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Lesion of the subthalamic nucleus reverses motor deficits but not death of nigrostriatal dopaminergic neurons in a rat 6-hydroxydopamine-lesion model of Parkinson's disease

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Abstract

The objective of the present study was to determine whether lesion of the subthalamic nucleus (STN) promoted by N-methyl-D-aspartate (NMDA) would rescue nigrostriatal dopaminergic neurons after unilateral 6-hydroxydopamine (6-OHDA) injection into the medial forebrain bundle (MFB). Initially, 16 µg 6-OHDA (6-OHDA group) or vehicle (artificial cerebrospinal fluid - aCSF; Sham group) was infused into the right MFB of adult male Wistar rats. Fifteen days after surgery, the 6-OHDA and Sham groups were randomly subdivided and received ipsilateral injection of either 60 mM NMDA or aCSF in the right STN. Additionally, a control group was not submitted to stereotaxic surgery. Five groups of rats were studied: 6-OHDA/NMDA, 6-OHDA/Sham, Sham/NMDA, Sham/Sham, and control. Fourteen days after injection of 6-OHDA, rats were submitted to the rotational test induced by apomorphine (0.1 mg/kg, *ip*) and to the open-field test. The same tests were performed again 14 days after NMDA-induced lesion of the STN. The STN lesion reduced the contralateral turns induced by apomorphine and blocked the progression of motor impairment in the open-field test in 6-OHDA-treated rats. However, lesion of the STN did not prevent the reduction of striatal concentrations of dopamine and metabolites or the number of nigrostriatal dopaminergic neurons after 6-OHDA lesion. Therefore, STN lesion is able to reverse motor deficits after severe 6-OHDA-induced lesion of the nigrostriatal pathway, but does not protect or rescue dopaminergic neurons in the substantia nigra pars compacta.

Key words: Subthalamic nucleus; Parkinson's disease; Substantia nigra pars compacta; 6-Hydroxydopamine; Tyrosine hydroxylase immunohistochemistry

Introduction

Parkinson's disease (PD) is a progressive neurodegenerative disorder that results from a loss of dopaminergic neurons in the substantia nigra pars compacta (SNpc) (1). The degeneration of mesencephalic dopaminergic cells causes significant dopamine (DA) depletion in the corpus striatum, leading to debilitating motor dysfunction

when DA reduction is greater than 80% (2). PD symptoms include akinesia, rigidity, resting tremor, slow movement, gait dysfunction, and postural instability (3).

The striatal DA deficit leads to the disinhibition of the indirect pathway of the basal ganglia circuit. As a consequence, the subthalamic nucleus (STN) becomes hyper-

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active in PD (4,5), increasing the excitatory drive of the output of basal ganglia nuclei and thereby increasing the inhibition of thalamocortical neurons (6). Moreover, it has been suggested that STN hyperactivity increases the deterioration of existing nigrostriatal dopaminergic neurons through excessive glutamatergic stimulation, resulting in N-methyl-D-aspartate (NMDA)-mediated excitotoxicity and further neuronal loss in PD (3,7,8). This is supported by clinical studies showing that alteration in STN activity by high-frequency stimulation (HFS) improves motor symptoms in PD (9).

Thus, it is possible that STN inactivation may have a neuroprotective action on the SNpc dopaminergic cells, reducing the loss of dopaminergic neurons and decreasing motor impairments in PD (7,10,11). In experimental models of PD induced by parkinsonian neurotoxins such as 6-hydroxydopamine (6-OHDA) or 1-methyl-2-phenyl-1,2,3,6-tetrahydropyridine (MPTP), inhibition of STN function by ablation (12-15), HFS (16,17) or pharmacological antagonism (18,19) has been reported to ameliorate some of the parkinsonian motor deficits and even to protect nigrostriatal dopaminergic neurons against the injury induced by these neurotoxins. On the other hand, some investigators were unable to find any neuroprotective effects of STN inactivation on SNpc neuron survival (20-22). For this reason, it remains to be determined which circuitry is altered in the basal ganglia when glutamatergic pathways are suppressed and motor improvements take place. It is also important to determine whether the increase in striatal DA metabolism is due to an effect on the survival of SNpc neurons or if it reflects an altered activity of another pathway in the basal ganglia, and also if the increase in striatal DA levels is responsible for the motor improvement seen in Parkinsonism.

Two protocols are generally used to study glutamatergic lesion of the STN. One evaluates the possible neuroprotection offered by the lesion and the other, the recovery of damaged dopaminergic neurons. In the former protocol, the STN lesion is made prior to injecting the neurotoxin, while in the latter the STN lesion is performed after injecting it. PD symptoms appear when at least 60% of dopaminergic neurons are lost (23). Because the rescue protocol is likely to represent more closely the clinical condition, the objective of the present study was to determine whether STN lesion would rescue nigrostriatal dopaminergic neurons after 6-OHDA injection into the medial forebrain bundle (MFB). We therefore examined the effect of glutamatergic input ablation, promoted by NMDA-induced lesion of the STN, on the nigrostriatal DA system, as determined by DA and metabolite concentrations in the striatum and tyrosine hydroxylase (TH)-immunoreactivity in the SNpc. In addition, in order to confirm the effects of STN ablation on parkinsonian motor deficits, behavioral tests of motor function were also conducted.

Material and Methods

Animals

Adult male Wistar rats from our own breeding stock weighing 300-400 g at the beginning of the experiments were used. The animals were maintained in a temperature-controlled room ($22 \pm 2^\circ\text{C}$) on a 12/12-h light cycle (lights on at 7:00 am) and had free access to food and water. All studies involving the animals strictly followed the Guide for the Care and Use of Experimental Animals (Canadian Council on Animal Care) and were approved by the Federal University of Paraná Committee of Animal Welfare. Efforts were made to minimize animal use and their suffering in these experiments.

