, ao invés de somente a distribuição normal (RESENDE; SILVA; AZEVEDO, 2014).

A transformação da variável dependente é uma alternativa a utilização de modelos lineares generalizados, dessa forma a variável passa a apresentar uma distribuição normalizada e após isso, são realizadas as análises genéticas. Entre as transformações mais utilizadas para o número de oócitos e embriões estão a transformação logarítmica e a Anscombe (CORNELISSEN et al., 2017; JATON et al., 2016a; PARKER GADDIS et al., 2017; PEREZ et al., 2016). Entretanto, a transformação dos dados pode não ser a melhor opção para avaliação genética dessas características, Perez et al. (2017) relataram que, ao utilizar um modelo linear generalizado que seguia distribuição Poisson, foi possível capturar melhor a variância genética aditiva e de ambiente permanente, resultando assim em maiores estimativas de herdabilidade e repetibilidade. Os modelos lineares generalizados são uma extensão do modelo linear e incluem o conceito da “função de ligação”, que especifica a relação existente entre o valor esperado da variável  $y_i$  e o preditor linear  $\eta_i$ . Na função de ligação “Log”,  $\eta_i = \log_e(y_i)$  é comumente usada para modelagem de características de contagem (PEREZ, 2016). Essa particularidade é importante para análise de dados que não apresentam distribuição normal, como, por exemplo, variáveis binomiais, escores e contagens. Devido a essa particularidade, não é

necessário usar nenhum tipo de transformação dos dados para que eles tenham distribuição normal dos resíduos.

Alguns desses modelos já foram usados para características de interesse zootécnico, como contagem de carrapatos (AYRES et al., 2013), número de leitões nascidos vivos, número de leitões desmamados e mortalidade durante o período de amamentação (SILVA et al., 2019), número de oócitos viáveis, número de oócitos grau I, número de oócitos degenerados, número de embriões clivados e número de embriões produzidos viáveis (PEREZ et al., 2017a; VIZONÁ et al., 2020).

### 3. Hipóteses

1. As características de produção de oócitos e embriões apresentam variabilidade genética para serem utilizadas no processo de seleção.
2. A avaliação das características de produção de oócitos e embriões como medidas repetidas no tempo pode resultar em maior ganho genético do que o método tradicional como única medida.
3. As características de produção de oócitos e embriões sofrem influência da interação genótipo-ambiente, e são prejudicadas quando os animais são manejados em ambientes com altos valores de ITU.
4. Os componentes de variância das características de produção de oócitos e embriões sofrem alterações quando são utilizados diferentes métodos de transformação ou quando são usados modelos que consideram outros tipos de distribuição de probabilidade.

#### **4. Objetivos**

1. Estimar os componentes de (co)variâncias e parâmetros genéticos para as características de produção de oócitos e embriões.
2. Analisar as características de produção de oócitos e embriões como medida única ou como medida repetida no tempo.
3. Avaliar a influência da interação genótipo-ambiente sobre as características de produção de oócitos e embriões por meio de modelos de regressão aleatória, utilizando valores de ITU (ambiente) como efeito nos modelos.
4. Estimar os componentes de variância considerando diferentes transformações nos dados e/ou modelos que consideram diferentes distribuições de probabilidade.

## **CAPÍTULO II**

**Genetic evaluation of oocyte and embryo production in Dairy Gir cattle using repeatability and random regression models<sup>3</sup>**

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<sup>3</sup> Article submitted in Revista Brasileira de Zootecnia



1 **Genetic evaluation of oocyte and embryo production in Dairy Gir cattle using**  
2 **repeatability and random regression models**

3

4 **ABSTRACT**

5 The objective of this work is to estimate genetic parameters and breeding  
6 values to improve embryo and oocyte production, using repeatability and  
7 random regression models (RRM) for Gir dairy cattle. We used 11,398 records  
8 of ovum pick-up from 1,747 Dairy Gir donors and evaluated sixteen different  
9 models: one is the traditional repeatability model and the other fifteen are  
10 RRM, each of which considered a different combination of Legendre  
11 polynomial regressors to describe the additive genetic and permanent  
12 environment effects. The 4G1P model (four regressors for the genetic effect  
13 and one regressor for the permanent environment effect) is the most suitable  
14 model to analyze the number of viable and total oocytes, while the 3G1P is the  
15 best model to analyze the number of cleaved and viable embryos. The  
16 heritability estimated using the RRM was higher than that estimated using the  
17 repeatability model. The high repeatability reported for oocyte and embryo  
18 count traits indicates that donors, which had high counts of oocytes and  
19 embryos in the first ovum pick-up, should maintain this result in the next ovum  
20 pick-up. Genetic correlations between adjacent ages were high and positive,  
21 while genetic correlations between extreme ages were weak. We observed a  
22 reordering of the top sires and females over the period evaluated. The  
23 reliability of the estimated breeding values by RRM showed changes across  
24 age, and the expected genetic gains by RRM are larger. This shows that RRM is

25 an efficient alternative for the evaluation and selection of oocyte and embryo  
26 count traits.

27 **Keywords:** animal breeding, *Bos Indicus*, dairy cattle, *in vitro* fertilization,  
28 ovum pick-up

29

### 30 **1. Introduction**

31 Selection for reproductive efficiency is an effective strategy to ensure the  
32 success of dairy and beef cattle industries (Watanabe et al., 2017). The use of  
33 reproductive biotechnologies began several decades ago, with the development of  
34 superovulation protocols and embryo transfer from the late 1940s. Reproductive  
35 technologies, including superovulation, *in vitro* fertilization, and embryo transfer  
36 are used to increase the reproductive rate of genetically superior females (Parker  
37 Gaddis et al., 2017).

38 Several factors, including those related to animals and those that involve  
39 environment and management, contribute to the low results of embryo transfer  
40 (Fleming et al., 2018). However, it is well-known that there is a genetic component  
41 related to superovulation traits and that selection should be applied to improve  
42 embryo transfer rates (Merton et al., 2009; Jatou et al., 2016a; Perez et al., 2017a,  
43 2016; Parker Gaddis et al., 2017; Cornelissen et al., 2017).

44 *Bos indicus* show better results in *in vitro* oocyte and embryo production than  
45 *Bos taurus* (Lacerda et al., 2020). That is why the investigation of methodologies that  
46 consider the selection and improvement of the reproductive traits present a great  
47 demand for inclusion in genetic evaluations.

48           The genetic evaluation of embryo and oocyte traits is usually performed  
49 using repeatability models (Tonhati et al., 1998; Asada and Terawaki, 2002; Merton  
50 et al., 2009; Jatou et al., 2016a), in which the genetic and permanent environment  
51 correlations are assumed perfect(equal one); therefore, it is not considered that,  
52 over time, changes in genetic and permanent environment effects might occur.

53           However, random regression models (RRM) allow us to obtain individual  
54 estimated breeding value (EBV) curves and it also considers changes in genetic and  
55 residual variances over time, which can be applied to predict breeding values more  
56 accurately (Jamrozik and Schaeffer, 1997; Resende et al., 2001). In addition, it  
57 allows prediction of breeding values for any desired age, even for ages in which  
58 animal has not been recorded.

59           Considering the importance of Dairy Gir breed for dairy farming, this work  
60 aimed to estimate genetic parameters and breeding values for production of  
61 embryos and oocytes using repeatability and RRM to determine which model could  
62 result in greater genetic gains in oocyte and embryo production in dairy Gir animals.

63

## 64 **2. Material and methods**

65

### 66 **2.1. Data**

67           The data used were from three herds of Dairy Gir cattle, belonging to the  
68 same company, in the state of Minas Gerais – Brazil (19°55' S – 43°57' W). The  
69 complete data set considered for genetic analysis consists of 3,124 Gir cows that  
70 calved between 2000 and 2015, daughters of 250 sires.

71           The available information was on traits of total oocytes (TO), viable oocytes  
72 (VO), cleaved embryos (CE), and viable embryos (VE), resulting from 13,217

73 collection sessions, which produced a total of 60,092 viable embryos. The cows' age  
74 varies from 1 to 20 years at the time of ovum pick-up. The sessions were held  
75 between January 2005 and March 2017. The number of sessions of ovum pick-up  
76 varied from one to 53 per donor, and in 41.7% of cases, only one collection per donor  
77 was performed, and the intervals between sessions for the same donor varied from  
78 seven to 120 days.

79 For this study, we excluded records of females with extreme age at ovum  
80 pick-up (<12 or >144 months), number of TO equal to 0. For the other traits (VO, CE,  
81 and VE), the count records equal to 0 were kept and considered in the analyses. Only  
82 healthy animals with at least two individual records of ovum pick-up during age  
83 period were maintained. The contemporary groups for all traits are defined by the  
84 concatenation of herd, year, and ovum pick-up season. Seasons were classified into  
85 wet (October until March) or dry (April until September). Contemporary groups that  
86 contained fewer than five observations were eliminated.

87 Following these criteria, 11,398 records of ovum pick-up from 1,747 Dairy  
88 Gir heifers and cows from three farms, collected from 2010 to 2017, were analyzed.  
89 Semen from 212 different sires (Gir or Holstein) was used in *in vitro* fertilization  
90 procedures. We used the same database for all models evaluated. The pedigree file  
91 included 5,921 animals.

92

## 93 **2.2. Statistical analyses**

94 The statistical program R (R Core Team, 2017) was used for data editing and  
95 descriptive statistics. Data used in this work so they were transformed using the  
96 logarithmic transformation, e. g., total oocytes:  $TO = \text{Ln}(\text{Total Oocytes} + 1.001)$ .

97

98 **2.3. Genetic analyses**

99 The estimates of variance components for ovum pick-up traits at age  
 100 (months) were obtained using a random regression model. For all evaluated traits,  
 101 the model considered contemporary groups, interval between collections, and  
 102 number of collections as fixed effects. For VE, a fixed effect referring to sire used in  
 103 artificial fertilization was added (Table 1).

104 Genetic parameters and breeding values were estimated using the model:

$$105 \quad Y_{ijkl} = hys_i + iop_j + nop_j + \sum_{k=0}^n \phi_k(mo_t)u_{jk} + \sum_{k=0}^n \phi_k(mo_t)pe_{jk} +$$

$$106 \quad sa_l + e_{ijkl},$$

107 in which  $Y_{ijkl}$  is the vector of trait value (TO, VO, CE, and VE) in month  $t$  within the  
 108 herd-year-season of ovum pick-up;  $hys_i$  is the fixed effect of herd-year-season of  
 109 ovum pick-up;  $iop_j$  is the covariable effect of the interval between ovum pick-up in  
 110 the same animal;  $nop_j$  is the covariable effect of animal's ovum pick-up number;  $sa_l$   
 111 fixed effect referring to sire used in artificial fertilization;  $u_{jk}$  and  $pe_{jk}$  are random  
 112 regression coefficients that describe, respectively, the additive genetic and  
 113 permanent environment effects on the performance of animal  $j$ ;  $\phi_k(mo_t)$  is Legendre  
 114 polynomial for registration in month of ovum pick-up of animal  $j$  in month  $t$ , in which  
 115  $k$  is Legendre polynomial coefficient; and  $e_{ijkl}$  is the random error.

116 For which we assume:

$$117 \quad Var \begin{bmatrix} \mathbf{u} \\ \mathbf{pe} \\ \mathbf{e} \end{bmatrix} = \begin{bmatrix} \mathbf{A} \otimes \mathbf{G} & 0 & 0 \\ 0 & \mathbf{I} \otimes \mathbf{P} & 0 \\ 0 & 0 & \mathbf{E} \end{bmatrix},$$

118 in which  $\mathbf{G}$  and  $\mathbf{P}$  are the covariance matrices of the random regression coefficients,  
 119  $\mathbf{A}$  is the kinship matrix,  $\mathbf{I}$  is the identity matrix,  $\mathbf{E} = \sigma_e^2$  is a diagonal (residual) matrix,  
 120 and  $\otimes$  is Kronecker product between the matrices.

121 The additive genetic effects and permanent environment effect were  
 122 estimated using Legendre polynomials (Kirkpatrick et al., 1994):

$$123 \quad y_t = \sum_{i=0}^n \alpha_i \Phi_i(m_t^*)$$

124 in which  $m_t^*$  is the standardized time unit (months) ranging from  $-1$  to  $+1$ ;  $m_{o_t}^* =$   
 125  $-1 + \frac{2(m_{o_t} - m_{o_{min}})}{m_{o_{max}} - m_{o_{min}}}$ , wherein,  $m_{o_{min}}$  and  $m_{o_{max}}$  are the age at ovum pick-up in  
 126 months, the lowest (12 months) and the highest (144 months) reported in the data  
 127 set after consistency edits, respectively; and  $m_t$  is the age at ovum pick-up.

128 For the standardized age at ovum pick-up, it can be defined as:

$$129 \quad \phi(m_{o_t}^*)_k = \frac{1}{2^K} \sqrt{\frac{2K+1}{2}} \sum_{m=0}^{K/2} (-1)^m \binom{k}{m} \left(\frac{2K+1}{r}\right) (m_{o_t}^*)^{r-2m}$$

130 in which  $m_{o_t}^*$  is  $i$ -th age at ovum pick-up,  $i$  is the order of the Legendre function, and  
 131  $m$  is the number of indices needed to determine the polynomial. The residual  
 132 variance ( $\sigma_e^2$ ) was considered homogeneous for all functions.

133 To assess which model had the best fit to be used in the genetic evaluation of  
 134 traits of artificial reproduction, we sought to adjust the genetic and permanent  
 135 environment effects using different polynomial functions from the first to the fourth  
 136 order, totaling 16 different combinations between genetic and permanent  
 137 environment effects. The models design can be seen in Table 1, e. g., 1G1P is a  
 138 traditional repeatability model and 4G3P is a random regression model that

139 considers four regressors for genetic additive and three regressors for permanent  
140 environmental.

141 The estimated breeding value of animal  $i$  in month  $t$  was calculated as  
142  $EBV_{it} = \mathbf{z}'_t \hat{\boldsymbol{\alpha}}_i = \sum_{j=0}^{k_a-1} \alpha_{ij} \Phi_j(mo_t)$ , in which  $\hat{\boldsymbol{\alpha}}_i$  a vector ( $k_a \times 1$ ) of estimates of the  
143 additive genetic random regression coefficients of animal  $i$  and  $\mathbf{z}_t$  a vector of  
144 Legendre polynomial coefficients for month  $t$ .

145 All analyzes were estimated through the maximum restricted likelihood  
146 method, using the program REMLF90 (Misztal et al., 2002). The quality of fit was  
147 carried out through comparison tests between models: Akaike's information  
148 criterion ( $AIC = -2 \log L + 2p$ , in which  $p$  is the number of parameters in the model)  
149 and Schwarz's Bayesian information criterion ( $BIC = -2 \log L + p \log(\lambda)$ , in which  
150  $\log(\lambda)$  is natural logarithm of sample size (or dimension of  $y$ ) and  $p$  is the number  
151 of parameters in the model).

152 In the heritability,  $h2_{mo} = \frac{\sigma_{a(mo)}^2}{\sigma_{a(mo)}^2 + \sigma_{pe(mo)}^2 + \sigma_e^2}$ , and repeatability,  $R_{mo} =$   
153  $\frac{\sigma_{a(mo)}^2 + \sigma_{pe(mo)}^2}{\sigma_{a(mo)}^2 + \sigma_{pe(mo)}^2 + \sigma_e^2}$ ,  $\sigma_{a(mo)}^2$  and  $\sigma_{pe(mo)}^2$  are additive genetic and permanent  
154 environmental variances for months ( $mo$ ) and were calculated and plotted  
155 graphically to check for possible differences between the repeatability model  
156 (1G1P) and the best fit model selected by AIC and BIC criteria.

157 The genetic correlation between two months in ovum pick-up,  $mo_{t1}$  and  $mo_{t2}$ ,  
158 was calculated by dividing the additive genetic covariance between months in ovum  
159 pick-up,  $mo_{t1}$  and  $mo_{t2}$ , by the product of the square root of the genetic variances of  
160 months in ovum pick-up  $mo_{t1}$  and  $mo_{t2}$ :

$$r_g(mo1, mo2) = \frac{cov_g(mo1, mo2)}{\sqrt{var_g(mo1, mo1) + var_g(mo2, mo2)}}$$

162 in which  $cov_g(mo1, mo2)$  is the genetic covariance between  $mo_{t1}$  and  $mo_{t2}$  months,  
 163  $var_g(mo1, mo1)$  and  $var_g(mo2, mo2)$  are additive genetic variance of  $mo_{t1}$  and  $mo_{t2}$   
 164 months, respectively. The permanent environmental correlation was calculated in  
 165 the same way as above, just replacing the genetic matrices with those of permanent  
 166 environment.

167 To verify the occurrence of changes in the ranking of the best animals at  
 168 different ages at ovum pick-up, Spearman's ranking correlation was calculated  
 169 between the classifications of the 5% of the best sires based on estimated breeding  
 170 values (EBV) by the 1G1P model and by the best model chosen by the AIC and BIC  
 171 criteria. For the chosen model, the classification by the EBV at six different ages (24,  
 172 48, 72, 96, 120, and 144 months) was used. The same procedure was performed for  
 173 the 5% best females.

174 The reliabilities were calculated using the triangular matrices of prediction  
 175 error (co)variances for random regression effects, from the inverse of the mixed  
 176 model equations obtained in BLUPF90 program (Miszta et al., 2002).

177 The expected genetic gain was calculated through:  $\delta G_i = h_{mo}^2 * isel * \sigma_i$ , in  
 178 which  $\delta G_i$  is the genetic gain due to direct selection for trait  $i$ ,  $h_i^2$  is the heritability  
 179 of trait  $i$ ,  $isel$  is the selection intensity, and  $\sigma_i$  is the phenotypic standard deviation  
 180 after logarithmic transformation.

181

### 182 3. Results

183



### 184 **3.1. Data**

185 The number of oocytes and embryos varied widely (Table 2); for all traits;  
186 the median was less than the mean, and the standard deviation showed high values,  
187 in some cases greater than the median, and almost equal to the mean. The number  
188 ovum pick-up varied over the age period studied (Figure 1); most collections were  
189 made in young animals, from the month 72 onwards, and there was a drastic  
190 reduction in the number of collections.

191

### 192 **3.2. criteria**

193 The AIC indicated more than one model as the best (Table 3). However, the  
194 BIC, which is more discerning, indicated only one model for each trait. That said, the  
195 4G1P model was chosen for the TO and VO traits, and for CE and VE the best fit model  
196 was the 3G1P. The models with a higher number of coefficients for permanent  
197 environmental effect did not show good results compared with models with a higher  
198 number of coefficients for additive genetic effect.

199

### 200 **3.3. Heritability**

201 The estimated genetic parameters varied according to the age at ovum pick-  
202 up (Figure 2). Using RRM, the highest values of heritability were estimated at the  
203 lowest and highest ages (extremes) at ovum pick-up. The  $h^2$  ranged from 0.46 (76  
204 months) to 0.72 (144 months) for TO using 4G1P model and 0.37 using 1G1P model;  
205 for VO, it varied from 0.43 (84 months) to 0.66 (144 months) using 4G1P and 0.34  
206 using 1G1P. In the case of CE, using 3G1P model, it ranged from 0.31 (36 months) to  
207 0.62 (144 months) and 0.25 with 1G1P. For VE,  $h^2$  varied from 0.20 (96 months) to  
208 0.40 (144 months) using 3G1P and 0.17 with 1G1P.

209 In summary, the 1G1P model produced the lowest heritability estimates for  
210 all traits. The RRM captured the highest proportions of additive genetic effects,  
211 producing the highest heritability values for all traits, especially in the initial and  
212 final evaluated periods, in which there is a smaller number of observations (Figure  
213 1).

214 The highest  $h^2$  estimates were reported in the first months of ovum pick-up  
215 and after 84 months of age, in which, after that period, there is a linear increase in  
216 the heritability value.

217

### 218 **3.4. Repeatability**

219 There is little difference in repeatability (R) estimates between the months 24  
220 and 96 for models 1G1P and RRM. In the initial period, 12 to 24 months, the R of  
221 models considering random regression for genetic effect was greater than the 1G1P  
222 model (Figure 4). From 96 months onwards, there was a linear increase in R until  
223 the end of the period.

224 For the TO, using the 1G1P model, the R was 0.54, while with the 4G1P model,  
225 R ranged from 0.54 (72 months) to 0.76 (144 months), with the highest values  
226 reported in the late age period and the lowest values in the intermediate period. The  
227 VO showed R of 0.51 considering 1G1P model and varied between 0.50 (84 months)  
228 and 0.70 (144 months), with the highest values also found in final period and the  
229 lowest values in the intermediate period.

230 The CE presented R of 0.42 for 1G1P model and varied between 0.41 (36  
231 months) and 0.67 (144 months) for 3G1P, with the highest values also being found  
232 in final ages and lowest values in period between 24 to 48 months. The VE presented

233 R of 0.35 for 1G1P and ranged from 0.35 (96 months) to 0.51 (144 months) for 3G1P,  
234 with the highest values reported for age in last months (132-144 months) and the  
235 lowest values for intermediate ages.

236

### 237 **3.5. Genetic and permanent environment correlation**

238 The genetic correlations ranged from 0.98 to -0.24 for TO, 0.98 to -0.19 for  
239 VO, 0.99 to -0.03 for CE, and 0.99 to -0.04 for VE (Figure 4). Strong and positive  
240 correlations were reported between adjacent ages, and the lowest and negative  
241 correlations were observed between the extreme ages.

242 The models 4G1P and 3G1P indicated that only a linear coefficient (1P) is  
243 efficient to evaluate the effect of permanent environment, so the correlation of  
244 permanent environment is equal to 1, because no change in the value of variance of  
245 permanent environment was observed across ages.