Experimental design

Rats received two stereotaxic surgical interventions in the right side of the brain. First, 16 μg 6-OHDA (6-OHDA group) or vehicle, artificial cerebrospinal fluid (aCSF; Sham group), was infused into the right MFB. The control group was not submitted to stereotaxic surgery. Fifteen days after the first surgery, the 6-OHDA and Sham groups received an ipsilateral injection of 60 mM NMDA (6-OHDA/NMDA and Sham/NMDA groups) or aCSF in the right STN (6-OHDA/Sham and Sham/Sham groups). Accordingly, 5 groups containing a minimum of 7 rats each, unless otherwise stated, were studied: control, Sham/Sham, 6-OHDA/Sham, Sham/NMDA, and 6-OHDA/NMDA. Fourteen days after the first surgery, rats underwent a behavioral test for locomotor activity, followed 2 h later by the drug-induced rotation behavior (first test). The same tests were performed again 14 days after the second surgery (second test). For neurochemical evaluation, rats were killed by decapitation 2 days after the second behavioral test. Brains were removed and the striatum tissue was dissected and assayed for DA and its metabolites, 3,4-dihydroxyphenylacetic acid (DOPAC) and 4-hydroxy-3-methoxy-phenylacetic acid (HVA). For TH immunostaining, rats from the Sham/Sham, Sham/NMDA, 6-OHDA/Sham, and 6-OHDA/NMDA groups were anesthetized and transcardially perfused and the brains were removed and processed for immunohistochemistry. The absorbance of TH staining and the number of TH-immunoreactive (TH-ir) neurons were evaluated in the SNpc and ventral tegmental area (VTA). In this analysis, control groups were not studied since we had previously demonstrated that TH-immunoreactivity is similar in control and Sham-operated rats (24,25). The number of rats studied in each experimental group was 4.

Stereotaxic surgeries

Rats received atropine sulfate (0.4 mg/kg, *ip*) to suppress salivation and penicillin G-procaine (5,000,000 U in 5 mL, *im*), and were anesthetized *ip* with 3 mL/kg equithesin (1% thiopental, 4.25% chloral hydrate, 2.13% magnesium sulfate, 42.8% propylene glycol, and 3.7% ethanol in water).

In the first surgery, 6-OHDA HCl (16 µg in 4 µL aCSF with 0.2% ascorbate; Sigma, USA) was unilaterally infused (0.5 µL/min) into the right MFB through a 30-gauge needle. In sham-operated rats, 4 µL aCSF was infused into the MFB. The coordinates for the injections were: anteroposterior (AP), -1.9 mm from bregma, mediolateral (ML), -1.9 mm from the midline, dorsoventral (DV), -7.2 mm from the skull, as adapted from Paxinos and Watson (26). In the second surgery, 1 µL 60 mM NMDA (Sigma) or aCSF was infused into the right STN with a 30-gauge needle at 0.5 µL/min. The coordinates used were: AP, -3.8 mm from bregma, ML, -2.5 mm from midline, DV, -8.1 mm from the skull, adapted from Paxinos and Watson (26). To avoid drug reflow, a 2-min interval was allowed to elapse between the completion of each infusion and withdrawal of the needle. The composition of aCSF was as follows: 0.15 M NaCl, 2.75 mM KCl, 1.2 mM CaCl₂, and 0.85 mM MgCl₂.

Locomotor activity

Hypokinesia was evaluated using an open field, which consisted of a circular arena (1 m in diameter), with the floor divided into 19 sections, limited by a 40-cm circular wall. This arena was illuminated by four 60-W lights and placed in a sound-attenuated temperature-controlled illuminated room. Each rat was placed alone in the center of the arena and observed while exploring the open field for 5 min. The total number of squares crossed and rearing activity were determined over a period of 5 min (27). Before placing another animal, the open field was cleaned with 10% ethanol to attenuate odors. All groups were tested for locomotor activity 14 days after the first surgery (test 1) and 14 days after the second surgery (test 2).

Drug-induced rotational behavior

Motor asymmetry following unilateral lesion of the nigrostriatal pathway was assessed by apomorphine-induced rotational behavior. The rats were injected subcutaneously with 0.1 mg/kg apomorphine hydrochloride (Sigma) dissolved in 0.9% NaCl. Total turns contralateral to the lesion were counted over a period of 15 min. All groups were tested for rotational behavior 14 days after the first surgery (test 1) and 14 days after the second surgery (test 2). Repeated stimulation with a DA agonist may produce an increase in DA-mediated behavioral responses (priming effect). Nevertheless, a two-week interval is probably enough time to avoid the priming effect of the first rotational test (12).

Neurochemical assay

Following decapitation, the brains were rapidly removed and the striatum tissues were dissected, weighed, immediately frozen on dry ice and stored at -80°C until assayed for DA, DOPAC and HVA by high-performance liquid chromatography coupled with electrochemical detection (HPLC-ED), as previously described (25). The striatum tissues were homogenized in 800 µL of a solution contain-

ing 0.2 M perchloric acid (Merck, Germany), 0.1 mM EDTA (Merck) and 0.45 µM 3,4-dihydroxybenzylamine (DHBA; Aldrich, USA) as the internal standard. The homogenates were centrifuged for 20 min at 12,000 g and the supernatant was filtered through a 0.22-µm filter (Millex PVDF, Millipore, USA). Ten microliters of each sample was injected with an auto injector (SIL-10Advp; Shimadzu, Japan) into an HPLC-ED system. Separation was performed on a 4.6 x 250-mm reversed-phase C18 column (Shim-pack VP-ODS, 5 µm; Shimadzu), preceded by a 4.6 x 10-mm C18 guard column (Shim-pack GVP-ODS, 5 µm; Shimadzu). The mobile phase consisted of 100 mM sodium dihydrogen phosphate monohydrate, 10 mM sodium chloride, 0.1 mM EDTA, 0.40 mM sodium 1-octanesulfonic acid (Sigma) and 20% methanol (Omnisolv, EMD Chemical Inc., USA). pH was adjusted to 3.5 with phosphoric acid. Flow rate was maintained at 0.9 mL/min (LC-10Advp; Shimadzu). The detector potential was 0.65 V vs *in situ* Ag/AgCl (Decade, VT-03 electrochemical flow cell; Antec Leyden, Netherlands). Chromatography data were plotted using Class-VP software (Shimadzu). DA, DOPAC, and HVA were identified based on their peak retention times. Quantification was performed by the internal standard method using DHBA as the internal standard based on the area under the peak. All samples were measured in the same analysis and the intra-assay coefficient of variation was less than 5% for all compounds measured. Data were normalized by tissue wet weight, and DA and metabolite concentrations in the lesioned striatum (right) are reported as a percentage of those on the contralateral side.

Histological evaluation of STN lesion

Cell loss in the STN and the correct location of NMDA injection were determined using two-dimensional images of Nissl-stained sections (28,29).

TH immunohistochemistry

Rats were deeply anesthetized with 200 mg/kg sodium thiopental, *ip*, and transcardially perfused with saline followed by 4% paraformaldehyde in 0.1 M phosphate buffer (PB), pH 7.4 (24,25,28). After perfusion, the brains were removed from the skulls, postfixed in the same solution at room temperature for 2 h and cryoprotected by immersion in 30% sucrose solution in PB at 4°C until they sank. For each brain, serial coronal sections (50 µm) were obtained with a cryostat (Leitz, Digital 1702, Germany) at -20°C and collected in PB. Free-floating sections were pretreated with 10% methanol diluted in 3% hydrogen peroxidase for 30 min, blocked with 3% normal goat serum in 0.1 M PB, 0.9% NaCl, pH 7.4 (PBS) containing 0.3% Triton X-100 (PBS-Tx, Sigma) for 30 min and incubated with mouse anti-TH antibody (Sigma) at 1:750 in 3% normal goat serum in PBS-Tx for 48 h at 4°C. Sections were then incubated with a biotinylated secondary antibody (Dako Corporation, USA) at 1:200 in PBS-Tx at room temperature for 2 h, and with peroxidase-conjugated streptavidin (Dako) at 1:100

in PBS for 90 min at room temperature. The antibody-peroxidase complex was developed by incubating the sections in a medium containing 0.06% 3,3 diaminobenzidine (DAB, Sigma) dissolved in PBS for 10 min, and then in the same solution containing 1 μ M 3% H₂O₂ per mL of DAB medium for 10 min. Next, the sections were rinsed with PBS, dehydrated with ethanol, cleared with xylene, covered with Permount, and coverslipped. Control sections were prepared by omitting the primary antibody and replacing it with PBS. The brains of all animals were fixed and postfixed for the same time in the same batch of solution rigorously processed at the same time, and the sections were incubated in an identical medium for the same period of time. This precaution was taken to avoid overreaction, differences in chromogen reaction, saturation of absorbance, and changes in background levels (24,25,28,30). Coronal sections of the SNpc were selected according to the atlas of Paxinos and Watson (26) and the readings were obtained between the following coordinates: interaural 4.2 mm, bregma -4.8 mm and interaural -2.7 mm, bregma -6.3 mm. In the SNpc and the VTA, the absorbance of the TH-ir neurons and the number of TH-ir neurons/mm² were determined as previously described (24,25). Briefly, images of coronal sections were digitalized and three equidistant

areas of interest measuring 1200 μ m² were overlaid onto the image to measure absorbance and the number of TH-ir neurons/mm². At least 30 readings in each of 10 sections were obtained from each side of the brain.

Absorbance was calculated using the following formula:

$$A(x,y) = -\log [(intensity(x,y) - black) / (incident - black)]$$

where: A is absorbance, intensity (x,y) is the intensity at pixel (x,y), black is the intensity generated when no light goes through the material (5.3 in our case), and incident is the intensity of the incident light (252.4 in our case). To determine neuronal density, the sum of TH-ir neurons located inside this square or intersected by the lower and/or right edge of the square were counted. The neurons that were intersected by the upper and/or left edge of the square were not counted (24,25,28).

Count and absorbance measurements were carried out by two specialists in histology blind to the source of the images. All procedures were done using a Nikon Eclipse E-600 (50X) microscope coupled to a Pro-Series High Performance CCD camera and Image Pro Plus Software 4.1 (Media Cybernetics, USA).

Statistical analysis

Data are reported as means \pm SEM. Behavioral and neurochemical data were analyzed by one-way analysis of variance (ANOVA) and TH immunohistochemical data by two-way and one-way ANOVA. In all analyses, ANOVA was followed by the Duncan *post hoc* test. Differences between the first and second behavioral tests were analyzed by the Student *t*-test for dependent samples. $P < 0.05$ was considered to be statistically significant.

Results

Drug-induced rotational behavior

Contralateral turns induced by apomorphine were increased in the 6-OHDA groups in the first test compared to Sham and control groups ($F_{4,38} = 12.29$, $P < 0.01$), as shown in Figure 1. In the second test, the number of contralateral turns observed in the 6-OHDA/Sham group was greater than all the other groups ($F_{4,38} = 10.57$, $P < 0.01$). When each group was analyzed considering the first vs the second test, the 6-OHDA/NMDA group showed a significant reduction in total contralateral turns ($t = 3.37$, $P < 0.01$). On the other hand, the number of contralateral turns increased in the 6-OHDA/Sham group ($t = 2.37$, $P < 0.05$). There were no significant differences between the first and second tests of the control, Sham/Sham or Sham/NMDA groups.