246

### 247 **3.6. Spearman's rank correlation**

248 The magnitude of the estimated Spearman's rank correlations coefficient  
249 confirmed the rearrangement of the top 5% sires and females in most comparisons  
250 (Tables 4, 5, 6, and 7). Altogether, 168 rank correlations were calculated, and only  
251 five of these (2.97%) presented a value above 0.80. This demonstrates a reranking  
252 of the best animals when considering repeatability versus random regression over  
253 the evaluated age period (12-144 months) when using RRM.

254 Ranking correlation of 0.86 was reported among the top 5% best sires for the  
255 TO trait in the 4G1P\_24 and 4G1P\_120 (Table 4) models. For VO, the ranking

256 correlation of 0.80 was reported between the top 5% best females ranked by the  
257 1G1P model with those ranked at 48 months by the RRM (Table 5).

258 In the case of CE, no ranking correlation greater than 0.80 was reported  
259 (Table 6). For VE, ranking correlations of 0.84 and 0.85 were reported between  
260 3G1P\_24 and 3G1P\_144 and 3G1P\_48 and 3G1P\_72 for the top 5% best sires, and a  
261 ranking correlation of 0.84 was estimated between 3G1P\_48 and 3G1P\_72 (Table 7)  
262 for the top 5% best females.

263

### 264 **3.7. Reliability**

265 The average reliability of EBV for TO of top 5% sires (Figure 5) using 1G1P  
266 model was 0.38, while reliability of EBV using the 4G1P model varied from 0.38 (72  
267 months) to 0.15 (144 months); the highest reliability values were reported at  
268 intermediate ages (60 to 84 months). For the top 5% females (Figure 6), the average  
269 reliability of EBV of NO using 1G1P model was 0.61, while using the 4G1P model, it  
270 varied from 0.64 (48 months) to 0.20 (144 months); the highest reliability values  
271 were reported at the initial intermediate ages (36 to 60 months).

272 For VO, the average reliability of EBV of top 5% sires (Figure 5) using 1G1P  
273 was 0.34, while using the 4G1P, it ranged from 0.35 (72 months) to 0.13 (144  
274 months); the highest values were reported at intermediate ages (48 to 72 months).  
275 In top 5% females (Figure 6), the average reliability using 1G1P model was 0.59 and  
276 using the 4G1P model, it varied from 0.63 (48 months) to 0.20 (144 months); the  
277 highest values were reported at intermediate ages (36 to 60 months).

278 In the case of CE, the average reliability of EBV for CE of top 5% sires (Figure  
279 5) using the 1G1P model was 0.26, and using the 3G1P model, it ranged from 0.41

280 (120 months) to 0.20 (36 months); the highest values were reported at advanced  
281 ages (96 to 132 months). The average reliability of EBV for CE of top 5% females  
282 (Figure 6) using 1G1P model was 0.51, and using the 3G1P model, it varied between  
283 0.52 (96 months) for 0.41 (12 months); the highest values were reported in the final  
284 intermediate ages (84 to 108 months).

285 In relation to VE, the average reliability of EBV of top 5% sires (Figure 5)  
286 using the 1G1P model was 0.20, and using the 3G1P model, it ranged from 0.20 (72  
287 months) to 0.07 (144 months); the highest values were reported at intermediate  
288 ages (48 to 84 months). In the case of top 5% females (Figure 6), the average  
289 reliability of EBV using 1G1P model was 0.42, and using the 3G1P model it varied  
290 between 0.44 (48 months) and 0.16 (144 months); the highest values were reported  
291 at intermediate ages (48 to 72 months).

292

### 293 **3.8. Expected genetic gain**

294 When comparing the 4G1P and 3G1P models to 1G1P (Figure 7), the expected  
295 genetic gain was greater throughout the age period evaluated, and the greatest  
296 difference was found in the initial and final periods.

297 The difference between the expected genetic gains for TO obtained by 4G1P  
298 and 1G1P models were at least 0.05 (72 months) and maximum value of 0.23 (144  
299 months), and in case of VO, this difference between expected genetic gain obtained  
300 by the cited models was at least 0.05 (84 months) and maximum of 0.22 (144  
301 months).

302           When comparing the expected genetic gain for CE and VE, the difference was  
303 at least 0.04 (36 months) and 0.02 (96 months), and maximum of 0.26 (144 months)  
304 and 0.17 (144 months), for CE and VE, respectively.

305

## 306 **4. Discussion**

### 307 **4.1. Data**

308           In all traits, the mean value was higher than the median, indicating that there  
309 are animals with high counts and that, thereby, could increase the mean value. The  
310 high standard deviation values, in some cases higher than the median, indicate that  
311 there is great variance in these traits.

312           The performance of *Bos indicus* and *Bos taurus* cows under *in vitro* embryo  
313 production technology has been reported in the literature, and the results indicate  
314 that there are significant differences between genetic groups (Vizoná et al., 2020).  
315 However, there is no obvious biological explanation for the greater number of  
316 oocytes recovered in *Bos indicus* (Pontes et al., 2011). Based on this information, we  
317 compared the phenotypic values reported in this work only with those of *Bos indicus*  
318 animals.

319           For VO, the values of our work are higher than the reports of  $15.6 \pm 12.7$  by  
320 Perez et al. (2017b) for the Guzerá breed, and of  $12.39 \pm 10.04$  by Vizoná et al. (2020)  
321 for Dairy Gir breed. Results for VE are similar to those of Vizoná et al. (2020),  $4.59 \pm$   
322  $4.64$ , and lower than the values of Perez et al. (2017b),  $6.1 \pm 5.7$ . For the CE trait,  
323 values of  $12.2 \pm 10.0$  (Perez et al., 2017b) are similar as the mean, but with greater  
324 standard deviation. None of these authors worked with the number of total oocytes,  
325 but it is likely that this value would be a little higher than the value of the number of

326 viable oocytes, both on average and in standard deviation. In general, these reported  
327 differences are not of great magnitude.

328

#### 329 **4.2. Selection criteria**

330 Among the sixteen models tested, only two of them were best for fit using AIC  
331 and BIC tests, thus forming two pairs of traits evaluated by each model, TO and VO  
332 by the 4G1P and CE model and VE by the 3G1P model, which indicates subtle  
333 differences between traits, that is, only one more degree to adjust the genetic effect.

334 More parameterized models usually adapt better to the data. However, this  
335 greater parameterization increases the computational demands and often does not  
336 substantially alter the result. The use of criteria that penalize more parameterized  
337 models and that give preference to more parsimonious models is indicated. In the  
338 case of traits studied in this paper, the use of a greater number of regressors for the  
339 additive genetic effect was indicated. In other traits, such as milk yield, some studies  
340 point to the need to use a greater number of coefficients to model the permanent  
341 environmental effect, compared with additive genetic effect (Canaza-Cayo et al.,  
342 2015).

343

#### 344 **4.3. Heritability**

345 For all traits, using RRM, the  $h^2$  curve along the age presented a “U” shape.  
346 Usually, only a few records were collected at extreme ages as presented in Figure 1,  
347 and higher values of  $h^2$  at the beginning and end of the curve may be related to the  
348 number of records (Meyer, 1999).

349 Heritability for TO reported in the literature ranges from 0.13 in Holstein  
350 cattle raised in United States (Parker Gaddis et al., 2017) to 0.31 also in Holstein  
351 raised in the Netherlands (Cornelissen et al., 2017). These values are below those  
352 reported in this study, for both 1G1P and 4G1P models, indicating that in the Gir  
353 herd, this trait will respond more quickly to selection than in Holstein herds. The  
354 use of RRM (4G1P) could also respond more quickly to the selection than the  
355 repeatability model (1G1P).

356 For VO,  $h^2$  ranges from 0.16 to 0.25 in Brazilian Guzerá Breed depending on  
357 the type of distribution used (Perez et al., 2017b). Using a Bayesian model with  
358 Poisson distribution, Vizoná et al. (2020) reported  $h^2$  of 0.32. In studies using  
359 information from MOET (multiple ovulation and embryo transfer), e.g. Merton et al.  
360 (2009) and Perez et al. (2017a), there can be a pre-selection of animals that will be  
361 subjected to collection, which can result in lower heritabilities (Vizoná et al., 2020).

362 In the case of CE,  $h^2$  varies between 0.10 (Parker Gaddis et al., 2017) and 0.23  
363 (Perez et al., 2017b; Jatón et al. 2016), and in the case of viable embryos, it varies  
364 from 0.10 (Perez et al., 2016) to 0.56 (Peixoto et al., 2004). According to Merton et  
365 al. (2009) and Cornelissen et al. (2017), the heritability for TO is higher than the  
366 heritability for CE and VE. Comparing the estimates between the traits, the VE has  
367 the lowest heritability value; this must be because this trait has a large number of  
368 non-genetic factors that can influence the result and affect service sire (Vizoná et al.,  
369 2020).

370 The higher heritability values recorded for the population of this study,  
371 compared with most of the works reported in the literature, are probably related to  
372 the fact that the farms present similar conditions, are located in the same region,



373 and have the same technical support and animal husbandry techniques, which  
374 standardizes management and highlights genetic differences between animals.

375

#### 376 **4.4. Repeatability**

377 The R estimates were similar between the models for each trait in  
378 intermediate ages. The highest values recorded in the initial and final periods are  
379 due to the higher estimates of the genetic variance in these months, which is related  
380 to the ability of the Legendre polynomials to make predictions in the ends of the  
381 curve and the number of ovum pick-up in that period.

382 The R for TO in intermediate ages at measurement was close to that reported  
383 by Parker Gaddis et al. (2017) for Holstein donors, but in general, it was higher than  
384 that reported by other works in the literature. The R values for VO, except in the final  
385 period, was close to that reported by Perez et al. (2017b) for Guzerá breed using a  
386 Bayesian model that considered Poisson distribution for data.

387 The R for CE was also within the values reported in the literature, except after  
388 the 120-month age at ovum pick-up. Perez et al. (2017b) reported R of 0.60 for this  
389 trait when using a Bayesian model, and Peixoto et al. (2004) reported R of 0.47 for  
390 donors of Nelore breed when using untransformed data.

391 For these traits, these R estimates can be explained by the high repeatability  
392 of the number of follicles per follicular wave (Jaton et al., 2016b). A study on the  
393 average number of follicles per single donor wave reported an extremely high R of  
394 0.89 (Ireland et al., 2007). Due to the dependency relationship between the  
395 production of embryos and the number of follicles, it makes sense that the number  
396 of embryos per procedure can also be repeated in a donor (Jaton et al., 2016b).

397           The R is a useful tool for quantifying the extent to which an individual's  
398 performance remains consistent over time. The high R reported for oocyte and  
399 embryo count traits indicates that donors, which had high counts of oocytes and  
400 embryos in the first ovum pick-up, should maintain this result in the next ovum pick-  
401 up. Therefore, donors can be selected from after the first ovum pick-up, with special  
402 attention to puncturing young females (even in pre-puberty); this procedure should  
403 give good indications of life potential of these animals (Vizoná et al., 2020).

404

#### 405           **4.5. Genetic and permanent environment correlation**

406           Positive and high genetic correlations indicate that the selection to increase  
407 oocyte or embryo production in each age at measurement may have a positive  
408 influence on oocyte and embryo production in another age at ovum pick-up.

409           The oocyte and embryo production in ages at ovum pick-up between 84 and  
410 96 months was positively correlated with all the others, in some cases even medium  
411 and weak, indicating that this period would be the best one to select due to the  
412 correlated response in other periods. However, to accelerate the genetic gain, the  
413 selection should be carried out in ages at ovum pick-up under 12 to 48 months,  
414 prioritizing genetic gains at the beginning of the cow's productive life.

415           In distant periods in time, such as 12 and 144 months, low correlations have  
416 been reported, and in some cases even negative, such as  $-0.19$  for OT at 12 months  
417 and 144 months. These correlation values are not expected in biological terms, but  
418 have already been reported for other traits and have been attributed to the poor fit  
419 of the RRM using Legendre polynomials at the extreme points of the curve, which is

420 probably associated with the lower number of observations during these periods  
421 (Meyer, 1999).

422 In Brazilian Dairy Gir cattle, negative genetic correlations between the initial  
423 and final periods of lactation were reported by Pereira et al. (2010) and Pereira et  
424 al. (2013), both studies reporting that these correlations are not biologically  
425 expected. Results like this may be related to a pre-selection carried out in which  
426 animals had registered productions. Kern et al. (2018) reported that within a herd,  
427 not all animals are evaluated for type traits in Brazil, so some type of bias can be  
428 found in genetic evaluation. Another important factor is the extent of the period  
429 evaluated for counting oocytes and embryos, 132 months, which is a much longer  
430 period than the lactation period, which is usually 305 days (or 10 months). This long  
431 period can explain, in part, these correlations, but it makes it difficult to comparisons  
432 with small periods. However, this large period that has been evaluated  
433 demonstrates that there is considerable variation over time, especially when it  
434 comes to the genetic component that affects these traits, and this variation should  
435 not be overlooked.

436

#### 437 **4.6. Spearman's rank correlation**

438 Livestock decision-making depends mainly on the level of genetic superiority  
439 of the animal, and the correlation between the EBV of different models is an  
440 important issue to be considered in an animal breeding program (Perez et al.,  
441 2017b).

442 The differences in magnitude of rank correlations between EBV can be  
443 explained, mainly, by the approach of each methodology in modeling productions in

444 each measure (El Faro and Albuquerque, 2005). Herrera et al. (2008) comparing  
445 repeatability model with RRM, reported a ranking correlation of 0.74 for all animals  
446 and 0.65 for sires with progeny for 305-day milk yield in Gir dairy cattle.

447 In Guzerá herds, Santos et al. (2014) reported moderate to high correlations,  
448 indicating the possibility of differences in the ranking of animals, once RRM are  
449 adopted in place of the conventional model used in the genetic evaluations for milk  
450 yield. Variations in ranking correlations are probably due to differences in data  
451 consistency requirements, the functions used to adjust random effects, and the fixed  
452 effects included in random regression models (Pereira et al., 2019).

453 Alteration between the rankings of the best animals with the variation in age  
454 of ovum pick-up indicates that there is no well-defined relationship between the  
455 genes that influence oocyte and embryo production throughout the female's life. For  
456 this reason, changes in the choice of sires and donors in different periods must  
457 happen, since high selection intensities are used in this case. It is necessary to define  
458 only a period on which the selection should be based; the best would be right after  
459 puberty to improve these traits in young animals and to shorten the generation  
460 interval.

461

#### 462 **4.7. Reliability**

463 The reliability estimated by the 4G1P and 3G1P models, in some periods, was  
464 higher than that estimated by the 1G1P model. In general, for TO, VO, and VE, the  
465 highest reliability values were reported for intermediate periods; in the initial and  
466 final periods, the reliability of these selected animals was lower, which may be  
467 related to the amount of collections that is higher in the intermediate period. In

468 contrast, the reliability for the EBV of CE was higher in the interim period until the  
469 end.

470 The replacement of the repeatability model with RRM resulted in an increase  
471 in reliability of the EBV for 305-day milk yield (Padilha et al., 2016). In our study,  
472 the gains in reliability occurred in the period when the greatest number of  
473 collections occurs. In the initial and final parts of the evaluated period, the reliability  
474 estimated by the 4G1P and 3G1P models was lower than that estimated by the 1G1P  
475 model. Increasing the number of collections (Figure 1) is related to reliability  
476 (Figures 5 and 6); therefore, increasing the number of collections in young animals  
477 favors the EBV reliability at an early age.

478

#### 479 **4.8. Expected genetic gain**

480 Although the results indicate that the selection using the 4G1P and 3G1P  
481 models would be more efficient to improve of oocyte and embryo production in Gir  
482 dairy breed, mainly in young animals, the lower reliability of the EBV in the initial  
483 period may be a factor limiting the use of these models.

484 The increase in the number of ovum pick-up in young animals is  
485 recommended, due to the possibility that reliability is associated with the number  
486 of ovum pick-up, which, in future evaluations, using RRM could result in increased  
487 EBV reliability in the initial period.

488

### 489 **5. Conclusions**

490 The use of a more accurate model that allows an increase in productive gains  
491 is of great importance. In this sense, the use of the RRM to replace the repeatability

492 model in the genetic evaluation of total oocytes, viable oocytes, cleaved embryos,  
493 and viable embryos increases the genetic gains in these traits in dairy animals; the  
494 same can be expected in other breeds.

495         These traits have high repeatability values, indicating that the same counts  
496 must be repeated in different observations for the selection of animals after the first  
497 ovum pick-up with precision.

498         The reliability of the EBV by the RRM is greater in the periods when the  
499 greatest number of ovum pick-up occurs; therefore, increasing ovum pick-up in  
500 young animals increases the EBV reliability at an early age.

501

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- 626
- 627

628 **Table 1** - Model design

Trait	Fixed effect				Regressor		Model
	CG	IVOP	NVOP	Sire	A	P	
TO, VO, CE	*	*	*	-	+	+	1G1P
	*	*	*	-	+	++	1G2P
	*	*	*	-	+	+++	1G3P
	*	*	*	-	+	++++	1G4P
	*	*	*	-	++	+	2G1P
	*	*	*	-	++	++	2G2P
	*	*	*	-	++	+++	2G3P
	*	*	*	-	++	++++	2G4P
	*	*	*	-	+++	+	3G1P
	*	*	*	-	+++	++	3G2P
	*	*	*	-	+++	+++	3G3P
	*	*	*	-	+++	++++	3G4P
	*	*	*	-	++++	+	4G1P
	*	*	*	-	++++	++	4G2P
*	*	*	-	++++	+++	4G3P	
*	*	*	-	++++	++++	4G4P	
VE	*	*	*	*	+	+	1G1P
	*	*	*	*	+	++	1G2P
	*	*	*	*	+	+++	1G3P
	*	*	*	*	+	++++	1G4P
	*	*	*	*	++	+	2G1P
	*	*	*	*	++	++	2G2P
	*	*	*	*	++	+++	2G3P
	*	*	*	*	++	++++	2G4P
	*	*	*	*	+++	+	3G1P
	*	*	*	*	+++	++	3G2P

*	*	*	*	+++	+++	3G3P
*	*	*	*	+++	++++	3G4P
*	*	*	*	++++	+	4G1P
*	*	*	*	++++	++	4G2P
*	*	*	*	++++	+++	4G3P
*	*	*	*	++++	++++	4G4P

629 TO - total oocytes; VO - viable oocytes; CE - cleaved embryos; VE - viable embryos.

630 CG = Contemporary group, IVOP = Interval between ovum pick-up, NVOP =

631 Number of ovum pick-up.

632 \* Considered; -: not considered; +: order of the Legendre polynomial.

633

634

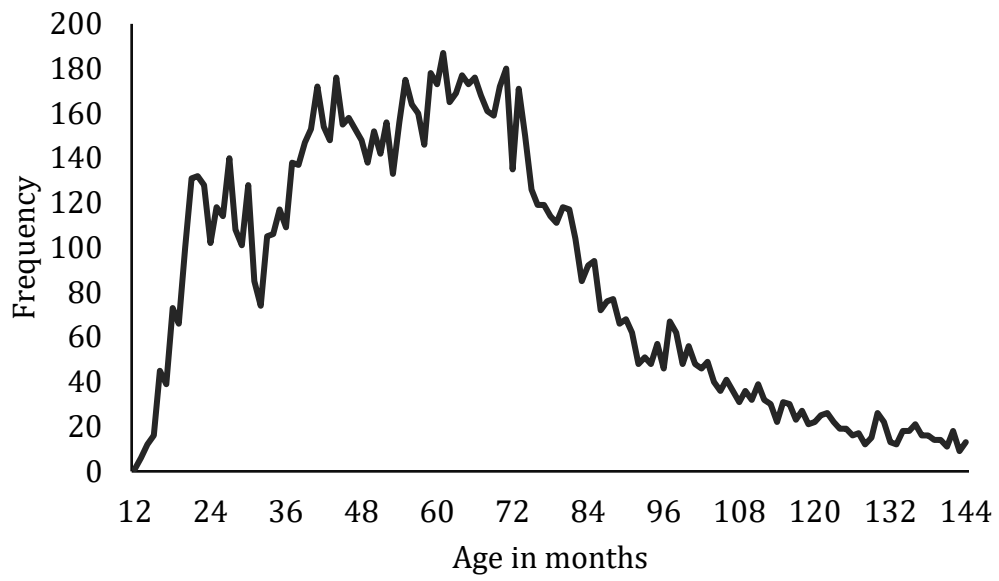
635 **Table 2** - Number of observations (N), medians, means, standard deviations (SD),  
 636 minimum (Min) and maximum (Max) (untransformed variables)

Trait	N	Median	Mean	SD	Min	Max
Total oocytes	11398	13.00	21.72	15.38	1.00	182.00
Viable oocytes	11398	13.00	16.24	13.01	0.00	182.00
Cleaved embryos	11398	10.00	11.99	8.87	0.00	98.00
Viable embryos	11398	3.00	4.55	4.53	0.00	43.00

637

638

639



640

641 **Figure 1** - Frequency of ovum pick-up in the age period evaluated.

642

643 **Table 3** - Selection criteria based on values of goodness-of-fit tests obtained by  
 644 repeatability and random regression models in Brazilian dairy Gir cattle

Model	Total oocytes		Viable oocytes		Cleaved embryos		Viable embryos	
	AIC	BIC	AIC	BIC	AIC	BIC	AIC	BIC
1G1P	<b>-1,450</b>	<b>-1,444</b>	<b>1,461</b>	<b>1,467</b>	<b>2,023</b>	<b>2,030</b>	<b>7,036</b>	<b>7,043</b>
1G2P	-1,623	-1,613	1,327	1,337	1,945	1,955	7,019	7,029
1G3P	-1,648	-1,632	1,306	1,322	1,928	1,944	7,006	7,022
1G4P	-1,673	-1,648	1,288	1,313	1,920	1,945	7,005	7,030
2G1P	-1,717	-1,707	1,257	1,267	1,899	1,909	7,014	7,024
2G2P	-1,720	-1,705	1,256	1,270	1,899	1,913	7,016	7,030
2G3P	-1,743	-1,722	1,235	1,256	1,883	1,904	7,002	7,023
2G4P	-1,761	-1,733	1,222	1,251	1,878	1,907	7,001	7,030
3G1P	-1,764	-1,748	1,213	1,230	<b>1,876</b>	<b>1,892</b>	<b>7,001</b>	<b>7,017</b>
3G2P	-1,764	-1,743	1,215	1,236	1,878	1,899	7,003	7,023
3G3P	-1,764	-1,738	1,214	1,241	1,879	1,906	7,001	7,028
3G4P	-1,774	-1,739	1,208	1,243	1,875	1,910	7,000	7,035
4G1P	<b>-1,782</b>	<b>-1,758</b>	<b>1,200</b>	<b>1,225</b>	1,870	1,895	7,001	7,026
4G2P	-1,782	-1,753	1,201	1,230	1,871	1,900	7,004	7,033
4G3P	-1,782	-1,747	1,200	1,235	1,873	1,908	7,003	7,037
4G4P	-1,777	-1,734	1,204	1,247	1,874	1,918	7,003	7,046

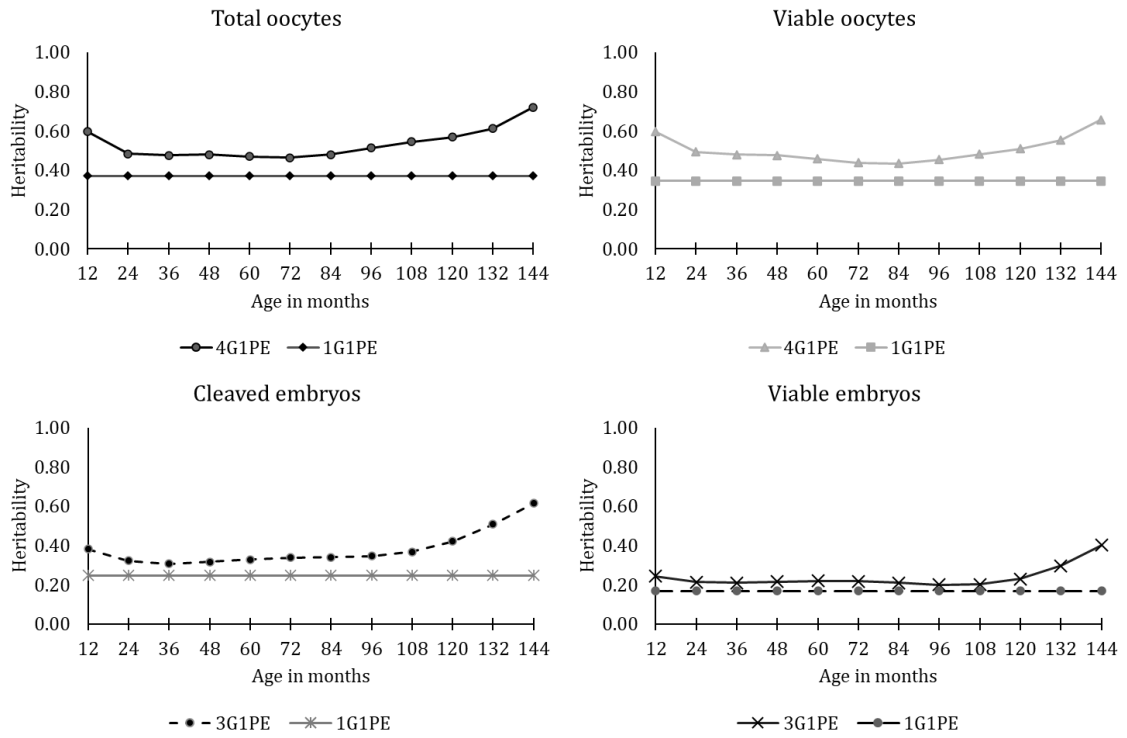
645 AIC - Akaike's information criterion; BIC - Bayesian information criterion.

646 In bold, the lowest values of AIC and BIC, and the values of the repeatability model  
 647 (1G1P).

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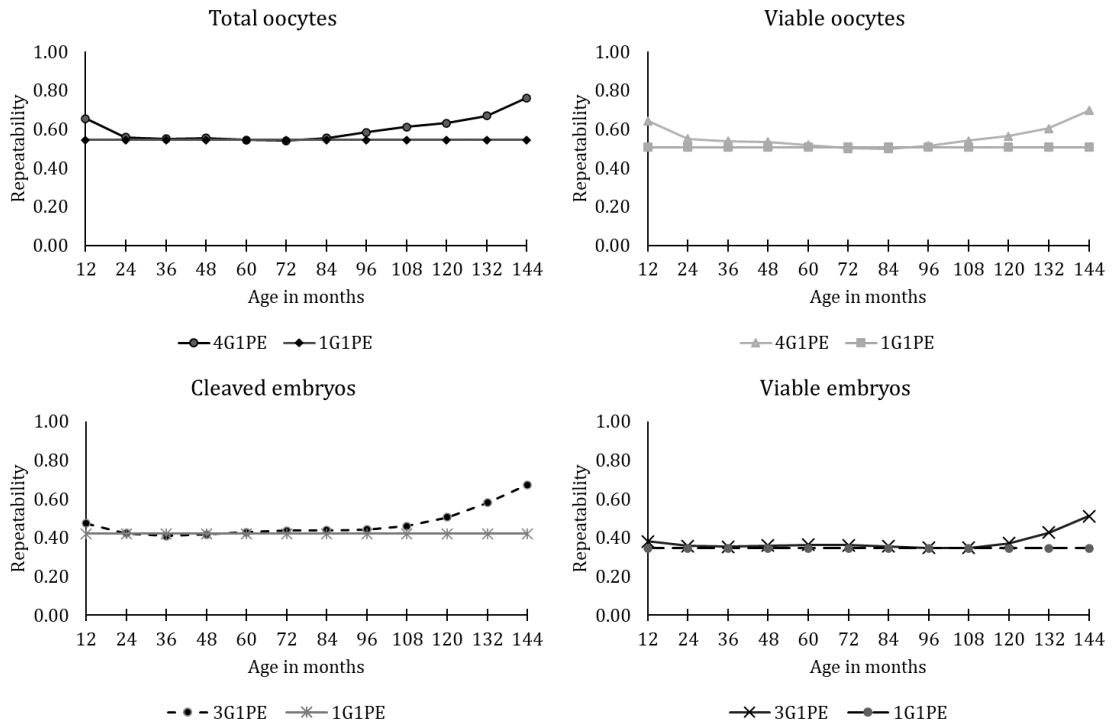




650

651 **Figure 2** - Heritability estimates for the in vitro embryo production traits using  
 652 random regression model and repeatability models in Brazilian dairy Gir cattle.

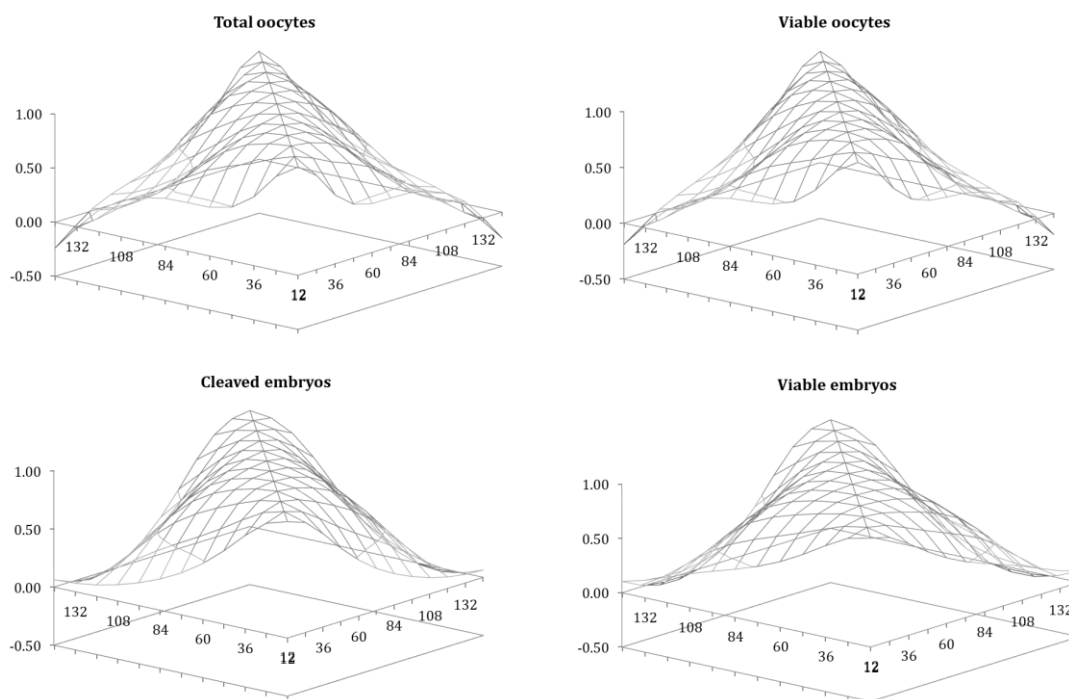
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655 **Figure 3** - Repeatability estimates for the in vitro embryo production traits in  
 656 Brazilian dairy Gir cattle.

657



658

659 **Figure 4** - Genetic correlation estimates between the different collection months for  
660 total oocytes (4G1P), viable oocytes (4G1P), cleaved embryos (3G1P), and viable  
661 embryos (3G1P) using random regression models in Brazilian dairy Gir cattle.

662

663 **Table 4** - Spearman's rank correlation between estimated breeding values for total  
 664 oocytes obtained by 1G1P and 4G1P models at different ages at ovum pick-up,  
 665 considering the best 5% sires (above diagonal) and 5% females (below diagonal)

Model	1G1P	4G1P_2 4	4G1P_4 8	4G1P_7 2	4G1P_9 6	4G1P_1 20	4G1P_1 44
1G1P		0.71	0.49	0.66	-0.17	0.08	0.02
4G1P_24	0.67		0.58	0.58	0.02	0.86	0.2
4G1P_48	0.76	0.76		0.78	0.27	0.40	-0.02
4G1P_72	0.65	0.56	0.72		0.50	0.22	0.15
4G1P_96	0.01	-0.17	-0.01	0.33		0.74	0.44
4G1P_120	-0.13	-0.42	-0.25	0.06	0.67		0.53
4G1P_144	0.12	-0.08	0.11	0.12	0.57	0.69	

666

667

668 **Table 5** - Spearman's rank correlation between estimated breeding values for viable  
 669 oocytes obtained by models 1G1P and 4G1P at different ages at ovum pick-up,  
 670 considering the best 5% sires (above diagonal) and 5% females (below diagonal)

Model	1G1P	4G1P_2 4	4G1P_4 8	4G1P_7 2	4G1P_9 6	4G1P_1 20	4G1P_1 44
1G1P		0.79	0.49	0.67	0.50	0.25	0.31
4G1P_24	0.69		0.61	0.58	0.3	0.16	0.58
4G1P_48	0.80	0.74		0.71	0.28	-0.18	0.34
4G1P_72	0.68	0.57	0.76		0.54	0.09	0.43
4G1P_96	0.09	0.06	0.18	0.38		0.63	0.61
4G1P_120	-0.14	-0.25	0.04	0.25	0.62		0.73
4G1P_144	-0.12	0.19	0.33	0.25	0.48	0.68	

671

672

673

674 **Table 6** - Spearman's rank correlation between estimated breeding values for  
 675 cleaved embryos obtained by 1G1P and 3G1P models at different ages at ovum pick-  
 676 up, considering the best 5% sires (above diagonal) and 5% females (below diagonal)

Model	1G1P	3G1P_2 4	3G1P_4 8	3G1P_7 2	3G1P_9 6	3G1P_1 20	3G1P_1 44
1G1P		0.22	0.48	0.52	0.59	0.60	0.57
3G1P_24	0.68		0.59	0.40	-0.25	0.19	0.5
3G1P_48	0.75	0.72		0.63	0.34	0.34	0.54
3G1P_72	0.60	0.45	0.78		0.69	0.44	0.19
3G1P_96	0.30	0.18	0.38	0.63		0.69	0.40
3G1P_120	0.05	-0.13	0.01	0.14	0.55		0.69
3G1P_144	-0.16	0.00	0.09	0.21	0.47	0.67	

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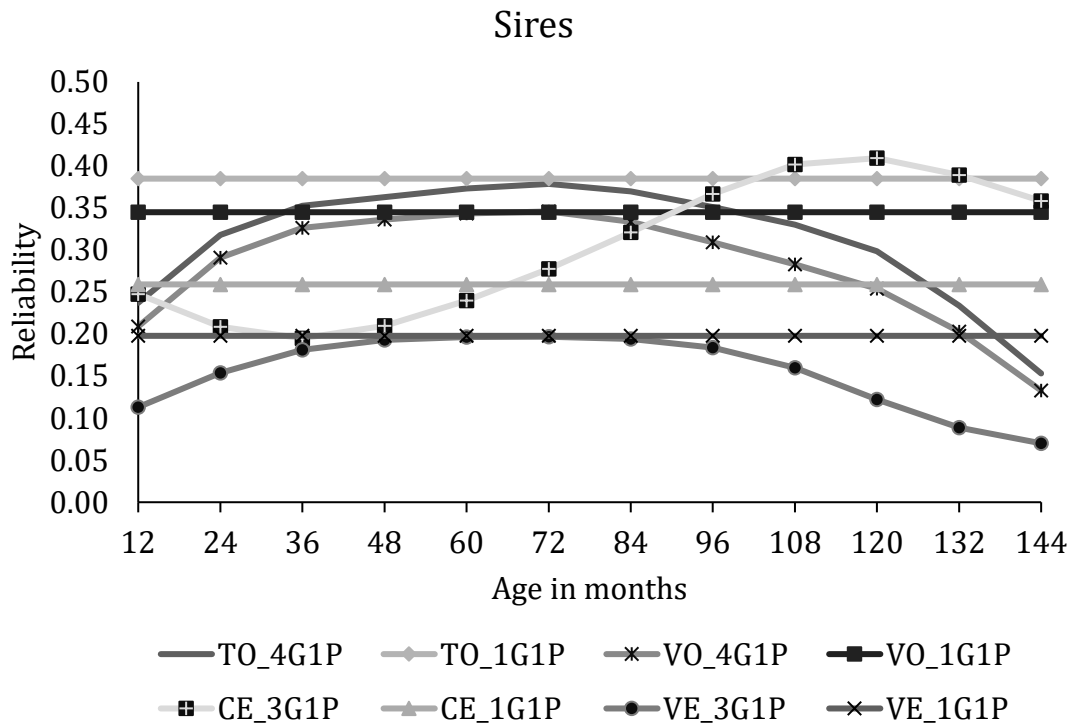
679 **Table 7** - Spearman's rank correlation between estimated breeding values for viable  
 680 embryos obtained by 1G1P and 3G1P models at different ages at ovum pick-up,  
 681 considering the best 5% sires (above diagonal) and 5% females (below diagonal)

Model	1G1P	3G1P_2 4	3G1P_4 8	3G1P_7 2	3G1P_9 6	3G1P_1 20	3G1P_1 44
1G1P		0.73	0.68	0.73	0.74	0.59	0.38
3G1P_24	0.65		0.73	0.55	0.38	0.52	0.84
3G1P_48	0.79	0.79		0.85	0.51	0.43	0.40
3G1P_72	0.77	0.56	0.84		0.77	0.61	0.61
3G1P_96	0.72	0.32	0.56	0.75		0.62	0.35
3G1P_120	0.27	-0.13	0.16	0.21	0.42		0.53
3G1P_144	0.30	0.30	0.17	0.06	0.18	0.60	

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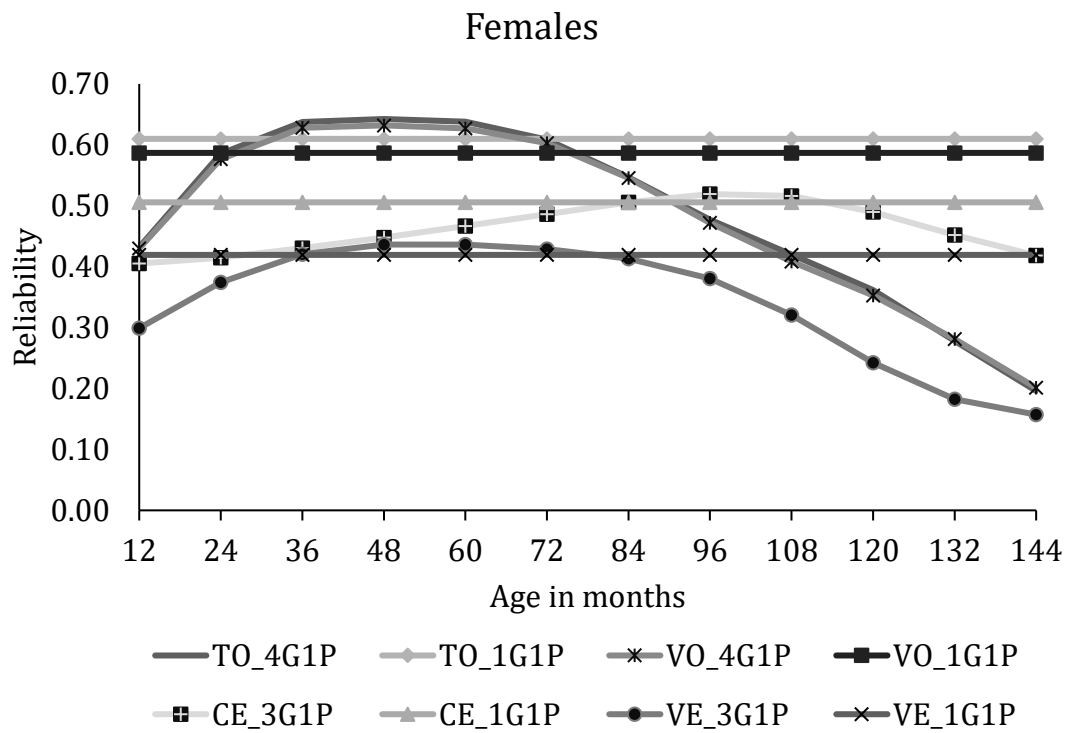
685

686 **Figure 5** - Reliability of estimated breeding value of 5% best sires for total oocytes  
 687 (TO), viable oocytes (VO), cleaved embryos (CE), and viable embryos (VE) in  
 688 Brazilian dairy Gir cattle.

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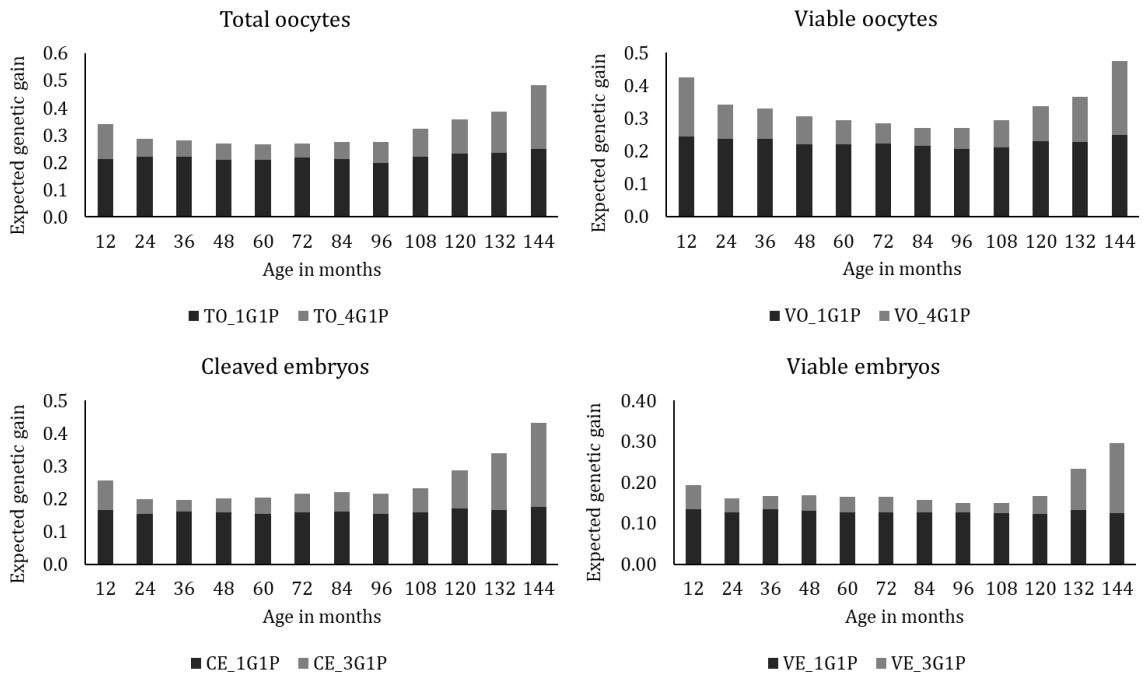




691

692 **Figure 6** - Reliability of estimated breeding value of 5% best females for total  
 693 oocytes (TO), viable oocytes (VO), cleaved embryos (CE), and viable embryos (VE)  
 694 in Brazilian dairy Gir cattle.

695



696

697 **Figure 7** - Expected genetic gain for total oocytes, viable oocytes, cleaved embryos,  
 698 and viable embryos in Brazilian dairy Gir cattle.