Locomotor activity

Analysis of the total squares crossed in the open field

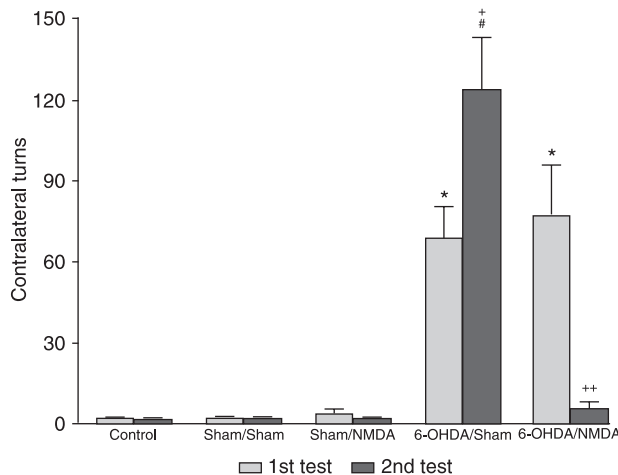


Figure 1. Total number of apomorphine-induced contralateral turns during a period of 15 min. Data are reported as means \pm SEM for the following groups: control (N = 8), SNpc sham lesion/STN sham lesion (Sham/Sham; N = 7), SNpc sham lesion/STN NMDA lesion (Sham/NMDA; N = 8), SNpc 6-OHDA lesion/STN sham lesion (6-OHDA/Sham; N = 10), and SNpc 6-OHDA lesion/STN NMDA lesion (6-OHDA/NMDA; N = 10) in the first and second tests. SNpc = substantia nigra pars compacta; STN = subthalamic nucleus; NMDA = N-methyl-D-aspartate; 6-OHDA = 6-hydroxydopamine. * $P < 0.01$ compared to the other groups in the first test; # $P < 0.01$ compared to the other groups in the second test (one-way ANOVA). + $P < 0.05$ compared to the first test in the same group. ++ $P < 0.01$ compared to the first test in the same group (Student *t*-test).

(Figure 2A) showed that, in the first test, 6-OHDA animals exhibited reduced exploratory activity compared to control and Sham animals ($F_{4,47} = 5.19$, $P < 0.01$). In the second test, the 6-OHDA groups still crossed fewer squares compared to the control and Sham groups ($F_{4,47} = 17.17$, $P < 0.001$); however, the reduction was attenuated in the 6-OHDA/NMDA group when compared to the 6-OHDA/Sham group ($P < 0.05$). In the 6-OHDA/Sham group, there was a greater decrease in the number of squares crossed in the second test compared to the first ($t = 5.92$, $P < 0.001$). This further reduction was prevented by the STN lesion in the 6-OHDA/NMDA group ($t = 0.45$, $P = 0.66$). There were no significant changes between the first and second tests in control, Sham/Sham and Sham/NMDA groups.

As illustrated in Figure 2B, evaluation of rearing movements in the open field showed results similar to those for the squares crossed. In the first test, 6-OHDA groups exhibited fewer rearing movements than control and Sham groups ($F_{4,47} = 11.12$, $P < 0.001$). In the second test, the 6-OHDA groups still exhibited decreased rearing activity compared to control and Sham groups ($F_{4,47} = 11.99$, $P < 0.001$). However, the reduction was smaller in the 6-OHDA/NMDA group than in the 6-OHDA/Sham group ($P < 0.05$). In the 6-OHDA/Sham group, rearing activity was further reduced in the second test compared to the first one ($t = 4.89$, $P < 0.001$). This further reduction was prevented by the STN lesion in the 6-OHDA/NMDA group ($t = 0.26$, $P = 0.80$). There were no significant changes between the first

and second tests in the control, Sham/Sham and Sham/NMDA groups.

Histological evaluation of STN lesion

The number of neurons in the STN was quantified in the right and the left hemisphere. NMDA injection promoted a significant lesion in the STN with a cell loss of approximately 84% in the right versus the left hemisphere, without involvement of adjacent nuclei (Figure 3).

Neurochemical assay

Analysis of DA, DOPAC, and HVA concentrations in the non-lesioned striatum (left side) revealed no significant differences between the control, Sham/Sham, Sham/NMDA, 6-OHDA/Sham, and 6-OHDA/NMDA groups. In the left striatum, the average DA, DOPAC, and HVA concentrations were 5.89 ± 0.62 , 3.37 ± 0.16 and 0.67 ± 0.03 ng/mg tissue (mean \pm SEM), respectively. These data validated the use of contralateral values as controls for the unilateral lesion of the nigrostriatal pathway. There was a significant decrease in striatal DA ($F_{4,28} = 32.04$, $P < 0.001$), DOPAC ($F_{4,28} = 43.00$, $P < 0.001$) and HVA ($F_{4,28} = 66.54$, $P < 0.001$) concentrations in the groups treated with 6-OHDA compared to the other groups (Figure 4). On the other hand, the STN lesion in Sham and 6-OHDA-lesioned rats did not alter the striatal concentrations of DA ($P = 0.99$), DOPAC ($P = 0.99$) or HVA ($P = 0.99$) compared to Sham/Sham and 6-OHDA/Sham rats, respectively.