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700

**CAPÍTULO III****Impact of heat stress on genetic evaluation of oocytes and embryos production in Gir dairy cattle<sup>4</sup>**

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<sup>4</sup> Article in the norms of the journal Livestock Science

1 **Impact of heat stress on genetic evaluation of oocytes and embryos**  
2 **production in Gir dairy cattle**

3

4 **Giovani Luis Feltes<sup>a\*</sup>, and Jaime Araújo Cobuci<sup>a\*</sup>**

5

6 <sup>a</sup> Departamento de Zootecnia, Universidade Federal do Rio Grande do Sul, Porto  
7 Alegre, RS, Brasil.

8 \* Corresponding to: Universidade Federal do Rio Grande do Sul – UFRGS,  
9 Departamento de Zootecnia, Avenida Bento Gonçalves, 7712 Agronomia, CEP  
10 91509-900, Porto Alegre, RS, Brazil. E-mail: jaime.cobuci@ufrgs.br

11

12 **ABSTRACT**

13 Identifying and selecting genotypes tolerant to heat stress might improve  
14 reproductive traits in dairy cattle, including oocytes and embryos production. The  
15 temperature-humidity index (THI) was used via random regression models, to  
16 investigate the impact of heat stress on genetic parameters and breeding values  
17 of oocytes and embryo production of Gir dairy cattle. We evaluate records of total  
18 oocytes (TO), viable oocytes (VO), cleaved embryos (CE), and viable embryos  
19 (VE) from donors Gir dairy. Twenty-four models were tested, considering the  
20 ages at ovum pick-up (AOPU) and THI means as a regressor in the genetic  
21 evaluation. We computed THI in eight periods, from 0 to 112 days before ovum  
22 pick-up which were adjusted by different orders of Legendre polynomials  
23 (second, third and fourth). The model of best fit according to Akaike's information  
24 criterion (AIC) and Model Posterior Probabilities (MPP) considered Legendre

25 polynomials of third order and THI means of 112 days for TO, fourth-order and  
26 56 days for VO second order and 28 days for CE, and second-order and 42 days  
27 for VE, respectively. The heritability ( $h^2$ ) estimates across AOPU and THI scales  
28 range from 0.34 to 0.62 for TO, 0.31 to 0.58 for VO, 0.26 to 0.39 for CE, 0.15 to  
29 0.26 for VE, respectively. The fraction of the phenotypic variance explained by  
30 the permanent environment in different AOPU and THI scales range from 0.03 to  
31 0.25 for TO, 0.05 to 0.26 for VO, 0.09 to 0.36 for CE, and 0.15 to 0.27 for VE,  
32 respectively. The Spearman rank correlation between the estimated breeding  
33 values (EBV) in different AOPU and THI scale from the top 5% sires and females  
34 range from: 0.18 to 0.90 for TO, 0.31 to 0.95 for VO, 0.14 to 0.85 for CE, and 0.47  
35 to 0.94 for VE, respectively. The heritability estimates for all evaluated traits vary  
36 from moderate to high magnitude across AOPU and THI scales, indicating that  
37 genetic selection can result in rapid genetic progress for the evaluated traits.  
38 There was a reordering among the best animals in different AOPU and THI. It is  
39 possible to select animals tolerant to heat stress to improve oocytes and embryo  
40 production in Gir dairy cattle.

41

42 **Keywords:** Temperature-humidity index, *In vitro* fertilization, Random regression  
43 models, Heritability, Estimated breeding values

44

## 45 1. Introduction

46 Heat stress can be defined as a condition that occurs when an animal  
47 cannot dissipate an adequate quantity of heat, whether it is produced or absorbed  
48 by the body, to maintain body thermal balance (Bernabucci et al., 2014).

49 Therefore, heat stress negatively impacts cattle welfare and productivity (Lees et  
50 al., 2019), and reproductive function in dairy cows (Khan et al., 2020). Moreover,  
51 heat stress causes subfertility in cattle by inducing alterations in steroidogenic  
52 capacity, follicular function, and ovulation defects, which eventually negatively  
53 affect oocyte quality and embryo survival (Stamperna et al., 2020). Perturbations  
54 in the physiology of the follicle-enclosed oocyte during the lengthy period of  
55 follicular development can potentially lead to an oocyte with reduced competence  
56 for fertilization and subsequent development, although the stage of follicular  
57 development that is susceptible to thermal stress has not been precisely defined  
58 (Gendelman and Roth, 2012). Therefore bovine oocytes production and quality  
59 are also susceptible to thermal stress at various stages of follicular development  
60 (Gendelman and Roth, 2012).

61 The identification and selection of genotypes resistant to heat stress for  
62 milk production (Bernabucci et al., 2014; Negri et al., 2021a), somatic cell score  
63 (Negri et al., 2021b), fat and protein yield (Negri and Cobuci, 2021) are available  
64 on the literature. However, to the best of our knowledge, it is the first study to  
65 report the impact of heat stress on genetic parameters of oocytes and embryos  
66 production on dairy cattle.

67 The artificial reproductive traits are of great interest to researchers,  
68 technicians, and farmers because the use of *in vitro* reproductive biotechnologies  
69 in dairy herds is growing fast, and it can result in increased genetic gain by  
70 propagating offspring from animals with high genetic merit (De Vries and  
71 Kaniyamattam, 2020). The objective of this study was to investigate the impact  
72 of heat stress, via THI, in the genetic evaluation for oocytes and embryo

73 production of dairy Gir cattle. Therefore, we evaluated the contribution of THI  
74 computed in different periods before ovum pick-up and adjusted by different  
75 orders of Legendre polynomials, we demonstrated the impact of THI and AOPU  
76 on the ranking and selection of candidates. Additionally, we aimed to quantify the  
77 magnitude of genetic variability for heat stress for these traits to implement  
78 selection for animals tolerant to heat stress.

79

## 80 **2. Material and methods**

81 Data on total oocytes (TO), viable oocytes (VO), cleaved embryos (CE),  
82 and viable embryos (VE) were collected from 2008 to 2017 from three herds of  
83 Dairy Gir cattle, belonging to the same company, located at the State of Minas  
84 Gerais (MG) – Brazil (19°55' S – 43°57' W), were evaluated in this study.

85 The females age at ovum pick-up (AOPU) range from 14 to 145 months  
86 (Figure 1). Only healthy females with at least two individual records of ovum pick-  
87 up during the age period were maintained. Moreover, semen from 176 different  
88 sires (Gir or Holstein) was used in *in vitro* fertilization procedures.

89 The contemporary groups are defined by the concatenation of herd, year,  
90 ovum pick-up, and season. Seasons were classified into wet (October until  
91 March) or dry (April until September). Contemporary groups that contained less  
92 than five observations were excluded. For the traits, CE, and VE, embryos from  
93 sires that were used only once in the *in vitro* fertilization process were excluded.

94 Therefore, we evaluated 11,343 records of TO and VO from 1,740 donors,  
95 and 11,305 records of CE and VE from 1,734 Gir dairy donors. The pedigree file  
96 included 5,908 animals.

97           The statistical program R (R Core Team, 2017) was used for data editing  
98 and descriptive statistics (Table 1). The oocyte and embryo counts were  
99 transformed using the common logarithmic transformation,  $y_i = \log(y+1.001)$   
100 where  $y_i$  is the log transformed value of oocyte and embryo count and  $y$  e the  
101 original value of oocyte and embryo count.

102           Temperature and humidity data were obtained from three weather stations  
103 located in Muriaé - MG, Coronel Pacheco - MG, and Viçosa - MG. Data were  
104 recorded hourly, resulting in 24 measurements per day from 2007 to 2017. The  
105 data from the weather station located in Muriaé – MG was preferable used  
106 (94.5%) and the lack of information was filled by information from the other two  
107 cited stations. Muriaé is classified as weather aw (tropical wet and dry climate)  
108 according to the Köppen-Geiger climate classification, this municipality contains  
109 one of the herds analyzed and is 44 and 62 kilometers from the municipalities  
110 where the other two herds are.

111           THI was evaluated according to equation described by National Research  
112 Council (NRC, 1971):  $THI = [(1.8 \times DBT + 32) - (0.55 - (0.0055 \times RH) \times$   
113  $(1.8 \times DBT - 26))]$  in which DBT is dry bulb temperature in degree Celsius (°C)  
114 and RH is relative humidity (%).

115           After having the THI calculated for seven periods: 7, 14, 21, 28, 42, 56,  
116 and 112 days before ovum pick-up, and the average THI for each of these periods  
117 (Table 2) was used as a regressor in the random regression models for genetic  
118 evaluation (Figure 2). These periods were determined to verify which period of  
119 heat stress interferes with the production of oocytes and embryos.



120 The estimates of variance components for ovum pick-up traits at age  
121 (months) and THI were obtained using a random regression model:

$$122 \quad Y_{ijklm} = hys_i + nop_j + \sum_{k=0}^n \phi_k(mo_t)\beta_{jk} + \sum_{k=0}^n \phi_k(mo_t)u_{jk} +$$

$$123 \quad \sum_{k=0}^n \phi_k(mo_t)pe_{jk} + \sum_{k=0}^n \phi_k(thi_l)u_{jk} + \sum_{k=0}^n \phi_k(thi_l)pe_{jk} + sa_m + e_{ijklm},$$

124 in which  $Y_{ijkl}$  is the vector of trait value (TO, VO, CE, and VE) in month  $t$  within  
125 the herd-year-season of ovum pick-up;  $hys_i$  is the fixed effect of contemporary  
126 groups of ovum pick-up;  $\beta_{jk}$  is the fixed regression coefficient of the interval  
127 between ovum pick-up (IOPU) in the same animal, defined in classes (1 = 0 first  
128 ovum pick-up; 2 = 1 to 6 days between ovum pick-up, 3 = 7 to 14 days, 4 = 15 to  
129 21 days, 5 = 21 to 30 days and 6 = more 30 days between ovum pick-up);  $nop_j$   
130 is the covariable effect number ovum pick-up in the same animal;  $sa_m$  fixed effect  
131 referring to sire used in artificial fertilization (effect used only for CE and VE);  $u_{jk}$   
132 and  $pe_{jk}$  are random regression coefficients that describe, respectively, the  
133 additive genetic and permanent environment effects on the performance of  
134 animal  $j$ ;  $\phi_k(mo_t)$  is Legendre polynomial for ovum pick-up of animal  $j$  in month  $t$ ,  
135  $\phi_k(thi_l)$  is Legendre polynomial for ovum pick-up of animal  $j$  in THI  $l$ , in which  $k$   
136 is Legendre polynomial coefficient; and  $e_{ijklm}$  is the random error.

137 The (co)variance structure was as follows:

$$138 \quad Var \begin{bmatrix} \mathbf{u} \\ \mathbf{pe} \\ \mathbf{e} \end{bmatrix} = \begin{bmatrix} \mathbf{A} \otimes \mathbf{G} & 0 & 0 \\ 0 & \mathbf{I} \otimes \mathbf{P} & 0 \\ 0 & 0 & \mathbf{E} \end{bmatrix},$$

139 in which  $\mathbf{G}$  and  $\mathbf{P}$  are the covariance matrices of the random regression  
140 coefficients,  $\mathbf{A}$  is the kinship matrix,  $\mathbf{I}$  is the identity matrix,  $\mathbf{E} = \sigma_e^2$  is a diagonal  
141 (residual) matrix, and  $\otimes$  is Kronecker product between the matrices.

142 To assess which model had the best fit to be used in the genetic evaluation  
 143 of traits of artificial reproduction, we sought to adjust the fixed curve (IOPU),  
 144 genetic and permanent environment effects using different polynomial functions  
 145 from the two (M2), three (M3), and four order (M4), and average THI for different  
 146 periods before ovum pick-up (0, 7, 14, 21, 28, 42, 56, and 112 days(D)), totaling  
 147 24 different combinations between genetic additive and permanent  
 148 environmental effects for AOPU and THI. For instance, the model SM2 does not  
 149 consider THI and considered Legendre polynomial of order 2 for AOPU while the  
 150 model D7M3 considered THI computed at 7 days before ovum pick up and  
 151 Legendre polynomial of order 3 for AOPU (Table 3).

152 The estimated breeding value (EBV) of an animal  $i$  was computed using  
 153 AOPU and THI information, according to the equation,  $EBV_i^{l,t} = \varphi_{(l)t} \hat{\alpha}'_i$ , where  
 154  $\hat{\alpha}'_i$  is the vector of the estimated additive genetic values for the orthogonal  
 155 regression coefficients of animal  $i$  (coefficients corresponding to AOPU and THI)  
 156 and  $\varphi_{(l)t}$  is a vector of the orthogonal coefficients evaluated in THI  $l$  and AOPU  $t$ .

157 All analyzes were estimated through the maximum restricted likelihood  
 158 method, using the program REMLF90 (Misztal et al., 2002).

159 The goodness-of-fit was carried by Akaike's information criterion ( $AIC =$   
 160  $-2 \log L + 2p$ , in which  $p$  is the number of parameters in the model). To  
 161 complement this information, the Model Posterior Probabilities (MPP) were  
 162 calculated, as presented by Wilberg and Bence (2008), which is given by:  
 163  $p(M_n | \theta) = \exp\left(-\frac{\Delta_n}{2}\right) / \sum_{n=1}^{24} \exp\left(-\frac{\Delta_n}{2}\right)$ ,  $n$ : range from 1 = SM2 to 24 = D112M4  
 164 in which  $p(M_t | \theta)$  is the posteriori probability of model  $n$  to be the best among the  
 165 set of models compared,  $\Delta_n$  is the AIC difference between model  $n$  and the model

166 that presented the smallest AIC value. The best model was used to make  
167 inferences about the parameters of interest. The phenotypic means of the traits  
168 considering the best models are available in Figure 3.

169 To verify the occurrence of changes in the ranking of the best animals at  
170 different THI, we calculated the Spearman rank correlation between estimated  
171 breeding value, for each trait across selected models, of the top 5% sires or  
172 females.

173 The top 5% sires and 5% females selected by EBV in 20 months at THI  
174 equal 80 (EBV20\_80), were sampled to represent the EBV of the trait (TO, VO,  
175 CE, and VE) around the AOPU, and the resilience via EBV HS (heat stress) of  
176 the animals. The EBV20\_80 was selected because is younger age, which is  
177 important for the reduction of the generation interval, and THI 80 because it is  
178 close to the mean and median of the database used.

179

### 180 **3. Results**

181 According to AIC and MPP, the best goodness of fit model for each trait  
182 was D112M3 for TO, D56M4 for VO, D28M2 for CE, D42M2 for VE (Table 4).  
183 Therefore, Legendre polynomial of third order for AOPU and period of 112 days  
184 for THI should be used to estimate genetic parameters for TO; Legendre  
185 polynomial of fourth order for AOPU and period of 56 days for THI should be used  
186 to estimate genetic parameters for VO; while Legendre polynomial of second  
187 order for AOPU and period of 28 days for THI should be used to estimate genetic  
188 parameters for CE. However, we observed a small difference between the MPP  
189 of models D42M2 (0.25) and D14M2 (0.24) for VE, indicating that Legendre

190 polynomial of second order for AOPU and either period of 42 or 14 days for THI  
191 can be used to estimate genetic parameters for VE.

192 The heritability estimates by the best goodness of fit model for each trait  
193 range from 0.34 to 0.62 for TO (Figure 4A), 0.31 to 0.58 for VO (Figure 4B), 0.26  
194 to 0.39 for CE (Figure 4C), and 0.15 to 0.26, for VE (Figure 4D), respectively. The  
195 high heritability estimates of 0.62 for TO and 0.58 for VO were reported at the  
196 extremes of AOPU and THI scales. Heritability estimates for CE showed small  
197 variation across the THI scale and the higher heritability estimates were observed  
198 at younger AOPU. The heritability estimates for VE were high in larger THI and  
199 at the extremes (beginning and ending) of the AOPU.

200 The fraction of the phenotypic variance explained by the permanent  
201 environment ( $c^2$ ) range from small to moderate magnitude and the highest values  
202 were reported in the greater AOPU and THI. The  $c^2$  range from 0.03 to 0.25 for  
203 TO, (Figure 5A), from 0.05 to 0.26 for VO, (Figure 5B) from 0.09 to 0.36 for CE  
204 (Figure 5C), and from 0.15 to 0.27 for VE (Figure 5D), respectively.

205 Spearman rank correlation for the EBV of top 5% sires and top 5% females  
206 for each trait evaluated at 20, 60, 100, and 140 months of AOPU and at 70, 75,  
207 80, and 85 THI are demonstrated in Figures 6A (TO), 6B (VO), 6C (CE), and 6D  
208 (VE). Considering the same age and different THI selection. High Spearman rank  
209 correlations of 0.90 to 0.99 for TO, 0.69 to 0.99 for VO, 0.99 TO 1 for CE, 0.98 to  
210 1 for VE, respectively were reported at the constant AOPU and varying THI. For  
211 instance, for TO at 20 months AOPU and THI = 70 we observed Spearman  
212 correlation between for EBV of top 5% sires of 0.97 for THI = 75; 0.97 for THI =

213 80 and 0.96 for THI = 85, and for 5% females of 0.98 for THI = 75; 0.97 for THI =  
214 80 and 0.94 for THI = 85, respectively.

215           However, at constant THI and varying AOPU, the Spearman correlation  
216 between the EBV of top 5% sires and females for each trait evaluated vary from  
217 0.18 to 0.89 for TO, 0.41 to 0.95 for VO, 0.16 to 0.85 for CE, 0.45 to 0.92 for VE,  
218 respectively indicating significant ranking reordering of candidates. For instance,  
219 for TO at 20 months AOPU and THI = 70 we observed Spearman correlation  
220 between EBV of top 5% sires of 0.76 for 60 months of AOPU; 0.53 for 100 months  
221 of AOPU, and 0.25 for 140 months of AOPU. While the Spearman correlation  
222 between EBV of top 5% of females were 0.87 for 60 months of AOPU; 0.74 for  
223 100 months of AOPU and 0.59 for 140 months of AOPU, respectively. We also  
224 observed a large variation of Spearman correlation between EBV of top 5% sires  
225 and 5% top females at different AOPU of 0.18 to 0.90 for TO, 0.31 to 0.95 for VO,  
226 0.14 to 0.85 for CE, 0.47 to 0.94 for VE, respectively.

227           The genetic breeding value of the top 5% sires and females selected by  
228 EBV at 20 months and THI equal 80 showed substantial changes across AOPU  
229 scale for TO (Figure 7a, 7b), VO (Figure 7c, 7d), CE (Figure 7e, 7f) and VE  
230 (Figure 7g, 7h). Specially at the extremes AOPU values (20 and 140 Months),  
231 showing that animals with high EBV at young ages (20 Months) had low EBV at  
232 advanced ages (140 months) and vice versa, on the other hand, small variation  
233 were observed for EBV of the top 5% sires and females selected by EBV at 20  
234 months and THI equal to 80 across the THI scale for TO (Figure 8a, 8b), CE  
235 (Figure 8e, 8f) and VE (Figure 8g, 8h). While higher EBV HS was reported at the  
236 extremes of the THI scale for VO (Figure 8c, 8d), being possible in this way, select

237 the animals that left offspring that will produce higher oocyte and embryo counts,  
238 even at high THI.

239 In the case of CE (Figure 8e, 8f) the EBV HS changed little between the  
240 different THI (70 to 85), and in the case of VE (Figure 8g, 8f), the EBV HS had an  
241 increasing linear behavior as the THI value increased. The EBV HS (Figure 8)  
242 varied considerably (on a logarithmic scale) among of the top 5% sires and top  
243 5% females selected by EBV (20 months at THI equal to 80), ranging from 0.33  
244 to -0.05 for TO, 0.18 to -0.08 for VO, 0.26 to -0.005 for CE, 0.23 to 0.01 for VE,  
245

#### 246 **4. Discussion**

247 The period in which heat stress can affect oocyte and embryo production  
248 is not yet clearly defined, in this work, different periods were the best for each  
249 trait, longer periods (112 and 56 days) were better for TO and VO, and shorter  
250 periods (28 and 42 days) were the best for CE and VE, respectively. Torres-  
251 Júnior et al. (2008) showed that the negative effects of heat stress can persist for  
252 105 days after the exposure period in Gir dairy cattle, Al-Katanani et al. (2002)  
253 show that even after a 42-day cooling period, oocytes from Holstein cows  
254 exposed to heat stress had reduced quality, demonstrating heat stress has an  
255 effect that persists for a long time, even after the animals are in thermal comfort.

256 The follicular development takes approximately 180 days, and it is well  
257 known that the primordial, primary, and secondary follicles are heat resistant, but  
258 no clear data to support the heat sensitivity of primordial follicles while developing  
259 antral follicles, including dominant and preovulatory follicles (Roth, 2017). In the  
260 current study, we identified the best goodness of fit models with specific THI  
261 periods for each trait, suggesting that heat stress might impact the oocytes and

262 embryo production. Moreover, Torres-Júnior et al. (2008) reported a negative  
263 impact of heat stress on follicular growth and oocyte function even before the  
264 antral phase (42 days) or primary follicle (85 days) reducing the potential of  
265 oocyte development for a period longer than two or three estrous cycles in Gir  
266 cows.

267         The heat stress, THI higher than 69, resulted in milk yield losses for dairy  
268 Gir animals (Santana et al., 2015) and it is important to note that all THI values  
269 computed in this study (Table 2) were higher than the threshold of THI 69 (see  
270 table 1) suggested by Santana et al. (2015). For instance, in our study, 97.4% of  
271 data collected for TO, 96.7% for CE, and 96.6% for VE were collected with THI  
272 higher than 69.

273         The best goodness of fit models considered Legendre polynomial of order  
274 two for CE and VE, and order 3 for TO. Similarly, Negri et al. (2021a) reported  
275 that the best model to evaluate heat stress for milk yield in Holstein cattle raised  
276 in Minas Gerais-Brazil uses order two of Legendre polynomials and Santana et  
277 al. (2015) also indicated order two of Legendre polynomials for the genetic effect  
278 of THI to evaluate the detrimental effects of continuous selection for milk yield in  
279 Dairy Gir cattle. It is important because the selection model should balance the  
280 goodness of fit and its complexity or the number of parameters estimated (Li et  
281 al., 2020).

282         A model with Legendre polynomial of fourth-order (D56M4) was indicated  
283 as the most suitable for VO and it presented higher MPP when compared with  
284 other models evaluated here (0.99 versus 0), showing that the chosen model was  
285 well suited to the data. The model choice is crucial for the quality and accuracy

286 of the genetic evaluation because a model with better goodness of fit does not  
287 necessarily indicate better predictive capacity, however, a model with an  
288 inadequate fit, such as underfit, induces bias (Li et al., 2020).

289         The heritability estimates here were higher than the values previously  
290 reported in the literature, for instance, 0.31 for TO for Holstein cattle raised in the  
291 United States (Cornelissen et al., 2017), 0.32 for VO for Brazilian Dairy Gir cattle  
292 (Vizoná et al., 2020), 0.17 for CE for Holstein cattle raised in Canada (Jaton et  
293 al., 2016), and 0.14 for VE for Guzerá cattle raised in Brazil (Perez et al., 2016).

294         The high heritability estimates reported here may be partially explained by  
295 the similarity on animal husbandry techniques applied in the three farms evaluate,  
296 which standardize management, which contributes to the reduction of  
297 environmental variation and highlights genetic differences among animals.

298         Peixoto et al. (2004) reported that the highest estimate of heritability for a  
299 number of viable embryos when only data from the first flush were considered,  
300 compared to data from the first three flushes, as an explanation for this difference,  
301 is that the handlings in the first flush, were standardized for all the donors,  
302 however in the following flushes, the handlings and mainly the hormonal doses  
303 were adjusted according to the previous response of the donor, and this practice  
304 could result in underestimated heritability values.

305         Another explanation for the high heritability estimates reported in our study  
306 is that these traits do not undergo any direct genetic selection, which avoids the  
307 Bulmer effect on these traits.

308         Variations in heritability values were verified along the AOPU and THI  
309 scale, however, changes in the heritability values of TO, VO, and CE along the



310 THI scale were more modest than in the AOPU trajectory. Santana et al. (2015)  
311 reported small changes in genetic, permanent environment, and residual  
312 variances for milk yield on the test day in dairy Gir on the THI scale. Changes in  
313 heritability estimates for test-day milk yield in Holstein cattle, on the THI scale,  
314 were reported by Negri et al. (2021a), with lower heritability reported from the  
315 heat stress threshold for that population ( $\text{THI} > 74$ ). According to Brügemann et al.  
316 (2011), heat stress can suppress the expression of the genetic potential of  
317 animals, however, in our study we found high heritability estimates at high THI,  
318 indicating the existence of considerable additive genetic variation that can be  
319 exploited by selection. This is particularly important in tropical climates, where  
320 high THI values can be achieved, particularly in summer. Habeeb et al. (2022)  
321 highlights that high THI in the spring and summer season affects physiological  
322 body functions, affecting the feed intake and feed efficiency, blood biochemistry  
323 components, and blood hormones. And even under these conditions, there is  
324 genetic variability that allows the selection of animals adapted to these conditions  
325 in the dairy Gir herd evaluated.

326         A considerable variation in  $c^2$  values across the AOPU and THI scale was  
327 reported mainly for CE, TO and VO and less variation was reported in the  
328 estimation of  $c^2$  for VE. The fraction of the phenotypic variance explained by the  
329 permanent environment is not usually presented in scientific works, however, as  
330 it is easily calculated by the difference between repeatability and heritability. Thus  
331 it can be verified in the Brazilian Guzerá breed (Perez et al., 2017b) that the effect  
332 of permanent environment is greater than the effect genetic for traits VO, CE, and  
333 VE.

334 In our study, the values of heritability and  $c^2$  were similar for CE and VE,  
335 while the heritability values were higher than  $c^2$  for TO and VO. However, less  
336 effect of permanent environment compared to genetic for traits VO and VE, with  
337  $c^2$  values similar to those reported in our study by the repeatability model where  
338 estimated for Holstein cattle raised in the Netherlands (Cornelissen et al., 2017).  
339 These differences pointed out between the studies, are due to the environments  
340 where these animals were raised. Thus, additive genetic effect influences future  
341 production more than the permanent environmental effect for TO and VO, in the  
342 population of this study.

343 The fraction of the phenotypic variance explained by the permanent  
344 environment can be used when selecting animals right after the first ovum pick-  
345 up, a high  $c^2$  value can be associated with high repeatability, and this facilitates  
346 the selection of young donors for the next ovum pick-up.

347 The genotype by environment interactions due to heat stress can be  
348 identified by the reordering in the ranking of bulls across extreme THI values  
349 (Santana et al., 2015). We observed ranking reordering of the best animals when  
350 evaluated at different AOPU and THI for all traits evaluated here, indicating that  
351 there was genotype by environment interaction for oocytes and embryo  
352 production.

353 The heat stress impact on embryo production was more evident in *Bos*  
354 *taurus* than in *Bos indicus* (Paula-Lopes et al., 2013). For instance, the difference  
355 between the production of total oocytes, viable oocytes, and embryos counts  
356 evaluated on the hot and cold seasons for *Bos taurus* was smaller than the values  
357 reported for *Bos indicus* (Fernandes et al., 2014). For example, the average

358 number of embryos in the hot season was 1.2 and 4.9 for *Bos taurus* and *Bos*  
359 *indicus*, respectively. Similarly, the number of embryos in the cold season was  
360 2.9 and 4.6 for *Bos taurus* and *Bos indicus*, respectively (Fernandes et al., 2014).

361 Moreover, losses in milk production per THI unit were relatively small in  
362 dairy Gir cattle than the Holstein breed (Santana et al., 2015), confirming that *Bos*  
363 *indicus* might be more resilient to heat stress than the *Bos taurus*. The impact of  
364 heat stress on the production trait of the Holstein breed is well explored in the  
365 literature (Roth, 2017). For instance, studies in the United States (Bohmanova et  
366 al., 2007), Italy (Bernabucci et al., 2014), and Brazil (Negri et al., 2021a)  
367 confirmed the effect of heat stress on milk yield.

368 The low estimates of Spearman correlations between EBV of top  
369 individuals evaluated in different AOPU and THI for all traits suggested reranking  
370 of animals. Therefore, the oocyte quality can vary under heat stress with a  
371 negative impact on blastocyst yield and it can partially explain the limited success  
372 rate of *in vitro* fertilization (Lonergan and Fair, 2016).

373 Dairy cows in moderate climates might be more affected by heat stress  
374 than cows acclimatized to tropical or subtropical climates, which are exposed to  
375 constant heat stress (Schüller et al., 2014), as is the case of the dairy Gir that is  
376 the object of research in this study.

377 The dairy Gir population is heading toward a higher milk yield level at the  
378 expense of lower tolerance to heat stress due the genetic antagonism between  
379 production and tolerance to heat stress, demonstrated by the negative genetic  
380 correlation between these components (Santana et al., 2015). The variability of  
381 the EBV HS in THI = 85, of the top 5% sires and top 5% females selected for EBV

382 at 20 months and THI equal 80, for the TO trait was 0.24 to -0.01 in sires, and  
383 0.33 to -0.02 in females, for VO it was from 0.14 to 0.03 in sires, and 0.18 to 0.01,  
384 for CE range was 0.19 to -0.005 in sires, and 0.26 to -0.006 in females, and for  
385 VE range was 0.23 to 0.02 in sires, and 0.24 to 0.02 in females, thus  
386 demonstrating a considerable variation between the EBV HS of the animals,  
387 generating the possibility of selecting animals tolerant to high THI values. As dairy  
388 Gir become more productive, they also become more sensitive to heat stress, as  
389 they generate more metabolic heat, among other factors (Bernabucci et al.,  
390 2010).

391 Selection for production would lead to a reduction in the genetic tolerance  
392 to heat stress (Ravagnolo et al., 2000), and have led to a decline in fertility (Pryce  
393 et al., 2004), in the last 60 years conception rate in high yielding dairy cows  
394 decreased from 55% to 35% worldwide (Schüller et al., 2014).

395 The EVB HS in all traits showed that it is possible to carry out the selection  
396 for animals that are more tolerant to heat stress, in addition to EBV in different  
397 AOPU, it is possible to select animals with high oocyte and embryo counts early.  
398 The earlier the heat stress was detected, the greater the chances of keeping more  
399 resilient animals in production and, consequently, the more productive they are  
400 in different heat conditions (Negri et al., 2021a).

401 Combining information from many traits into a single selection index is an  
402 important tool for modern cattle breeders because many traits have value to  
403 farmers, and single-trait selection can result in undesirable changes in correlated  
404 traits (Cole and VanRaden, 2018). A selection index that combines productive

405 and artificial reproduction traits could be useful to breeders when choosing  
406 animals submitted to this biotechnology.

407         However, not all farmers or production systems are interested in artificial  
408 reproductive traits, thus it may not be important to include them in an well-  
409 established national selection index (Jaton et al., 2016). However, the availability  
410 of EBV of these characteristics can be useful to farmers who are interested in  
411 producing and commercializing embryos. A high EBV to produce oocytes and/or  
412 embryos can be an important selection criterion for dams of sires, since most of  
413 the sires with high genetic value for traits of greater economic interest come from  
414 embryo transfer. Thus, the creation of a selection index that contemplates these  
415 characteristics needs to be evaluated within each breeding and selection  
416 objectives.

417

## 418 **5. Conclusion**

419         The heritability estimates for oocytes and embryo production varied from  
420 moderate to high magnitude across age at ovum pick-up and temperature-  
421 humidity index scales, indicating that genetic selection can result in rapid genetic  
422 progress.

423         The heat stress measured by the temperature-humidity index of days  
424 before ovum pick-up, is a factor that can impact the selection of animals tolerant  
425 to heat stress. In addition, we observed that there is a specific association with  
426 heat stress impacts on genetic evaluation. The period of 28 days before ovum  
427 pick-up is the most suitable to define the temperature-humidity index used by  
428 genetic evaluation models for heat stress in cleaved embryos, on the other hand,

429 longer periods of 42 days are indicated for viable embryos; 56 days for viable  
430 oocytes; and 112 days for the characteristic total oocytes. Similarly, order of  
431 Legendre polynomials used to adjust the effects of the models is also trait  
432 specific, fourth-order Legendre polynomials are indicated for use for viable  
433 oocytes; third-order, for total oocytes; and second-order; for cleaved embryos  
434 and viable embryos.

435         The effect of heat stress via the temperature-humidity index should be  
436 considered when estimating genetic parameters and predicted breeding values  
437 for total and viable oocytes and cleaved and viable embryos production in dairy  
438 Gir cattle. Moreover, it should also be considered in the mating selection because  
439 the ranking of candidates changed across ages at ovum pick-up and  
440 temperature-humidity index. The selection of candidates tolerant to heat stress  
441 can greatly help breeders, and as a consequence, increase the reproductive rate  
442 of females with greater commercial interest, such as those with high breeding  
443 value for economically important traits for different production systems.

444

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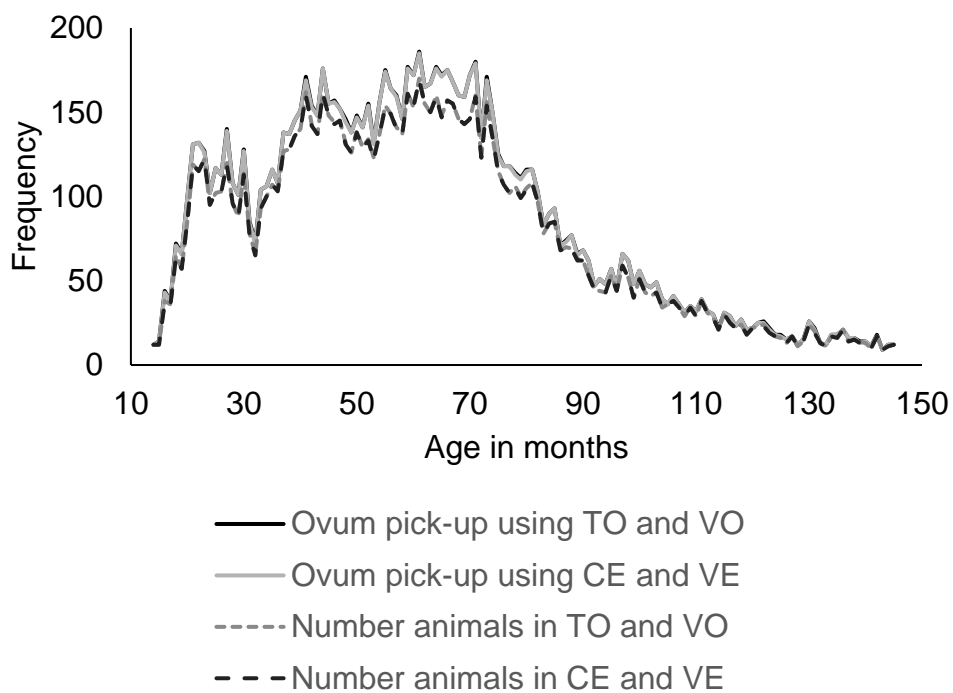
574

575 **Table 1** - Summary statistics for oocytes and embryo traits (before log  
576 transformation) of Dairy Gir cattle

Trait	Mean	Median	SD	Max	Min
TO	21.71	18	15.27	157	1
VO	16.25	13	12.91	142	1
CE	12.01	10	8.85	98	0
VE	4.61	3	4.58	43	0

577

578



579

580 **Figure 1** – Frequency of ovum pick-up and number of animals in the age period  
581 evaluated for traits total oocytes (TO), viable oocytes (VO), cleaved embryos (CE)  
582 and viable embryos (VE).

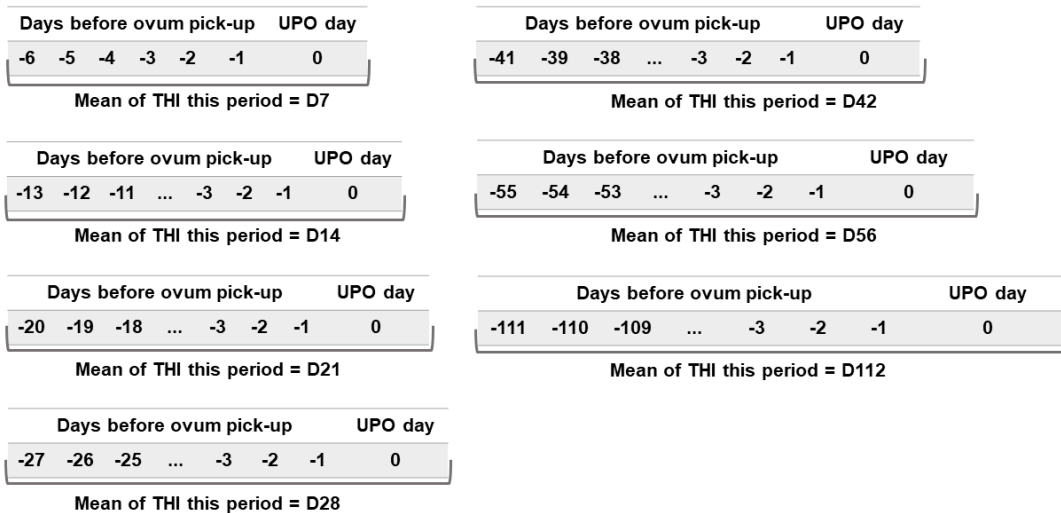
583

584 **Table 2** – Summary statistics for THI in different periods.

Period	Mean	Median	SD	Max	Min
D7	78.67	80	5.81	90	62
D14	78.79	79	5.65	89	64
D21	78.65	80	5.53	89	66
D28	78.65	79	5.53	88	67
D42	78.71	79	5.46	88	68
D56	78.72	79	5.41	88	68
D112	78.51	78	4.75	86	70

585

586



587

588 **Figure 2** – Schematic representation of the obtaining the average THI of the  
 589 different periods.

590

591

592 **Table 3** – Model’s design.

Fixed effects				Regressor THI		Regressor AOPU		Model
CG	IOPO	NOPU	SS <sup>1</sup>	A	P	A	P	
*	++	*	*	-	-	++	++	SM2
*	+++	*	*	-	-	+++	+++	SM3
*	++++	*	*	-	-	++++	++++	SM4
*	++	*	*	++	++	++	++	D7M2
*	+++	*	*	+++	+++	+++	+++	D7M3
*	++++	*	*	++++	++++	++++	++++	D7M4
*	++	*	*	++	++	++	++	D14M2
*	+++	*	*	+++	+++	+++	+++	D14M3
*	++++	*	*	++++	++++	++++	++++	D14M4
*	++	*	*	++	++	++	++	D21M2
*	+++	*	*	+++	+++	+++	+++	D21M3
*	++++	*	*	++++	++++	++++	++++	D21M4
*	++	*	*	++	++	++	++	D28M2
*	+++	*	*	+++	+++	+++	+++	D28M3
*	++++	*	*	++++	++++	++++	++++	D28M4
*	++	*	*	++	++	++	++	D42M2
*	+++	*	*	+++	+++	+++	+++	D42M3
*	++++	*	*	++++	++++	++++	++++	D42M4
*	++	*	*	++	++	++	++	D56M2
*	+++	*	*	+++	+++	+++	+++	D56M3
*	++++	*	*	++++	++++	++++	++++	D56M4
*	++	*	*	++	++	++	++	D112M2
*	+++	*	*	+++	+++	+++	+++	D112M3
*	++++	*	*	++++	++++	++++	++++	D112M4

593 <sup>1</sup> SS = Service sire, the fixed effect of sire was included only for CE and VE  
594 traits.

595 TO - total oocytes; VO - viable oocytes; CE - cleaved embryos; VE - viable  
596 embryos.

597 CG = Contemporary group (same herd-year-season of ovum pick-up), IOPU =  
598 Interval between ovum pick-up, NOPU = Number of ovum pick-up.

599 A = Additive genetic effect, P = Permanent environment effect.

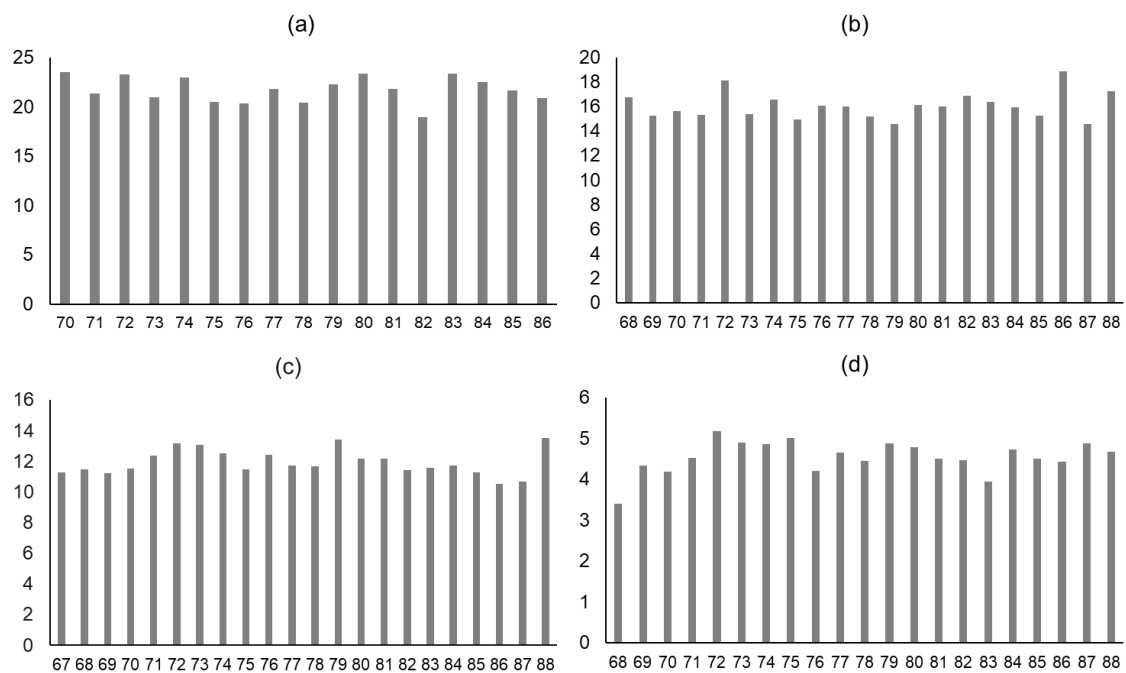
600 \* Considered; - not considered; + order of the Legendre polynomial (varying  
601 from 2 to four order).

602

603 **Table 4** – Selection criteria based on values Akaike’s information criterion (AIC)  
 604 and Model Posterior Probabilities (MPP) for Total Oocytes (TO), Viable Oocytes  
 605 (VO), Cleaved Embryos (CE), and Viable Embryos (VE) using random  
 606 regression models in dairy Gir cattle.