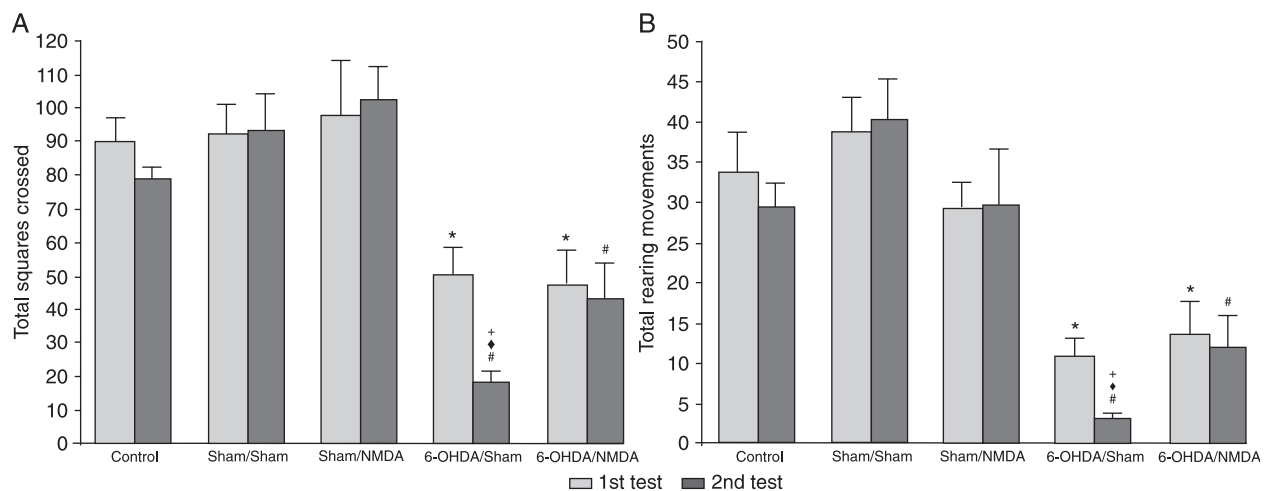


Figure 2. Open-field locomotor activity during a 5-min period. Data are reported as means \pm SEM for the following groups: control (N = 10), SNpc sham lesion/STN sham lesion (Sham/Sham; N = 10), SNpc sham lesion/STN NMDA lesion (Sham/NMDA; N = 10), SNpc 6-OHDA lesion/STN sham lesion (6-OHDA/Sham; N = 11), and SNpc 6-OHDA lesion/STN NMDA lesion (6-OHDA/NMDA; N = 11) in the first and second tests. SNpc = substantia nigra pars compacta; STN = subthalamic nucleus; NMDA = N-methyl-D-aspartate; 6-OHDA = 6-hydroxydopamine. A, Total squares crossed. * $P < 0.01$ compared to the other groups in the first test; # $P < 0.001$ compared to the other groups in the second test; ♦ $P < 0.05$ compared to the 6-OHDA/NMDA group in the second test (one-way ANOVA). * $P < 0.001$ compared to the first test in the same group (Student *t*-test). B, Total number of rearing movements. * $P < 0.001$ compared to the other groups in the first test; # $P < 0.001$ compared to the other groups in the second test; ♦ $P < 0.05$ compared to the 6-OHDA/NMDA group in the second test (one-way ANOVA). * $P < 0.001$ compared to the first test in the same group (Student *t*-test).

TH immunohistochemistry

Injection of 6-OHDA into the MFB significantly decreased the absorbance of TH immunostaining ($F_{1,28} = 92.34$, $P < 0.001$) and the number of TH-ir neurons ($F_{1,28} = 32.71$, $P < 0.001$) in the SNpc, as illustrated in Figures 5 and 6A and B. STN lesion did not protect the SNpc neurons from 6-OHDA-induced lesion, as determined by the equal decrease in absorbance ($P = 1.00$) or neuronal density ($P = 0.74$) of TH-ir neurons. In the VTA, there was also a 6-OHDA-induced decrease in the absorbance of TH ($F_{1,28} = 29.99$, $P < 0.001$) and number of TH-ir neurons ($F_{1,28} =$

29.61, $P < 0.001$; Figures 5 and 6C and D). As also observed for the SNpc, TH immunostaining did not differ between the 6-OHDA/NMDA and 6-OHDA/Sham groups.

STN lesion and behavioral effects

The effect of STN lesion in rats recovering from anesthesia following aCSF (Sham/NMDA group) or 6-OHDA (6-OHDA/NMDA) infusion into the MFB was the manifestation of a marked contralateral hemiballismus. This response was transient, lasting approximately 16 h. Temporary hemiballismus has also been described in rats awakening from

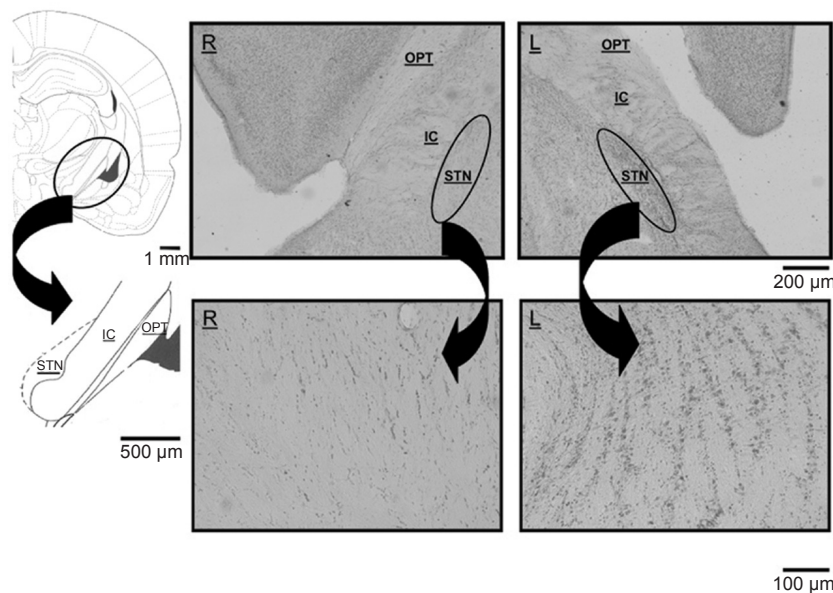


Figure 3. Digitized images of coronal sections of the subthalamic nucleus in the right (R) and left (L) hemispheres. Note a severe loss of neurons in the left STN after unilateral NMDA injection. The schematic drawings were modified from the Paxinos and Watson atlas (26). IC = internal capsule; OPT = optic tract; STN = subthalamic nucleus.