	AIC				MPP			
	TO	VO	CE	VE	TO	VO	CE	VE
SM2	-1871	842	1853	6937	0.00	0.00	0.00	0.01
SM3	-1882	829	1869	6943	0.00	0.00	0.00	0.00
SM4	-1866	833	1911	6987	0.00	0.00	0.00	0.00
D7M2	-1891	823	1845	6934	0.00	0.00	0.00	0.05
D7M3	-1909	800	1868	6947	0.00	0.00	0.00	0.00
D7M4	-1882	819	1920	6996	0.00	0.00	0.00	0.00
D14M2	-1898	814	1842	6931	0.00	0.00	0.01	0.24
D14M3	-1905	797	1864	6945	0.00	0.00	0.00	0.00
D14M4	-1885	811	1910	6989	0.00	0.00	0.00	0.00
D21M2	-1904	810	1837	6932	0.00	0.00	0.13	0.19
D21M3	-1910	791	1859	6946	0.00	0.00	0.00	0.00
D21M4	-1894	804	1885	6981	0.00	0.00	0.00	0.00
<b>D28M2</b>	-1913	804	<b>1834</b>	6933	0.00	0.00	<b>0.45</b>	0.12
D28M3	-1923	783	1858	6948	0.00	0.00	0.00	0.00
D28M4	-1912	788	1892	6983	0.00	0.00	0.00	0.00
<b>D42M2</b>	-1920	802	1835	<b>6931</b>	0.00	0.00	0.25	<b>0.25</b>
D42M3	-1929	783	1861	6943	0.05	0.00	0.00	0.00
D42M4	-1913	794	1911	6980	0.00	0.00	0.00	0.00
D56M2	-1922	805	1837	6933	0.00	0.00	0.14	0.10
D56M3	-1928	788	1860	6941	0.03	0.00	0.00	0.00
<b>D56M4</b>	-1933	<b>772</b>	1906	6982	0.39	<b>0.99</b>	0.00	0.00
D112M2	-1930	806	1842	6936	0.09	0.00	0.01	0.03
<b>D112M3</b>	<b>-1934</b>	796	1863	6954	<b>0.43</b>	0.00	0.00	0.00
D112M4	-1913	817	1911	6980	0.00	0.00	0.00	0.00

607 In bold, are the lowest values of AIC and higher values of MPP.



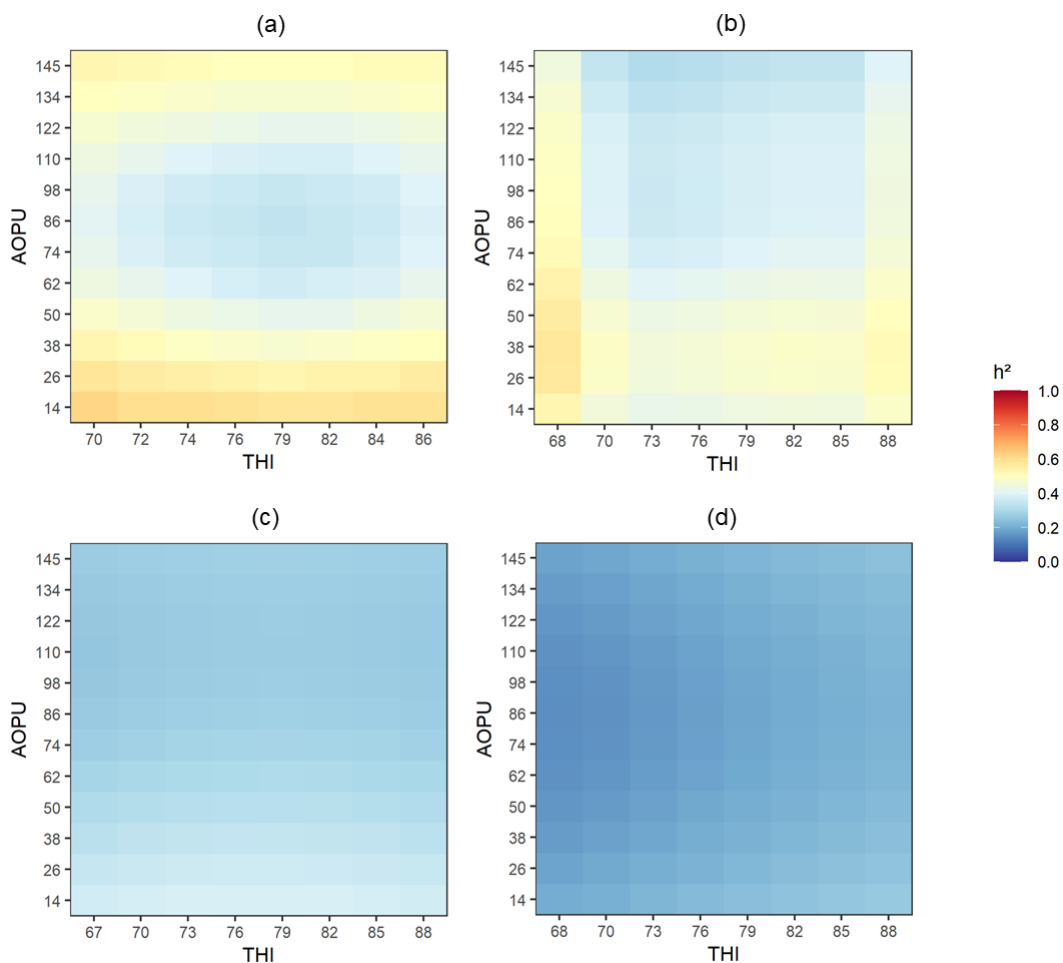
608

609 **Figure 3** – Phenotypic averages of traits Total Oocytes (a), Viable Oocytes (b),  
 610 Cleaved Embryos (c), Viable Embryos (d) according to temperature-humidity  
 611 index (THI) and age at ovum pick-up (AOPU) using the best model for each trait,  
 612 D112M3 for TO, D56M4 for VO, D28M2 for CE, and D42M2 for VE.

613

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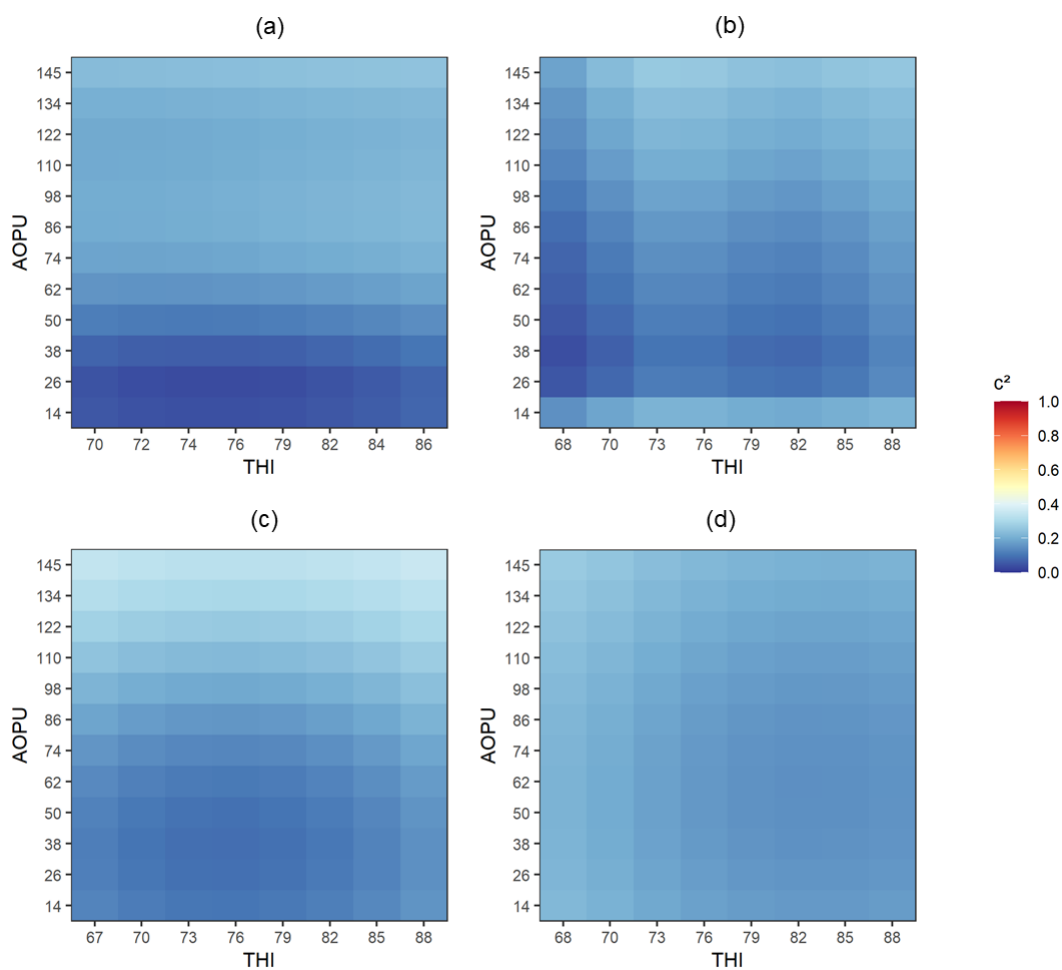




615

616 **Figure 4**– Estimated heritability ( $h^2$ ) for Total Oocytes (a), Viable Oocytes (b),  
 617 Cleaved Embryos (c), Viable Embryos (d) according to temperature-humidity  
 618 index (THI) and age at ovum pick-up (AOPU) using the best model for each trait,  
 619 D112M3 for TO, D56M4 for VO, D28M2 for CE, and D42M2 for VE.

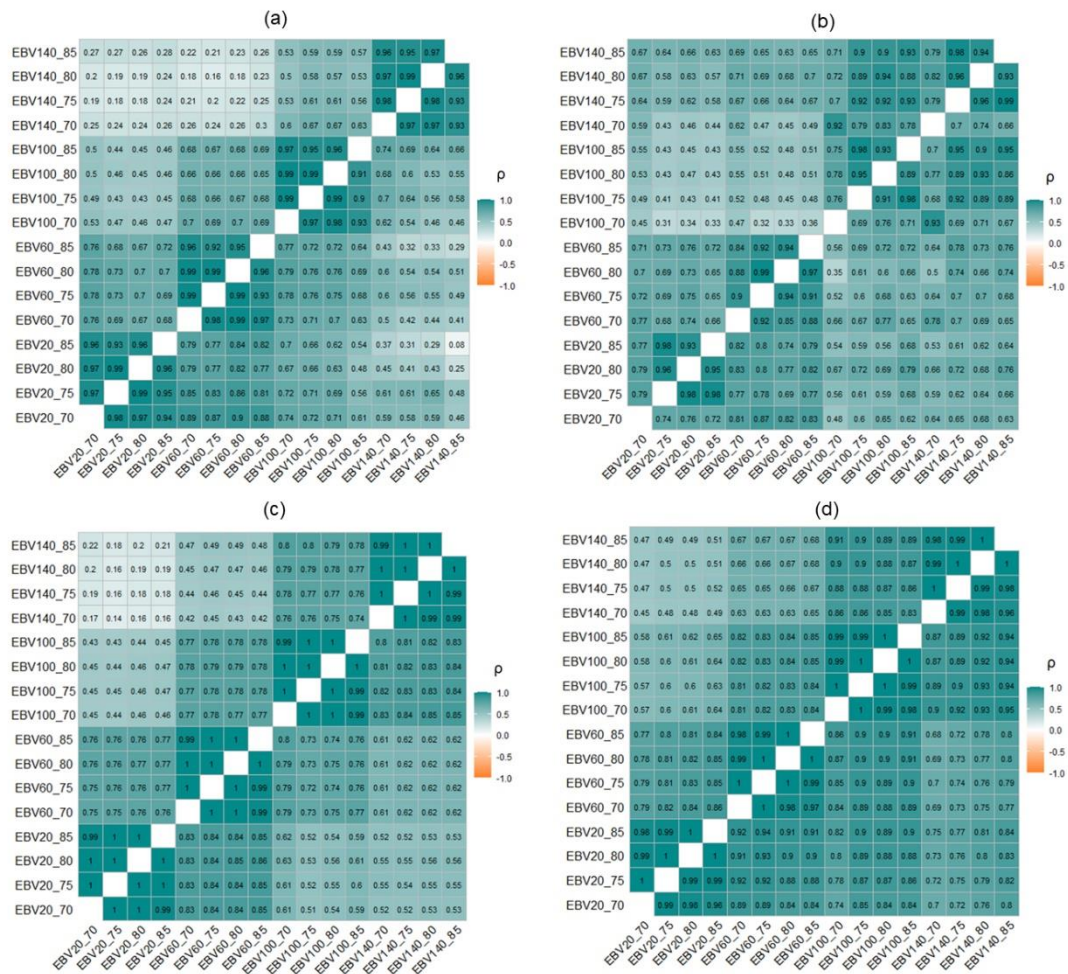
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621

622 **Figure 5** – Estimated fraction of the phenotypic variance explained by the  
 623 permanent environment ( $c^2$ ) for Total Oocytes (a), Viable Oocytes (b), Cleaved  
 624 Embryos (c), Viable Embryos (d) according to temperature-humidity index (THI)  
 625 and age at ovum pick-up (AOPU) using the best model for each trait, D112M3 for  
 626 TO, D56M4 for VO, D28M2 for CE, and D42M2 for VE.

627



628

629 **Figure 6** – Spearman's rank correlation ( $\rho$ ) for the best 5% sires (above diagonal)

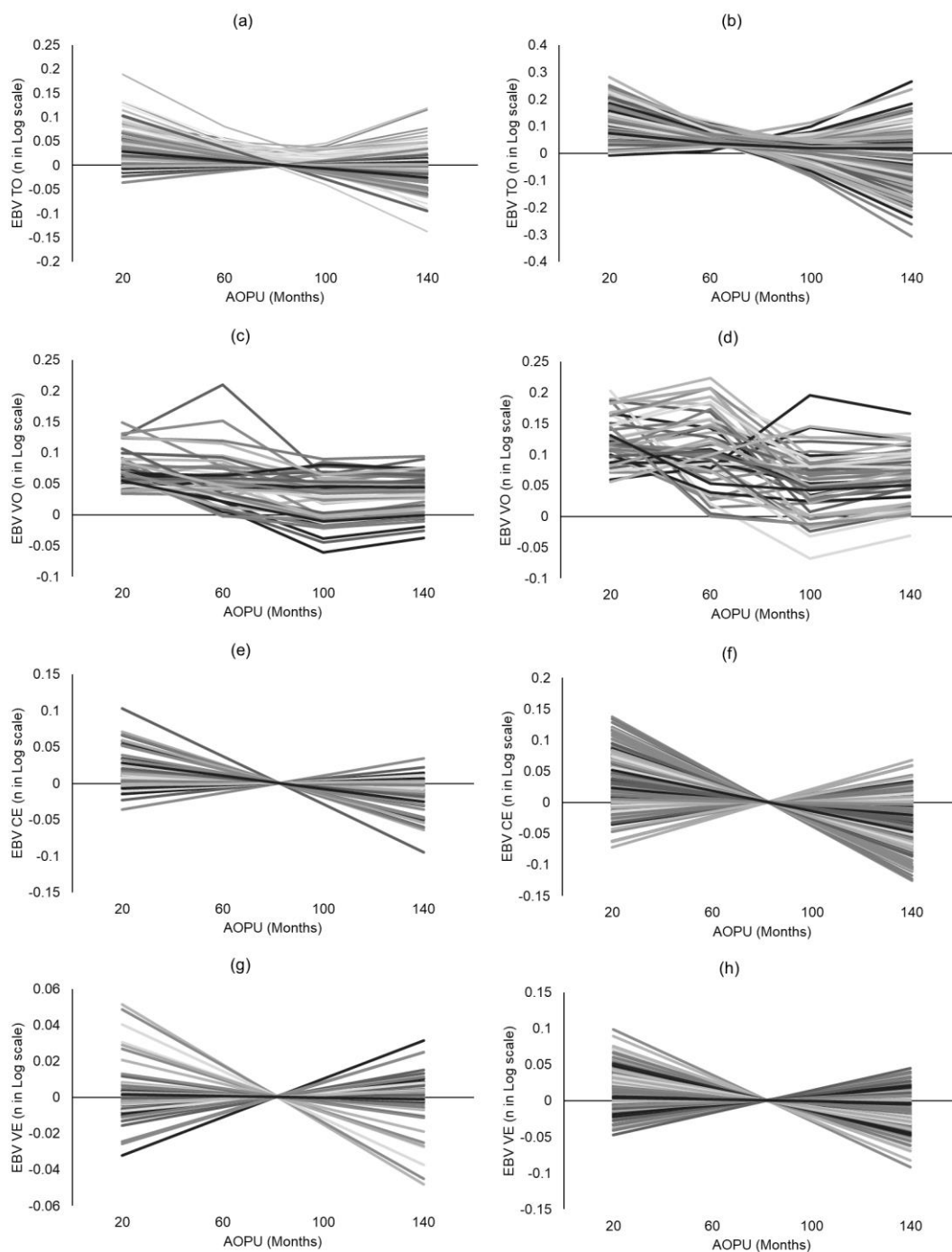
630 and 5% females (below diagonal) for estimated breeding value (EBV) for Total

631 Oocytes (a), Viable Oocytes (b), Cleaved Embryos (c), Viable Embryos (d) by

632 four ages at ovum pick-up (AOPU) 20, 60, 100 and 140 months in four different

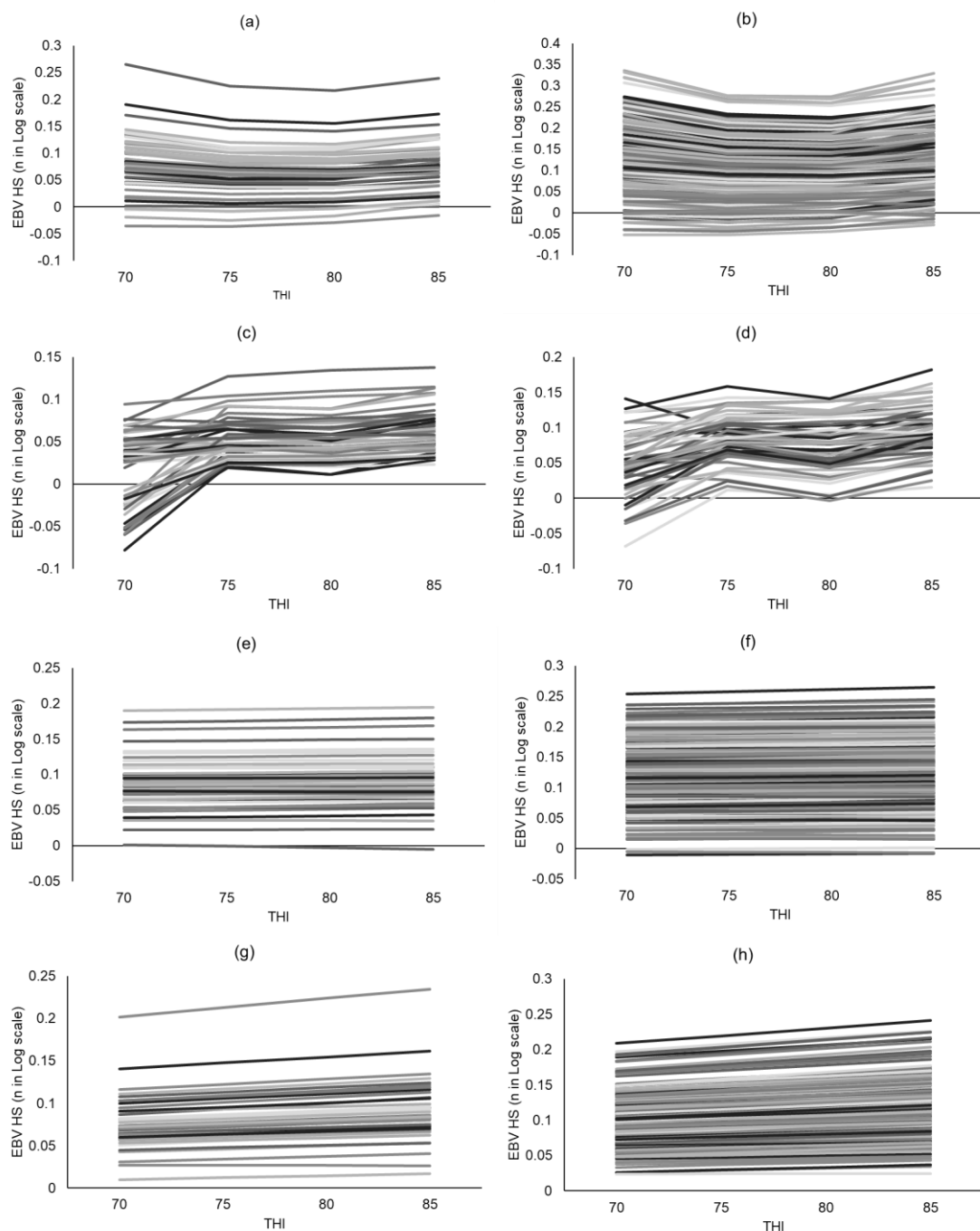
633 temperature-humidity index (THI) 70, 75, 80, and 85.

634



635

636 **Figure 7** – Estimated breeding values (EBV) of the 5% best sires (a, c, e, and  
 637 g) and 5% best females (b, d, f, and h) (selected by EBV in 20 months at THI  
 638 equal 80) for Total Oocytes (a, and b), Viable Oocytes (c, and d), Cleaved  
 639 Embryos (e, and f), Viable Embryos (g, and h) in four different age at ovum  
 640 pick-up (AOPU: 20, 60, 100, and 140 months).



641

642 **Figure 8** – Estimated breeding values (EBV) of the 5% best sires (a, c, e, and g)  
 643 and 5% best females (b, d, f, and h) (selected by EBV in 20 months at THI equal  
 644 80) for Total Oocytes (a, and b), Viable Oocytes (c, and d), Cleaved Embryos (e,  
 645 and f), Via Viable Embryos (g, and h) in EBV for heat stress (HS) in four different  
 646 temperature-humidity index (THI: 70, 75, 80, and 85).

**CAPÍTULO IV****Comparing Bayesian models for genetic evaluation of oocytes and embryo counts in Gir dairy cattle<sup>5</sup>**

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<sup>5</sup> Article in the norms of the journal Livestock Science.

1 **Comparing Bayesian models for genetic evaluation of oocytes and embryo**  
2 **counts in Dairy Gir cattle**

3

4 Giovani Luis Feltes<sup>a\*</sup>, and Jaime Araújo Cobuci<sup>a\*</sup>

5

6 <sup>a</sup> Departamento de Zootecnia, Universidade Federal do Rio Grande do Sul, Porto  
7 Alegre, RS, Brasil.

8 \* Corresponding to: Universidade Federal do Rio Grande do Sul – UFRGS,  
9 Departamento de Zootecnia, Avenida Bento Gonçalves, 7712 Agronomia, CEP  
10 91509-900, Porto Alegre, RS, Brazil. E-mail: jaime.cobuci@ufrgs.br

11

12 **ABSTRACT**

13 Count traits are usually explored in livestock breeding programs and it usually  
14 does not fit into Normal distribution, requiring alternatives to adjust the phenotype  
15 to estimate accurate genetic parameters and breeding values. Alternatively,  
16 distribution such as Poisson can be used to evaluate count traits. This study  
17 aimed to compare and discuss the genetic evaluation for oocyte and embryo  
18 counts considering Gaussian (untransformed variable – LIN, transformed by  
19 logarithm – LOG, transformed by Anscombe – ANS) and Poisson (POI)  
20 distributions. The data comprised 11343 total oocytes, viable oocytes, cleaved  
21 embryos, viable embryos records of ovum pick-up from 1740 dairy Gir heifers  
22 and cows. The genetic parameters and breeding values were estimated by the  
23 *MCMCglmm* package of the R software. The posterior means of heritability varied  
24 from 0.40 (LIN) to 0.49 (POI) for TO; 0.39 (LIN) to 0.49 (POI) for VO; 0.30 (LOG)

25 to 0.41 (POI) for CE; 0.19 (LIN) to 0.32 (POI) for VE, respectively. The posterior  
26 means of repeatability varied from 0.56 (LIN) to 0.65 (POI) for TO; 0.53 (LOG) to  
27 0.63 (POI) for VO; 0.44 (LOG) to 0.60 (POI) for CE; 0.36 (LOG) to 0.56 (POI) for  
28 VE, respectively. Deviance information criterion and mean squared residuals  
29 indicated that POI model should be used for genetic evaluation of embryo and  
30 oocytes count traits. The Spearman rank correlation between estimated breeding  
31 value (EBV) for embryo and oocytes count traits computed by POI, LOG, and  
32 ANS models was high (ranging from 0.77 to 0.99), indicating little reordering  
33 among the best animals. The POI model is the most adequate for genetic  
34 evaluation, resulting in more reliable EBV for Dairy Gir cattle of oocyte and  
35 embryo counts traits.

36

37 **Keywords:** dairy cattle, breeding, *Bos Indicus*, *in vitro* fertilization.

38

## 39 **1. Introduction**

40

41 Artificial reproductive technologies might contribute to increasing the  
42 selection intensity, reducing the number of selected animals and generation  
43 intervals (Panetto et al., 2010). Moreover, it is well known in the literature that it  
44 is possible to obtain genetic progress of traits related to reproductive technologies  
45 through selection. For instance, the estimated heritability and repeatability of  
46 number of viable embryos ranged from low (0.03 and 0.13) to moderate (0.21  
47 and 0.34), respectively (Asada and Terawaki, 2002; Jatón et al., 2016a; König et  
48 al., 2007; Merton et al., 2009; Tonhati et al., 1998). Therefore, genetic selection



49 will help the identification and selection of cows most likely to respond favorably  
50 to reproductive procedures such as superovulation and in vitro fertilization.

51 Counting data, such as those collected in artificial reproduction  
52 techniques, usually do not present a normal distribution and some authors  
53 described that using square root and logarithm adjustment function will result in  
54 more accurate predictions (Jaton et al., 2016a; Parker Gaddis et al., 2017). While  
55 other author evaluated alternatives distributions such as Poisson to estimate  
56 accurate EBV for count data (Merton et al., 2009). For instance, the Poisson  
57 distribution was used to estimate genetic parameters of tick counting (Ayres et  
58 al., 2013), black spots on wool (Naya et al., 2008) and number of piglets born and  
59 weaned (Ventura et al., 2015) as well as for artificial reproduction traits in Guzera  
60 cows (Perez et al., 2017b). It is important to highlight that higher heritability and  
61 repeatability values were reported by Poisson distribution when compared to  
62 untransformed data or logarithmic transformation. However, low reordering of the  
63 top candidates were observed across linear and Poisson distribution (Perez et  
64 al., 2017b). It is important to note that, models that consider logarithmic and  
65 Anscombe transformation, and Poisson distribution have not yet been evaluated  
66 for artificial reproduction traits in dairy Gir cattle.

67 The objective of this study was to compare and discuss the genetic  
68 parameters and estimated breeding values for oocyte and embryo counts  
69 considering Gaussian (untransformed variable – LIN, transformed by logarithm –  
70 LOG, transformed by Anscombe – ANS) and Poisson (POI) distributions and  
71 determine the best approach for genetic evaluation of oocyte and embryo counts  
72 in Brazilian Dairy Gir Cattle.

73

74 **2. Material and methods**

75

76 The data used were from three herds of Dairy Gir cattle, belonging to the  
77 same company, in the state of Minas Gerais – Brazil (19°55' S – 43°57' W). The  
78 available information was on traits of total oocytes (TO), viable oocytes (VO),  
79 cleaved embryos (CE), and viable embryos (VE), for this study.

80 The concatenation of herd, year, and ovum pick-up season defines the  
81 contemporary groups for all traits. Seasons were classified into wet (October until  
82 March) or dry (April until September). Contemporary groups that contained fewer  
83 than five observations were eliminated. For CE and VE traits, service sires used  
84 only once have been eliminated.

85 Following these criteria, 11,343 (TO, VO) and 11,305 (CE, VE) records of  
86 ovum pick-up from 1,740 and 1,734 Dairy Gir heifers and cows from three farms,  
87 collected from 2008 to 2017, were analyzed. Semen from 176 different sires (Gir  
88 or Holstein) was used in *in vitro* fertilization procedures. We used the same  
89 database for all models evaluated. The pedigree file included 5,908 animals; the  
90 summary of phenotypic data is available in Table 1.

91 The data editing to compute descriptive statistics and the test of  
92 significance of fixed effects that were included in the mixed model for genetic  
93 analysis were performed in R software (R Core Team, 2017).

94 The first model (LIN) assumed Gaussian distribution with untransformed  
95 data. The second model (LOG) assumed Gaussian distribution with common  
96 logarithm transformed data:  $y_i = \log(y + 1.001)$  where  $y_i$  is the log transformed  
97 value of oocyte and embryo count and  $y$  e the original value of oocyte and embryo

98 count. The third model (ANS) assumed Gaussian distribution with Anscombe  
 99 transformed data:  $y_i = 2 * \sqrt{\left(y + \frac{3}{8}\right)}$  where  $y_i$  is the Anscombe transformed  
 100 value of oocyte and embryo count and  $y$  e the original value of oocyte and embryo  
 101 count. The fourth model assumed Poisson distribution (POI).

102 Genetic parameters and breeding values were estimated using the  
 103 following univariate Bayesian model:

$$104 \quad y_{ijk} = hys_i + iop_j + nop_j + aop_j + u_j + pe_j + sa_k + e_{ijk},$$

105 in which  $y_{ijk}$  is the vector of trait value (TO, VO, CE, and VE);  $hys_i$  is the fixed  
 106 effect of herd-year-season of ovum pick-up;  $iop_j$  is the covariable effect of the  
 107 interval between ovum pick-up in the same animal;  $nop_j$  is the covariable effect  
 108 of animal's ovum pick-up number;  $aop_j$  is the covariable effect of animal's age at  
 109 ovum pick-up;  $sa_l$  fixed effect referring to sire used in artificial fertilization (only  
 110 used for CE and VE);  $u_j$  and  $pe_j$  are the additive genetic and permanent  
 111 environment effects on the performance of animal j; and  $e_{ijk}$  is the random error.

$$112 \quad \text{For which we assume: } Var \begin{bmatrix} \mathbf{u} \\ \mathbf{pe} \\ \mathbf{e} \end{bmatrix} = \begin{bmatrix} \mathbf{A}\sigma_a^2 & 0 & 0 \\ 0 & \mathbf{I}\sigma_{pe}^2 & 0 \\ 0 & 0 & \mathbf{I}\sigma_e^2 \end{bmatrix}, \text{ in which where } \sigma_a^2 \text{ is}$$

113 the additive genetic variance,  $\mathbf{A}$  the numerator relationship matrix,  $\sigma_{pe}^2$  the  
 114 variance of permanent effects of the donor,  $\sigma_e^2$  the residual variance and  $\mathbf{I}$   
 115 represents an identity matrix.

116 Analyzes were performed in the *MCMCglmm* package (Hadfield and  
 117 Nakagawa, 2010) from R software (R Core Team, 2017), 1,100,000 samples  
 118 were generated, assuming a burn-in period of 100,000 and a thinning interval of  
 119 50. Except for TO in models LIN, ANS and POI and for VO in model POI. In these

120 cases, the number of samples, burn-in and thinning interval were 1,300,000;  
 121 300,000 and 50, respectively. Thus, in all cases, the inference was realized over  
 122 20,000 samples from the posterior distribution. Convergence of the Markov Chain  
 123 Monte Carlo chains was verified by tests of Geweke (1991), Raftery and Lewis  
 124 (1991), and Heidelberger and Welch (1983) using the *coda* package (Plummer  
 125 et al., 2006) from R software (R Core Team, 2017).

126 The deviance information criteria (DIC) and mean squared error (MSE)  
 127 were used to identify the model of best fit to embryo and oocyte count traits. The  
 128 DIC was used to compare LIN and POI models only, because the LOG and ANS  
 129 models considered a response variable on a logarithmic and normalized scales,  
 130 respectively. It was estimated as  $DIC = \bar{D}(\theta) + P_D = 2\bar{D}(\theta) + D(\bar{\theta})$  where  
 131  $\bar{D}(\theta) = E_{0|y}[\bar{D}(\theta)]$  is the posterior expectation of Bayesian deviance and  $D(\theta) =$   
 132  $-2 \log p(y|0)$  corresponds to the goodness of fit of the model (Spiegelhalter et  
 133 al., 2002). Lower values of DIC indicate better goodness of fit.

134 The MSE was used in all models.  $MSE = \frac{\sum(y_j - \hat{y}_j)^2}{n}$ , where  $\hat{y}_j$  is the value  
 135 estimates of trait by models;  $y_j$  the value of the corresponding observation,  $n$  the  
 136 number of observations.

137 Because some of the predicted values were on different scales for the  
 138 different models, they were back transformed to the original scale (probability)  
 139 using the inverse link function. For LOG,  $\hat{y}_j$  was back transformed to the original  
 140 scale (embryo/oocyte count) by  $10^{\hat{y}_j + 1.001}$  and the other calculations were  
 141 performed thereafter. In ANS model:  $\hat{y}_j = \left(\frac{y}{2}\right)^2 - \frac{3}{8}$ , in POI model:  $\hat{y}_j = \exp(\hat{\eta} +$   
 142  $(1/2)\sigma_e^2)$ . Except for the linear models because:  $\hat{y}_j = \hat{\eta}$ .

143 The Spearman's ranking correlation between the EBV for embryo and  
144 oocytes count traits of the top 5% sires and females were calculated to verify the  
145 occurrence of changes in the ranking of the best animals at different models.

146 Approximate EBV reliabilities were calculated for traits in a Bayesian  
147 approach as  $Rel = 1 - \sigma(u_i)^2 / ((1 + f) * (\bar{x}(\sigma_u^2)))$ , where  $\sigma$  is standard deviation,  
148  $x$  is mean,  $f$  is inbreeding coefficient (Aguilar et al., 2020),  $u_i$  is the posterior  
149 distribution for the *ith* animal solution and  $\sigma_u^2$  is the posterior distribution for the  
150 additive genetic variance. Here we considered  $\sigma(u_i)^2$  as equivalent to the  
151 prediction error variance (PEV) commonly used in the frequentist, approach,  
152 similarly to Vizoná et al. (2020).

153

### 154 **3. Results**

155 The posterior means and quantiles (0.025 and 0.975, in parentheses) of  
156 heritability ranged from 0.40 (0.31 and 0.48, LIN) to 0.49 (0.33 and 0.50, POI) for  
157 TO; 0.39 (0.30 and 0.47, LIN) to 0.49 (0.39 and 0.58, POI) for VO; 0.30 (0.22 and  
158 0.39, LOG) to 0.41 (0.30 and 0.51, POI) for CE; 0.19 (0.12 and 0.27, LIN) to 0.32  
159 (0.21 and 0.44, POI) for VE, respectively (Table 2).

160 The posterior means and quantiles of repeatability showed wide variation  
161 across models, especially for CE and VE (Table 2). For instance, the posterior  
162 means of repeatability ranged from 0.56 (0.53 and 0.58, LIN) to 0.65 (0.62 and  
163 0.68, POI) for TO; 0.53 (0.50 and 0.56, LOG) to 0.63 (0.61 and 0.66, POI) for VO;  
164 0.44 (0.41 and 0.47, LOG) to 0.60 (0.56 and 0.63, POI) for CE; 0.36 (0.33 and  
165 0.39, LOG) to 0.56 (0.52 and 0.59, POI) for VE, respectively.

166 The posterior distribution of both heritability and repeatability of all  
167 evaluated traits overlapped across models, showing that no significant  
168 differences were identified.

169 The POI models presented the smallest DIC values showing that the POI  
170 models presented the best goodness of fit for all evaluated traits (Figure 1) with  
171 values of -15.6% for TO, -17.3% for VO, -14.2% for CE, and -18.7% for VE.

172 POI and LIN models showed lower MSE values for all traits when  
173 compared to ANS and LOG models. The high MSE estimates related to ANS and  
174 LOG models might be partly attributed to some bias caused by the data  
175 transformation (Figure 2). The LIN model presented the lowest MSE values for  
176 TO and VO, while for CE the MSE values related to LIN and POI models were  
177 similar and for VE the POI model showed lowest MSE value.

178 The Spearman rank correlation among EBV of the top 5% sires and  
179 females for embryo and oocytes count traits computed by POI, LOG and ANS  
180 models were high, ranging from 0.77 to 0.99, indicating small reordering among  
181 the top 5% sires and females (Figure 3). On the other hand, small Spearman rank  
182 correlation ranging from 0.39 to 0.85 for top 5% males and from 0.75 to 0.94 for  
183 top 5% females were observed between LIN and other (ANS, LOG and POI)  
184 models. Thus, the linear model should not be used to genetic evaluation of oocyte  
185 and embryo counts trait as the selection of candidates can be negatively  
186 impacted when the data transformation or alternative distribution, such as POI  
187 model is not used.

188 The posterior means of reliability of EBV of donors in all traits was slightly  
189 higher when using the POI model, indicating that this model results in more

190 accurate EBV than the other models (Table 3). In the case of the EBV of sires  
191 with at least five daughters with ovum pick-up, the reliability was very similar  
192 between the ANS, LOG and POI models, however, the reliability estimated by  
193 LIN model was slightly lower than all other evaluated models.

194 Estimated breeding values ranged between -1.16 and 1.27 for TO,  
195 between -1.07 and 1.27 for VO, between -0.95 and 0.85 for CE, and between -  
196 1.24 and 0.77 for VE, indicating that there is enough genetic variability for genetic  
197 selection of sires for all traits. A total of 601 (56.48%), 571 (53.66%), 548  
198 (51.60%), and 513 (48.30%) sires and 2,538 (52.34%), 2,431 (50.18%), 2,368  
199 (49.03%), and 2,198 (45.50%) females had positive EBV, of TO, VO, CE, and  
200 VE, respectively. As well as 19 (1.78%), 18 (1.69%), 12 (1.13%), and 12 (1.13%)  
201 sires and 971 (20.04%), 916 (18.91%), 551 (11.41%), and 134 (2.77%) females  
202 had positive EBV and reliability above 0.60, indicating the existence of feasibility  
203 for the implementation of selection procedures in the population in order to  
204 improve reproductive efficiency for these traits in future generations, meeting the  
205 demand of breeders who sell embryos and the artificial reproduction industry.

206

#### 207 **4. Discussion**

208 In general, the posterior means of heritability and repeatability of embryo  
209 and oocytes traits presented here were higher than those reported in the  
210 literature. The fact that the farms present similar conditions, located in the same  
211 region, and have the same technical support and animal husbandry techniques,  
212 which standardizes management and highlights genetic differences between  
213 animals might partially explain those differences. The occurrence of pre-selection  
214 of females that will undergo both *in vitro* and *in vivo* production of embryos is a

215 factor that can result in lower heritability (Vizoná et al., 2020), as in the case of  
216 studies using MOET (multiple ovulation and embryo transfer).

217         The heritability estimates for TO range from 0.13 to 0.31 in Holstein cows  
218 raised in Netherlands and the United States, respectively (Cornelissen et al.,  
219 2017; Parker Gaddis et al., 2017). These values are smaller than the ones found  
220 in our study, and both used LOG and ANS transformed data. The heritability  
221 estimates for VO ranges from 0.16 (untransformed date) to 0.25 (Poisson model)  
222 in Brazilian Guzerá Breed (Perez et al., 2017). While in Brazilian dairy Gir the  
223 heritability estimate for VO was 0.32 when using Poisson distribution (Vizoná et  
224 al., 2020). The heritability estimates for CE ranged from 0.13 to 0.19 using linear,  
225 logarithm transformed and Poisson models in Brazilian Guzerá Breed (Perez et  
226 al., 2017b). Similarly the heritability estimates for VE varied from 0.11 to 0.20  
227 when using linear (untransformed) and Poisson models (Perez et al., 2017b), and  
228 0.27 when using Poisson model (Vizoná et al., 2020).

229         The LIN model resulted in the lowest posterior means of heritability for VO,  
230 and VE and, similar results were reported by Perez et al. (2017). Moreover, these  
231 authors reported highest genetic effects for number of viable oocytes, number of  
232 grade I oocytes, number of degenerated oocytes, number of cleaved embryos  
233 and number of viable produced embryos were estimated by POI model when  
234 compared to LIN, and LOG. However, we observed overlapped distribution of  
235 heritability estimates for TO, VO, CE, and VE when using ANS, LIN, LOG, and  
236 POI models, in our study.



237           The moderate to high posterior means of heritability for oocyte and embryo  
238 count traits reported in this study indicated that selection can result in rapid  
239 genetic progress, especially when using Poisson distributions.

240           The repeatability estimates ranging from 0.40 to 0.57 for TO (Parker  
241 Gaddis et al., 2017), 0.32 to 0.63 for VO (Perez et al., 2017b, 2016), 0.27 to 0.55  
242 for CE (Parker Gaddis et al., 2017; Perez et al., 2017, 2016), and 0.21 to 0.51 for  
243 VE (Bényei et al., 2004; Perez et al., 2017b) is available on the literature. In  
244 general, higher repeatability values are reported for oocytes when compared to  
245 embryos, it might be partially explained by the effects of service sire and  
246 laboratory techniques. Moreover, repeatability for total number of embryos and  
247 number of viable embryos traits estimated by logarithm transformed model were  
248 smaller than the values obtain by Anscombe transformation (Jaton et al., 2016a).  
249 While the repeatability estimated for VO by logarithmic transformation model was  
250 higher than the values obtained by untransformed variable model (Perez et al.,  
251 2017). The posterior means of heritability and repeatability for the number of  
252 embryos estimated by untransformed data model resulted in lower and higher  
253 values, respectively, than the values estimated by logarithm transformed model  
254 (Bényei et al., 2004). Therefore, the results obtained here and those published in  
255 the literature suggested that logarithmic transformation is less efficient in  
256 capturing the permanent environment variance than the untransformed data,  
257 Anscombe transformation and Poisson model.

258           The high posterior means of repeatability estimated here indicated that the  
259 donors should maintain the oocytes and embryo count constant over time, in the  
260 different ovum pick-up events. Therefore, young females with high oocyte and

261 embryo count at their first ovum pick up event should be selected as donors for  
262 artificial reproductive techniques (Vizoná et al., 2020).

263         The small Spearman rank correlations between the EBV of the top 5%  
264 sires and females estimated by LIN and all other models (ANS, LOG, and POI)  
265 indicated considerable rearrangement of top candidates can occur when using  
266 the LIN model. The Spearman rank correlation between EBV for the number of  
267 piglets born and weaned estimated by Poisson and Gaussian distribution  
268 presented high values, above 0.8 (Ventura et al., 2015). Similarly, the Spearman  
269 correlation above 0.86 and 0.92 were estimated for tick count (Ayres et al., 2013)  
270 and for *in vitro* embryo production traits (Perez et al., 2017a), respectively. Thus,  
271 our results demonstrate the importance of data transformation, and/or the use of  
272 a model that considers the Poisson distribution in the genetic evaluation of oocyte  
273 and embryo counts.

274         High Spearman rank correlation among the EBV of the top 5% sires and  
275 females were estimated across LOG, ANS, and POI models, demonstrating small  
276 reranking of the top candidates when using Logarithmic and Anscombe  
277 demonstrate that there are little reranking of the best animals when using  
278 Logarithmic transformation, Anscombe or Poisson distribution models. It is an  
279 important result as the majority of genetic parameters for embryo count published  
280 in the last 10 years were estimated by logarithmic or Anscombe transformation  
281 (Cornelissen et al., 2017; Jatón et al., 2016a, 2016c; Parker Gaddis et al., 2017;  
282 Perez et al., 2016) and only a few studies used Poisson distribution models  
283 (Perez et al., 2017b; Vizoná et al., 2020).