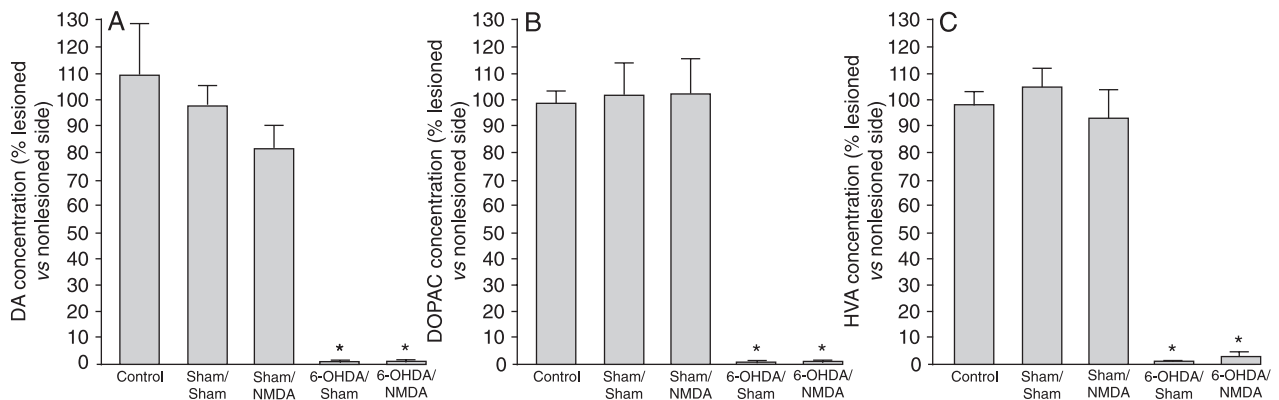


Figure 4. Neurochemical analysis of DA, DOPAC and HVA concentrations in the striatum. Percentage of DA, DOPAC and HVA levels remaining on the lesioned side (right) compared with the non-lesioned side of the following groups: control (N = 6), SNpc sham lesion/STN sham lesion (Sham/Sham; N = 6), SNpc sham lesion/STN NMDA lesion (Sham/NMDA; N = 7), SNpc 6-OHDA lesion/STN sham lesion (6-OHDA/Sham; N = 7), and SNpc 6-OHDA lesion/STN NMDA lesion (6-OHDA/NMDA; N = 7). Data are reported as means \pm SEM. DA = dopamine; DOPAC = 3,4-dihydroxyphenylacetic acid; HVA = 4-hydroxy-3-methoxy-phenylacetic acid; SNpc = substantia nigra pars compacta; STN = subthalamic nucleus; NMDA = N-methyl-D-aspartate; 6-OHDA = 6-hydroxydopamine. * $P < 0.001$ compared to control, Sham/Sham and Sham/NMDA groups (one-way ANOVA)

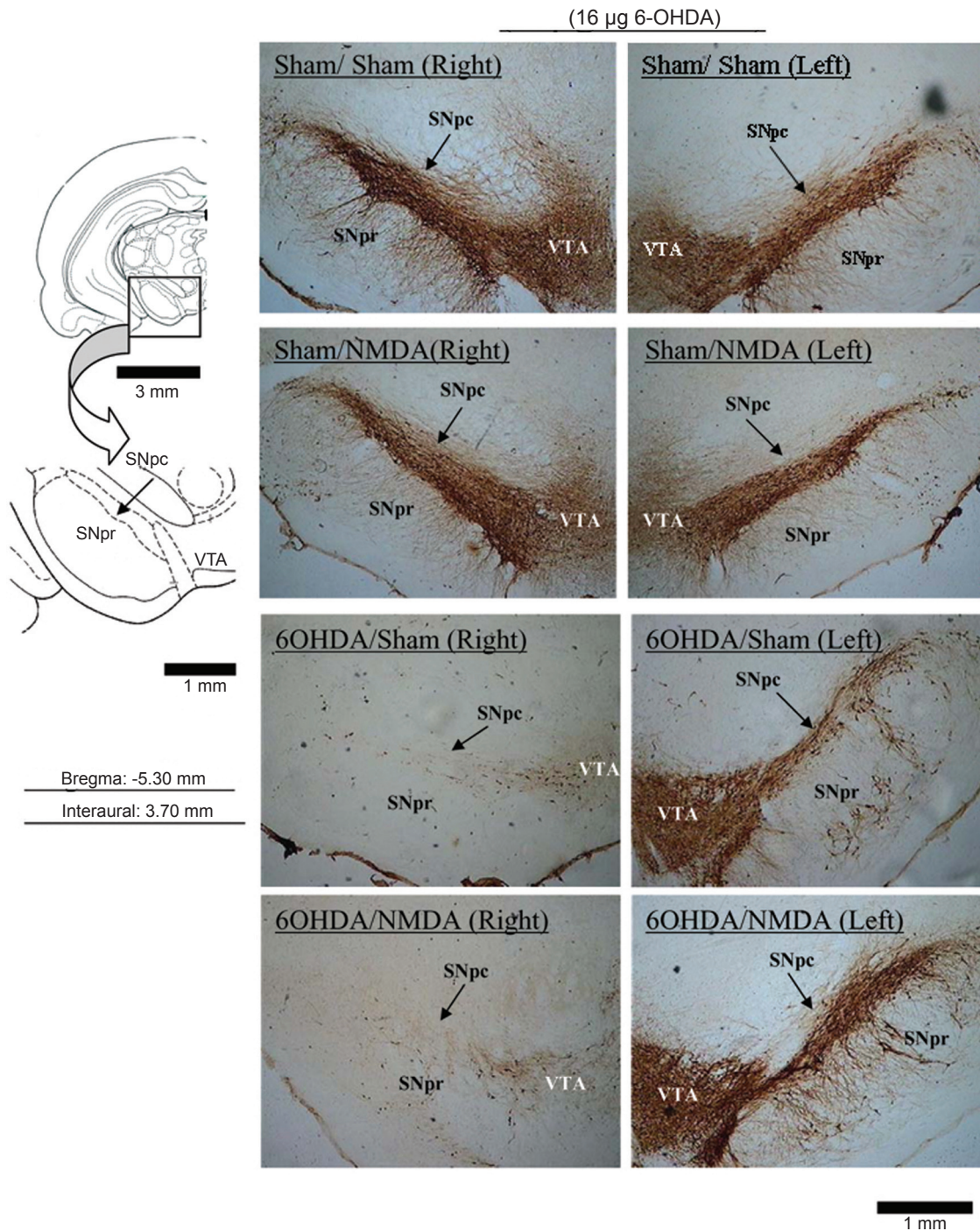


Figure 5. Digitized images of TH immunohistochemistry in the SNpc and VTA after different treatments. Note decreased TH-immunoreactivity in the right SNpc and VTA in response to 6-OHDA injection into the right medial forebrain bundle. Photomicrographs represent TH immunostaining in the following groups: SNpc sham lesion/STN sham lesion (Sham/Sham), SNpc sham lesion/STN NMDA lesion (Sham/NMDA), SNpc 6-OHDA lesion/STN sham lesion (6-OHDA/Sham), and SNpc 6-OHDA lesion/STN NMDA lesion (6-OHDA/NMDA). SNpc = substantia nigra pars compacta; SNpr = substantia nigra pars reticulata; STN = subthalamic nucleus; NMDA = N-methyl-D-aspartate; 6-OHDA = 6-hydroxydopamine; VTA = ventral tegmental area.

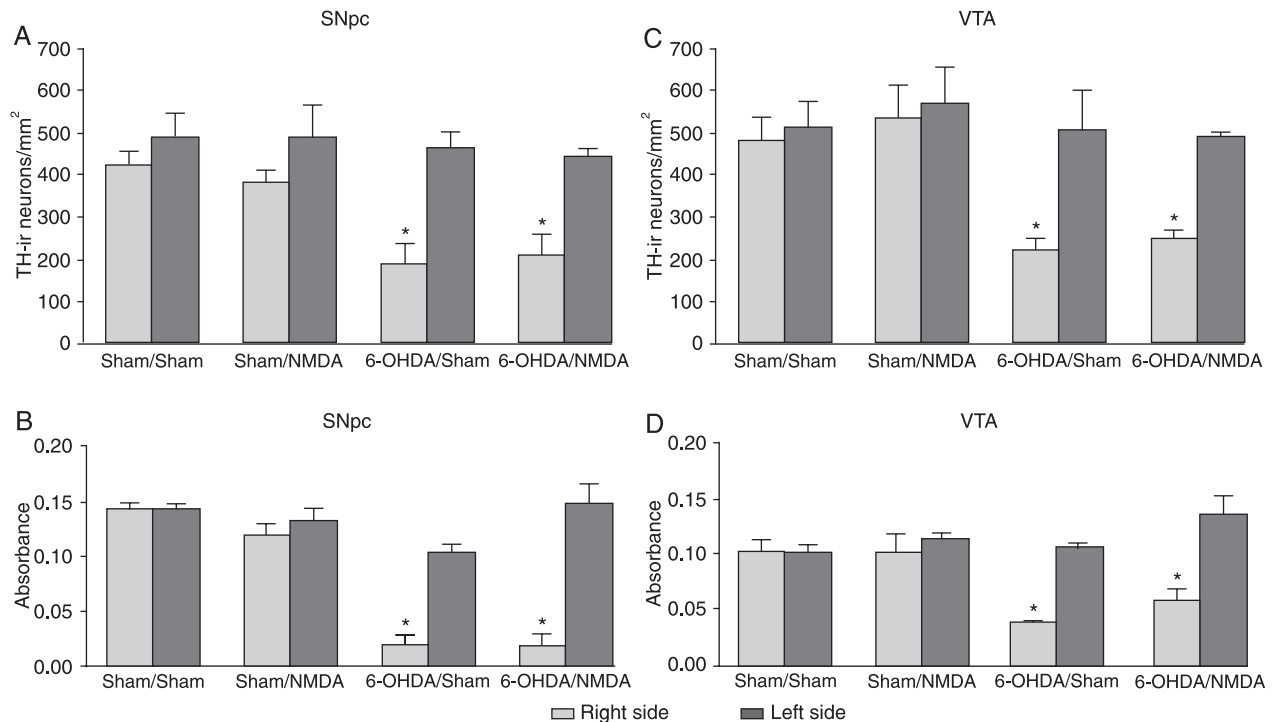


Figure 6. TH immunohistochemistry in the SNpc and VTA. *A* and *C*, Tyrosine hydroxylase-immunoreactive (TH-ir) neuron density (neurons/mm²). *B* and *D*, TH immunostaining absorbance. Data are reported as means \pm SEM on the right and left sides for the following groups: SNpc sham lesion/STN sham lesion (Sham/Sham; N = 4), SNpc sham lesion/STN NMDA lesion (Sham/NMDA; N = 4), SNpc 6-OHDA lesion/STN sham lesion (6-OHDA/Sham; N = 4), and SNpc 6-OHDA lesion/STN NMDA lesion (6-OHDA/NMDA; N = 4). SNpc = substantia nigra pars compacta; STN = subthalamic nucleus; NMDA = N-methyl-D-aspartate; 6-OHDA = 6-hydroxydopamine; VTA = ventral tegmental area. * $P < 0.001$ compared to the Sham/Sham and Sham/NMDA groups (Duncan test after two-way ANOVA).

anesthesia following NMDA lesion of the STN (14).

Discussion

The mechanism responsible for the improvement of motor symptoms in PD after reversible or definitive inhibition of the STN is still unknown. In the present study, we determined whether STN lesion-induced motor recovery could be explained by the rescue of nigrostriatal dopaminergic neurons in a 6-OHDA-lesion rat model of PD. Our results show that STN lesion performed 15 days after 6-OHDA lesion of the nigrostriatal pathway reduced the apomorphine-induced rotational behavior. These data agree with previous reports that STN lesion reduces the number of apomorphine-induced contralateral rotations (4,12,15). However, we found no improvement of hypokinesia in the open-field test. STN lesion has been shown to improve some, but not all, parkinsonian signs (14). Despite the lack of total recovery of motor function, STN lesion blocked the progression of motor impairment from the first to the second behavioral test in 6-OHDA-treated animals. Our experimental design was therefore effective in reproducing the anti-parkinsonian effects of STN lesion.

Our study did not detect an effect of STN lesion on the number of surviving TH-ir cells in the SNpc or VTA after 6-OHDA lesion of the MFB. Our results show a decrease of about 50% in the number of TH-ir neurons per mm² in the SNpc of animals treated with 6-OHDA and an apparently greater decrease in the absorbance measurements related to TH in the same groups. This apparent discrepancy can be explained by the fact that there is no linear correlation between neuronal density and absorbance measurements, because, regarding absorbance, the light absorbed by the tissue conforms to the Lambert-Beers law and is presented on a logarithmic scale, while the neuronal density is presented on an arithmetic scale (26,30).