284 Reliability is an important parameter in breeding programs as it also  
285 measures the potential for response to selection (Gorjanc et al., 2015). Our  
286 results showed small changes in the EBV reliability for sires with at least five  
287 daughters with ovum pick-up in TO, VO, and CE when Gaussian or Poisson  
288 distributions were used. On the other hand, large changes in the EBV reliability  
289 for females with ovum pick-up was observed with Poisson distribution. It might be  
290 partially explained by the strong relationship between the additive genetic and  
291 phenotypic variances as the precision of EBV is measured by relating the PEV of  
292 EBV to the additive genetic variance of population. Thus, highlighting the  
293 advantages of using a model that considers the Poisson distribution for total  
294 oocytes, viable oocytes, cleaved embryos, and viable embryos.

295 The EBV estimates reported here demonstrated the existence of  
296 considerable genetic variability for oocytes and embryo counts traits, confirming  
297 that selection of donors that best responded to the technique should increase the  
298 genetic gain of oocytes and embryo counts through selection across generations.  
299 The selection for the production of oocytes and embryos might not be a general  
300 selection objective for commercial herds (Jaton et al., 2016a), however it can be  
301 useful for elite and multipliers herds. For instance, since 2019 1,419,336  
302 transferable embryos were produced worldwide (IETS, 2020), which reinforces  
303 the importance of these traits for livestock industry.

304

## 305 **5. Conclusions**

306 A better performance of oocytes and embryos production in the dairy Gir  
307 cattle can be achieved from the genetic selection due to the genetic variation

308 detected, especially if the genetic evaluation model considers the Poisson  
309 distribution in the traits to allow the prediction of breeding values more accurate.

310 The selection of donors after the first ovum pick-up for oocytes and embryo  
311 count traits in Dairy Gir cattle, can be implemented due to the amount of  
312 repeatability reported. In this way, it is possible to reduce the number of ovum  
313 pick-up in donors that have low production in the first ovum pick-up, reducing  
314 costs and time, and obtaining greater number of oocytes and embryos per ovum  
315 pick-up, increasing the profitability of the technique.

316 The selection of donors after the first ovum pick-up for oocyte and embryo  
317 count traits in Dairy Gir cattle can be implemented due to the magnitude of  
318 genetic variability, repeatability and reliability in predicting the breeding values of  
319 females. In a practical way, these facts indicate that it is possible to reduce the  
320 number of ovum pick-up in donors who have low production in the first ovum pick-  
321 up (reducing costs and time), as well as increase the number of oocytes and  
322 embryos per ovum pick-up in more efficient females, additionally contributing to  
323 improvement in the profitability of the use of the technique in the population.

324

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330

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- 428
- 429

430 **Table 1** – Number of observations (N), medians, means, standard deviations  
 431 (SD), Minimum and maximum (untransformed variables).

Trait	Mean	Median	SD	Max	Min
TO	21.71	18	15.27	157	1
VO	16.25	13	12.91	142	1
CE	12.01	10	8.85	98	0
VE	4.61	3	4.58	43	0

432 Total Oocytes (TO), Viable Oocytes (VO), Cleaved Embryos (CE), and Viable  
 433 Embryos (VE).

434



435 **Table 2** – Estimates of mean and quantiles (0.025, 0.975) of the posterior  
 436 distribution of the heritability ( $h^2$ ) and repeatability (R) for the traits and models  
 437 studied.

Trait	Model	Heritability ( $h^2$ )			Repeatability (R)		
		Mean	0.025	0.975	Mean	0.025	0.0975
TO	ANS	0.42	0.33	0.51	0.58	0.55	0.61
TO	LIN	0.40	0.31	0.48	0.58	0.55	0.60
TO	LOG	0.42	0.33	0.50	0.56	0.53	0.58
TO	POI	0.49	0.39	0.59	0.65	0.62	0.68
VO	ANS	0.41	0.33	0.50	0.56	0.53	0.59
VO	LIN	0.39	0.30	0.47	0.56	0.53	0.58
VO	LOG	0.41	0.32	0.49	0.53	0.50	0.56
VO	POI	0.49	0.39	0.58	0.63	0.61	0.66
CE	ANS	0.32	0.24	0.41	0.48	0.45	0.51
CE	LIN	0.31	0.23	0.40	0.48	0.45	0.51
CE	LOG	0.30	0.22	0.39	0.44	0.41	0.47
CE	POI	0.41	0.30	0.51	0.60	0.56	0.63
VE	ANS	0.21	0.13	0.29	0.38	0.35	0.41
VE	LIN	0.19	0.12	0.27	0.37	0.34	0.40
VE	LOG	0.20	0.12	0.28	0.36	0.33	0.39
VE	POI	0.32	0.21	0.44	0.56	0.52	0.59

438 Total Oocytes (TO), Viable Oocytes (VO), Cleaved Embryos (CE), and Viable  
 439 Embryos (VE). Anscombe transformation model (ANS), Linear model (LIN),  
 440 Logarithmic transformation model (LOG), and Poisson model (POI).

441



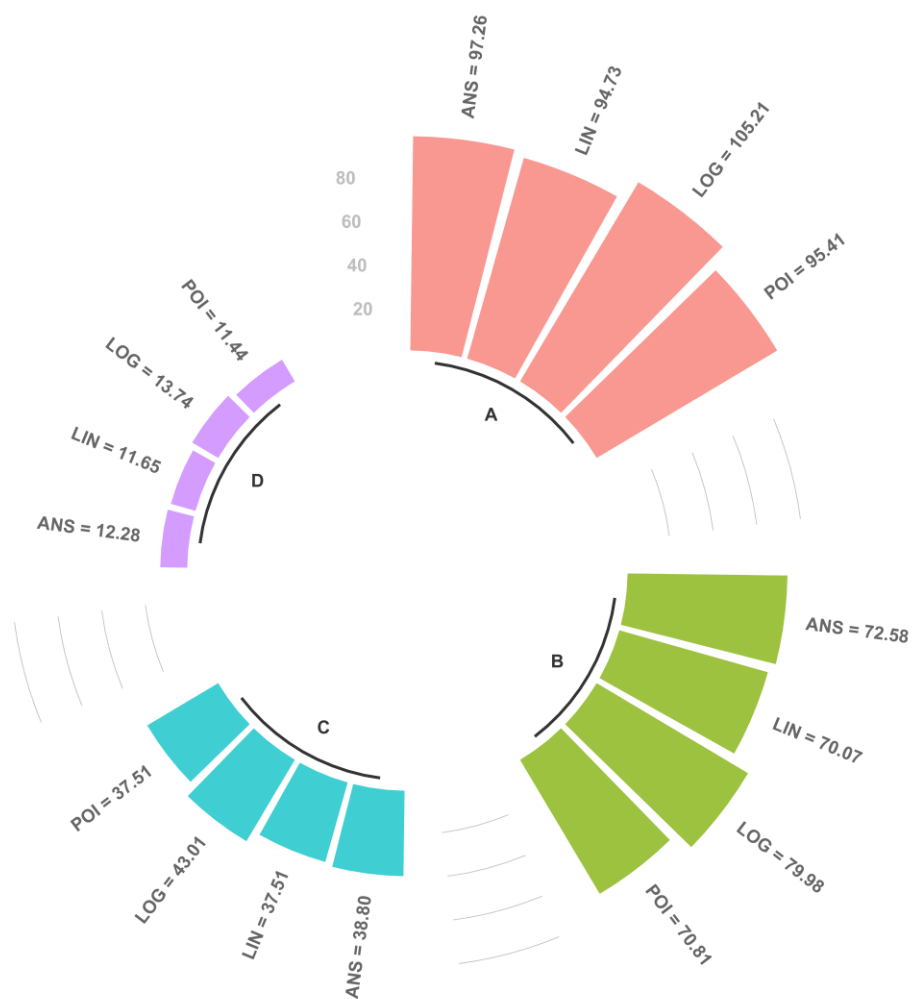
442

443 **Figure 1** – Estimated deviance information criterion (DIC) for total oocytes (A),  
 444 viable oocytes (B), cleaved embryos (C), and viable embryos (D) in linear model  
 445 (LIN) and Poisson model (POI).

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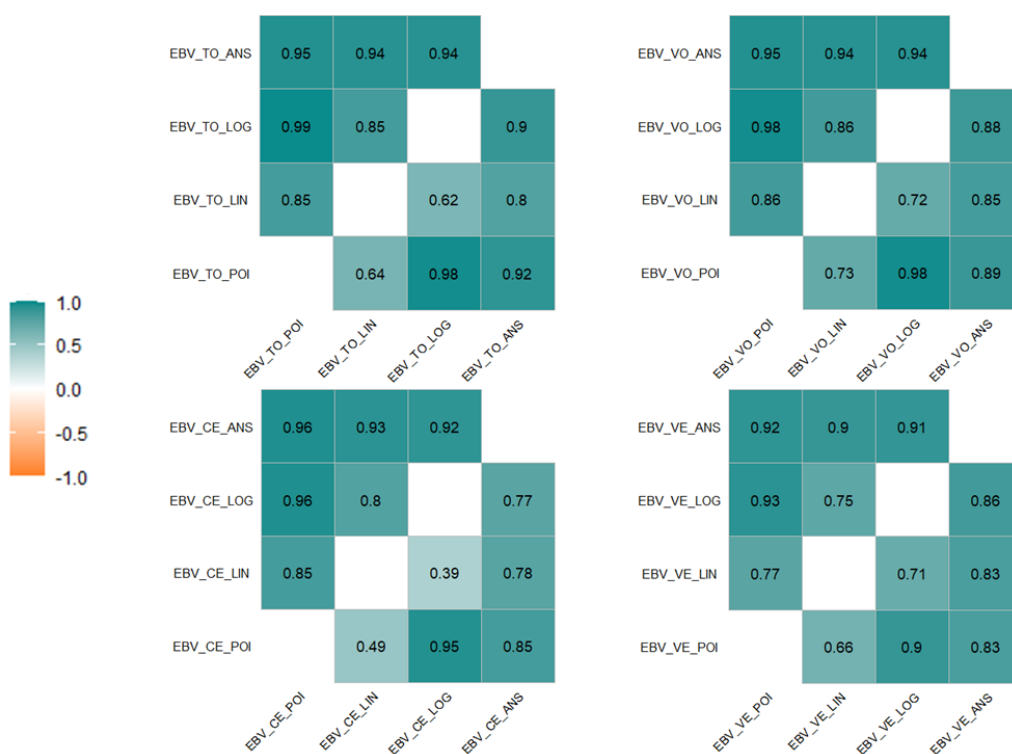
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450 **Figure 2** – Mean squared error (MSE) between observed and fitted values for  
 451 total oocytes (A), viable oocytes (B), cleaved embryos (C) and viable embryos  
 452 (D) in Anscombe transformation (ANS), linear model (LIN), logarithmic  
 453 transformation (LOG) and Poisson model (POI).

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458 **Figure 3** – Spearman's rank correlation for the best 5% sires (below diagonal)  
 459 and 5% females (above diagonal) for estimated breeding value (EBV) for total  
 460 oocytes (TO) viable oocytes (VO) cleaved embryos (CE) and viable embryos (VE)  
 461 in Anscombe transformation (ANS), Linear model (LIN), Logarithmic  
 462 transformation (LOG) and Poisson model (POI).

463

464 **Table 3** – Reliability of estimated breeding values (EBV) for total oocytes (TO)  
 465 viable oocytes (VO) cleaved embryos (CE) and viable embryos (VE) in Anscombe  
 466 transformation (ANS), Linear model (LIN), Logarithmic transformation (LOG) and  
 467 Poisson model (POI).

Trait	Model	Donors*	Sire's father donors**
TO	ANS	0.66 (0.43 – 0.87)***	0.75 (0.49 – 0.95)
TO	LIN	0.64 (0.41 – 0.86)	0.74 (0.47 – 0.93)
TO	LOG	0.67 (0.43 – 0.88)	0.75 (0.48 – 0.95)
TO	POI	0.68 (0.45 – 0.88)	0.75 (0.48 – 0.96)
VO	ANS	0.67 (0.42 – 0.87)	0.75 (0.49 – 0.95)
VO	LIN	0.64 (0.40 – 0.86)	0.74 (0.47 – 0.95)
VO	LOG	0.67 (0.42 – 0.88)	0.75 (0.49 – 0.95)
VO	POI	0.68 (0.44 – 0.88)	0.75 (0.49 – 0.95)
CE	ANS	0.60 (0.32 – 0.85)	0.72 (0.43 – 0.94)
CE	LIN	0.59 (0.21 – 0.84)	0.71 (0.42 – 0.94)
CE	LOG	0.60 (0.32 – 0.85)	0.72 (0.42 – 0.94)
CE	POI	0.61 (0.30 – 0.85)	0.72 (0.49 – 0.95)
VE	ANS	0.50 (0.23 – 0.78)	0.67 (0.34 – 0.88)
VE	LIN	0.48 (0.18 – 0.77)	0.65 (0.32 – 0.83)
VE	LOG	0.50 (0.23 – 0.78)	0.67 (0.34 – 0.84)
VE	POI	0.52 (0.19 – 0.85)	0.68 (0.35 – 0.93)

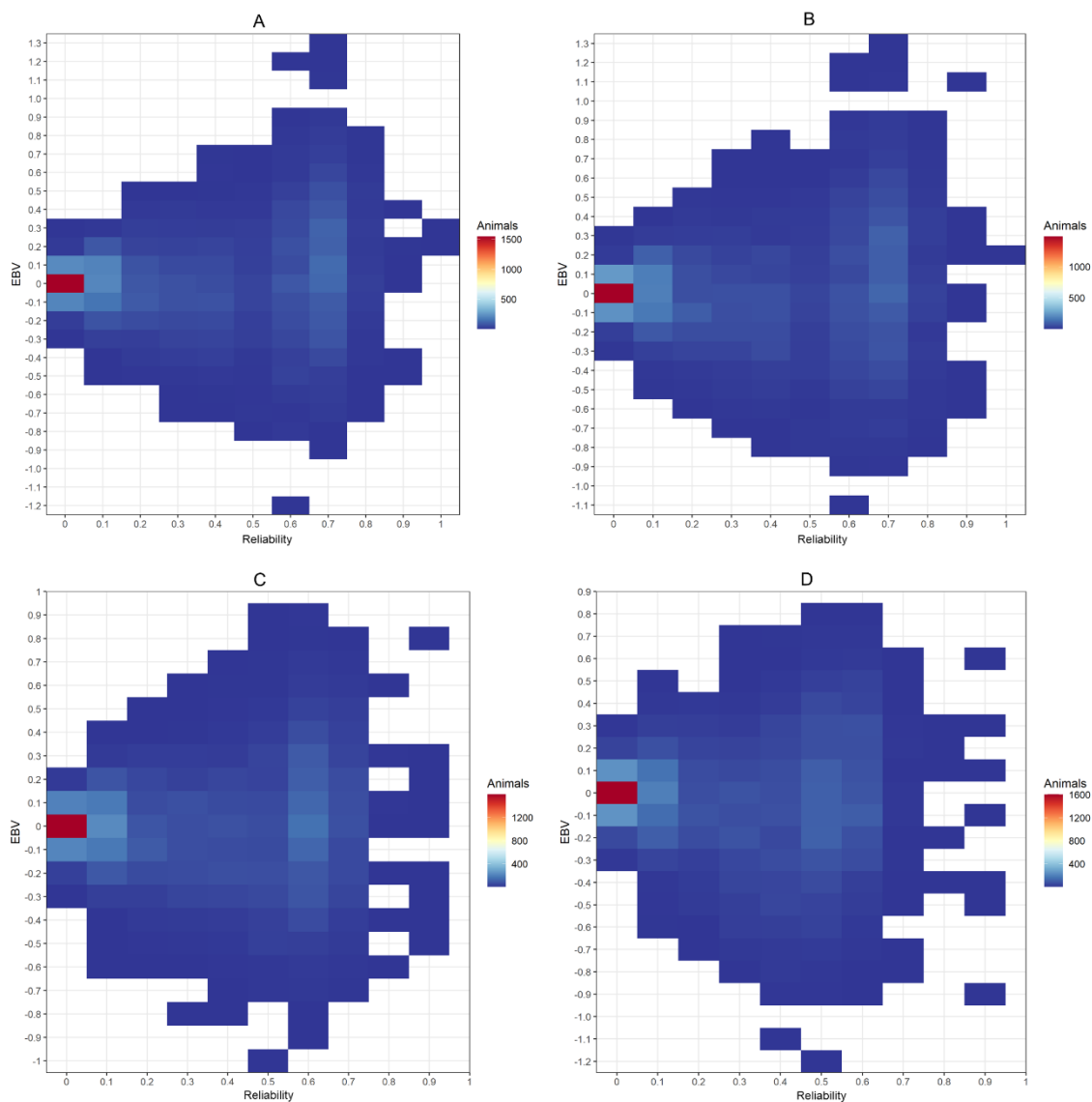
487 \* Females with ovum pick-up.

488 \*\* Sires with at least five daughters with ovum pick-up.

489 \*\*\* Minimum and maximum

490

491



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493

494 **Figure 4** – The joint distribution of reliabilities and estimated breeding values  
 495 (EBV) for all animals for traits of total oocytes (a), viable oocytes (b), cleaved  
 496 embryos (c), viable embryos (d) using Poisson model for genetic evaluation in  
 dairy Gir cattle.

497

## 5. Considerações finais

As características de reprodução artificial têm grande potencial para seleção genética, o que pode resultar em um rápido progresso genético e, como consequência, aumentar a taxa reprodutiva de fêmeas com maior interesse comercial, como aquelas que têm alto valor genético para características economicamente importantes aos diferentes sistemas de produção e a uma raça com tamanha expressividade no país.

A utilização do modelo de regressão aleatória em substituição ao modelo de repetibilidade na avaliação genética de oócitos totais, oócitos viáveis, embriões clivados e embriões viáveis aumenta o ganho genético nessas características na raça Gir Leiteiro, e o mesmo pode ser esperado em outras raças.

As características de reprodução artificial dessa população apresentam altas estimativas de repetibilidade, indicando que contagens de oócitos e embriões semelhantes devem se repetir em diferentes momentos na vida do animal e que a seleção de animais pode ser realizada de forma acurada após ter o resultado da primeira coleta de óocitos.

A confiabilidade do valor genético obtida pelo modelo de regressão aleatória é maior nos períodos em que ocorre o maior número de coleta. Portanto, aumentar o número de coletas em fêmeas jovens aumenta a confiabilidade do valor genético predito em idade precoce.

O índice de temperatura e umidade deve ser considerado na estimativa de parâmetros genéticos e valores genéticos previstos para produção de oócitos totais e viáveis e produção de embriões clivados e viáveis em vacas Gir Leiteiro, devido ao

fato de afetar produção de oócitos e embriões e a predição dos valores genéticos dessas características.

Estimativas de herdabilidade de magnitude moderada e alta para a produção de oócitos e embriões foram observadas em diferentes idades a coleta de oócitos e índice de temperatura e umidade, indicando que a seleção genética pode resultar em rápido progresso genético. Entretanto, a classificação dos melhores animais alterou entre as diferentes idades a coleta e índice de temperatura e umidade.

A seleção de animais tolerantes ao estresse térmico pode levar a um aumento na taxa reprodutiva artificial das fêmeas com alto mérito genético para distintas características de interesse econômico, mesmo em condições de índice de temperatura e umidade elevado.

A avaliação genética considerando diferentes modelos lineares generalizados sob enfoque bayesiano para características de contagem de oócitos e embriões apresentaram médias posteriores de herdabilidade e repetibilidade de magnitude moderadas a altas na população avaliada de bovinos da raça Gir Leiteiro. O modelo de Poisson apresentou maiores médias posteriores de herdabilidade e repetibilidade e melhor ajuste entre todos os modelos avaliados.

A correlação de rank de Spearman entre os valores genéticos de machos e fêmeas preditos para as características de contagem de oócitos e embriões foi alta entre os modelos que consideraram distribuição de Poisson e que foram transformados por logaritmo e por Anscombe. Os valores genéticos preditos pelo modelo Poisson apresentam maior confiabilidade dos valores genéticos do que os demais. Assim, o modelo que considera a distribuição de Poisson dos registros reprodutivos deve ser utilizado em futuras avaliações genéticas para características de contagem de oócitos e embriões em bovinos Gir Leiteiro.



A disponibilização dos valores genéticos, na forma de PTA (Habilidade Prevista de Transmissão) ou DEP (Diferença Esperada na Progênie), para a produção de oócitos e embriões, pela avaliação genética, é uma medida aconselhável uma vez que essas características têm considerável importância para os rebanhos de elite (núcleo).

Futuras pesquisas que considerem a utilização do modelo de regressão aleatória considerando a distribuição de Poisson dos registros são encorajadas, bem como a utilização de informações genômicas nesses modelos, visando aumentar a confiabilidade dos valores genéticos preditos.

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**Vita**

Giovani Luis Feltes, filho de Egon José Feltes e Neva Irene Klein Feltes, nasceu em Júlio de Castilhos — Rio Grande do Sul, no dia 14 de setembro de 1992. Em 2010, ingressou no curso de Zootecnia da Universidade Federal de Pelotas/UFPel. No ano de 2012, transferiu-se para o curso de Zootecnia da Universidade Federal de Santa Maria, na qual obteve o título de Bacharel em Zootecnia em janeiro de 2016, e pela mesma universidade adquiriu o título de Mestre em Zootecnia (2016-2018) junto ao Programa de Pós-Graduação em Zootecnia, na área de concentração de Produção Animal, sendo bolsista da Coordenação de Aperfeiçoamento de Pessoal de Nível Superior — CAPES. Em abril de 2018, ingressou no curso de Doutorado junto ao Programa de Pós-Graduação em Zootecnia da Universidade Federal do Rio Grande do Sul — UFRGS, na área de concentração de Produção Animal, sendo bolsista da CAPES. Foi submetido à banca examinadora de defesa de tese em 26 de abril de 2022.