Our results showing that STN lesion did not affect the number of surviving TH-ir cells in the SNpc or VTA after 6-OHDA agree with the results of Bilbao et al. (20) who reported that subthalamotomy after 6-OHDA lesion did not promote immunohistochemical or electrophysiological changes in the nigrostriatal system. Luquin et al. (22) conducted a study on two groups of non-human primates, one subjected to STN lesion before MPTP administration and the other subjected to lesion after MPTP administration. In the second case, STN lesion produced an improvement of

movements, but nigral degeneration was neither reduced nor prevented by prior STN lesion. A similar result was also obtained for monkeys undergoing subthalamotomy before MPTP.

An elegant study by Paul et al. (5) investigated the potential neuroprotective effects of STN lesion on SNpc cells lesioned with 6-OHDA two weeks later. Using fluorogold and TH-immunoreactivity as markers of cell survival and dopaminergic phenotype, respectively, they showed that ablation of the STN led to a partial recovery of amphetamine-induced rotational behavior, but did not affect the number of fluorogold-ir cells, whereas it increased the number of TH-ir cells. This suggests that STN lesion may have rescued the neurotransmitter phenotype in the remaining cells. In our study, if a recovery of impaired SNpc cells had occurred, increased striatal DA levels would be expected. Nevertheless, whereas 6-OHDA caused a decrease greater than 95% in striatal DA, DOPAC and HVA concentrations, the STN lesion had no effect on the concentrations of striatal DA and its metabolites. This means that, at least under the experimental conditions of the present study, the STN lesion neither preserved SNpc cells from death nor recruited the DA phenotype. Some studies using deep brain stimulation (17,31) have shown enhanced DA or metabolite levels in the striatum, suggesting a mechanism whereby STN HFS improves motor symptoms in PD. However, the improvement of motor symptoms observed here cannot be explained by the increased release of DA in the striatum from residual dopaminergic neurons. Thus, it seems that the mechanism of action involved in the improvement of motor symptoms by STN lesion requires additional study. It is unlikely that the absence of dopaminergic protection was due to an incomplete lesion of the STN, because NMDA lesion was able to reverse the motor deficits induced by 6-OHDA and to promote transitory contralateral hemiballism.

Bilbao et al. (20), studying the electrophysiology of SNpc neurons and the effects of subthalamotomy and levodopa treatment before 6-OHDA lesion, showed that 4 µg 6-OHDA caused a neuronal loss of almost 50% and that subthalamotomy did not modify the neuronal firing pattern or the reduction in TH-ir neurons. The 6-OHDA dose used in the present study (16 µg) promoted an extensive loss of dopaminergic cells, approximately 57%. This suggests that, in case of severe injury, when more than 50% of cells are lost, the STN lesion may not exert neuroprotection. Accordingly, Luquin et al. (22) showed that STN lesion did not protect the SNpc neurons against MPTP toxicity, promoting a TH-ir cell death rate of over 80%. In addition, Fang et al. (16) reported that in mild PD models, with less than 50% of neuronal loss, the inhibitory state is easily corrected by STN HFS, whereas in severe PD models (more than 70% of neuronal loss) the parkinsonian symptoms are more resistant to treatment.

Some investigators have reported that STN lesion prevented the loss of dopaminergic neurons in the SNpc after intra-striatal injection of 6-OHDA in rats (13,32). However, the protection obtained following STN lesion was more

effective when the lesion was performed before 6-OHDA administration rather than after it. Interestingly, it has been recently shown that intrapallidal injections of glutamate receptor agonists also promote suppressive effect on rotational behavior of 6-OHDA-lesioned rats, without altering dopaminergic degeneration (33).

Our data showed that motor deficits display an important improvement after STN lesion, indicating the existence of a more complex physiological network within the basal ganglia or the existence of other excitatory pathways that could be influenced by STN inactivation. Although numerous studies have suggested that the excitatory response observed in SNpc neurons is due to direct glutamatergic afferents from the STN (34), the pedunclopontine nucleus is the major source of glutamatergic input to SNpc neurons (35). Accordingly, Takada et al. (36) reported that pedunclopontine nucleus lesion attenuated the cell loss and parkinsonian motor deficits in MPTP-treated monkeys.

The glutamatergic projections from the STN alter the physiology of the globus pallidus (GPi), and changes in the neuronal activity of GPi have been shown in animal models of PD. Ni et al. (37), using electrophysiological recordings of GPi cells in rats with 6-OHDA lesion, concluded that the STN plays an important role in the modulation of GPi neuron activity, which might account for the therapeutic effect of STN lesion in PD.

Finally, another glutamatergic pathway to be considered is the STN projection to the striatum. A direct projection from the STN to the striatum, though less abundant (34), could account for a decreased glutamatergic activity in the striatum after STN lesion (4,38). Supporting this idea, it has been reported that the beneficial effects of STN inactivation on parkinsonian symptoms are correlated with alteration in glutamatergic transmission in the striatum (4,15,39), which is similar to the beneficial effects promoted by levodopa treatment. Moreover, STN lesion may also affect the activity of thalamo-cortical projections and thereby alter glutamate levels in the striatum. Accordingly, Luquin and Mitrofanis (40) have shown that previous removal of cortical inputs was able to protect SNpc cells against 6-OHDA lesion. Thus, the STN lesion-induced improvement in motor alterations might not be due to a direct effect of the lesion, but to an indirect effect on cortical/striatal activity.

Using the rescue protocol, which has clinical relevance, our data show that STN lesion is able to reverse motor deficits after severe 6-OHDA-induced lesion of the nigrostriatal pathway without rescuing dopaminergic neurons in the SNpc. Further studies are necessary to clarify the STN pathways involved in the improvement of motor symptoms in PD.

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